A common origin of R_K and neutrino masses

H. $P\ddot{a}s^1$ and E. Schumacher¹

¹Fakultät für Physik, Technische Universität Dortmund, 44221 Dortmund, Germany

The same leptoquarks that explain the recently observed anomaly in R_K can generate naturally small Majorana neutrino masses at one-loop level through mixing with the standard model Higgs. This is particularly relevant in models with at least two leptoquarks contributing to $b \rightarrow sll$ transitions.

I. INTRODUCTION

Recently, the LHCb collaboration announced a 2.6 σ deviation from the Standard Model (SM) in the observable R_K [1], which measures the ratio of $B \to K \mu \mu$ over $B \to K e e$ decays, implying a breaking of lepton universality. The reported result amounts to [2]

$$R_K^{\rm LHCb} = 0.745 \pm {}^{0.090}_{0.074} \pm 0.036 \,, \tag{1}$$

as opposed to the SM prediction $R_K^{\text{SM}} = 1.0003 \pm 0.0001$. The measurement of R_K has caused some excitement in the field, including the revival of TeV scale leptoquarks which explain the anomaly with modified $b \rightarrow sll$ transitions [3–9] (cf. Fig. 1 (a)). Conveniently, constraints from *B*-physics force the leptoquarks into testable range, $1 \text{ TeV} \leq M \leq 50 \text{ TeV}$, with an upper bound on their mass dictated by the $B_s - \overline{B}_s$ mixing phase [3]. In this letter we explore the possibility of such TaV

In this letter we explore the possibility of such TeV scale leptoquarks being responsible for low scale neutrino masses, thereby connecting two currently unresolved phenomena of the SM. The idea of leptoquarks as origin of neutrino masses has been considered before [10–17], but previous attempts expected lighter leptoquarks with small couplings or involve two loops, where one of the leptoquarks cannot be linked to the *B* anomalies as it couples only to up type quarks. Explaining R_K , on the other hand, requires sizable couplings to down type quarks and charged leptons, posing a challenge to combine the seemingly distinct observables.

The paper is structured as follows: In Sec. II we review how R_K is modified by two scalar leptoquarks, identified by their $SU(3) \otimes SU(2) \otimes U(1)_Y$ quantum numbers, respectively, as $(3,2)_{1/6}$ and $(3,3)_{-1/3}$, simultaneously contributing to $b \rightarrow sll$. In Sec. III we then estimate the effect of these leptoquarks on neutrino masses and explore the possibilities to accommodate the small neutrino mass scale. Some of these scenarios are elaborated in Sec. IV with a Froggatt–Nielsen (FN) inspired flavor model and a numerical example. We conclude the discussion in Sec. V.

II. EXPLAINING R_K WITH SCALAR LEPTOQUARKS

As shown in [3], the deviation in R_K is best explained by (axial-)vector operators that, unlike scalar operators, affect $B \to Kll$ but leave $B_s \to ll$ unchanged. Due to Fierz rearrangement [18], the desired vector operators are induced by scalar leptoquarks with electric charge 2/3or -4/3 that couple to down type quarks and charged leptons. In light of neutrino mass generation we will focus only on the 2/3 leptoquarks $(3, 2)_{1/6}$ and $(3, 3)_{-1/3}$, whose mixing can induce a Majorana mass as depicted in Fig. 1 (b). Their corresponding quantum numbers are listed in Tab. I.

Leptoquark	$(SU(3), SU(2))_{U(1)_Y}$	$Q_{ m EM}$	B	L
$S_{1/2}$	$(3,2)_{1/6}$	(-1/3, 2/3)	1/3	-1
S_1	$(3,3)_{-1/3}$	(2/3, -1/3, -4/3)	1/3	1

Table I: Quantum numbers of the leptoquarks with electric charge 2/3 that are typically used to explain the R_K anomaly.



Figure 1: (a) $\overline{b} \to \overline{s}l^+l^-$ transition mediated by scalar leptoquarks. \tilde{S}_i (i = 1, 2) denotes the leptoquark mass eigenstates defined in Eq. (9). (b) One-loop level Majorana neutrino mass induced by Higgs-leptoquark mixing.

