

# Theory of Flavour physics and CP violation

Ulrich Nierste



IDPASC School on Flavour Physics, May 2013

# Rare particle decay delivers blow to supersymmetry

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By **Lucie Bradley**  
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The popular physics theory of supersymmetry has been called into question by new results from CERN.

SYDNEY: The popular physics theory of supersymmetry has been called into question by new results from CERN.

Physicists working at CERN's Large Hadron Collider (LHC) near Geneva, Switzerland, have announced the discovery of an extremely rare type of particle decay.

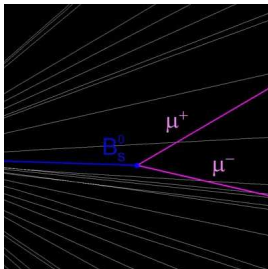
While discoveries are usually accompanied by excitement there is also a tinge of uncertainty surrounding this latest finding from CERN. It has dealt a hefty blow to the popular physics theory of supersymmetry.

The results were presented at the Hadron Collider Physics Symposium in Kyoto, Japan, and will also be submitted to the journal *Physical Review Papers*.

## A three in one billion chance

Scientists have been searching for this type of particle decay for the last decade and so the results from CERN have "generated a lot of excitement now that it has been found," according to physicist Mark Kruse, from Duke University, North Carolina, USA. "And it hasn't ruled out supersymmetry – just some of the more favoured variants of it."

The traditional theory of subatomic physics is known as the Standard Model, but it is unable to explain everything observed in the world around us, including gravity and dark matter. Supplementary theories exist to help explain these inconsistencies. Of these theories, supersymmetry, which proposes that 'superparticles' exist – massive versions of those particles that are already known – is arguably the most popular.



A typical decay of the Bs (B sub s) meson into two muons. The two muons traversed the whole LHCb detector, which originated from the Bs0s decay point 14 mm from the proton-proton collision. Credit: LHCb

COSMOS Magazine

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Global analysis of  $B_s - \bar{B}_s$  mixing and  $B_d - \bar{B}_d$  mixing

Supersymmetry

The rare decays  $B_{d,s} \rightarrow \mu^+ \mu^-$  and  $B_s \rightarrow \phi \pi^0, \phi \rho^0$

Summary

# Basics

## Flavour physics

studies transitions between fermions of different generations.

flavour = fermion species

$$\begin{array}{ccc}
 \begin{pmatrix} u_L, u_L, u_L \\ d_L, d_L, d_L \end{pmatrix} & \begin{pmatrix} c_L, c_L, c_L \\ s_L, s_L, s_L \end{pmatrix} & \begin{pmatrix} t_L, t_L, t_L \\ b_L, b_L, b_L \end{pmatrix} \\
 u_R, u_R, u_R & c_R, c_R, c_R & t_R, t_R, t_R \\
 d_R, d_R, d_R & s_R, s_R, s_R & b_R, b_R, b_R \\
 \\
 \begin{pmatrix} \nu_{e,L} \\ e_L \end{pmatrix} & \begin{pmatrix} \nu_{\mu,L} \\ \mu_L \end{pmatrix} & \begin{pmatrix} \nu_{\tau,L} \\ \tau_L \end{pmatrix} \\
 e_R & \mu_R & \tau_R
 \end{array}$$



## Flavour quantum numbers:

quantum number	<i>d</i>	<i>u</i>	<i>s</i>	<i>c</i>	<i>b</i>	<i>t</i>	$e, \nu_e$	$\mu, \nu_\mu$	$\tau, \nu_\tau$
<i>D</i>	-1	0	0	0	0	0	0	0	0
<i>U</i>	0	1	0	0	0	0	0	0	0
strangeness <i>S</i>	0	0	-1	0	0	0	0	0	0
charm <i>C</i>	0	0	0	1	0	0	0	0	0
beauty <i>B</i>	0	0	0	0	-1	0	0	0	0
<i>T</i>	0	0	0	0	0	1	0	0	0
electron number $L_e$	0	0	0	0	0	0	1	0	0
muon number $L_\mu$	0	0	0	0	0	0	0	1	0
tau number $L_\tau$	0	0	0	0	0	0	0	0	1

$$\text{baryon number } B_{\text{baryon}} = \frac{-D + U - S + C - B + T}{3}$$

$$\text{lepton number } L = L_e + L_\mu + L_\tau$$

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$U$	0	1	0	0	0	0	0	0	0
strangeness $S$	0	0	-1	0	0	0	0	0	0
charm $C$	0	0	0	1	0	0	0	0	0
beauty $B$	0	0	0	0	-1	0	0	0	0
$T$	0	0	0	0	0	1	0	0	0
electron number $L_e$	0	0	0	0	0	0	1	0	0
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antifermions have opposite quantum numbers

Flavour quantum numbers are respected by the **strong** interaction, so we can use them to categorise **hadrons**.  
E.g. a  $B^+$  meson has  $B = U = 1$ , shorthand notation:

$$B^+ \sim \bar{b}u$$

For a  $B_d \equiv B^0$  (with  $B = -D = 1$ ) we write

$$B_d \sim \bar{b}d$$



## Some flavoured mesons

charged:

$$K^+ \sim \bar{s}u, \quad D^+ \sim \bar{c}d, \quad D_s^+ \sim \bar{c}s, \quad B^+ \sim \bar{b}u, \quad B_c^+ \sim \bar{b}c,$$

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neutral:

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 K &\sim \bar{s}d, & D &\sim c\bar{u}, & B_d &\sim \bar{b}d, & B_s &\sim \bar{b}s, \\
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In flavour physics only the **ground-state hadrons** which decay **weakly** rather than strongly are interesting.

Weakly decaying **baryons** are less interesting, because they are produced in smaller rates and are theoretically harder to cope with.

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The neutral  $K$ ,  $D$ ,  $B_d$  and  $B_s$  mesons mix with their antiparticles,  $\bar{K}$ ,  $\bar{D}$ ,  $\bar{B}_d$  and  $\bar{B}_s$  thanks to the weak interaction (quantum-mechanical two-state systems).

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⇒ **gold mine** for fundamental parameters

**Strong isospin:** Instead of  $U$  and  $D$  use  $(I, I_3)$ :

Fundamental doublets  $(I = \frac{1}{2})$ :  $\begin{pmatrix} u \\ d \end{pmatrix}$  and  $\begin{pmatrix} \bar{d} \\ -\bar{u} \end{pmatrix}$ .

For  $m_u = m_d$  the QCD lagrangian is invariant under **SU(2)** rotations of  $\begin{pmatrix} u \\ d \end{pmatrix}$  and  $\begin{pmatrix} \bar{d} \\ -\bar{u} \end{pmatrix}$ .

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Owing to  $m_d - m_u \ll \Lambda_{\text{had}} \sim 500 \text{ MeV}$ , strong isospin holds to  $\sim 2\%$  accuracy. E.g.  $M_{B_d} - M_{B^+} = (0.37 \pm 0.24) \text{ MeV}$ .

Isospin triplet:

$$\pi^+ \sim u\bar{d}, \quad \pi^0 \sim \frac{u\bar{u} - d\bar{d}}{\sqrt{2}}, \quad \pi^- \sim d\bar{u}.$$

Compare with spin triplet

$$\uparrow\uparrow, \quad \frac{\uparrow\uparrow + \downarrow\downarrow}{\sqrt{2}}, \quad \downarrow\downarrow$$



Flavour- $SU(3)$ :

Since  $m_s - m_{u,d} < \Lambda_{\text{had}}$  we can try to enlarge isospin- $SU(2)$  to

$SU(3)_F$  with fundamental triplet  $\begin{pmatrix} u \\ d \\ s \end{pmatrix}$

U-spin subgroup:  $SU(2)$  rotations of  $\begin{pmatrix} d \\ s \end{pmatrix}$

Pedestrian's use of U-spin:

- (i) Draw all diagrams contributing to some process.
- (ii) Replace  $s \leftrightarrow d$  to connect the hadronic interaction in different processes.

