



Continuous and Discrete (Flavor) Symmetries

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Feruglio et al. ('07), H/Serone ('11)

Blum/H/Lindner ('07), H/Ziegler ('10), Blum/H ('09), H/Meloni (to appear)



Outline

- Observations
- General comments on the flavor symmetry G_F properties, possible symmetries
- Examples
 - A_4 and S_4 : tri-bimaximal mixing
 - Dihedral groups in general, θ_C and $\mu\tau$ symmetry
- Conclusions

Observations: Charged Fermion Masses

	Mass at M_Z	in units of $m_t(M_Z)$
u	$(1.7 \pm 0.4) \text{ MeV}$	λ^8
c	$(0.62 \pm 0.03) \text{ GeV}$	λ^4
t	$(171 \pm 3) \text{ GeV}$	1

	Mass at M_Z	in units of $m_b(M_Z)$
d	$(3.0 \pm 0.6) \text{ MeV}$	λ^4
s	$(54 \pm 8) \text{ MeV}$	λ^2
b	$(2.87 \pm 0.03) \text{ GeV}$	1

	Mass at M_Z	in units of $m_\tau(M_Z)$
e	$(0.486570161 \pm 0.000000042) \text{ MeV}$	$\lambda^{4 \div 5}$
μ	$(102.7181359 \pm 0.0000092) \text{ MeV}$	λ^2
τ	$1.74624^{+0.00020}_{-0.00019} \text{ GeV}$	1

Observations: Neutrino Masses

- Mass hierarchy in ν sector is mild compared to charged fermions
- We know two mass squared differences (at 2σ)

(Fogli et al. ('11))

$$\delta m^2 \equiv \Delta m_{\text{sol}}^2 \equiv m_2^2 - m_1^2 = (7.58_{-0.42}^{+0.41}) \times 10^{-5} \text{ eV}^2$$

$$\Delta m^2 \equiv m_3^2 - \frac{m_2^2 + m_1^2}{2} = (2.35_{-0.18}^{+0.22}) \times 10^{-3} \text{ eV}^2$$

- However $m_1 < m_3$ and $m_3 < m_1$ is possible due to $\Delta m^2 \lesseqgtr 0$

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- However $m_1 < m_3$ and $m_3 < m_1$ is possible due to $\Delta m^2 \lesseqgtr 0$
- Also unknown: the absolute mass scale m_0

$$\sum m_\nu \leq 0.7 \text{ eV} \quad (\text{WMAP+LSS})$$

$$m_\beta < 2.2 \text{ eV} \quad (\text{Mainz})$$

$$|m_{ee}| < (0.2\dots 1) \text{ eV} \quad (\text{Heidelberg-Moscow, IGEX, NEMO})$$

Observations: Fermion Masses and Mixings

- The mixing pattern is very peculiar (*Fogli et al. ('11)*)

$$\sin^2(\theta_{12}^l) = 0.306_{-0.031}^{+0.036}, \quad \sin^2(\theta_{23}^l) = 0.42_{-0.06}^{+0.18} \quad \text{and} \quad \sin^2(\theta_{13}^l) = 0.021_{-0.013}^{+0.015}$$
$$\theta_{12}^l = (33.6_{-2.0}^{+2.2})^\circ, \quad \theta_{23}^l = (40.4_{-3.5}^{+10.4})^\circ \quad \text{and} \quad \theta_{13}^l = (8.3_{-3.2}^{+2.6})^\circ \quad (2\sigma)$$

compare to quark sector

$$\theta_{12}^q \approx 13^\circ, \quad \theta_{23}^q \approx 2.4^\circ \quad \text{and} \quad \theta_{13}^q \approx 0.21^\circ$$

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(Still) Hint at a Flavor Symmetry !?!