To analyze effects on R_K it is convenient to work with a flavor changing $|\Delta B| = |\Delta S| = 1$ effective Hamiltonian, integrating out the heavy degrees of freedom

$$\mathcal{H}_{\text{eff}} = -4 \frac{G_F}{\sqrt{2}} V_{tb} V_{ts}^* \frac{\alpha_e}{4\pi} \sum_i C_i \mathcal{O}_i \,, \qquad (2)$$

where G_F is the Fermi constant, α_e the electromagnetic coupling, V_{ud} Cabibbo–Kobayashi–Maskawa (CKM) matrix elements, and the C_i are the Wilson coefficients of their operators \mathcal{O}_i .

By adding S_1 and $S_{1/2}$ to the SM field content we can write down leptoquark couplings of the form

$$\mathcal{L}_{LQ} = \lambda_{S_{1/2}}^R \overline{d} P_L L S_{1/2} + \lambda_{S_1}^L \overline{Q^c} P_L i \tau_2 S_1^{\dagger} L \,, \qquad (3)$$

which after Fierz rearrangement generate the effective (axial-)vector operators

$$\mathcal{O}_9 = \left[\bar{s} \gamma^\mu P_L b \right] \left[\bar{l} \gamma_\mu P_L l \right], \tag{4}$$

$$\mathcal{O}_{10} = \left[\overline{s}\gamma^{\mu}P_Lb\right] \left[\overline{l}\gamma_{\mu}\gamma_5 P_Ll\right],\tag{5}$$

and their chirality flipped counterparts $\mathcal{O}'_{9,10}$ by interchanging the chiral projectors P_L and P_R . A comparison with Eq. (2) yields $(l = e, \mu)$

$$S_{1}: \qquad C_{9}^{l} = -C_{10}^{l} = \frac{\pi}{\alpha_{e}} \frac{\left(\lambda_{bl}^{L}\right)^{*} \lambda_{bl}^{l}}{V_{tb} V_{ts}^{*}} \frac{\sqrt{2}}{2M_{S_{1}}^{2}G_{F}}, \\ S_{1/2}: \qquad C_{10}^{\prime l} = -C_{9}^{\prime l} = \frac{\pi}{\alpha_{e}} \frac{\lambda_{sl}^{R} \left(\lambda_{bl}^{R}\right)^{*}}{V_{tb} V_{ts}^{*}} \frac{\sqrt{2}}{4M_{S_{1/2}}^{2}G_{F}}.$$
(6)

As shown in [19, 20], two leptoquarks sharing the same electric charge Q will eventually mix through a coupling with the SM Higgs via

$$V(S_i, H) = -(M_{S_i}^2 - g_{S_i} H^{\dagger} H) S_i^{\dagger} S_i + h_S H i \tau_2 S_1 S_{1/2}^{\dagger} + \text{h.c.} \quad (i = 1, 1/2).$$
⁽⁷⁾

The last term in particular accounts for the mixing and hence induces neutrino masses if $h_S \neq 0$. On the other hand, if h_S is large, also the absolute neutrino mass scale generated by bottom- and leptoquark loops can be too large, even to the extent of ruling out leptoquarks as an explanation for R_K .

The resulting leptoquark mass eigenstates are a mixture of flavor states with $Q_{\rm EM}$ charges 2/3, -1/3, and a distinct -4/3 state

$$M_{2/3}^{2} = \begin{pmatrix} M_{S_{1}}^{2} - g_{S_{1}}v_{\rm SM}^{2} & h_{S}v_{\rm SM} \\ h_{S}v_{\rm SM} & M_{S_{1/2}}^{2} - g_{S_{1/2}}v_{\rm SM}^{2} \end{pmatrix}, \quad (8)$$
$$= M_{-1/3}^{2}, \qquad M_{-4/3}^{2} = M_{S_{1}}^{2} - g_{S_{1}}v_{\rm SM}^{2}.$$

