

# An $A_4$ Flavor Model for Quarks and Leptons in Warped Geometry

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Based on: 1) A. Kadosh and E. Pallante, JHEP08(2010)115

2) A. Kadosh and E. Pallante, JHEP06(2011)121

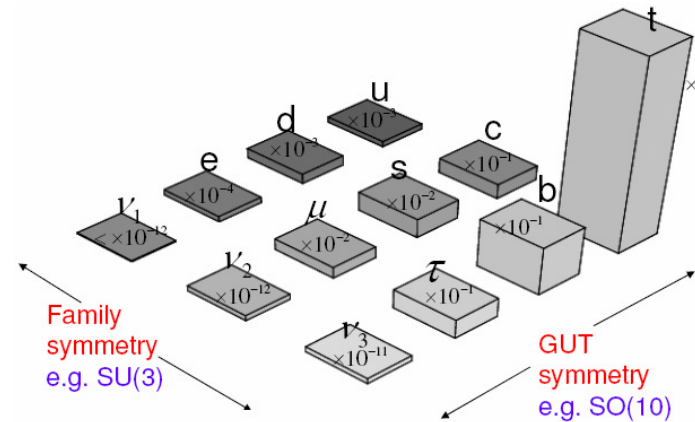
# Outline

- Motivation
- The RS- $A_4$  setup
- Main Features
- Phenomenology and comparison with flavor anarchy
- Conclusions

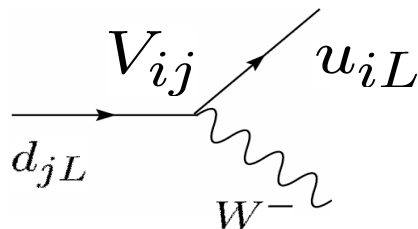
# Motivation

Find a unified framework to account for the masses and mixing patterns of quarks and leptons.

## Fermion mass hierarchy



## Smallness and hierarchy of quark mixing angles

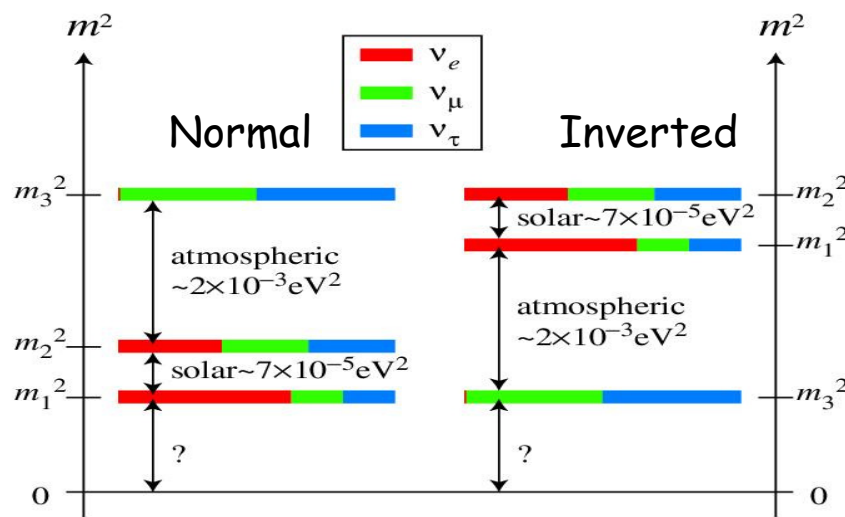


$$V_{ij} \sim \begin{pmatrix} 1 & \lambda & \lambda^3 \\ -\lambda & 1 & \lambda^2 \\ \lambda^3 & -\lambda^2 & 1 \end{pmatrix}$$

$$\lambda_{CKM} \simeq 0.2257$$

# Largeness of neutrino mixing angles and smallness of neutrino masses

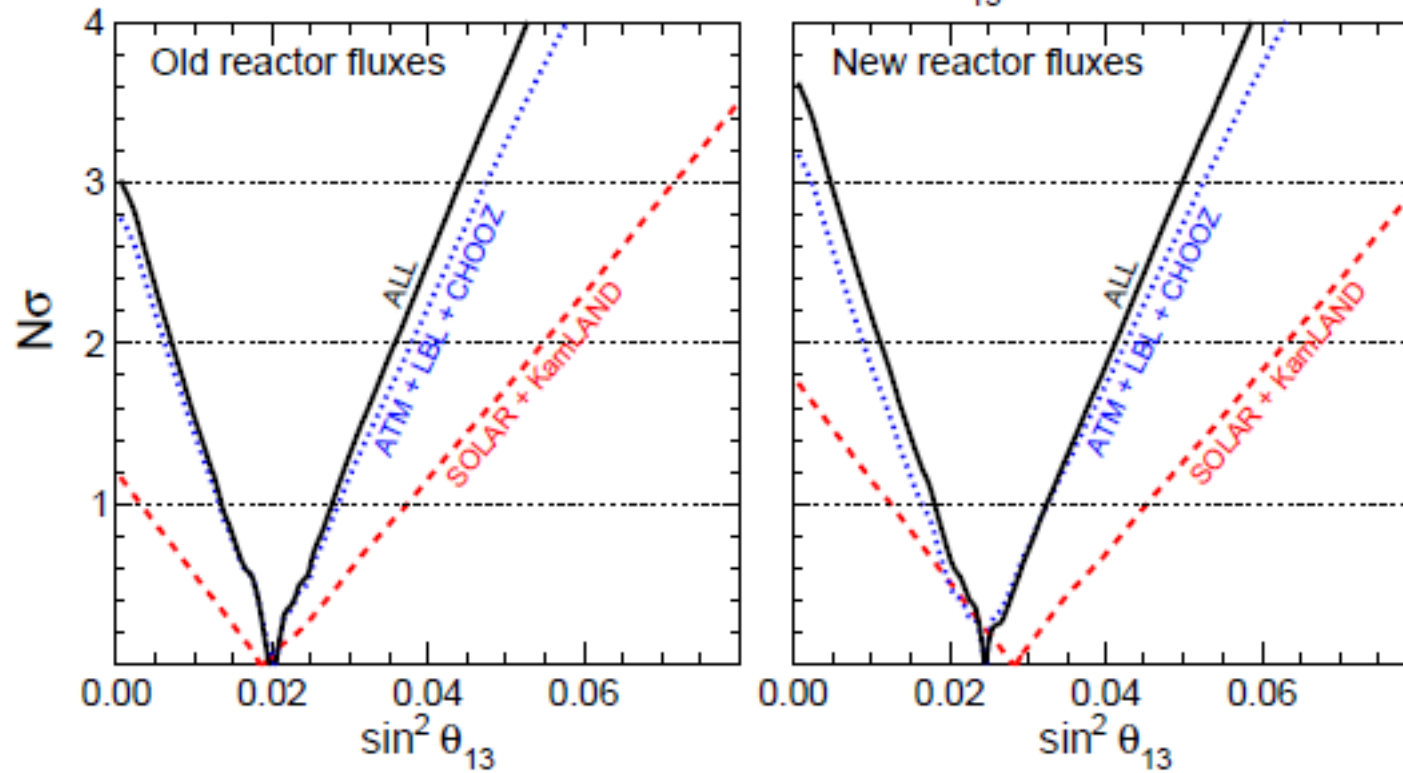
**TBM** 
$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} \sqrt{\frac{2}{3}} & \frac{1}{\sqrt{3}} & 0 \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{6}} & -\frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix} + \text{H.O. ?}$$