Special Patterns for Lepton Mixings - Before T2K

- $\mu\tau$ Symmetry

$$\sin^2(\theta_{23}^l) = \frac{1}{2}, \quad \sin^2(\theta_{13}^l) = 0$$

$$|U_{PMNS}| = \begin{pmatrix} \cos(\theta_{12}^l) & \sin(\theta_{12}^l) & 0 \\ \frac{\sin(\theta_{12}^l)}{\sqrt{2}} & \frac{\cos(\theta_{12}^l)}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ \frac{\sin(\theta_{12}^l)}{\sqrt{2}} & \frac{\cos(\theta_{12}^l)}{\sqrt{2}} & \frac{1}{\sqrt{2}} \end{pmatrix}$$

- Tri-Bimaximal mixing (TB mixing)

$$\sin^2(\theta_{12}^l) = \frac{1}{3}, \quad \sin^2(\theta_{23}^l) = \frac{1}{2}, \quad \sin^2(\theta_{13}^l) = 0$$

$$|U_{PMNS}| = \begin{pmatrix} \frac{2}{\sqrt{6}} & \frac{1}{\sqrt{3}} & 0 \\ \frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{pmatrix}$$

Special Patterns for Lepton Mixings -Before T2K

Further patterns which might be realized

- Golden ratio ($\phi = \frac{1}{2} (1 + \sqrt{5})$)

$$\sin^2(\theta_{12}^l) = \frac{1}{\sqrt{5}\phi} \approx 0.276, \quad \sin^2(\theta_{23}^l) = \frac{1}{2}, \quad \sin^2(\theta_{13}^l) = 0$$

$$|U_{PMNS}| = \begin{pmatrix} \sqrt{\frac{1}{10}(5 + \sqrt{5})} & \sqrt{\frac{2}{5 + \sqrt{5}}} & 0 \\ \frac{1}{\sqrt{5 + \sqrt{5}}} & \sqrt{\frac{1}{20}(5 + \sqrt{5})} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{5 + \sqrt{5}}} & \sqrt{\frac{1}{20}(5 + \sqrt{5})} & \frac{1}{\sqrt{2}} \end{pmatrix}$$

Are these still reasonable Leading Order Patterns ?!

Summary of Observations

- Three families of elementary particles observed
- Strong hierarchy among charged fermions
- Mass hierarchy in the ν sector is much milder, ordering and m_0 unknown
- Only θ_C is sizable in the quark sector
- Special lepton mixing pattern could be realized? Which one?
- No excessive flavor violation observed, all in accordance with Standard Model



Necessity of Constraints on Couplings y_{ij}^u , y_{ij}^l , etc.

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Necessity of Flavor Symmetry G_F ?!

Effect of Flavor Symmetry G_F

- Yukawa couplings in the SM

$$y_{ij}^u Q_i H^c u_j^c \quad \text{or} \quad y_{ij}^d Q_i H d_j^c$$

$$\text{with } y_{ij}^{u,d} \in \mathbb{C}$$

- Enforce invariance under G_F

↪ Constraints on $y_{ij}^{u,d}$

↪ Extension of scalar sector needed

$$H \rightarrow H_k \quad \text{or} \quad H \rightarrow H \phi_k / (M, \Lambda)$$

multi-Higgs doublets or flavon fields

$$y_{ij,k}^d Q_i H_k d_j^c \quad \text{or} \quad y_{ij,k}^d Q_i H d_j^c \left(\frac{\phi_k}{(M, \Lambda)} \right)$$

renormalizable couplings or in general non-renormalizable
but in both cases alignment is needed

Choice of Flavor Symmetry G_F

The symmetry G_F could ...

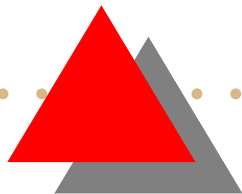
- ... be abelian or non-abelian



Choice of Flavor Symmetry G_F

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- ... be abelian or non-abelian
- ... be continuous or discrete



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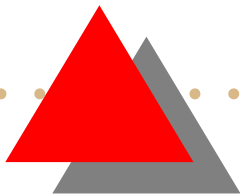
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Choice of Flavor Symmetry G_F

The symmetry G_F could ...

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- ... be local or global
- ... commute with the gauge group or not

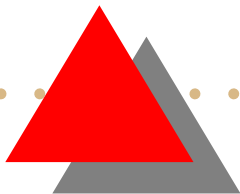




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- ... be spontaneously broken or explicitly





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- ... be broken arbitrarily or to non-trivial subgroups



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- ... be broken at low or high energies (low: electroweak scale)
(high: seesaw/GUT scale)

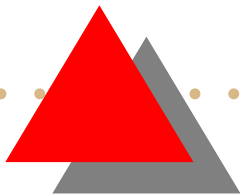


Choice of Flavor Symmetry G_F

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Its maximal possible size depends on the gauge group.