The rotation angle α diagonalizing the $M_{2/3}^2$ matrix is determined by

$$\begin{pmatrix} \tilde{S}_1\\ \tilde{S}_2 \end{pmatrix} = R \begin{pmatrix} S_1\\ S_{1/2} \end{pmatrix}_{2/3}, \ R = \begin{pmatrix} \cos\alpha & -\sin\alpha\\ \sin\alpha & \cos\alpha \end{pmatrix}$$
(9)

and
$$\tan 2\alpha = \frac{2h_S v_{\rm SM}}{M_{S_{1/2}}^2 - M_{S_1}^2},$$
 (10)

where \tilde{S}_i denotes the leptoquark mass eigenstates. The mixing shifts the absolute leptoquark masses and induces additional (pseudo-)scalar and tensor operators potentially affecting $\operatorname{Br}(B_s \to ll)$ and $\operatorname{Br}(B \to Kll)$. For $M_{S_{1/2}}^2 \gg M_{S_1}^2$ their Wilson coefficients are:

$$C_S = C_P = -\frac{\pi}{4\alpha_e \sqrt{2}G_F V_{tb} V_{ts}^*} \frac{h_S v_{\rm SM} \lambda_{sl}^R \left(\lambda_{bl}^L\right)^*}{M_{S_{1/2}}^2 M_{S_1}^2}, \quad (11)$$

$$-C'_{P} = C'_{S} = -\frac{\pi}{4\alpha_{e}\sqrt{2}G_{F}V_{tb}V_{ts}^{*}} \frac{h_{S}v_{\rm SM}\lambda_{sl}^{L}\left(\lambda_{bl}^{R}\right)^{*}}{M_{S_{1/2}}^{2}M_{S_{1}}^{2}}, \quad (12)$$

$$C_T = (C_S + C'_S)/4, \qquad C_{T_5} = (C_S - C'_S)/4.$$
 (13)

The Wilson coefficients $C_{S,P,T}$ are suppressed by a factor $h_S v_{\rm SM}/|\Delta M_S^2|$ and therefore less relevant at low energies, but should be taken into account in the case of degenerate leptoquark masses. In the limit of small mixing, also the shift of the leptoquark masses becomes negligible for determining R_K .

As shown, e.g., in [13], the dimensional parameter h_S cannot be arbitrarily large, but is in fact limited by the condition of positive leptoquark masses and the perturbativity of the theory to

$$h_S \le M_{S_1} M_{S_{1/2}} / v_{\rm SM} \,.$$
 (14)

Consequently, taking into account only vector operators, the LHCb R_K measurement implies (1σ) [3]

$$0.7 \lesssim \operatorname{Re}\left[X^e - X^{\mu}\right] \lesssim 1.5, \quad \text{with} \tag{15}$$

$$X^{l} = C_{9}^{l} + C_{9}^{\prime l} - (C_{10}^{l} + C_{10}^{\prime l}).$$
(16)

Hence, with the combination of S_1 and $S_{1/2}$ we obtain

$$X^{e} - X^{\mu} = \frac{\pi}{\underbrace{\sqrt{2\alpha_{e}G_{F}V_{tb}V_{ts}^{*}}}_{\approx (25 \text{ TeV})^{2}}} \times \left[\frac{2}{M_{S_{1}}^{2}} \left(\left(\lambda_{se}^{L}\right)^{*} \lambda_{be}^{L} - \left(\lambda_{s\mu}^{L}\right)^{*} \lambda_{b\mu}^{L}\right) - \frac{1}{M_{S_{1/2}}^{2}} \left(\lambda_{se}^{R} \left(\lambda_{be}^{R}\right)^{*} - \lambda_{s\mu}^{R} \left(\lambda_{b\mu}^{R}\right)^{*}\right)\right].$$

$$(17)$$

By considering all constraints on leptoquark couplings to down type quarks and charged leptons, the authors of [5] come up with a "data-driven" pattern that complies with all current bounds and can produce a visible signal in $b \rightarrow sll$ processes:

$$\lambda_{ql} \sim \lambda_0 \begin{pmatrix} \rho_d \kappa & \rho_d & \rho_d \\ \rho \kappa & \rho & \rho \\ \kappa & 1 & 1 \end{pmatrix}$$
(18)

with the allowed parameter ranges (assuming $\lambda_0 \approx 1$)

$$\rho_d \lesssim 0.02, \quad \kappa \lesssim 0.5, \quad 10^{-4} \lesssim \rho \lesssim 1, \\
\frac{\kappa}{\rho} \lesssim 0.5, \quad \frac{\rho_d}{\rho} \lesssim 1.6.$$
(19)