See-saw mechanism is the most elegant solution

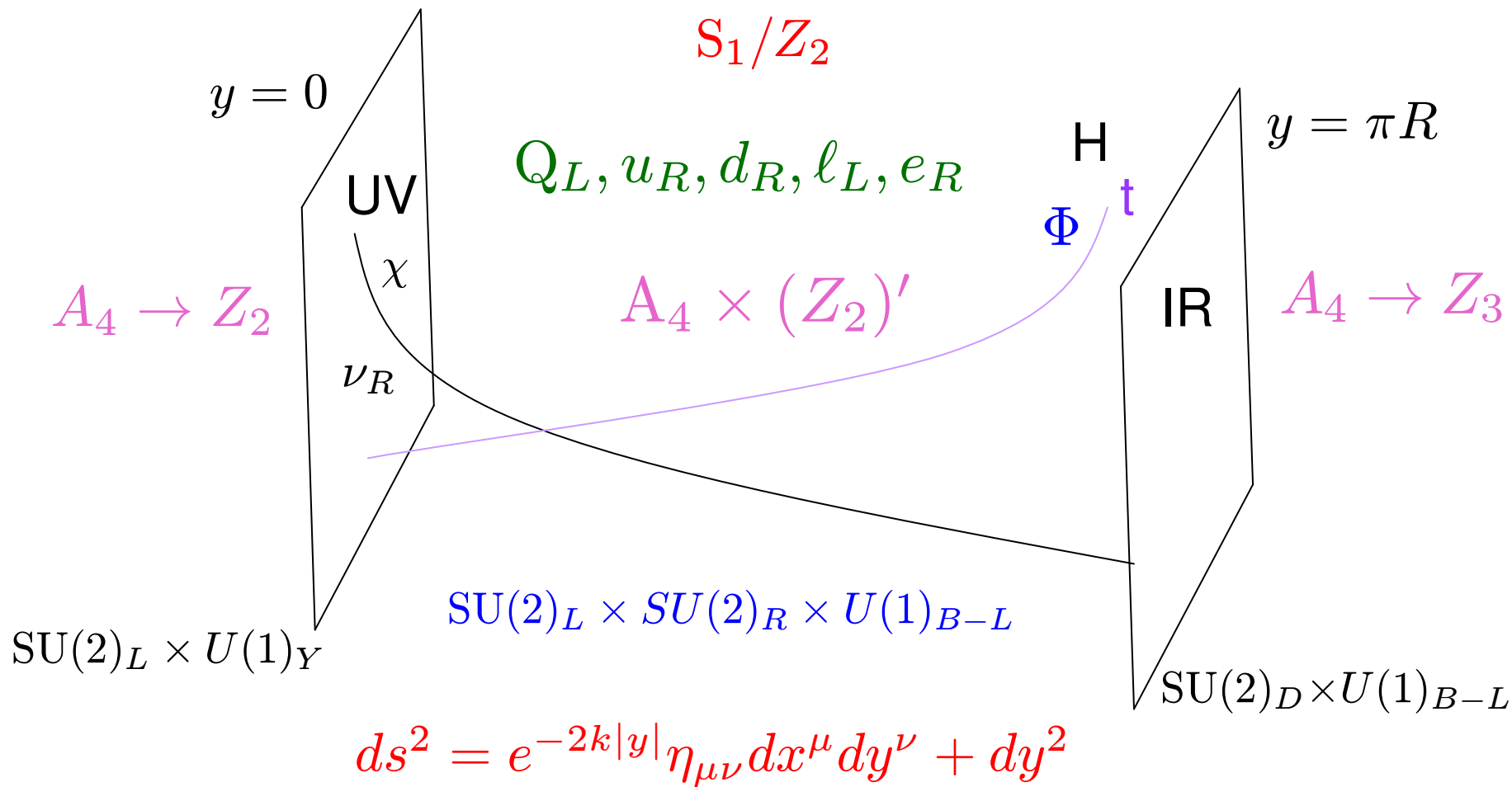
Parameter	$\delta m^2 / 10^{-5} \text{eV}^2$	$\sin^2 \theta_{12}$	$\sin^2 \theta_{13}$	$\sin^2 \theta_{23}$	$\Delta m^2 / 10^{-3} \text{eV}^2$
Best fit	7.67	0.312	0.016	0.466	2.39
1 $\sigma$ range	7.48 - 7.83	0.294 - 0.331	0.006 - 0.026	0.408 - 0.539	2.31 - 2.50
2 $\sigma$ range	7.31 - 8.01	0.278 - 0.352	< 0.036	0.366 - 0.602	2.19 - 2.66
3 $\sigma$ range	7.14 - 8.19	0.263 - 0.375	< 0.046	0.331 - 0.644	2.06 - 2.81

### Global evidence for $\theta_{13} > 0$



$$\sin^2(\theta_{13}) = 0.025^{+0.025}_{-0.02} (3\sigma)$$

Fogli et al.- arXiv:1106.6028 [hep-ph]



# The Yukawa Lagrangian

$$\mathcal{L}_{5D}^{Yuk} = \underbrace{\mathcal{L}_{LO}} + \underbrace{\mathcal{L}_{NLO}}$$

$$\Lambda_{5D}^{-1/2} \bar{\ell}_L H \nu_R \quad \text{UV/IR} \quad \text{Cross brane} \quad \Lambda_{5D}^{-3/2} \bar{\ell}_L H \chi \nu_R$$

$$(\Lambda_{5D}^{-1/2} \chi, M) \nu_R \nu_R^c \quad \Lambda_{5D}^{-7/2} \bar{Q}_L(\ell_L) \Phi \chi H(u_R, d_R, (e_R))$$

$$\Lambda_{5D}^{-2} \bar{Q}_L(\bar{\ell}_L) \Phi H(u_R, d_R, e_R)$$

**A<sub>4</sub> Assignments**  $SU(3)_c \times SU(2)_L \times SU(2)_R \times U(1)_{B-L} \times A_4$

$$\Phi \sim (1, 1, 1, 0) (\underline{\mathbf{3}}), \quad \chi \sim (1, 1, 1, 0) (\underline{\mathbf{3}}), \quad H(1, 2, 2, 0) (\underline{\mathbf{1}})$$

$$Q_L \sim \left( 3, 2, 1, \frac{1}{3} \right) (\underline{\mathbf{3}}) \quad \ell_L \sim (1, 2, 1, -1) (\underline{\mathbf{3}})$$

$$u_R \oplus u'_R \oplus u''_R \sim \left( 3, 1, 2, \frac{1}{3} \right) (\underline{\mathbf{1}} \oplus \underline{\mathbf{1}}' \oplus \underline{\mathbf{1}}'') \quad \nu_R \sim (1, 1, 2, 0) (\underline{\mathbf{3}})$$

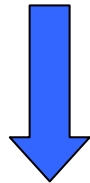
$$d_R \oplus d'_R \oplus d''_R \sim \left( 3, 1, 2, \frac{1}{3} \right) (\underline{\mathbf{1}} \oplus \underline{\mathbf{1}}' \oplus \underline{\mathbf{1}}'') \quad e_R \oplus e'_R \oplus e''_R \sim (1, 1, 2, -1) (\underline{\mathbf{1}} \oplus \underline{\mathbf{1}}' \oplus \underline{\mathbf{1}}'')$$

# LO Results

SSB of  $A_4$

$$\langle \Phi \rangle = (v_\Phi, v_\Phi, v_\Phi)$$

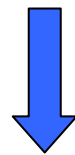
$A_4 \rightarrow Z_3$



$$V_L^{u,d,e} = U(\omega) = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 1 & 1 \\ 1 & \omega & \omega^2 \\ 1 & \omega^2 & \omega \end{pmatrix}$$

$\omega = e^{2\pi i/3}$

$$V_R^{u,d,e} = 1_{3 \times 3}$$



No quark mixing at LO!

$$\langle \chi \rangle = (0, v_\chi, 0)$$

$A_4 \rightarrow Z_2$



$$V_L^\nu = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 0 & -1 \\ 0 & \sqrt{2} & 0 \\ 1 & 0 & 1 \end{pmatrix}$$