Example: One can relate the strong interaction effects in

$$B_s \rightarrow K^+ K^- \text{ and } B_d \rightarrow \pi^+ \pi^-.$$

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Accuracy of  $SU(3)_F$ : 30% per  $s \leftrightarrow d$  exchange.

# Electroweak interaction

Gauge group:

$$SU(2) \times U(1)_Y$$

doublets:  $Q_L^j = \begin{pmatrix} u_L^j \\ d_L^j \end{pmatrix}$  und  $L^j = \begin{pmatrix} \nu_L^j \\ \ell_L^j \end{pmatrix}$   
 $j = 1, 2, 3$  labels the generation.

Examples:  $Q_L^3 = \begin{pmatrix} t_L \\ b_L \end{pmatrix}$ ,  $L^1 = \begin{pmatrix} \nu^{eL} \\ e_L \end{pmatrix}$

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**Important:** Only left-handed fields couple to the **W boson**.

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Five!

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- **Yukawa interaction** of Higgs with quarks and leptons
- **Higgs self-interaction**

## Yukawa interaction

Higgs doublet  $H = \begin{pmatrix} G^+ \\ v + \frac{h^0 + iG^0}{\sqrt{2}} \end{pmatrix}$  with  $v = 174 \text{ GeV}$ .

Charge-conjugate doublet:  $\tilde{H} = \begin{pmatrix} v + \frac{h^0 - iG^0}{\sqrt{2}} \\ -G^- \end{pmatrix}$

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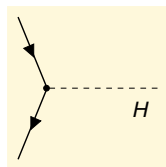
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Yukawa lagrangian:

$$-L_Y = Y_{jk}^d \bar{Q}_L^j H d_R^k + Y_{jk}^u \bar{Q}_L^j \tilde{H} u_R^k + Y_{jk}^l \bar{L}_L^j H e_R^k + \text{h.c.}$$

Here neutrinos are (still) massless.

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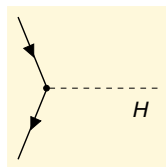
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The Yukawa matrices  $Y^f$  are arbitrary complex  $3 \times 3$  matrices.

The **mass matrices**  $M^f = Y^f v$  are not diagonal!

$\Rightarrow$   $u_{L,R}^j, d_{L,R}^j$  do not describe physical quarks!

We must find a basis in which  $Y^f$  is diagonal!



Any matrix can be diagonalised by a bi-unitary transformation.  
Start with

$$\hat{Y}^u = S_Q^\dagger Y^u S_u \quad \text{with} \quad \hat{Y}^u = \begin{pmatrix} y_u & 0 & 0 \\ 0 & y_c & 0 \\ 0 & 0 & y_t \end{pmatrix} \quad \text{and} \quad y_{u,c,t} \geq 0$$

This can be achieved via

$$Q_L^j = S_{jk}^Q Q_L^{k'}, \quad u_R^j = S_{jk}^u u_R^{k'}$$

with unitary  $3 \times 3$  matrices  $S^Q, S^u$ .

This transformation leaves  $L_{\text{gauge}}$  invariant (“flavour-blindness of the gauge interactions”)!

Next diagonalise  $Y^d$ :

$$\hat{Y}^d = V^\dagger S_Q^\dagger Y^d S_d \quad \text{with} \quad \hat{Y}^d = \begin{pmatrix} y_d & 0 & 0 \\ 0 & y_s & 0 \\ 0 & 0 & y_b \end{pmatrix} \quad \text{and} \quad y_{d,s,b} \geq 0$$

with unitary  $3 \times 3$  matrices  $V, S^d$ .

Via  $d_R^j = S_{jk}^d d_R^{k'}$  we leave  $L_{\text{gauge}}$  unchanged, while

$$-L_Y^{\text{quark}} = \bar{Q}_L V \hat{Y}^d H d_R + \bar{Q}_L \hat{Y}^u \tilde{H} u_R + \text{h.c.}$$

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This breaks up the  $SU(2)$  doublet  $Q_L$ .  $\Rightarrow L_{\text{gauge}}$  changes!



In the new “physical” basis  $M^u = Y^u v$  and  $M^d = Y^d v$  are diagonal.

⇒ Also the **neutral** Higgs fields  $h^0$  and  $G^0$  have only **flavour-diagonal** couplings!

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The transformation  $d_L^j = V_{jk} d_L^{k'}$  changes the **W-boson** couplings in  $L_{\text{gauge}}$ :

$$L_W = \frac{g_2}{\sqrt{2}} \left[ \bar{u}_L V \gamma^\mu d_L W_\mu^+ + \bar{d}_L V^\dagger \gamma^\mu u_L W_\mu^- \right]$$

The **Z-boson** couplings stay **flavour-diagonal** because of  $V^\dagger V = 1$ .

$V$  is the Cabibbo-Kobayashi-Maskawa (CKM) matrix.

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⇒ Add a  $\nu_R$  to the SM to mimick the quark sector or  
add a Majorana mass term  $Y^M \frac{\bar{L} H H^T L^c}{M}$ .

The lepton mixing matrix is the

Pontecorvo-Maki-Nakagawa-Sakata (PMNS) matrix.

# Discrete symmetries

Parity transformation P:  $\vec{x} \rightarrow -\vec{x}$

Charge conjugation C: Exchange **particles** and **antiparticles**, e.g.  $e^- \leftrightarrow e^+$

Time reversal T:  $t \rightarrow -t$

## C and P

1954/1955:

**CPT** is a symmetry of every Lorentz-invariant quantum field theory.



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- 1956/1957: P is not a symmetry of the microscopic laws of nature!
- 1964: CP is not a symmetry of the microscopic laws of nature!
- ⇒ Also the T symmetry must be violated, there is a microscopic arrow of time!

# K and M

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## Strong interaction

The QCD lagrangian permits a term which violates  $P$ ,  $CP$ , and  $T$ , but experimentally the corresponding coefficient  $\theta$  is found to be smaller than  $10^{-11}$ .



## Strong interaction

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Also QED respects  $C, P$ , and  $T$ .

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1956:  $\theta - \tau$  puzzle:

A seemingly degenerate pair  $(\theta, \tau)$  of two mesons with  $P = +1$  and  $P = -1$ , weakly decaying as

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$$K^+ = \theta = \tau.$$

## Maximal P violation

In the **SM** only left-handed fields feel the charged weak interaction, no couplings of the **W-boson** to  $u_R^j$ ,  $d_R^j$ , and  $e_R^j$ .