The overall scale λ_0 is fixed by Eqs. (15-17) and the leptoquark masses M_{S_i} , where $\lambda_0 \simeq \mathcal{O}(10^{-2})$ corresponds to light leptoquarks of a few TeV, while $\lambda_0 \approx 1$ implies heavy leptoquarks. Assuming for simplicity that both, λ^L and λ^R follow this pattern - many other possibilities are plausible, too - Eq. (17) simplifies to

$$X^{e} - X^{\mu} = \frac{\pi}{\sqrt{2}\alpha_{e}G_{F}V_{tb}V_{ts}^{*}} \times \lambda_{0}^{2}\rho(\kappa^{2}-1)\left(\frac{2}{M_{S_{1}}^{2}} - \frac{1}{M_{S_{1/2}}^{2}}\right).$$
(20)

This can be matched perfectly well to fit Eq. (15) for a suitable choice of couplings and leptoquark masses. If, e.g., the leptoquark masses are strongly hierarchical with $M_{S_{1/2}} \gg M_{S_1}$, the mixing between them is minimized, thereby rendering additional (pseudo-)scalar and tensor operators negligible. R_K is then almost exclusively determined by S_1 .

III. GENERATING NEUTRINO MASSES

If at least two leptoquarks with couplings to down type quarks are present, a Majorana neutrino mass term as depicted in Fig. 1 (b) can be obtained at one-loop level. As pointed out in [19, 21], the leptoquark mixing with the Higgs will then generate a nonzero Majorana neutrino mass depending on the leptoquark couplings $\lambda^{L,R}$ and the mixing among the scalars. This is particularly interesting in models where a combination of two leptoquarks is considered to explain the measured R_K ratio. Assuming that the scalar leptoquarks S_1 and $S_{1/2}$ are used simultaneously to reproduce R_K , the contributions to the neutrino mass matrix read

$$M_{ii'}^{\nu} = \frac{3}{16\pi^2} \sum_{j=1,2} \sum_{k=d,s,b} m_k B_0(0, m_k^2, M_j^2) R_{j1} R_{j2} \\ \times \left[\left(\lambda_{S_{1/2}}^R \right)_{ki} \left(\lambda_{S_1}^L \right)_{ki'} + \left(\lambda_{S_{1/2}}^R \right)_{ki'} \left(\lambda_{S_1}^L \right)_{ki} \right]$$
(21)

with M_j being the mass of leptoquark j, and R_{jl} the elements of the mixing matrix diagonalizing the leptoquark mass matrix. Following [12], we need consider only the finite part of the Passarino-Veltman function B_0 since the divergences cancel out due to $-R_{11}R_{12} =$ $R_{21}R_{22} = \cos\alpha\sin\alpha$. In the relevant leptoquark mass range $1 \text{ TeV} \lesssim M_j \lesssim 50 \text{ TeV} B_0$ is limited to

$$B_0(0, m_k^2, M_j^2) = \frac{m_k^2 \log(m_k^2) - M_j^2 \log(M_j^2)}{m_k^2 - M_j^2} \lesssim 8.$$
(22)

Starting from the data-driven pattern shown in Eq. (18), we can estimate the absolute neutrino mass scale generated by the leptoquark couplings. The pattern is strongly hierarchical in terms of quark families since the light generations are tightly constrained by kaon phenomenology. Therefore, it is clear that the bottom quark will be the dominant contribution assuming that λ^L and λ^R have a similar structure. The latter does not have to be the case, and other plausible scenarios will be discussed in the remainder of this paper.

Considering only the dominant bottom quark loop we obtain

$$M_{ii'}^{\nu} \approx \underbrace{\frac{3}{16\pi^2} m_b \cos \alpha \sin \alpha \Delta B_0}_{\equiv a} \times \left[\left(\lambda_{S_{1/2}}^R \right)_{bi} \left(\lambda_{S_1}^L \right)_{bi'} + \left(\lambda_{S_{1/2}}^R \right)_{bi'} \left(\lambda_{S_1}^L \right)_{bi} \right], \quad (23)$$
$$\Delta B_0 \equiv \left(B_0(0, m_b^2, M_{S_{-1}}^2) - B_0(0, m_b^2, M_{S_{-1}}^2) \right), \quad (24)$$

and after inserting Eq. (18)

$$M_{ii'}^{\nu} \propto a \cdot \lambda_0^2 \begin{pmatrix} \kappa^2 & \kappa & \kappa \\ \kappa & 1 & 1 \\ \kappa & 1 & 1 \end{pmatrix}.$$
 (25)

Judging from Eq. (25), a small breaking of the $\mu - \tau$ symmetry of the original pattern is required to obtain

two nonzero neutrino mass eigenvalues, and - if leptoquarks are the sole origin of lepton mixing - to reproduce the Pontecorvo–Maki–Nakagawa–Sakata (PMNS) mixing matrix observed in neutrino oscillations.