TBM neutrino mixing



# NLO Corrections to the CKM Matrix

- Cross brane flavon interactions induce deviations of the CKM matrix from unity

$$M_q + \Delta M_q = U(\omega) \sqrt{3} \begin{pmatrix} \tilde{y}_{q_1} v + (x_1^q + y_1^q)/3 & (x_2^q + y_2^q)/3 & (x_3^q + y_3^q)/3 \\ (x_1^q + \omega y_1^q)/3 & \tilde{y}'_{q_2} v + (x_2^q + \omega y_2^q)/3 & (x_3^q + \omega y_3^q)/3 \\ (x_1^q + \omega^2 y_1^q)/3 & (x_2^q + \omega^2 y_2^q)/3 & \tilde{y}''_{q_3} v + (x_3^q + \omega^2 y_3^q)/3 \end{pmatrix}$$

q=u,d

12 complex parameters  $(x_i^q, y_i^q) = f_\chi^q C_\chi(\tilde{x}_i^q, \tilde{y}_i^q) \simeq 0.05(\tilde{x}_i^q, \tilde{y}_i^q)$

- Parameterizing  $V_{CKM}$  in terms of  $\lambda$  :

$$\mathcal{O}(x_i^{u,d}, y_i^{u,d}) \longrightarrow V_{CKM} = \begin{pmatrix} 1 & a\lambda & b\lambda^3 \\ -a^*\lambda & 1 & c\lambda^2 \\ -b^*\lambda^3 & -c^*\lambda^2 & 1 \end{pmatrix}$$

$V_{ii} \neq 1$  ,  $|V_{ub}| \neq |V_{td}|$  and phase structure  $\longrightarrow \mathcal{O}\left((x_i^{u,d})^2, (y_i^{u,d})^2\right)$

# NLO Corrections to the PMNS Matrix

- Cross brane flavon interactions induce deviations of the PMNS matrix from TBM

$$M_{\ell} + \Delta M_{\ell} = U(\omega) \sqrt{3} \begin{pmatrix} \tilde{y}_e v + (x_1^\ell + y_1^\ell)/3 & (x_2^\ell + y_2^\ell)/3 & (x_3^\ell + y_3^\ell)/3 \\ (x_1^\ell + \omega y_1^\ell)/3 & \tilde{y}_\mu v + (x_2^\ell + \omega y_2^\ell)/3 & (x_3^\ell + \omega y_3^\ell)/3 \\ (x_1^\ell + \omega^2 y_1^\ell)/3 & (x_2^\ell + \omega^2 y_2^\ell)/3 & \tilde{y}_\tau v + (x_3^\ell + \omega^2 y_3^\ell)/3 \end{pmatrix}$$

12 complex parameters

- Parameterizing  $V_{\text{PMNS}}$  in terms of  $\lambda_\ell = f_\chi^\ell C_\chi \simeq 0.05$ ,  $\epsilon_\nu \simeq 0.08$

$$V_L^\ell = \begin{pmatrix} 1 & \lambda_\ell(\tilde{x}_2^\ell + \tilde{y}_2^\ell) & \lambda_\ell(\tilde{x}_3^\ell + \tilde{y}_3^\ell) \\ -\lambda_\ell(\tilde{x}_2^{\ell*} + \tilde{y}_2^{\ell*}) & 1 & \lambda_\ell(\tilde{x}_3^\ell + \omega \tilde{y}_3^\ell) \\ -\lambda_\ell(\tilde{x}_3^{\ell*} + \tilde{y}_3^{\ell*}) & -\lambda_\ell(\tilde{x}_3^{\ell*} + \omega^2 \tilde{y}_3^{\ell*}) & 1 \end{pmatrix}$$



$$(V_L^{\nu(NLO)})_{13,31} = \epsilon_\nu \longrightarrow V_{\text{PMNS}} = (U(\omega) V_L^\ell)^\dagger V_L^{\nu(NLO)}$$

## NLO Corrections to the PMNS matrix-(cont.)

$$\theta_{13}^{NLO} = \frac{e^{i\delta} - 1}{\sqrt{6}} - \frac{a\epsilon_\nu}{\sqrt{3}} + \frac{1 - \omega e^{i\delta}}{\sqrt{6}} (\tilde{x}_2^\ell + \tilde{y}_2^\ell + \omega \tilde{x}_3^\ell + \omega \tilde{y}_3^\ell) \lambda_\ell$$

$\delta$  Is the CP violating phase in neutrino oscillations generated only at the NLO and satisfies:  $|\delta| \lesssim 0.16$

$$\theta_{23} = \frac{\omega e^{i\delta} - 1}{\sqrt{6}} - \frac{\epsilon_\nu}{\sqrt{3}} + \frac{e^{i\delta} - 1}{\sqrt{6}} (\tilde{x}_2^{\ell*} + \tilde{y}_2^{\ell*}) \lambda_\ell + \frac{1 - \omega^2 e^{i\delta}}{\sqrt{6}} (\tilde{x}_3^{\ell*} + \omega^2 \tilde{y}_3^{\ell*}) \lambda_\ell$$

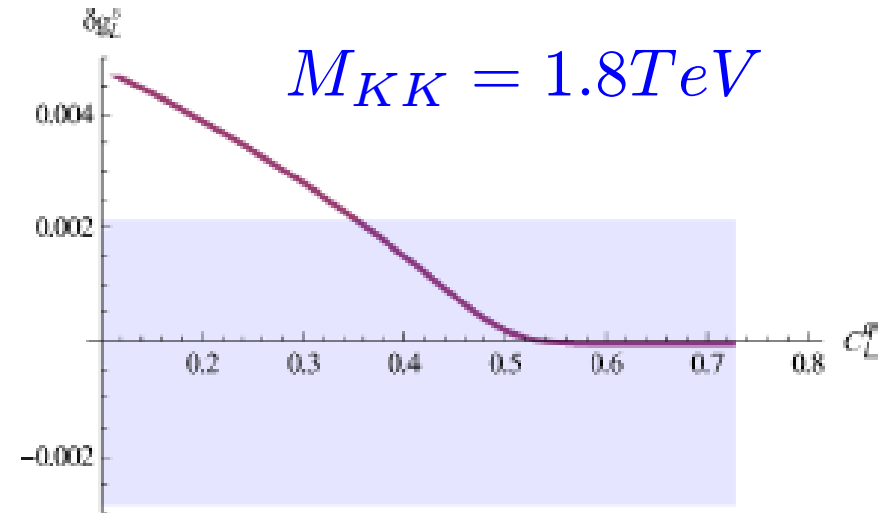
$$\theta_{12} = \frac{1}{\sqrt{3}} - \frac{\omega^2}{\sqrt{3}} (\tilde{x}_2^\ell + \tilde{y}_2^\ell) \lambda_\ell - \frac{\omega}{\sqrt{3}} (\tilde{x}_3^\ell + \tilde{y}_3^\ell) \lambda_\ell$$

Current Neutrino oscillation data (Including T2K) can still be explained with natural  $O(1)$  parameters.

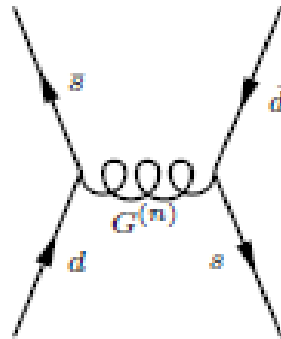
# Main Features of RS- $A_4$ setup

Degenerate  $C_L$

EWPM  $\rightarrow Z b \bar{b}$



$$\epsilon_K^{NP} = 0$$



$M_{KK} \gtrsim 10 TeV$   
(In flavor anarchy)

Neutron EDM at 1-loop and HMFCNC ( $C_{2,4}^{K,D}$ ) at tree level, strongly suppressed.