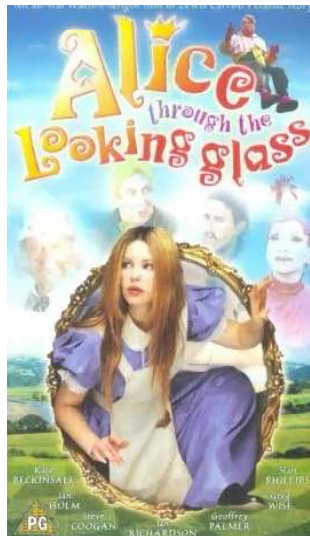
Early monograph on **parity violation**:



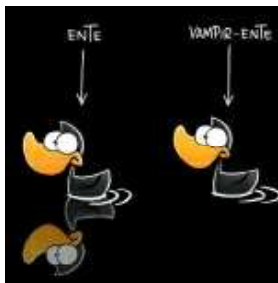
Early monograph on parity violation:

Lewis Carroll:

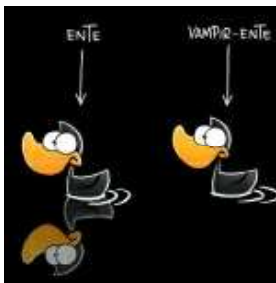
*Alice through the looking glass*



# Maximal parity violation



# Maximal parity violation



Charge conjugation **C** maps left-handed (particle) fields on right-handed (antiparticle) fields and vice versa:

$$\psi_L \xleftrightarrow{C} \psi_L^C, \quad \text{where } \psi_L^C \equiv (\psi^C)_R \text{ is right-handed.}$$

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**But:** Nothing prevents **CP** and **T** from being good symmetries...



... except experiment!

# CP violation

Neutral  $K$  mesons:

$K_{\text{long}}$  and  $K_{\text{short}}$  (linear combinations of  $K$  and  $\bar{K}$ ).

Dominant decay channels:

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1964: Christenson, Cronin, Fitch and Turlay observe

$$K_{\text{long}} \rightarrow \pi\pi$$

and therefore discover CP violation.

$$\epsilon_K \equiv \frac{\langle (\pi\pi)_{I=0} | H_{\text{weak}} | K_{\text{long}} \rangle}{\langle (\pi\pi)_{I=0} | H_{\text{weak}} | K_{\text{short}} \rangle} = (2.229 \pm 0.010) \cdot 10^{-3} e^{i0.97\pi/4}.$$





## CP violation in the SM

Example:  $W$  coupling to  $b$  and  $u$ :

$$L_W = \frac{g_2}{\sqrt{2}} \left[ V_{ub} \bar{u}_L \gamma^\mu b_L W_\mu^+ + V_{ub}^* \bar{b}_L \gamma^\mu u_L W_\mu^- \right]$$

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Is CP violated? Not yet. . .

Rephasing  $b_L \rightarrow e^{i\phi} b_L$ ,  $u_L \rightarrow e^{i\phi'} u_L$  amounts to

$$L_W \xrightarrow{CP+\text{reph.}} \frac{g_2}{\sqrt{2}} \left[ V_{ub} e^{i(\phi'-\phi)} \bar{b}_L \gamma^\mu u_L W_\mu^- + V_{ub}^* e^{i(\phi-\phi')} \bar{u}_L \gamma^\mu b_L W_\mu^+ \right],$$

so that we can achieve  $V_{ub} e^{i(\phi'-\phi)} = V_{ub}^*$ .

Alternatively we could have used the rephasing to render  $V_{ub}$  real from the beginning.

Observation by Kobayashi and Maskawa:

A unitary  $n \times n$  matrix has  $\frac{n(n+1)}{2}$  phases. In an  $n$ -generation SM one can eliminate  $2n - 1$  phases from  $V$  by rephasing the quark fields. The remaining  $\frac{(n-1)(n-2)}{2}$  phases are physical, CP-violating parameters of the theory!

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$n$	$\frac{(n-1)(n-2)}{2}$
1	0
2	0
3	1
4	3

Kobayashi-Maskawa phase  $\delta_{KM}$

# CKM metrology

The Cabibbo-Kobayashi-Maskawa (CKM) matrix

$$V = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

involves 4 parameters: 3 angles and the KM phase  $\delta_{\text{KM}}$ .  
Best way to parametrise  $V$ : Wolfenstein expansion



Expand the CKM matrix  $V$  in  $V_{us} \simeq \lambda = 0.2246$ :

$$\begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \simeq \begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & A\lambda^3 \left(1 + \frac{\lambda^2}{2}\right) (\bar{\rho} - i\bar{\eta}) \\ -\lambda - iA^2\lambda^5\bar{\eta} & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ A\lambda^3(1 - \bar{\rho} - i\bar{\eta}) & -A\lambda^2 - iA\lambda^4\bar{\eta} & 1 \end{pmatrix}$$

with the Wolfenstein parameters  $\lambda, A, \bar{\rho}, \bar{\eta}$

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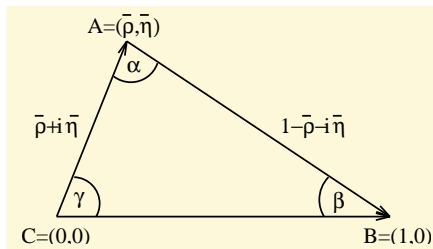
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Unitarity triangle:

Exact definition:

$$\begin{aligned} \bar{\rho} + i\bar{\eta} &= -\frac{V_{ub}^* V_{ud}}{V_{cb}^* V_{cd}} \\ &= \left| \frac{V_{ub}^* V_{ud}}{V_{cb}^* V_{cd}} \right| e^{i\gamma} \end{aligned}$$



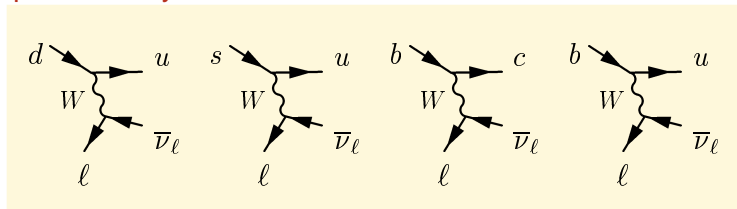
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**Semileptonic decays:**



determining  $|V_{ud}|$

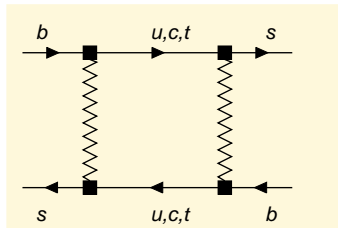
$|V_{us}|$

$|V_{cb}|$

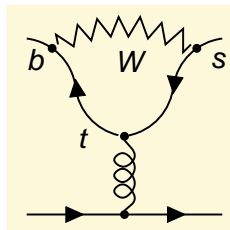
$|V_{ub}|$ .

# Flavour-changing neutral current (FCNC) processes

Examples:



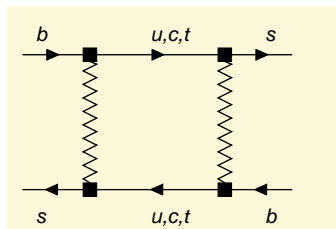
$B_s - \bar{B}_s$  mixing



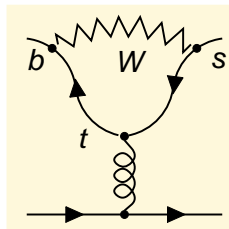
penguin diagram

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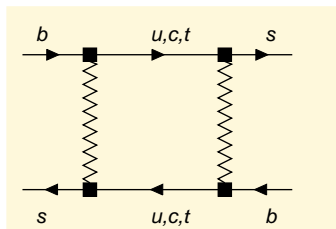


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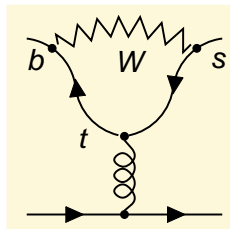
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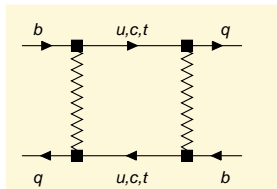
In principle can determine all parameters  $\lambda$ ,  $A$ ,  $\bar{\rho}$ ,  $\bar{\eta}$  from tree-level processes.