Ignoring this problem for the moment, moreover the rough estimate using the data-driven pattern yields an Eigenvalue of order 1 times the bottom quark mass, which lies in the GeV range. Taking into account that λ_0 can be as small as $\mathcal{O}(10^{-2})$, we can suppress the neutrino mass scale by another four orders of magnitude. It then comes down to explaining the smallness of the parameter a to reduce the mass scale by another five orders of magnitude. We can either attribute this to a tiny mixing of the leptoquarks with the SM Higgs, or a degeneracy among the leptoquark masses, for which the difference of Passarino-Veltman functions

$$\Delta B_0 \approx \log \left[\frac{M_{S_{1/2}}^2}{M_{S_1}^2} \right] \qquad \left(m_b^2 \ll M_{S_i}^2 \right) \qquad (26)$$

approaches zero. In this limit $\tan 2\alpha$ (cf. Eq. (10)) goes to infinity leading to maximal mixing among the leptoquarks. As a result $\cos \alpha \sin \alpha = 0.5$ will take its maximal but finite value. As stated earlier, however, with $|\Delta M_S^2|$ becoming small, additional scalar, pseudoscalar and tensor $b \rightarrow sll$ inducing operators become relevant that have to be taken into account in the calculation of R_K .

Interestingly, the mixing is minimized in the opposite scenario with strongly hierarchical leptoquark masses $M_{S_{1/2}} \gg M_{S_1}$. The extent is limited by the upper bound on the leptoquark mass $M_S \leq 50 \text{ TeV}$ to comply with the phase measured in $B_s - \overline{B}_s$ mixing. $\Delta B_0 \approx 7.8$ then takes its maximal value, while $\tan 2\alpha$ is suppressed by a factor of up to 2500. Last but not least, one can also argue with the smallness of $h_S v_{\rm SM}$ compared to M_S^2 to reduce the mixing by a few orders of magnitude.

In the following we now concentrate on the alternative possibility to suppress the absolute neutrino mass by adopting different structures for the coupling matrices λ^L and λ^R .

IV. ALTERNATIVE SETUPS

As stated above, λ^L and λ^R need not necessarily have the same pattern. Distinct couplings together with a slight breaking of the $\mu - \tau$ symmetry are actually favored by neutrino oscillation experiments requiring two nonzero neutrino mass eigenstates and large mixing angles. The latter dictate certain relations between the leptoquark couplings to explain the hierarchy of the mixing angles $\theta_{13} < \theta_{12} < \theta_{23}$, assuming that leptoquarks alone are responsible for leptonic mixing [12]:

$$\lambda_{qe}^L \lambda_{q\tau}^R + \lambda_{q\tau}^L \lambda_{qe}^R \ll \lambda_{q\mu}^L \lambda_{q\tau}^R + \lambda_{q\tau}^L \lambda_{q\mu}^R , \qquad (27)$$

$$\lambda_{q\mu}^L \lambda_{q\tau}^R + \lambda_{q\tau}^L \lambda_{q\mu}^R \gtrsim \lambda_{q\mu}^L \lambda_{q\mu}^R - \lambda_{q\tau}^L \lambda_{q\tau}^R \,. \tag{28}$$

Furthermore, the couplings in λ^L and λ^R neither have to be the same order of magnitude. One or the other could have significantly smaller couplings to the SM fermions, effectively rendering the corresponding leptoquark redundant for the explanation of the R_K anomaly, while at the same time reducing the absolute neutrino mass scale considerably.