Possible Symmetries

- **Continuous Groups**

$$SU(2), U(2), SO(3), SU(3), U(3)$$

- **Discrete Groups**

- Permutation symmetries, S_N and A_N with $N \in \mathbb{N}$
- Dihedral symmetries, D_n and D'_n with $n \in \mathbb{N}$
- Further double-valued groups: T', O', I', \dots
- Subgroups of $SU(3)$, series of $\Delta(3n^2)$ and $\Delta(6n^2)$ groups with $n \in \mathbb{N}$, as well as finite number of Σ groups
- Additional groups such as subgroups of the mentioned groups, e.g. $T_7 \cong Z_7 \rtimes Z_3 \subset \Delta(147)$, subgroups of $U(3)$, e.g. $\Sigma(81)$



Models with Continuous G_F

The group $U(2)$ (*Barbieri/Hall ('96), Barbieri et al. ('96, '97, '99)*)

- $\underline{\mathbf{2}} + \underline{\mathbf{1}}$ structure explains heaviness of the third generation
- Framework of the models: supersymmetric $SU(5)$ ($SO(10)$) GUT
- Symmetry is broken in steps: $U(2) \rightarrow U(1) \rightarrow$ nothing
- $\underline{\mathbf{2}} + \underline{\mathbf{1}}$ also appropriate for solving the SUSY flavor problem
- Nine relations among fermion masses and mixings
- However, only θ_{23} large in lepton sector and θ_{12}, θ_{13} small



Models with Continuous G_F

The groups $SO(3)$ and $SU(3)$ are promising, ...

(King ('05), King/Ross ('01, '03), de Medeiros Varzielas/Ross ('05))

- ... since they allow to unify all three generations (in $SO(3)$ only left-handed ones)
- ... since the largeness of two mixing angles in the lepton sector indicates that not only two generations are closely related

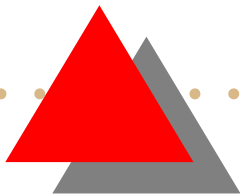




Models with Continuous G_F

General characteristics of $SO(3)$ & $SU(3)$ flavor models

- Hierarchical breaking
 $SO(3) \rightarrow SO(2) \rightarrow \text{nothing}$ and $SU(3) \rightarrow SU(2) \rightarrow \text{nothing}$
- SUSY models with SM, PS or (in EDs) $SO(10)$ gauge group
- Masses of SM fermions arise from non-renorm. operators only
- Additionally symmetries (Z_n factors and $U(1)$) to forbid operators
- Different messenger scales allow different expansion parameters in up quark, down quark and charged lepton sector
- Specific vacuum structure necessary to predict TB mixing
- Vacuum alignment achieved by F - or D -terms





Models with Continuous G_F

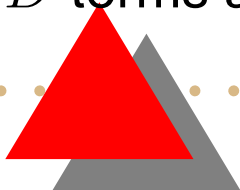
Lepton mixing in the $SO(3)$ & $SU(3)$ flavor models

- TB mixing is achieved through CSD (*King ('05)*)
- Corrections from charged lepton sector are small

Discrete “spin-offs” of the $SO(3)$ & $SU(3)$ models

(*de Medeiros Varzielas et al. ('05, '06), King/Malinsky ('06)*)

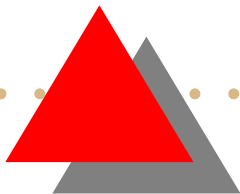
- Use A_4 , $\Delta(27)$, $\Delta(108)$ as flavor group
- Very similar results compared to the models with continuous symmetries
- In general the vacuum alignment is simpler
- No D -terms arise from discrete flavor symmetry





Possible Discrete Symmetries

- Permutation symmetries: symmetric groups S_N and alternating groups A_N with $N \in \mathbb{N}$
- Dihedral symmetries: single-valued groups D_n and double-valued groups D'_n with $n \in \mathbb{N}$
- Further double-valued groups: T' , O' , I' , ...
- Subgroups of $SU(3)$: series of $\Delta(3n^2)$ and $\Delta(6n^2)$ groups with $n \in \mathbb{N}$, as well as finite number of Σ groups
- Subgroups of $U(3)$ such as $\Sigma(81)$ and subgroups of the listed groups such as $T_7 \cong Z_7 \rtimes Z_3 \subset \Delta(147)$