- ⇒ View **FCNC** processes as **new physics analysers** rather than ways to measure  $V_{td}$  and  $V_{ts}$ .

## $B - \bar{B}$ mixing basics

Consider  $B_q - \bar{B}_q$  mixing with  $q = d$  or  $q = s$ :

A meson identified (“tagged”) as a  $B_q$  at time  $t = 0$  is described by  $|B_q(t)\rangle$ .





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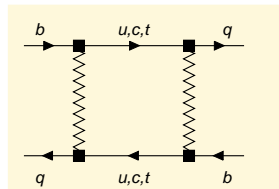
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For  $t > 0$ :

$$|B_q(t)\rangle = \langle B_q|B_q(t)\rangle|B_q\rangle + \langle \bar{B}_q|B_q(t)\rangle|\bar{B}_q\rangle + \dots,$$

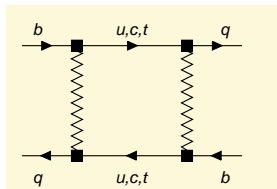
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Analogously:  $|\bar{B}_q(t)\rangle$  is the ket of a meson tagged as a  $\bar{B}_q$  at time  $t = 0$ .

Schrödinger equation:

$$i \frac{d}{dt} \begin{pmatrix} \langle B_q | B_q(t) \rangle \\ \langle \bar{B}_q | B_q(t) \rangle \end{pmatrix} = \left( M^q - i \frac{\Gamma^q}{2} \right) \begin{pmatrix} \langle B_q | B_q(t) \rangle \\ \langle \bar{B}_q | B_q(t) \rangle \end{pmatrix}$$

with the  $2 \times 2$  mass and decay matrices  $M^q = M^{q\dagger}$  and  $\Gamma^q = \Gamma^{q\dagger}$ .

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3 physical quantities in  $B_q - \bar{B}_q$  mixing:

$$|M_{12}^q|, \quad |\Gamma_{12}^q|, \quad \phi_q \equiv \arg \left( -\frac{M_{12}^q}{\Gamma_{12}^q} \right)$$

Diagonalise  $M^q - i \frac{\Gamma^q}{2}$  to find the two mass eigenstates:

$$\text{Lighter eigenstate: } |B_L\rangle = \rho |B_q\rangle + q |\bar{B}_q\rangle.$$

$$\text{Heavier eigenstate: } |B_H\rangle = \rho |B_q\rangle - q |\bar{B}_q\rangle$$

with masses  $M_{L,H}^q$  and widths  $\Gamma_{L,H}^q$ .

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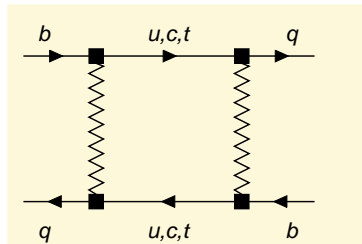
Relation of  $\Delta m_q$  and  $\Delta \Gamma_q$  to  $|M_{12}^q|$ ,  $|\Gamma_{12}^q|$  and  $\phi_q$ :

$$\Delta m_q = M_H - M_L \simeq 2|M_{12}^q|,$$

$$\Delta \Gamma_q = \Gamma_L - \Gamma_H \simeq 2|\Gamma_{12}^q| \cos \phi_q$$

$M_{12}^q$  stems from the **dispersive** (real) part of the box diagram, internal  $t$ .

$\Gamma_{12}^q$  stems from the **absorptive** (imaginary) part of the box diagram, internal  $c, u$ .



Solve the Schrödinger equation to find the desired  $B_q - \bar{B}_q$  oscillations:

$$|\langle B_q | B_q(t) \rangle|^2 = |\langle \bar{B}_q | \bar{B}_q(t) \rangle|^2 = \frac{e^{-\Gamma_q t}}{2} \left[ \cosh \frac{\Delta\Gamma_q t}{2} + \cos(\Delta m_q t) \right]$$

$$|\langle \bar{B}_q | B_q(t) \rangle|^2 \simeq |\langle B_q | \bar{B}_q(t) \rangle|^2 \simeq \frac{e^{-\Gamma_q t}}{2} \left[ \cosh \frac{\Delta\Gamma_q t}{2} - \cos(\Delta m_q t) \right]$$

with  $\Gamma_q \equiv \frac{\Gamma_L^q + \Gamma_H^q}{2}$



Time-dependent decay rate:

$$\Gamma(B_q(t) \rightarrow f) = \frac{1}{N_B} \frac{d N(B_q(t) \rightarrow f)}{d t},$$

where  $d N(B_q(t) \rightarrow f)$  is the number of  $B_q(t) \rightarrow f$  decays within the time interval  $[t, t + d t]$ .

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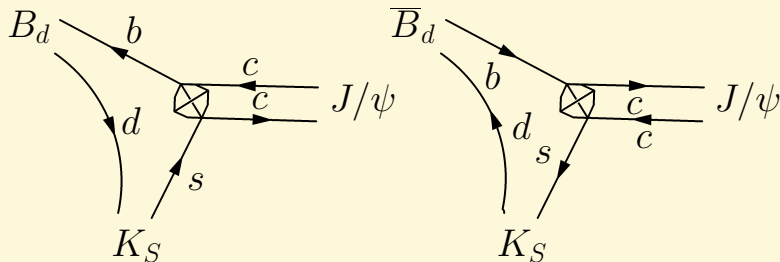
$N_B$  is the number of  $B_q$ 's present at time  $t = 0$ .

With  $|\bar{f}\rangle \equiv CP|f\rangle$  define the time-dependent CP asymmetry:

$$a_f(t) = \frac{\Gamma(\bar{B}_q(t) \rightarrow f) - \Gamma(B_q(t) \rightarrow \bar{f})}{\Gamma(\bar{B}_q(t) \rightarrow f) + \Gamma(B_q(t) \rightarrow \bar{f})}$$

### Example 1:

$$B_d \rightarrow J/\psi K_S \Rightarrow |\bar{f}\rangle = -|f\rangle \text{ (CP-odd eigenstate)}$$



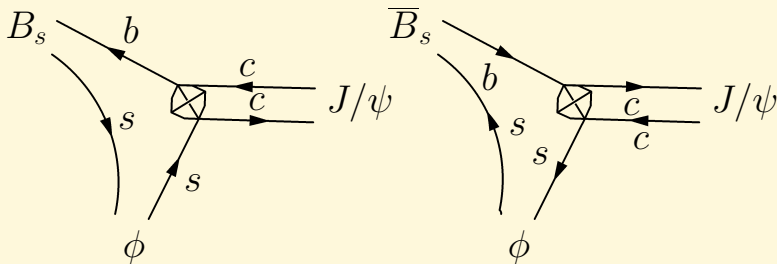
$$a_{J/\psi K_S}(t) \simeq -\sin(2\beta) \sin(\Delta m_d t),$$

where

$$\beta = \arg \left[ -\frac{V_{cd} V_{cb}^*}{V_{td} V_{tb}^*} \right]$$

## Example 2:

$$B_s \rightarrow (J/\psi\phi)_{L=0} \quad \Rightarrow \quad |\bar{f}\rangle = |f\rangle \text{ (CP-even eigenstate)}$$



$$a_{(J/\psi\phi)_{L=0}}(t) = -\frac{\sin(2\beta_s) \sin(\Delta m_s t)}{\cosh(\Delta\Gamma_s t/2) - \cos(2\beta_s) \sinh(\Delta\Gamma_s t/2)},$$

where

$$\beta_s = \arg \left[ -\frac{V_{ts} V_{tb}^*}{V_{cs} V_{cb}^*} \right] \simeq \lambda^2 \bar{\eta}$$

The Wolfenstein parameters  $\lambda$  and  $A$  are well determined from the semileptonic decays  $K \rightarrow \pi l^+ \nu_l$  and  $B \rightarrow X_c l^+ \nu_l$ ,  $l = e, \mu$ .