In the case one does not demand that both leptoquarks contribute to R_K , a third possibility opens up that enforces one of the leptoquarks to couple exclusively to lighter quark generations, e.g.,

$$\lambda \simeq \begin{pmatrix} \eta_{de} & \eta_{d\mu} & \eta_{d\tau} \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad \lambda \simeq \begin{pmatrix} 0 & 0 & 0 \\ \eta_{se} & \eta_{s\mu} & \eta_{s\tau} \\ 0 & 0 & 0 \end{pmatrix}.$$
(29)

The η_{sl} and η_{dl} entries are constrained to be small by experimental data and will, therefore, lower the neutrino mass scale. Moreover, the mass scale is further suppressed by the light quark masses $m_s, m_d \ll m_b$ now dominating the loop. Consequently, the requirements for the leptoquark-Higgs mixing are much more relaxed as opposed to the previous scenarios.

Finally, if one leptoquark couples solely to up type quarks, neutrino masses can be generated only at two-loop level [13]. This mechanism sufficiently suppresses the neutrino mass scale, but is independent of the R_K anomaly.

A quick numerical example will demonstrate that some reasonable choice of parameter values can indeed combine the R_K measurement with the light neutrino mass scale at one-loop level. Starting from the patterns

$$\lambda_{S_1}^L \sim \lambda_0 \begin{pmatrix} \rho_d \kappa & \rho_d & \rho_d \\ \rho \kappa & \rho & \rho \\ \kappa & 1 & 1 \end{pmatrix}, \quad \lambda_{S_{1/2}}^R \sim \begin{pmatrix} \eta_{de} & \eta_{d\mu} & \eta_{d\tau} \\ \eta_{se} & \eta_{s\mu} & \eta_{s\tau} \\ \eta_{be} & \eta_{b\mu} & \eta_{b\tau} \end{pmatrix},$$
(30)

the neutrino mass matrix $M_{ii'}^{\nu}$ is approximately given by

$$M_{ii'}^{\nu} \approx a \begin{pmatrix} 2\eta_{be}\kappa & \kappa\eta_{b\mu} + \eta_{be} & \kappa\eta_{b\tau} + \eta_{be} \\ \kappa\eta_{b\mu} + \eta_{be} & 2\eta_{b\mu} & \eta_{b\tau} + \eta_{b\mu} \\ \kappa\eta_{b\tau} + \eta_{be} & \eta_{b\tau} + \eta_{b\mu} & 2\eta_{b\tau} \end{pmatrix}$$
(31)

with
$$a = \frac{3\lambda_0}{16\pi^2} m_b \cos\alpha \sin\alpha \log\left[\frac{M_{S_{1/2}}^2}{M_{S_1}^2}\right].$$
 (32)

In the limit $M_{S_1} \approx 1 \text{ TeV} \ll M_{S_{1/2}} \approx 50 \text{ TeV}, S_1$ will be the dominant contribution to R_K . Choosing λ_0, κ and $\rho \sim \mathcal{O}(\epsilon)$ with $\epsilon \approx 0.2$ will then comply with flavor physics precision measurements and yield $0.7 \lesssim \text{Re} [X^e - X^{\mu}] \lesssim 1.5$ implied by R_K at 1σ .

By using a specific Ansatz for the leptoquark couplings, e.g., $\eta_{ql} \simeq m_q m_l / v_{\rm SM}^2$, we are able to pin down the neutrino masses. Such hierarchical patterns are motivated by and easily obtained in Froggatt–Nielsen type flavor models, where the fermion mass hierarchies are explained through an additional $U(1)_{\rm FN}$ family symmetry [12, 22, 23].

As an example, let us consider the fermion and leptoquark charges listed in Tab. II, which can reproduce the SM fermion mass hierarchies ($\epsilon \approx 0.2$)

$$m_u : m_c : m_t \approx \epsilon^8 : \epsilon^4 : 1 ,$$

$$m_d : m_s : m_b \approx \epsilon^7 : \epsilon^5 : \epsilon^3 ,$$

$$m_e : m_u : m_\tau \approx \epsilon^9 : \epsilon^5 : \epsilon^3 ,$$
(33)

as well as the hierarchy of the CKM matrix up to $\mathcal{O}(1)$ coefficients

$$V_{us} \simeq \epsilon$$
, $V_{ub} \simeq \epsilon^3$, $V_{cb} \simeq \epsilon^2$. (34)