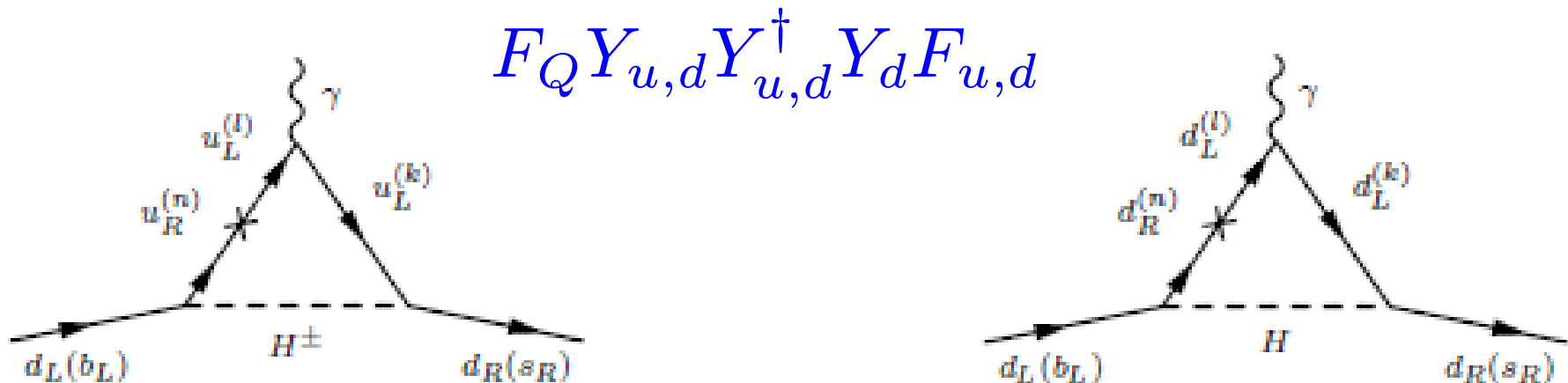
# Phenomenology-Dipole Operators

As a **first step** we work in the mass insertion approximation

-Flavor part of amplitude in terms of spurions  $F_Q, F_{u,d}, \hat{Y}_{u,d}$   
 (Agashe, Soni, Perez 2004)

-IR Higgs vs. Bulk Higgs couplings  $r_{nm}^{H\Phi(\chi)} (c_{Q_i}, c_{u_j, d_j}, \beta)$

$$O_7^\gamma = \bar{q}_L^i \sigma^{\mu\nu} F_{\mu\nu} q_R^j \quad i = j = d \text{ (EDM)}$$



# Dipole Operators (cont.)

$$(C_{7\gamma(8g)}^{d-type})_{ij} = \frac{m_{d_i} A^{1L} f_Q^2}{v^2 M_{KK}} \left[ V_R^{d\dagger} \text{diag}(f_{d,s,b}^2) (\hat{r}_{00}^d)^{-1} \tilde{r}_{01}^d \tilde{r}_{11}^d (\hat{r}_{00}^d)^{-1} V_R^d \right. \\ \left. \times \text{diag}(m_{d,s,b}^2) V_R^{d\dagger} (\hat{r}_{00}^d)^{-1} \hat{r}_{10}^d V_R^d \right]_{ij}$$

$$\tilde{r}_{01}^{u,d} \tilde{r}_{11}^{u,d} = \hat{r}_{01}^{u,d} \hat{r}_{11}^{u,d} + \hat{r}_{01}^{u,d} \hat{r}_{1-+}^{u,d} + \hat{r}_{1-+}^{u,d} \hat{r}_{11}^{u,d} \longrightarrow \text{Overlap corrections}$$

## Various levels of Approximation

$$(V_R^d)_{LO} = 1_{3 \times 3} \longrightarrow \text{EDM}=0$$

$$(V_R^d)_{NLO} + \text{Degenerate Overlaps} \longrightarrow \text{EDM}=0$$

$$(V_R^d)_{NLO} + \text{Non degenerate Overlaps}$$

$$\longrightarrow \text{EDM} \sim \mathcal{O}((m_d/m_s) f_\chi^{u,d} \Delta r) \approx 10^{-29} e \cdot \text{cm}$$

## Dipole Operators (cont.)

Main drawback of spurion analysis  $\longrightarrow$  Failure to account for the explicit coupling to the various types (BC) of KK modes.

**Second step-** diag. of the 1 gen. KK mass matrix

$$(\mathcal{A}_{ij})_D^{overlap} = \frac{\left( \sum_n (\hat{Y}_{KK}^{d_i})_{1n}^{mass} (\hat{Y}_{KK}^{d_j})_{n1}^{mass} \right) \Big|_{overlap}}{M_{KK}^{(n)d_j}}$$

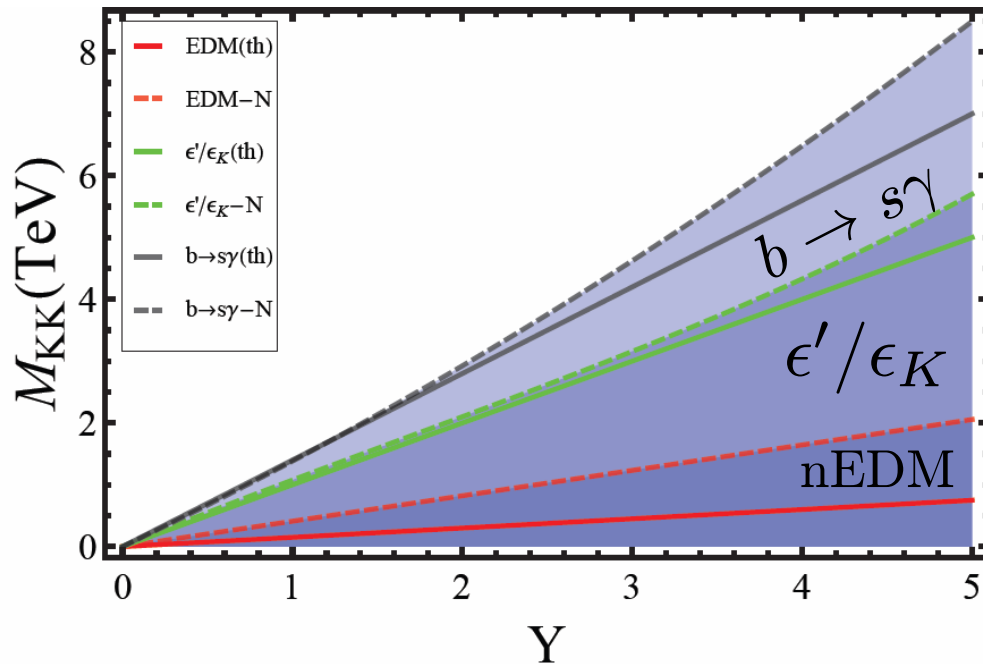
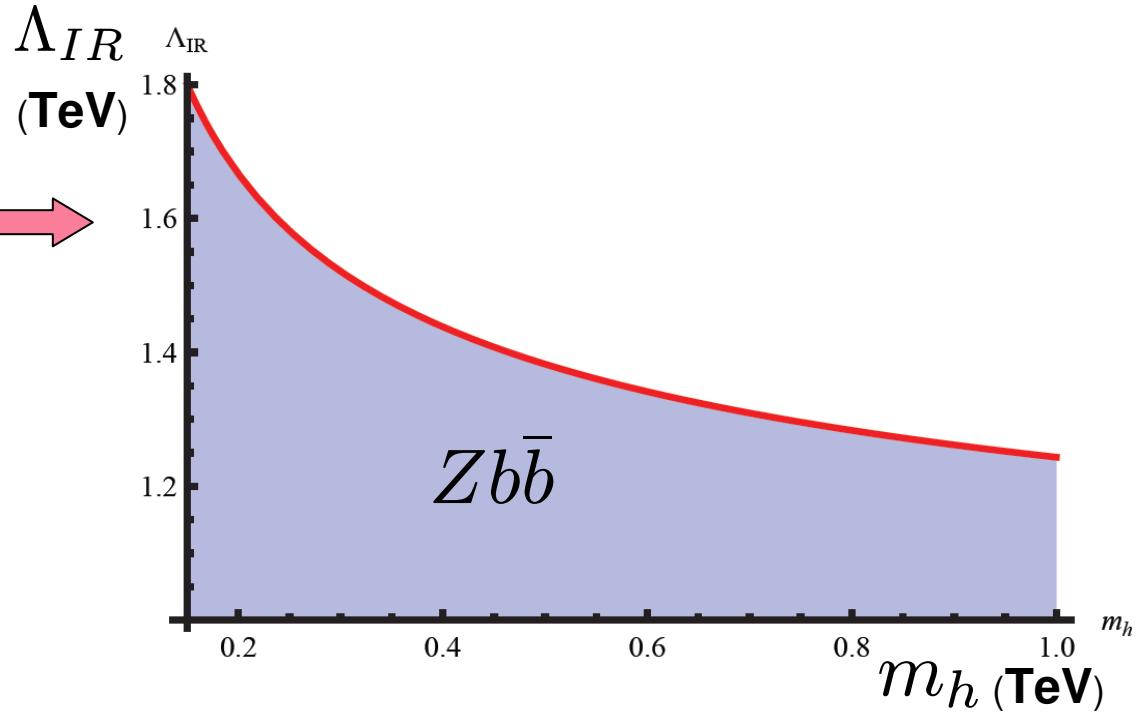
Generational mixing effects  $\longrightarrow$  Mass insertion approx.