## Metrology of the unitarity triangle:

The apex  $(\bar{\rho}, \bar{\eta})$  is currently constrained from the following experimental input:

- $|V_{ub}| \propto \sqrt{\bar{\rho}^2 + \bar{\eta}^2}$  measured in  $B \rightarrow \pi l \nu_\ell$ ,  $B \rightarrow X_{ul} l \nu_\ell$  and  $B^+ \rightarrow \tau^+ \nu_\tau$ .

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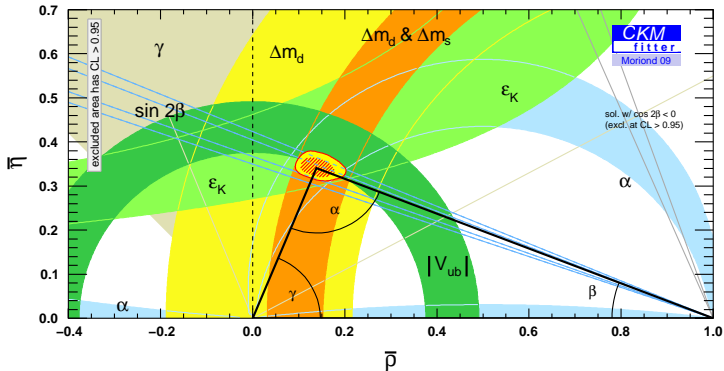
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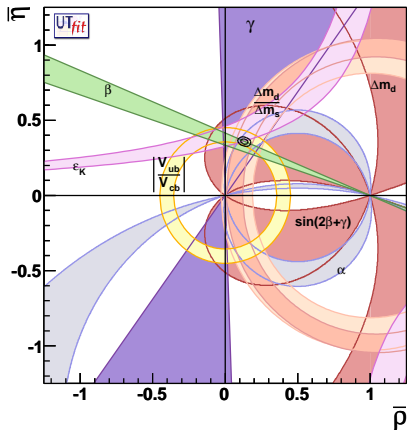
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- $\epsilon_K$  (the measure of CP violation in  $K-\bar{K}$  mixing), which defines a hyperbola in the  $(\bar{\rho}, \bar{\eta})$  plane.

## Global fit in the SM from CKMfitter:



Statistical method: Rfit, a Frequentist approach.

## Global fit in the SM from UTfit:



Statistical method: Bayesian.

# Flavour experiments

B,D, $\tau$ : BaBar, BELLE (upgrade: BELLE-II)  
CDF, DØ  
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... plus many neutrino experiments

Future: Project X at Fermilab for rare K and  $\mu$  decays.

# New physics

In the **LHC era** CKM metrology is less important and constraints on **physics beyond the SM** is the main focus of flavour physics.

In the **flavour-changing neutral current (FCNC)** processes of the Standard Model several suppression factors pile up:

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**Spectacular:** In **FCNC transitions** of **charged leptons** the **GIM suppression** factor is even  $m_\nu^2/M_W^2$ !

⇒ The **SM predictions** for charged-lepton FCNCs are essentially zero!

The suppression of **FCNC** processes in the Standard Model is **not** a consequence of the  $SU(3) \times SU(2)_L \times U(1)_Y$  symmetry. It results from the **particle content** of the Standard Model and the **accidental** smallness of most Yukawa couplings. It is **absent** in generic extensions of the Standard Model.

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- extra Higgses**  $\Rightarrow$  Higgs-mediated **FCNC's** at tree-level , helicity suppression possibly absent,
- squarks/gluinos**  $\Rightarrow$  **FCNC** quark-squark-gluino coupling, no CKM/GIM suppression,
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$B_d - \bar{B}_d$  and  $B_s - \bar{B}_s$  mixing and rare decays like  $B_{s,d} \rightarrow \mu^+ \mu^-$   
and  $K \rightarrow \pi \nu \bar{\nu}$  are sensitive to scales above  $\Lambda \sim 100 \text{ TeV}$ .

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If **ATLAS** and **CMS** find particles not included in the SM:  
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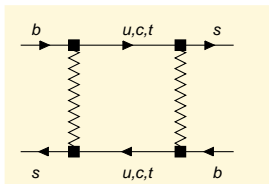
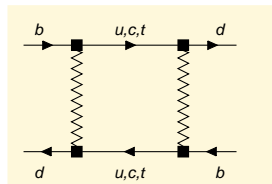
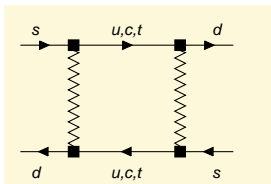
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If **ATLAS** and **CMS** find **no** further new particles:

Flavour physics probes scales of new physics exceeding **100 TeV**.

## New-physics analysers:

- Global fit to UT: overconstrain  $(\bar{\rho}, \bar{\eta})$ , probes FCNC processes  $K-\bar{K}$ ,  $B_d-\bar{B}_d$  and  $B_s-\bar{B}_s$  mixing.



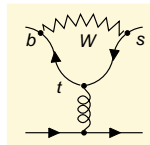


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- **Penguin decays:**  $B \rightarrow X_s \gamma$ ,  $B \rightarrow X_s l^+ l^-$ ,  $B \rightarrow K\pi$ ,  $B_d \rightarrow \phi K_{\text{short}}$ ,  $B_s \rightarrow \mu^+ \mu^-$ ,  $K \rightarrow \pi \nu \bar{\nu}$ .



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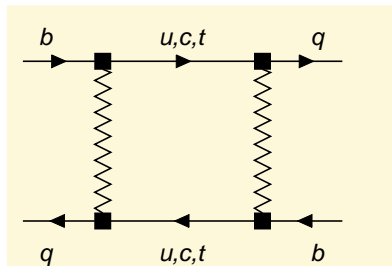
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- CKM-suppressed or helicity-suppressed tree-level decays:  $B^+ \rightarrow \tau^+\nu$ ,  $B \rightarrow \pi\ell\nu$ ,  $B \rightarrow D\tau\nu$ , probe charged Higgses and right-handed W-couplings.

# $B - \bar{B}$ mixing and new physics

$B_q - \bar{B}_q$  mixing with  $q = d$  or  $q = s$ :

New physics can barely affect  $\Gamma_{12}^q$ , which stems from **tree-level decays**.

$M_{12}^q$  is very sensitive to virtual effects of **new heavy particles**.



# Generic new physics

The phase  $\phi_s = \arg(-M_{12}^s/\Gamma_{12}^s)$  is negligibly small in the Standard Model:

$$\phi_s^{\text{SM}} = 0.2^\circ.$$

Define the complex parameter  $\Delta_s$  through

$$M_{12}^s \equiv M_{12}^{\text{SM},s} \cdot \Delta_s, \quad \Delta_s \equiv |\Delta_s| e^{i\phi_s^\Delta}.$$

In the Standard Model  $\Delta_s = 1$ . Use  $\phi_s = \phi_s^{\text{SM}} + \phi_s^\Delta \simeq \phi_s^\Delta$ .