TB Mixing from Non-Trivial A_4 Breaking

(Altarelli/Feruglio ('05), de Medeiros Varzielas et al. ('05), He et al. ('06))

- $G_F = A_4$ spontaneously broken at high energies
- Low energy effective theory: MSSM
- Breaking of G_F is induced by VEVs of flavon fields which are singlets under the SM gauge group
- (MS)SM fermions transform non-trivially under G_F
- MSSM Higgs doublets $h_{u,d}$ are singlets under G_F
- Elaborate construction of scalar sector needed to ensure vacuum alignment

Particle Content

Field	<i>LEPTONS</i>				<i>FLAVONS</i>				
	L	e_L^c	μ_L^c	τ_L^c	φ_T	φ_S	$\xi, \tilde{\xi}$	θ	
A_4	3	1	$1''$	$1'$	3	3	1	1	family dependent
Z_3	ω	ω^2	ω^2	ω^2	1	ω	ω	1	family independent
$U(1)_{FN}$	0	2	1	0	0	0	0	-1	family dependent

Additionally needed

- Z_3 symmetry to separate charged lepton and neutrino sector
- $U(1)_{FN}$ for hierarchy $m_e \ll m_\mu \ll m_\tau$

Group Theory of A_4

- The group A_4 is the symmetry group of a regular tetrahedron, group of even permutations of four objects
- Order of the group is 12
- Irred reps are 1, 1', 1'' and 3
- Kronecker products

$$1 \times \mu = \mu \quad \forall \quad \mu ,$$

$$1' \times 1' = 1'' , \quad 1'' \times 1'' = 1' , \quad 1' \times 1'' = 1$$

$$1 \times 3 = 1' \times 3 = 1'' \times 3 = 3$$

$$3 \times 3 = 1 + 1' + 1'' + 3 + 3$$

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- The group A_4 is the symmetry group of a regular tetrahedron, group of even permutations of four objects
- Order of the group is 12
- Irred reps are 1, 1', 1'' and 3
- Generator relations $S^2 = \mathbb{1}$, $T^3 = \mathbb{1}$, $(ST)^3 = \mathbb{1}$

rep.	S	T
1	1	1
1'	1	ω^2
1''	1	ω
3	$\frac{1}{3} \begin{pmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega^2 & 0 \\ 0 & 0 & \omega \end{pmatrix}$

$$(\omega = e^{\frac{2\pi i}{3}})$$

(Altarelli/Feruglio
'05), 2nd paper)

Fermion Masses at LO

- Assume vacuum

$$G_S : \quad \langle \varphi_S \rangle = (v_S, v_S, v_S), \quad \langle \xi \rangle = u, \quad \langle \tilde{\xi} \rangle = 0,$$

$$G_T : \quad \langle \varphi_T \rangle = (v_T, 0, 0).$$

- Charged lepton sector

$$\mathcal{M}_l = \frac{v_T}{\Lambda} v_d \text{diag} \left(y_e \frac{\langle \theta \rangle^2}{\Lambda^2}, y_\mu \frac{\langle \theta \rangle}{\Lambda}, y_\tau \right)$$

- Neutrino sector

$$M_\nu = \frac{v_u^2}{\Lambda} \begin{pmatrix} a + 2b/3 & -b/3 & -b/3 \\ -b/3 & 2b/3 & a - b/3 \\ -b/3 & a - b/3 & 2b/3 \end{pmatrix} \Rightarrow \text{TB mixing!}$$

with $\frac{v_u^2}{\Lambda} \text{diag}(a + b, a, -a + b)$ and $a = x_a \frac{u}{\Lambda}$, $b = x_b \frac{v_S}{\Lambda}$

Non-Trivial A_4 Breaking

- Observation

$\langle \varphi_S \rangle = (v_S, v_S, v_S)$, $\langle \xi \rangle = u$ breaks $A_4 \rightarrow G_S \cong Z_2$ in ν sector

$\langle \varphi_T \rangle = (v_T, 0, 0)$ breaks $A_4 \rightarrow G_T \cong Z_3$ in l sector

A_4 completely broken in the whole theory

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A_4 completely broken in the whole theory

In detail: Z_2 symmetry is generated by the element S of A_4

Since for $1, 1'$ and $1''$ $S = 1$, VEV $\langle \xi \rangle$ preserves S .