	Field		$\overline{Q}_1 \left \overline{Q} \right $	$_{2}\left \overline{Q}_{3}\right $	$d \mid s \mid b$	$u \mid c \mid t$
	Q(U(1	$)_{\rm FN})$	-2 -1	0	9 6 3	10 5 0
F	ield	-	\overline{L}_1		\overline{L}_2	\overline{L}_3
Q(U	$(1)_{\rm FN})$	-Q(I)	$L_3) -$	1 -	$Q(L_3)$	$-Q(L_3)$
F	ield		e		μ	τ
Q(U	$(1)_{\rm FN})$	$Q(L_3)$	(3) + 1	$0 \left Q(1) \right $	$L_3) + 5$	$Q(L_3) + 3$
	Fie	eld	5	\tilde{g}_1	S_1	/2
$Q(U(1)_{\rm FN})$		$Q(L_3)$	(3) - 1	11 - 0	$Q(L_3)$	

Table II: Possible U(1) quantum numbers consistent with the SM fermion mass hierarchies and V_{CKM} to obtain the patterns discussed in Eq. (35).

The resulting leptoquark patterns are the following:

$$\lambda_{S_1}^L \simeq \begin{pmatrix} \epsilon^4 & \epsilon^3 & \epsilon^3 \\ \epsilon^3 & \epsilon^2 & \epsilon^2 \\ \epsilon^2 & \epsilon & \epsilon \end{pmatrix}, \quad \lambda_{S_{1/2}}^R \simeq \begin{pmatrix} \epsilon^3 & \epsilon^2 & \epsilon^2 \\ \epsilon^6 & \epsilon^5 & \epsilon^5 \\ \epsilon^9 & \epsilon^8 & \epsilon^8 \end{pmatrix}, \quad (35)$$

which correspond to $\lambda_0 \approx \epsilon$, $\rho \approx \epsilon, \rho_d \approx \epsilon^2$ and $\kappa \approx \epsilon$. Note that since S_1 couples to Q_i , but $S_{1/2}$ to \overline{d} , one of the leptoquark patterns is inverse hierarchical in terms of quark generations, i.e., coupling strongly to d and weakly to b quarks. Hence, if we accommodate two leptoquarks simultaneously in a FN flavor symmetry, one of the leptoquarks always suppresses the strong couplings of the other, consequently leading to naturally light neutrino masses. Since

$$m_q \lambda_{qi}^R \lambda_{qi'}^L \approx m_b \epsilon^9 \qquad (q = b, s, d)$$
(36)
with $m_s \approx m_b \epsilon^2$ and $m_d \approx m_b \epsilon^4$,

all quark loops contribute equally to Eq. (21), resulting in an additional factor of 3 in our estimate of the neutrino mass scale. Taking $h_S = 1$ TeV, we find

$$m_3^{\nu} \approx 0.30 \,\mathrm{eV}, \qquad m_2^{\nu} \approx 0.01 \,\mathrm{eV}, \qquad m_1^{\nu} = 0, \quad (37)$$

which approximates the expected neutrino mass scale quite well. The structure shown in Eq. (31) also guarantees three nonzero mixing angles allowing to declare leptoquarks the sole origin of neutrino masses and mixings. Obtaining the observed mass squared differences $\Delta m_{\rm atm}^2$ and $\Delta m_{\rm sol}^2$ and the exact mixing angle values, however, would require a precise fit and an explicit model realization, which shall not be discussed here.

With such inverse hierarchical patterns as in Eq. (35) one must keep in mind constraints from low energy experiments, where typically the strongest bound comes from rare kaon decay data [18]

$$|\lambda_{d\mu}\lambda_{s\mu}^*| \lesssim \frac{M_S^2}{(183\,\mathrm{TeV})^2}\,.\tag{38}$$

Further constraints on $\Delta L = 2$ lepton number violating leptoquark couplings also arise from neutrinoless double beta $(0\nu\beta\beta)$ experiments, which can be even more stringent than LHC searches [10, 24]. This is particularly interesting since one of the leptoquarks interacts strongly with the first quark generation. The $0\nu\beta\beta$ decay requires leptoquark couplings to up type quarks that in our framework are only provided by S_1 . Consequent mixing with $S_{1/2}$ then generates the essential operator [10]

$$C\left[\overline{\nu}P_R e^c\right]\left[\overline{u}P_R d\right] \tag{39}$$

with

$$C = \lambda_{S_1}^L \lambda_{S_{1/2}}^R \left(\frac{R_{11}R_{12}}{M_{S_1}^2} + \frac{R_{21}R_{22}}{M_{S_{1/2}}^2} \right), \qquad (40)$$