(Agashe-Azatov-Zhu 2009, Gedalia-Isidori-Perez 2009)

**Third step-** Approximate analytical and numerical diag. of 3 gen. zero + 1<sup>st</sup> KK mass matrices. (12x12)

The constraint of  $Zb\bar{b}$  with running of Higgs mass

(Casagrande, Neubert et al. , 2010)



Most significant constraints from dipole operators



# Conclusions

$RS-A_4$   $\longrightarrow$  Vacuum Alignment, Flavor Hierarchy  
EW-Planck Hierarchy, CKM, TBM+...,  
Neutrino masses. **Naturalness!**  
**EWPM constrain bulk masses!**

Significant Relaxation of Pheno. constraints compared  
to flavor anarchy, due to degeneracy of  $C_L$ !!!

## Possible extensions-

$P_{LR}$  extended Custodial Symm.  $\longrightarrow$  ZMA remains the same!

Larger (other...) flavor symmetries

“Soft Wall”

# Questions

(...)

$$\begin{aligned}
\mathcal{L}^{4D} \supset \hat{Y}_{ij}^{u,d} h_{0(4D)}^{(,*)} & \left[ \psi_{Q_i}^{0\dagger} f_{Q_i}^{-1} \psi_{u_j, d_j}^0 f_{u_j, d_j}^{-1} r_{00}^H(c_{Q_i}, c_{u_j, d_j}, \beta) + \sum_n \psi_{Q_i}^{0\dagger} f_{Q_i}^{-1} \psi_{u_j, d_j}^n r_{0n}^H(c_{Q_i}, c_{u_j, d_j}, \beta) \right. \\
& + \sum_n \psi_{Q_i}^{0\dagger} f_{Q_i}^{-1} \psi_{u_j, d_j}^{n^-+} r_{0n^-+}^H(c_{Q_i}, c_{d_j, u_j}, \beta) + \sum_n \psi_{Q_i}^{n\dagger} \psi_{u_j, d_j}^0 f_{u_j, d_j}^{-1} r_{n0}^H(c_{Q_i}, c_{u_j, d_j}, \beta) \\
& + \sum_{n,m} \psi_{Q_i}^{n\dagger} \psi_{u_j, d_j}^m r_{nm}^H(c_{Q_i}, c_{u_j, d_j}, \beta) + \sum_{n,m} \psi_{Q_i}^{n^-} (\psi_{u_j, d_j}^{m^-})^\dagger r_{n^-m^-}^H(c_{Q_i}, c_{u_j, d_j}, \beta) \\
& \left. + \sum_{n,m} \psi_{Q_i}^{n\dagger} \psi_{u_j, d_j}^{m^-+} r_{nm^-+}^H(c_{Q_i}, c_{d_j, u_j}, \beta) + \sum_{n,m} \psi_{Q_i}^{n^-} (\psi_{u_j, d_j}^{m^+-})^\dagger r_{n^-m^+-}^H(c_{Q_i}, c_{d_j, u_j}, \beta) \right] ;
\end{aligned}$$



$$\hat{Y}_{ij}^{u(d)} = \frac{1}{v} r_{00}^{-1}(c_{Q_i}, c_{u_j(d_j)}, \beta) \left( F_Q^{-1} V_L^{u(d)} \text{diag}(m_{u,c,t(d,s,b)}) V_R^{u(d)\dagger} F_{u(d)}^{-1} \right)_{ij}$$



$$\begin{aligned}
(C_{7\gamma(8g)}^{d-type})_{ij} & = \frac{A_{1L}}{v^2 M_{KK}} \left[ (V_L^d)_{i\ell}^\dagger (\hat{r}_{0n}^d)_{\ell\ell_1} (\hat{r}_{00}^d)^{-1}_{\ell\ell_1} \left( V_L^d \text{diag}(m_{d,s,b}) (V_R^d)^\dagger \text{diag}(f_{d,s,b}^2) \right)_{\ell\ell_1} \right. \\
& \times (\hat{r}_{nm}^d)_{\ell_2\ell_1} (\hat{r}_{00}^d)^{-1}_{\ell_2\ell_1} \left( V_R^d \text{diag}(m_{d,s,b}) V_L^{d\dagger} \text{diag}(f_{Q_1, Q_2, Q_3}^2) \right)_{\ell_1\ell_2} (\hat{r}_{m0}^d)_{\ell_2\ell_3} \\
& \left. \times (\hat{r}_{00}^d)^{-1}_{\ell_2\ell_3} \left( V_L^d \text{diag}(m_{d,s,b}) (V_R^d)^\dagger \right)_{\ell_2\ell_3} (V_R^d)_{\ell_3j} \right] ,
\end{aligned}$$

## A<sub>4</sub> Simplifications

$$\hat{r}_{00,10,01}^{u,d} = \text{diag}(r_{00,10,01}(c_q^L, c_{u_i,d_i}, \beta)) \quad \hat{r}_{11}^{u,d} = \text{diag}(r_{11}(c_{u_i,d_i}, c_q^L, \beta))$$

$$\hat{r}_{01-+}^{u,d} = \text{diag}(r_{01-+}(c_q^L, c_{d_j,u_j}, \beta)) \quad \hat{r}_{1-+1}^{u,d} = \text{diag}(r_{1-+1}(c_{d_i,u_i}, c_q^L, \beta))$$



$$(C_{7\gamma(8g)}^{d\text{-type}})_{ij} = \frac{m_{d_i} A^{1L} f_Q^2}{v^2 M_{KK}} \left[ V_R^{d\dagger} \text{diag}(f_{d,s,b}^2) (\hat{r}_{00}^d)^{-1} \tilde{r}_{01}^d \tilde{r}_{11}^d (\hat{r}_{00}^d)^{-1} V_R^d \text{diag}(m_{d,s,b}) \right. \\ \left. \times \text{diag}(m_{d,s,b}) V_R^{d\dagger} (\hat{r}_{00}^d)^{-1} \hat{r}_{10}^d V_R^d \right]_{ij},$$

$$B_P^{u,d} = \max \left( (\hat{r}_{00}^{u,d})^{-3} (\hat{r}_{01}^{u,d} \hat{r}_{11}^{u,d} + \hat{r}_{01-+}^{u,d} \hat{r}_{1-+1}^{u,d}) \hat{r}_{10}^{u,d} \right)$$

Near degeneracy  
Of overlap corrections



$$(C_7^{d\text{-type}})_{ij} = \frac{A^{1L} m_{d_i} m_{d_j} B_P^d}{v^2 M_{KK}} \left[ V_R^{d\dagger} \text{diag}(f_{d_1,d_2,d_3}^2) V_R^d \text{diag}(m_{d,s,b}) V_L^{d\dagger} \text{diag}(f_{Q_1,Q_2,Q_3}^2) V_L^d \right]_{ij}$$

$$= \frac{A^{1L} f_Q^2 m_{d_i} m_{d_j}^2 B_P^d}{v^2 M_{KK}} \sum_{n=1}^3 (V_R^d)_{ni}^* (V_R^d)_{nj} f_{d_n}^2$$