Confront the LHCb-CDF average

$$\Delta m_s = (17.719 \pm 0.043) \text{ ps}^{-1}$$

with the SM prediction:

$$\Delta m_s = \left( 18.8 \pm 0.6_{V_{cb}} \pm 0.3_{m_t} \pm 0.1_{\alpha_s} \right) \text{ ps}^{-1} \frac{f_{B_s}^2 B_{B_s}}{(220 \text{ MeV})^2}$$

Largest source of uncertainty:  $f_{B_s}^2 B_{B_s}$  from lattice QCD.

Here  $f_{B_s}$  is the  $B_s$  decay constant and  $f_{B_s}^2 B_{B_s}$  parametrises a hadronic matrix element calculated with lattice QCD.

With

$$f_{B_s} = (229 \pm 2 \pm 6) \text{ MeV}, \quad B_{B_s} = 0.85 \pm 0.02 \pm 0.02$$

find  $\Delta m_s^{\text{SM}} = (17.3 \pm 1.5) \text{ ps}^{-1}$  entailing

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Too good to be true: prediction is based on many calculation of  $f_{B_s}$  and the prejudice  $B_{B_s} = 0.85 \pm 0.02 \pm 0.02$ .



Flavour-specific decay:  $B_s \rightarrow f$  is allowed, while  
 $\bar{B}_s \rightarrow f$  is forbidden

CP asymmetry in flavour-specific decays (semileptonic CP asymmetry):

$$a_{\text{fs}}^s = \frac{\Gamma(\bar{B}_s(t) \rightarrow f) - \Gamma(B_s(t) \rightarrow \bar{f})}{\Gamma(\bar{B}_s(t) \rightarrow f) + \Gamma(B_s(t) \rightarrow \bar{f})}$$

with e.g.  $f = X\ell^+\nu_\ell$  and  $\bar{f} = \bar{X}\ell^-\bar{\nu}_\ell$ . Untagged rate:

$$a_{\text{fs,unt}}^s \equiv \frac{\int_0^\infty dt \left[ \Gamma(\bar{B}_s \rightarrow \mu^+ X) - \Gamma(\bar{B}_s \rightarrow \mu^- X) \right]}{\int_0^\infty dt \left[ \Gamma(\bar{B}_s \rightarrow \mu^+ X) + \Gamma(\bar{B}_s \rightarrow \mu^- X) \right]} \simeq \frac{a_{\text{fs}}^s}{2}$$

Relation to  $M_{12}^S$ :

$$a_{\text{fs}}^S = \frac{|\Gamma_{12}^S|}{|M_{12}^S|} \sin \phi_S = \frac{|\Gamma_{12}^S|}{|M_{12}^{\text{SM},S}|} \cdot \frac{\sin \phi_S}{|\Delta_S|} = (4.4 \pm 1.2) \cdot 10^{-3} \cdot \frac{\sin \phi_S}{|\Delta_S|}$$

A. Lenz, UN, 2006,2011,2012

## Dilepton events:

Compare the number  $N_{++}$  of decays  $(B_s(t), \bar{B}_s(t)) \rightarrow (f, f)$  with the number  $N_{--}$  of decays to  $(\bar{f}, \bar{f})$ .

$$\text{Then } a_{fs}^S = \frac{N_{++} - N_{--}}{N_{++} + N_{--}}.$$

At the **Tevatron** all  $b$ -flavoured hadrons are produced. Still only those events contribute to  $(N_{++} - N_{--})/(N_{++} + N_{--})$ , in which one of the  $b$  hadronises as a  $B_d$  or  $B_s$  and undergoes mixing.

## New physics

$M_{12}^S$  is highly sensitive to new physics, unlike the tree-level decay  $b \rightarrow c\bar{c}s$  responsible for  $B_s \rightarrow J/\psi\phi$  and  $\Gamma_{12}^S$ .

It is plausible to consider a generic scenario, in which the  $M_{12}$  elements in  $B_s - \bar{B}_s$ ,  $B_d - \bar{B}_d$ , and  $K - \bar{K}$  mixing are affected by new-physics, while all other quantities entering the global fit to the UT are as in the Standard-Model.

Recall: In the Standard Model

$$\phi_s = 0.22^\circ \pm 0.06^\circ \quad \text{and} \quad \phi_d = -4.3^\circ \pm 1.4^\circ.$$

A new-physics contribution to  $\arg M_{12}^q$  may enhance

$$|a_{fs}^q| \propto \sin \phi_q$$

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**But:** Precise data on CP violation in  $B_d \rightarrow J/\psi K_S$  and  $B_s \rightarrow J/\psi \phi$  preclude large NP contributions to  $\arg \phi_d$  and  $\arg \phi_s$ .

# New physics

Trouble maker:

$$\begin{aligned} A_{\text{SL}} &= (0.532 \pm 0.039) a_{\text{fs}}^d + (0.468 \pm 0.039) a_{\text{fs}}^s \\ &= (-7.87 \pm 1.72 \pm 0.93) \cdot 10^{-3} \quad \text{DØ 2011} \end{aligned}$$

This is  $3.9\sigma$  away from  $a_{\text{fs}}^{\text{SM}} = (-0.24 \pm 0.03) \cdot 10^{-3}$ .

A. Lenz, UN 2006,2011

Global analysis of  $B_s - \bar{B}_s$  mixing and  $B_d - \bar{B}_d$  mixing with  
A. Lenz and the CKMfitter Group (J. Charles,  
S. Descotes-Genon, A. Jantsch, C. Kaufhold, H. Lacker,  
S. Monteil, V. Niess) [arXiv:1008.1593](https://arxiv.org/abs/1008.1593), 1203.0238

**Rfit method:** No statistical meaning is assigned to systematic errors and theoretical uncertainties.

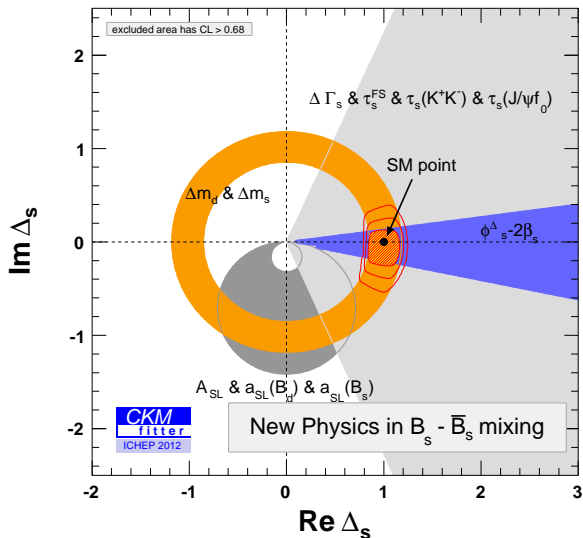
We have performed a simultaneous fit to the Wolfenstein parameters and to the new physics parameters  $\Delta_s$  and  $\Delta_d$ ,

$$\Delta_q \equiv \frac{M_{12}^q}{M_{12}^{q,SM}}, \quad \Delta_q \equiv |\Delta_q| e^{i\phi_q^\Delta},$$

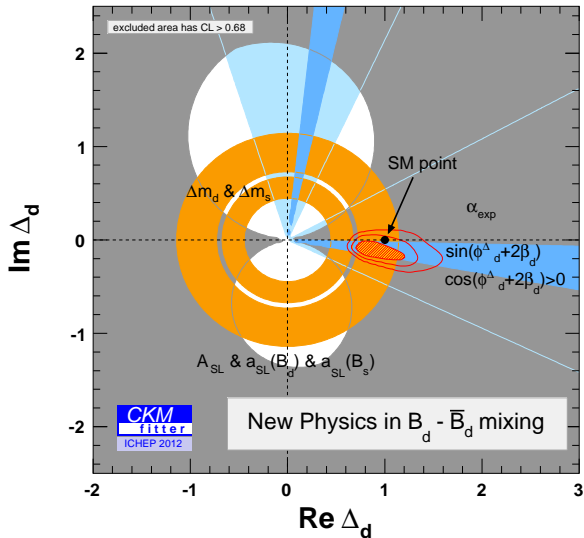
and further permitted NP in  $K - \bar{K}$  mixing as well.