For $\langle \varphi_S \rangle$ the vector has to fulfill

$$\langle \varphi_S \rangle \propto v_{+1} \quad \text{with} \quad S v_{+1} = +1 v_{+1}$$

$$\text{i.e. } \frac{1}{3} \begin{pmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{pmatrix} \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix} = \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$$

Non-Trivial A_4 Breaking

- Observation

$\langle \varphi_S \rangle = (v_S, v_S, v_S)$, $\langle \xi \rangle = u$ breaks $A_4 \rightarrow G_S \cong Z_2$ in ν sector

$\langle \varphi_T \rangle = (v_T, 0, 0)$ breaks $A_4 \rightarrow G_T \cong Z_3$ in l sector

A_4 completely broken in the whole theory

In detail: Z_3 symmetry is generated by the element T of A_4

For $\langle \varphi_T \rangle$ the vector has to fulfill

$$\langle \varphi_T \rangle \propto v_{+1} \quad \text{with} \quad T v_{+1} = +1 v_{+1}$$

$$\text{i.e.} \quad \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega^2 & 0 \\ 0 & 0 & \omega \end{pmatrix} \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$$

Non-Trivial A_4 Breaking

- Observation

$\langle \varphi_S \rangle = (v_S, v_S, v_S)$, $\langle \xi \rangle = u$ breaks $A_4 \rightarrow G_S \cong Z_2$ in ν sector

$\langle \varphi_T \rangle = (v_T, 0, 0)$ breaks $A_4 \rightarrow G_T \cong Z_3$ in l sector

A_4 completely broken in the whole theory

A_4	D_2	Z_3	Z_2
1	1	1	1
1'	1		1
1''	1		1
3		1 + ...	1 + ...

with **1** being a total singlet of the subgroup

Non-Trivial A_4 Breaking

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$\langle \varphi_S \rangle = (v_S, v_S, v_S)$, $\langle \xi \rangle = u$ breaks $A_4 \rightarrow G_S \cong Z_2$ in ν sector

$\langle \varphi_T \rangle = (v_T, 0, 0)$ breaks $A_4 \rightarrow G_T \cong Z_3$ in l sector

A_4 completely broken in the whole theory

Mismatch of different subgroups generates non-trivial (large) mixing and even more predicts exact values of mixing angles (TB mixing)
(independent of choice of parameters, apart from order of eigenvalues)



Interpretation of Mixing

Note:

In the T' extension of this model small quark mixings are explained through the breaking to the same subgroup in up and down quark sector.

(Feruglio et al. ('07))

Is S_4 better for TB Mixing?

(Lam ('06,'07,'08))

- TB mixing only results from A_4 , if two flavons ξ' , ξ'' in $1'$ and $1''$ are **not** present in the neutrino sector
- However: $\langle \xi' \rangle \neq 0$, $\langle \xi'' \rangle \neq 0$ leave $Z_2 \subset A_4$ invariant
- Matrix M_ν

$$M_\nu = \frac{v_u^2}{\Lambda} \begin{pmatrix} a + 2b/3 & -b/3 & -b/3 \\ -b/3 & 2b/3 & a - b/3 \\ -b/3 & a - b/3 & 2b/3 \end{pmatrix}$$

is not only invariant under S

$$S = \frac{1}{3} \begin{pmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{pmatrix}, \quad \text{i.e.} \quad S^T M_\nu S = M_\nu$$

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but also under P_{23}

$$P_{23} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}, \quad \text{i.e.} \quad P_{23}^T M_\nu P_{23} = M_\nu$$

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- However: $\langle \xi' \rangle \neq 0$, $\langle \xi'' \rangle \neq 0$ leave $Z_2 \subset A_4$ invariant
- Matrix M_ν is invariant under S and P_{23}



M_ν is invariant under $Z_2 \times Z_2$

But only S is an element of A_4 and **not** P_{23}



The actual symmetry must be larger: S_4

$S_4 \rightarrow Z_2 \times Z_2$ in ν sector

$S_4 \rightarrow Z_3$ in l sector

S_4 *completely* broken in the whole theory

Probably the best is $S_4 \times Z_3$

(H/Serone ('11))

Problem: Whether the charged lepton masses can still be *naturally* generated by, e.g. a $U(1)_{FN}$, is **not** obvious.