# 1 generation KK Yukawa matrices

$$Y_{KK}^d = \begin{pmatrix} \bar{Q}_L^{d(0)} \\ \bar{d}_L^{(1--)} \\ \bar{Q}_L^{d(1)} \\ \bar{d}_L^{(1+-)} \end{pmatrix}^T \begin{pmatrix} \check{y}_d f_q^{-1} f_d^{-1} r_{00} & 0 & \check{y}_d f_q^{-1} r_{01} & \check{y}_u f_q^{-1} r_{101} \\ 0 & \check{y}_d^* r_{22} & 0 & 0 \\ \check{y}_d f_d^{-1} r_{10} & 0 & \check{y}_d r_{11} & \check{y}_u r_{111} \\ 0 & \check{y}_u^* r_{222} & 0 & 0 \end{pmatrix} \begin{pmatrix} d_R^{(0)} \\ Q_R^{d(1--)} \\ d_R^{(1)} \\ \tilde{d}_R^{(1+-)} \end{pmatrix}$$

$$\hat{Y}_{KK}^{d(h_-)} = \begin{pmatrix} \bar{Q}_L^{d(0)} \\ \bar{d}_L^{(1--)} \\ \bar{Q}_L^{d(1)} \\ \bar{d}_L^{(1+-)} \end{pmatrix}^T \begin{pmatrix} -\check{y}_u f_q^{-1} f_u^{-1} r_{00} & 0 & -\check{y}_u f_q^{-1} r_{01} & -\check{y}_d f_q^{-1} r_{101} \\ 0 & \check{y}_d^* r_{22} & 0 & 0 \\ -\check{y}_u f_u^{-1} r_{10} & 0 & -\check{y}_u r_{11} & -\check{y}_d r_{111} \\ 0 & \check{y}_u^* r_{222} & 0 & 0 \end{pmatrix} \begin{pmatrix} u_R^{(0)} \\ Q_R^{u(1--)} \\ u_R^{(1)} \\ \tilde{u}_R^{(1+-)} \end{pmatrix}$$

$$\hat{Y}_{KK}^{d(h_+)} = \begin{pmatrix} \bar{Q}_L^{u(0)} \\ \bar{u}_L^{(1--)} \\ \bar{Q}_L^{u(1)} \\ \bar{u}_L^{(1+-)} \end{pmatrix}^T \begin{pmatrix} \check{y}_d f_q^{-1} f_d^{-1} r_{00} & 0 & \check{y}_d f_q^{-1} r_{01} & \check{y}_u f_q^{-1} r_{101} \\ 0 & -\check{y}_u^* r_{22} & 0 & 0 \\ \check{y}_d f_d^{-1} r_{10} & 0 & \check{y}_d r_{11} & \check{y}_u r_{111} \\ 0 & -\check{y}_d^* r_{222} & 0 & 0 \end{pmatrix} \begin{pmatrix} d_R^{(0)} \\ Q_R^{d(1--)} \\ d_R^{(1)} \\ \tilde{d}_R^{(1+-)} \end{pmatrix}$$

## 4X4 one gen. KK mass matrix

$$\frac{\hat{\mathbf{M}}_d^{KK}}{(M_{KK})} = \begin{pmatrix} \bar{Q}_L^{d(0)} \\ \bar{d}_L^{(1^{--})} \\ \bar{Q}_L^{d(1)} \\ \bar{d}_L^{(1^{+-})} \end{pmatrix}^T \begin{pmatrix} \check{y}_d f_q^{-1} f_d^{-1} r_{00} x & 0 & \check{y}_d f_q^{-1} r_{01} x & \check{y}_u f_q^{-1} r_{101} x \\ 0 & \check{y}_d^* r_{22} x & 1 & 0 \\ \check{y}_d f_d^{-1} r_{10} x & 1 & \check{y}_d r_{11} x & \check{y}_u r_{111} x \\ 0 & \check{y}_u^* r_{222} x & 0 & 1 \end{pmatrix} \begin{pmatrix} d_R^{(0)} \\ Q_R^{d(1^{--})} \\ d_R^{(1)} \\ \bar{d}_R^{(1^{+-})} \end{pmatrix}$$

$$\check{y}_{u,d} \equiv (\hat{y}_{u,d}^{LO})_{11} v_{\Phi}^{4D} e^{k\pi R} / k$$

## 12X12 three gen. KK mass matrix

$$\hat{\mathbf{M}}_{Full}^D = M_{KK} \begin{pmatrix} \hat{\mathbf{M}}_d^{KK} / M_{KK} & x \hat{Y}_{KK}^s(\hat{y}_{12}^{LO}, f_s) & x \hat{Y}_{KK}^b(\hat{y}_{13}^{LO}, f_b) \\ x \hat{Y}_{KK}^d(\hat{y}_{21}^{LO}, f_d) & \hat{\mathbf{M}}_s^{KK} / M_{KK} & x \hat{Y}_{KK}^b(\hat{y}_{23}^{LO}, f_b) \\ x \hat{Y}_{KK}^d(\hat{y}_{31}^{LO}, f_d) & x \hat{Y}_{KK}^s(\hat{y}_{32}^{LO}, f_s) & \hat{\mathbf{M}}_b^{KK} / M_{KK} \end{pmatrix}$$

$O_L^{SKK}$ 

One example of KK diag. matrix

$$\begin{pmatrix} 1 & 0.94f_Q^{-1}r_{01}\check{y}_s x & \frac{f_Q^{-1}}{\sqrt{2}}r_{101}\check{y}_c x & \frac{f_Q^{-1}}{\sqrt{2}}r_{101}\check{y}_c x \\ -0.94f_Q^{-1}r_{01}\check{y}_s x & 1 & (6.06r_{11} + 4.72r_{22})e^{i\theta_c}\check{y}_s^* x & -(6.06r_{11} + 4.72r_{22})e^{i\theta_c}\check{y}_s^* x \\ O(x^2) & (8.6(r_{11} + 8.1r_{22}))\check{y}_s & -\frac{e^{i\theta_c}}{\sqrt{2}} + \frac{(r_{111}^2 - r_{222}^2)\check{y}_c + r_{11}^2(|\check{y}_s|^2/\check{y}_c^*)}{4\sqrt{2}(r_{111} + r_{222})} x & \frac{e^{i\theta_c}}{\sqrt{2}} + \frac{(r_{111}^2 - r_{222}^2)\check{y}_c + r_{11}^2(|\check{y}_s|^2/\check{y}_c^*)}{4\sqrt{2}(r_{111} + r_{222})} x \\ -f_Q^{-1}r_{101}\check{y}_c^* x & O(x^2) & \frac{1}{\sqrt{2}} + \frac{(r_{111}^2 - r_{222}^2)\check{y}_c + r_{11}^2(|\check{y}_s|^2/|\check{y}_c|)}{4\sqrt{2}(r_{111} + r_{222})} x & \frac{1}{\sqrt{2}} - \frac{(r_{111}^2 - r_{222}^2)\check{y}_c + r_{11}^2(|\check{y}_s|^2/|\check{y}_c|)}{4\sqrt{2}(r_{111} + r_{222})} x \end{pmatrix}$$

12X12 additional  $A_4$  rotation

$$\hat{O}_{L,R}^{(U,D)A_4} = \begin{pmatrix} (V_{L,R}^{u,d})_{11} \times \tilde{\mathbb{1}}_{4 \times 4} & (V_{L,R}^{u,d})_{12} \times \tilde{\mathbb{1}}_{4 \times 4} & (V_{L,R}^{u,d})_{13} \times \tilde{\mathbb{1}}_{4 \times 4} \\ (V_{L,R}^{u,d})_{21} \times \tilde{\mathbb{1}}_{4 \times 4} & (V_{L,R}^{u,d})_{22} \times \tilde{\mathbb{1}}_{4 \times 4} & (V_{L,R}^{u,d})_{23} \times \tilde{\mathbb{1}}_{4 \times 4} \\ (V_{L,R}^{u,d})_{31} \times \tilde{\mathbb{1}}_{4 \times 4} & (V_{L,R}^{u,d})_{32} \times \tilde{\mathbb{1}}_{4 \times 4} & (V_{L,R}^{u,d})_{33} \times \tilde{\mathbb{1}}_{4 \times 4} \end{pmatrix}$$

# Dynamical Completion Issues

- **Vacuum Alignment** – We will have to make sure that the scalar potential doesn't ruin the specific VEV structure we are interested in.
- Most importantly in any flavor model one should explain the **origin of quark and lepton masses and their hierarchy** (FN, GUT's, WED, UED etc.....).
- Ultimately, a **dynamical origin** for the  $A_4$  symmetry should be supplemented.
- One of the possibilities is obtaining  $A_4$  via **compactification** of a 6 dimensional flat space on an orbifold  $T_2/Z_2$ . The various fields reside on the four orbifold fixed points (Branes).