# CKMfitter September 2012 update of 1203.0238:



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$A_{\text{SL}}$  and WA for  $B(B \rightarrow \tau\nu)$  prefer small  $\phi_d^\Delta < 0$ .

Pull value for  $A_{SL}$ :  $3.3\sigma$

⇒ Scenario with NP in  $M_{12}^q$  only cannot accommodate the  $D\bar{D}$  measurement of  $A_{SL}$ .

The Standard Model point  $\Delta_s = \Delta_d = 1$  is disfavoured by  $1\sigma$ , down from the 2010 value of  $3.6\sigma$ .

# Supersymmetry

The **MSSM** has many new sources of flavour violation, all in the **supersymmetry-breaking sector**.

No problem to get big effects in  **$B_s - \bar{B}_s$  mixing**, but rather to suppress the big effects elsewhere.

## Squark mass matrix

Diagonalise the Yukawa matrices  $Y_{jk}^u$  and  $Y_{jk}^d$   
 $\Rightarrow$  quark mass matrices are diagonal, **super-CKM basis**

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E.g. Down-squark mass matrix:

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## Squark mass matrix

Diagonalise the Yukawa matrices  $Y_{jk}^u$  and  $Y_{jk}^d$

⇒ quark mass matrices are diagonal,

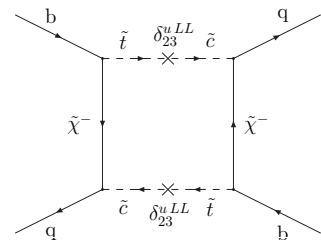
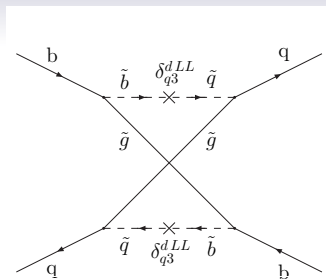
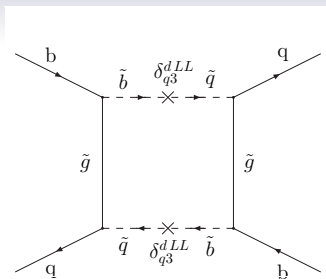
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Not diagonal!

⇒ new FCNC transitions.





Model-independent analyses constrain

$$\delta_{ij}^{qXY} = \frac{\Delta_{ij}^{\tilde{q}XY}}{\frac{1}{6} \sum_s \left[ M_{\tilde{q}}^2 \right]_{ss}} \quad \text{with } XY = LL, LR, RR \text{ and } q = u, d$$

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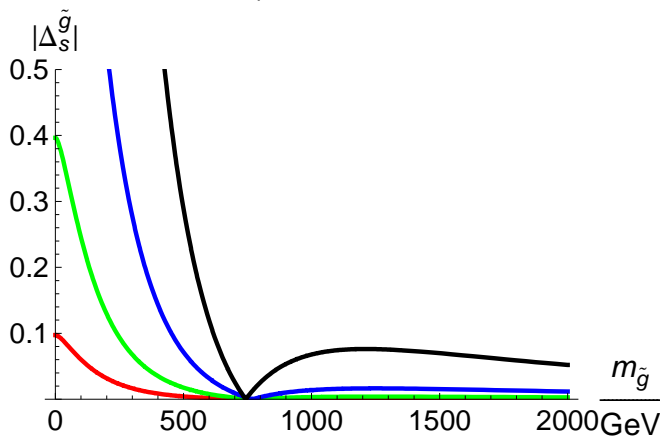
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Remarks:

- For  $M_{\tilde{g}} \gtrsim 1.5M_{\tilde{q}}$  the gluino contribution is small for  $AB = LL, RR$ , so that chargino/neutralino contributions are important.
- To derive meaningful bounds on  $\delta_{ij}^{qLR}$  chirally enhanced higher-order contributions must be taken into account.

A. Crivellin, UN, 2009

$$m_{\text{sq}} = 500\text{GeV}$$



The gluino contribution vanishes for  $M_{\tilde{g}} \approx 1.5M_{\tilde{q}}$ , independently of the size of  $\Delta_{23}^{dLL}$  (curves correspond to 4 different values).

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Could they instead be helpful to understand the **SM flavour puzzle**?

We observe: Flavour violation is **small** in the quark sector, because the Yukawa matrices possess an approximate  **$SU(2) \times SU(2) \times SU(3)$**  flavour symmetry. In the exact symmetry limit only the top quark has mass and  **$V = 1$** .

**What causes the small deviations leading to  $V \neq 1$ ?**

## Flavour violation from trilinear terms

Origin of the **SUSY flavour problem**: Misalignment of **squark mass matrices** with **Yukawa matrices**.

Unorthodox solution: Set  $Y_{ij}^u$  and  $Y_{ij}^d$  to zero, except for  $(i, j) = (3, 3)$ .

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Radiative flavour violation:

S. Weinberg 1972

flavour from soft **SUSY terms**:

W. Buchmüller, D. Wyler	1983,
T. Banks	1988,
F. Borzumati, G.R. Farrar,	
N. Polonsky, S.D. Thomas	1998, 1999
J. Ferrandis, N. Haba	2004

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$$M_{ij}^{\tilde{d}LR} = A_{ij}^d v_d + \delta_{i3}\delta_{j3} Y_b \mu v_u, \quad M_{ij}^{\tilde{u}LR} = A_{ij}^u v_u + \delta_{i3}\delta_{j3} Y_t \mu v_d.$$

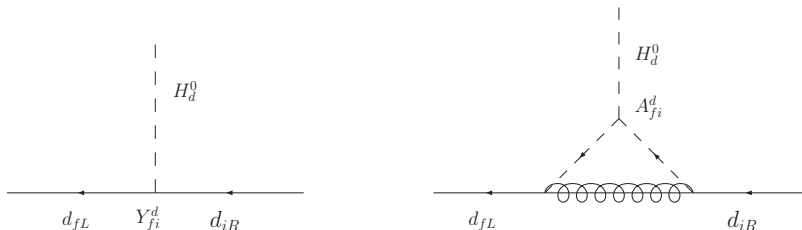
Andreas Crivellin, UN, PRD 79 (2009) 035018

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## Electric dipole moments

Darkest corner of the **MSSM**: The phases of  $A_{ij}^q$  and  $\mu$  generate too large **EDMs**. If light quark masses are generated radiatively through **soft SUSY-breaking terms**, this “**supersymmetric CP problem**” is substantially alleviated:

- The phases of  $A_{ij}^q$  and  $m_q$  are aligned, i.e. zero.
- The phase of  $\mu$  (essentially) does not enter the **EDMs** at the one-loop level, because the Yukawa couplings of the first two generations are zero.