which is due to our limited leptoquark content the only contribution to the $0\nu\beta\beta$ decay. Hence, the expression for the half-life simplifies to

$$T_{1/2}^{0\nu\beta\beta} = \left(|\mathcal{M}_{GT}|^2 \tilde{a} \frac{2}{G_F^2} C^2 \right)^{-1}, \qquad (41)$$

where \mathcal{M}_{GT} is the nuclear matrix element and \tilde{a} a function of the electron mass and the nuclear radius. For ⁷⁶Ge, $|\mathcal{M}_{GT}|^2 \tilde{a} = 6.52 \times 10^{-10}$ was obtained numerically in [10] and afterwards corrected by a factor of 4 in [25].

The best bound on the half-life of 76 Ge is currently provided by GERDA [26] with

$$T_{1/2}^{0\nu\beta\beta}({}^{76}\text{Ge}) > 2.1 \times 10^{25} \,\text{yr.}$$
 (42)

Using the leptoquark patterns shown in Eq. (35), we obtain $\lambda_{11}^L \lambda_{11}^R = \epsilon^7$ and consequently

$$T_{1/2}^{0\nu\beta\beta}({}^{76}\text{Ge}) \approx \frac{2.5 \times 10^{21} \,\text{yr}}{(\cos\alpha\sin\alpha)^2} \frac{M_{S_{1/2}}^4 M_{S_1}^4}{\Delta M_S^4 (\text{TeV})^4} \,.$$
 (43)

For our numerical example with $M_{S_{1/2}} \simeq 50$ TeV, $M_{S_1} \simeq 1$ TeV and $h_S = 1$ TeV, the $0\nu\beta\beta$ half-life results in

$$T_{1/2}^{0\nu\beta\beta}(^{76}\text{Ge}) \approx 9.7 \times 10^{29} \,\text{yr}\,.$$
 (44)

If the hierarchy of $\lambda_{S_{1/2}}^R$ is even stronger, i.e., $m_d \approx m_b \epsilon^5$, it is possible to suppress the neutrino mass scale while getting dangerously close to the current $0\nu\beta\beta$ bound. In the scenario of almost degenerate leptoquark masses, $M_{S_1} \simeq 1 \text{ TeV}$ and $M_{S_{1/2}} \simeq 2 \text{ TeV}$, $S_{1/2}$ still does not contribute to R_K due to its tiny *s* and *b* quark couplings. Yet, as opposed to the previous example, the mixing is now significantly enhanced with $\alpha \approx 0.01$ for $h_S = 0.1 \text{ TeV}$. The extra suppression of $\lambda_{S_{1/2}}^R$ then compensates for the strong leptoquark mixing to keep the neutrino masses in the eV range. These values thus yield a half-life of

$$T_{1/2}^{0\nu\beta\beta}(^{76}\text{Ge}) \approx 2.7 \times 10^{26} \,\text{yr}\,,$$
 (45)

which lies within the expected sensitivity of GERDA phase II. We conclude that the $0\nu\beta\beta$ limit is respected thanks to the small leptoquark mixing, but could in principle allow an observation of $0\nu\beta\beta$ decay in the near future.

Another interesting and important task would be to study the washout effect on the baryon asymmetry of the Universe but is beyond the scope of the present analysis [27].

V. CONCLUSION

Two scalar leptoquarks can generate neutrino masses at one-loop level and simultaneously explain the 2.6 σ anomaly in R_K recently announced by LHCb. This is possible if these leptoquarks mix weakly with the SM Higgs to induce a $\Delta L = 2$ effective Majorana mass term. We have exemplified this using the $(3, 2)_{1/6}$ and $(3, 3)_{-1/3}$ leptoquark representations.

Small Higgs-leptoquark mixing will lead to naturally small neutrino masses and protect the tightly constrained B decays from extra flavor changing $|\Delta B| = |\Delta S| = 1$ scalar and tensor operators. At the same time, leptoquark couplings to b and s quarks may still be strong enough to produce visible signals in $B \to Kll$ decays, with leptoquark masses confined to the testable region $1 \text{ TeV} \lesssim M \lesssim 50 \text{ TeV}$. Suitable patterns can be obtained by embedding the leptoquarks together with the SM fields in Froggatt–Nielsen type flavor models.

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