(Feruglio and Altarelli)



# Off diagonal CKM elements

$$V_{us} = -V_{cd}^* \simeq \left( (\tilde{x}_2^d + \tilde{y}_2^d) f_\chi^s - (\tilde{x}_2^u + \tilde{y}_2^u) f_\chi^c \right)$$

$$V_{cb} = -V_{ts}^* \simeq \left( (\tilde{x}_3^d + \omega \tilde{y}_3^d) f_\chi^b - (\tilde{x}_3^u + \omega \tilde{y}_3^u) f_\chi^t \right)$$

$$V_{ub} = -V_{td}^* \simeq \left( (\tilde{x}_3^d + \tilde{y}_3^d) f_\chi^b - (\tilde{x}_3^u + \tilde{y}_3^u) f_\chi^t \right)$$

$$V_{CKM} = \begin{pmatrix} 1 & V_{us} & V_{ub} \\ -V_{us}^* & 1 & V_{cb} \\ -V_{ub}^* & -V_{cb}^* & 1 \end{pmatrix}$$

$$\mathcal{H} = \left( H \quad \tilde{H} \right) = \begin{pmatrix} h_0^* & h_+ \\ -h_+^* & h_0 \end{pmatrix} \quad h_0(x, y) = v_H(\beta_H, y) + \sum_n h_0^{(n)}(x) \phi_n(y)$$

## ZMA RH diag. Matrices

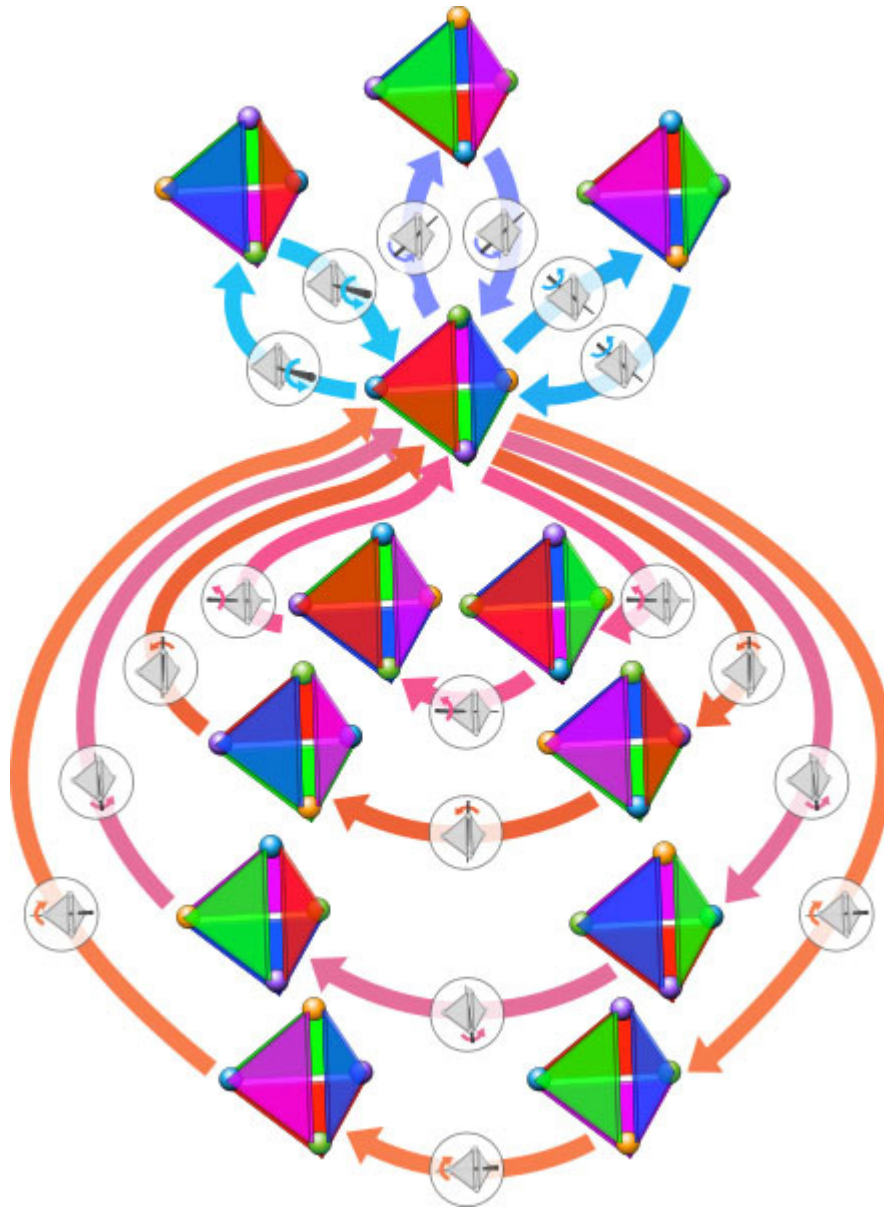
$$V_R^q = \begin{pmatrix} 1 & \Delta_1^q & \Delta_2^q \\ -(\Delta_1^q)^* & 1 & \Delta_3^q \\ -(\Delta_2^q)^* & -(\Delta_3^q)^* & 1 \end{pmatrix}$$

$$\Delta_1^q = \frac{m_{q1}}{m_{q2}} [f_{\chi}^{q1} ((\tilde{x}_1^q)^* + \omega^2(\tilde{y}_1^q)^*) + f_{\chi}^{q2} (\tilde{x}_2^q + \tilde{y}_2^q)]$$

$$\Delta_2^q = \frac{m_{q1}}{m_{q3}} [f_{\chi}^{q1} ((\tilde{x}_1^q)^* + \omega(\tilde{y}_1^q)^*) + f_{\chi}^{q3} (\tilde{x}_3^q + \tilde{y}_3^q)]$$

$$\Delta_3^q = \frac{m_{q2}}{m_{q3}} [f_{\chi}^{q2} ((\tilde{x}_2^q)^* + \omega(\tilde{y}_2^q)^*) + f_{\chi}^{q3} (\tilde{x}_3^q + \omega\tilde{y}_3^q)]$$

# The Tetrahedral Group $A_4$



- $A(4)$  is the group of even permutations of 4 objects
- It is also isomorphic to the symmetry group of a regular tetrahedron, and is a subgroup of  $SO(3)$
- Other extensions include:
  - $T'$   $\Delta(27)$   $\Sigma(81)$
- Will be used to explain proximity of mixing in the **lepton sector** to **TBM**, and proximity of mixing in the **quark sector** to **unity**.
- Differs from other types of flavor models: “Anarchic”, continuous flavor groups, GUT’s, (SUSY),...