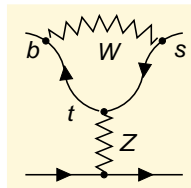
Borzumati, Farrar, Polonsky, Thomas 1998,1999

$$B_{d,s} \rightarrow \mu^+ \mu^-$$

LHCb 2013:

$$B(B_s \rightarrow \mu^+ \mu^-) = \left( 3.2_{-1.2}^{+1.5} \right) \cdot 10^{-9}$$

$$B(B_d \rightarrow \mu^+ \mu^-) < 9.4 \cdot 10^{-10} \quad @95\% \text{ CL}$$



Theory:

$$B(B_s \rightarrow \mu^+ \mu^-) = (3.52 \pm 0.08) \cdot 10^{-9} \times$$

$$\frac{\tau_{B_s}}{1.519 \text{ ps}} \left[ \frac{|V_{ts}|}{0.040} \right]^2 \left[ \frac{f_{B_s}}{230 \text{ MeV}} \right]^2$$

Lattice QCD results of ETMC, HPQCD and FNAL/MILC  
(1107.1441, 1112.3051, 1202.4914). Personal combination:

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$B_s \rightarrow f$  decays involve **two** exponentials:

$$\Gamma(\bar{B}_s \rightarrow f, t) = A_f e^{-\Gamma_L t} + B_f e^{-\Gamma_H t},$$

since  $B_s - \bar{B}_s$  **mixing** leads to a sizable decay-width difference  
 $\Gamma_L - \Gamma_H = \Delta\Gamma_s = (0.078 \pm 0.022) \text{ ps}^{-1}$ .

$\Rightarrow$  correct  $B(B_s \rightarrow \mu^+ \mu^-)$  for this De Bruyn et al, 1204.1737



# Supersymmetry

COSMOS Magazine 14 Nov 2012:

*Rare particle decay delivers blow to supersymmetry*  
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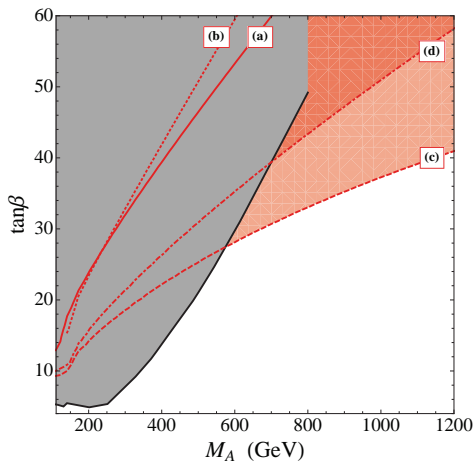
$M_A$ : mass of the pseudoscalar Higgs boson  $A^0$

$\tan \beta$ : ratio of the two Higgs-vevs of the **MSSM**:

$$B(B_s \rightarrow \mu^+ \mu^-) \propto \frac{\tan^6 \beta}{M_A^4}$$

$\Rightarrow$   $B_s \rightarrow \mu^+ \mu^-$  places lower bounds on  $M_A$  for large values of  $\tan \beta$ , similarly to searches for  $A^0 \rightarrow \tau^+ \tau^-$  at **ATLAS** and **CMS**.

# MSSM



$$M_3 = 3M_2 = 6M_1 = 1.5 \text{ TeV}$$

$$m_{\tilde{t}} = 2 \text{ TeV}$$

$$A_b = A_t = A_{\tau},$$

so that

$$m_h = 125 \text{ GeV}.$$

a)  $\mu = 1 \text{ TeV}, A_t > 0,$

b)  $\mu = 4 \text{ TeV}, A_t > 0,$

c)  $\mu = -1.5 \text{ TeV}, A_t > 0,$

d)  $\mu = 1 \text{ TeV}, A_t < 0,$

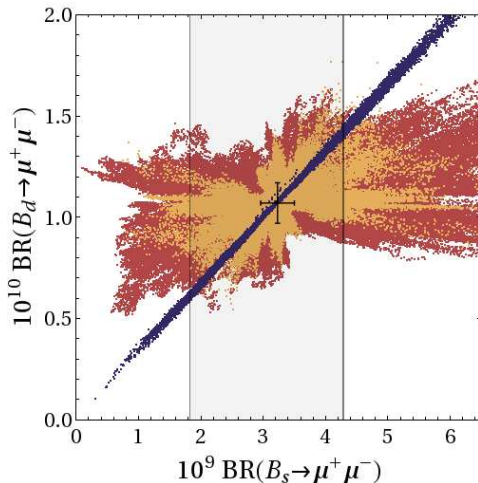
Excluded areas:

Gray:  $A^0, H^0 \rightarrow \tau^+ \tau^-$

Red:  $B_s \rightarrow \mu^+ \mu^-$

Altmannshofer et al., 1211.1976

# Partial Compositeness



Models with non-elementary Higgs and additional non-elementary fermions  
 $\Rightarrow$  FCNC-Z-couplings

Red, brown, blue:  
 Three models; the blue model has a  $U(2)^3$ -flavour symmetry.

Straub, 1302.4651

$$B_s \rightarrow \phi\pi^0, \phi\rho^0$$

**QCD penguins** do not contribute to  $B_s \rightarrow \phi\pi^0$  and  $B_s \rightarrow \phi\rho^0$ :

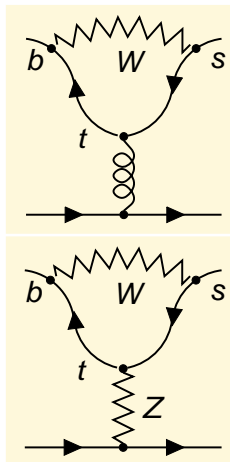
**Strong isospin:**  $I(B_s) = I(\phi) = 0$

and  $I(\pi^0) = I(\rho^0) = 1$

$b \rightarrow s$  QCD penguin diagrams are  $\Delta I = 0$  transitions.

Tree diagrams are suppressed by  $R_u\lambda^2$ .

$B_s \rightarrow \phi\pi^0$  and  $B_s \rightarrow \phi\rho^0$  therefore probe **Z penguins**.



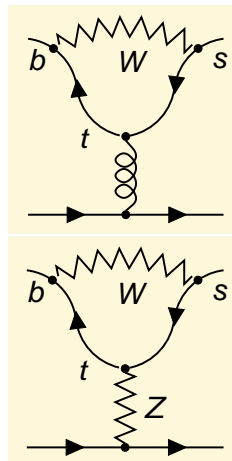
$$B_s \rightarrow \phi\pi^0, \phi\rho^0$$

New physics can enhance the branching fractions by a factor of **5** over the SM values:

$$B(B_s \rightarrow \phi\pi^0) = \left(1.6_{-0.3}^{+1.1}\right) \cdot 10^{-7},$$

$$B(B_s \rightarrow \phi\rho^0) = \left(4.4_{-0.7}^{+2.7}\right) \cdot 10^{-7}$$

Hofer et al., 1011.6319, 1212.4785



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- **New physics** of order **20%** in the  $B_s - \bar{B}_s$  and  $B_d - \bar{B}_d$  mixing amplitudes is still allowed.
- **Supersymmetry** with non-minimal flavour violation gains attractivity as it comes with (multi-)TeV squark masses. The deviation of  $V$  from the unit matrix may come from supersymmetric loops (**Radiative Flavour Violation**).

# Summary

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- Suggestion for **LHCb** and **Belle-II**: Study  $B_s \rightarrow \phi \pi^0, \phi \rho^0$  to look for isospin-violating new physics (electroweak penguins).

## Penguins: Wake-up call for new physics?