# Some A(4) Basic properties:

- A(4) has one real triplet,  $\underline{\mathbf{3}}$  and three “singlets”:  $\underline{\mathbf{1}}$ ,  $\underline{\mathbf{1}}'$  and  $\underline{\mathbf{1}}''$

$$\underline{\mathbf{3}} \otimes \underline{\mathbf{3}} = \underline{\mathbf{3}}_s \oplus \underline{\mathbf{3}}_a \oplus \underline{\mathbf{1}} \oplus \underline{\mathbf{1}}' \oplus \underline{\mathbf{1}}'', \quad \text{and} \quad \underline{\mathbf{1}}' \otimes \underline{\mathbf{1}}' = \underline{\mathbf{1}}''$$

$$(\underline{\mathbf{3}} \otimes \underline{\mathbf{3}})_{\underline{\mathbf{3}}_s} = (x_2 y_3 + x_3 y_2, x_3 y_1 + x_1 y_3, x_1 y_2 + x_2 y_1),$$

$$(\underline{\mathbf{3}} \otimes \underline{\mathbf{3}})_{\underline{\mathbf{3}}_a} = (x_2 y_3 - x_3 y_2, x_3 y_1 - x_1 y_3, x_1 y_2 - x_2 y_1),$$

$$(\underline{\mathbf{3}} \otimes \underline{\mathbf{3}})_{\underline{\mathbf{1}}} = x_1 y_1 + x_2 y_2 + x_3 y_3,$$

$$(\underline{\mathbf{3}} \otimes \underline{\mathbf{3}})_{\underline{\mathbf{1}}'} = x_1 y_1 + \omega x_2 y_2 + \omega^2 x_3 y_3, \quad \omega = e^{i2\pi/3}$$

$$(\underline{\mathbf{3}} \otimes \underline{\mathbf{3}})_{\underline{\mathbf{1}}''} = x_1 y_1 + \omega^2 x_2 y_2 + \omega x_3 y_3,$$

# A simple A(4) Model

(Ma, Feruglio, Altarelli, Babu, Volkas...)

- We assign the SM fermions to the following representations:

$$Q_L \sim (3, 2, \frac{1}{3}) (\underline{\mathbf{3}}) \quad \text{Under } A(4)$$

$SU(3) \times SU(2) \times U(1)$ 


$$\ell_L \sim (1, 2, -1) (\underline{\mathbf{3}})$$

$$u_R \oplus u'_R \oplus u''_R \sim (3, 1, \frac{4}{3}) (\underline{\mathbf{1}} \oplus \underline{\mathbf{1}}' \oplus \underline{\mathbf{1}}'')$$

$$\nu_R \sim (1, 1, 0) (\underline{\mathbf{3}})$$

$$d_R \oplus d'_R \oplus d''_R \sim (3, 1, -\frac{2}{3}) (\underline{\mathbf{1}} \oplus \underline{\mathbf{1}}' \oplus \underline{\mathbf{1}}'') \quad e_R \oplus e'_R \oplus e''_R \sim (1, 1, -2) (\underline{\mathbf{1}} \oplus \underline{\mathbf{1}}' \oplus \underline{\mathbf{1}}'')$$

- The scalar sector of this model will be given by:

$$\Phi \sim (1, 2, -1) (\underline{\mathbf{3}}), \quad \phi \sim (1, 2, -1) (\underline{\mathbf{1}}), \quad \chi \sim (1, 1, 0) (\underline{\mathbf{3}}).$$

- We will also need an additional U(1) symmetry which will be explicitly broken to  $Z_2$  under which  $\phi, \chi$  and  $Q_L$  are odd and the rest of the fields are even.

- The Yukawa Lagrangian is:

$$\begin{aligned}
\mathcal{L}_{\text{Yuk}} = & \lambda_u (\bar{Q}_L \Phi)_{\underline{1}} u_R + \lambda'_u (\bar{Q}_L \Phi)_{\underline{1}'} u''_R + \lambda''_u (\bar{Q}_L \Phi)_{\underline{1}''} u'_R + \\
& + \lambda_d (\bar{Q}_L \tilde{\Phi})_{\underline{1}} d_R + \lambda'_d (\bar{Q}_L \tilde{\Phi})_{\underline{1}'} d''_R + \lambda''_d (\bar{Q}_L \tilde{\Phi})_{\underline{1}''} d'_R + \\
& + \lambda_\nu (\bar{\ell}_L \nu_R)_{\underline{1}} \phi + M [\bar{\nu}_R (\nu_R)^c]_{\underline{1}} + \lambda_\chi [\bar{\nu}_R (\nu_R)^c]_{\underline{3}_s} \cdot \chi + \\
& + \lambda_e (\bar{\ell}_L \tilde{\Phi})_{\underline{1}} e_R + \lambda'_e (\bar{\ell}_L \tilde{\Phi})_{\underline{1}'} e''_R + \lambda''_e (\bar{\ell}_L \tilde{\Phi})_{\underline{1}''} e'_R + h.c.
\end{aligned}$$

- And the resulting mass matrix in each sector, f=(u,d,e):

$$\begin{pmatrix} \bar{f}_{1L}, \bar{f}_{2L}, \bar{f}_{3L} \end{pmatrix} \begin{pmatrix} \lambda v_1 & \lambda' v_1 & \lambda'' v_1 \\ \lambda v_2 & \omega \lambda' v_2 & \omega^2 \lambda'' v_2 \\ \lambda v_3 & \omega^2 \lambda' v_3 & \omega \lambda'' v_3 \end{pmatrix} \begin{pmatrix} f_R \\ f''_R \\ f'_R \end{pmatrix} + h.c.$$

# The Neutrino Sector

- From the Yukawa Lagrangian we get that the Dirac and the bare Majorana mass matrices are proportional to the identity:

$$M_\nu^D = \lambda_\nu v_\phi \mathbf{1} \equiv m_\nu^D \mathbf{1} \quad \text{and} \quad M_{\nu Bare}^{Maj.} = M \mathbf{1}$$

The required non-trivial structure is supplied by the Yukawa coupling to the field,  $\chi$ , which turns out to be:

$$\lambda_\chi \begin{pmatrix} \bar{\nu}_{1R}, \bar{\nu}_{2R}, \bar{\nu}_{3R} \end{pmatrix} \begin{pmatrix} 0 & \chi_3 & \chi_2 \\ \chi_3 & 0 & \chi_1 \\ \chi_2 & \chi_1 & 0 \end{pmatrix} \begin{pmatrix} (\nu_{1R})^c \\ (\nu_{2R})^c \\ (\nu_{3R})^c \end{pmatrix}$$

- Inserting the VEV of  $\chi$  the resulting 6x6 mass matrix is:

$$\begin{pmatrix} 0 & 0 & 0 & m_\nu^D & 0 & 0 \\ 0 & 0 & 0 & 0 & m_\nu^D & 0 \\ 0 & 0 & 0 & 0 & 0 & m_\nu^D \\ m_\nu^D & 0 & 0 & M & 0 & M_\chi \\ 0 & m_\nu^D & 0 & 0 & M & 0 \\ 0 & 0 & m_\nu^D & M_\chi & 0 & M \end{pmatrix} \quad M_\chi \equiv \lambda_\chi v_\chi$$

- In the see-saw limit,  $|M|, |M_X| \gg m_\nu^D$  the effective 3x3 mass matrix for the light neutrinos is given by:

$$M_L = -M_\nu^D M_R^{-1} (M_\nu^D)^T = -\frac{(m_\nu^D)^2}{M} \begin{pmatrix} \frac{M^2}{M^2 - M_X^2} & 0 & -\frac{M M_X}{M^2 - M_X^2} \\ 0 & 1 & 0 \\ -\frac{M M_X}{M^2 - M_X^2} & 0 & \frac{M^2}{M^2 - M_X^2} \end{pmatrix}$$

- The diagonalization matrix turns out to be :  $V_L^\nu = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 0 & -1 \\ 0 & \sqrt{2} & 0 \\ 1 & 0 & 1 \end{pmatrix}$

- So the MNSP matrix at this order is Tri-bi-maximal:

$$V_{MNSP} = V_L^{e\dagger} V_L^\nu = U(\omega)^\dagger V_L^\nu = \begin{pmatrix} \frac{2}{\sqrt{6}} & \frac{1}{\sqrt{3}} & 0 \\ -\frac{\omega}{\sqrt{6}} & \frac{\omega}{\sqrt{3}} & -\frac{e^{i\pi/6}}{\sqrt{2}} \\ -\frac{\omega^2}{\sqrt{6}} & \frac{\omega^2}{\sqrt{3}} & \frac{e^{-i\pi/6}}{\sqrt{2}} \end{pmatrix}$$