Solution: $S_4 \times Z_3$

The additional Z_3 factor solves the problem of the charged lepton mass hierarchy, because now the S_4 breaking is


$$S_4 \times Z_3 \rightarrow Z_2 \times Z_2 \times Z_3 \quad \text{in } \nu \text{ sector}$$

$$S_4 \times Z_3 \rightarrow Z_3^{(D)} \quad \text{in } l \text{ sector}$$

$S_4 \times Z_3$ *completely* broken in the whole theory

Details:

Field	L	e_R	μ_R	τ_R	ν_R
S_4	3	1	1	1	3
Z_3	1	1	ω^2	ω	1


$$S_4 \times Z_3 \rightarrow Z_3^{(D)}$$

- Take the generator T of S_4 for $\mathbf{3}$

$$T = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega^2 & 0 \\ 0 & 0 & \omega \end{pmatrix}$$

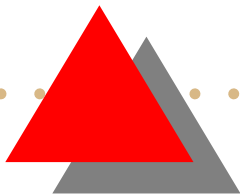
and break it together with Z_3 to their diagonal subgroup $Z_3^{(D)}$

- Leptons transform under the remnant $Z_3^{(D)}$ as

$$L_1 \sim 1 \quad L_2 \sim \omega^2 \quad L_3 \sim \omega$$

$$e_R \sim 1 \quad \mu_R \sim \omega^2 \quad \tau_R \sim \omega$$

- As consequence, the charged lepton mass matrix is diagonal.





Should we throw this away in light of T2K?

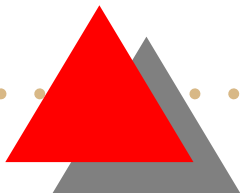
- In all models TB mixing holds only at LO and gets corrected
- For protecting θ_{12} corrections should be $\delta \lesssim 0.05$
- In most models (but not all!) then also $\sin \theta_{13} \sim \delta \approx 0.05$.
- However, usually

$$\sin \theta_{13} \approx c \times \delta$$

with c a complex number. c largish still saves most models.

Early exception: *Lin ('09)*

- If you do not like this, maybe you like models with bimaximal mixing at LO, because then $\delta \sim 0.2$ also for θ_{12} , but tricks needed for keeping $\delta_{\theta_{23}}$ smaller. (*Altarelli/Feruglio/Merlo ('09), Meloni ('11)*)
- **T2K signal has to be confirmed by others/more statistics!**





Dihedral Symmetries: Idea of the Prediction

It has been shown in a study on the general properties of dihedral groups used as flavor symmetries that in case of a non-trivial breaking one element of the mixing matrix is given only in terms of group theoretical quantities. *(Blum et al. ('07))*

The element of the mixing matrix $|V_{\alpha\beta}|$ is

$$|V_{\alpha\beta}| = \left| \cos \left(\frac{\pi (k_1 - k_2) j}{n} \right) \right|$$

for

n being the index of the dihedral group D_n

j being the index of the representation $\underline{2}_j$ under which two of the three generations of left-handed fermion fields transform

k_1 and k_2 being two integers which specify the **different** subgroups

$Z_2 = \langle B A^{k_{1,2}} \rangle$ preserved in the two **different** sectors



Dihedral Symmetries: Idea of the Prediction

Step 1:

Take a dihedral group D_n generated by A and B and break it to $Z_2 = \langle BA^{k_1} \rangle$ in fermion sector 1 and to $Z_2 = \langle BA^{k_2} \rangle$ in fermion sector 2

Step 2:

Assign left-handed fields $L \sim \mathbf{1}_s + \mathbf{2}_j$ and right-handed ones are either in singlet representations $L^c \sim \mathbf{1}_{i_p}$ or also $L^c \sim \mathbf{1}_l + \mathbf{2}_m$

Step 3:

Consider a generic model in which you have two sets of scalars Φ_1 and Φ_2 (for fermion sector 1 and sector 2) in all representations μ of D_n and allow non-zero VEVs only for those which leave invariant $Z_2 = \langle BA^{k_1} \rangle$ and $Z_2 = \langle BA^{k_2} \rangle$, respectively, i.e.

$$\text{sector 1} : D_n \xrightarrow{\langle \Phi_1 \rangle} Z_2 = \langle BA^{k_1} \rangle$$

$$\text{sector 2} : D_n \xrightarrow{\langle \Phi_2 \rangle} Z_2 = \langle BA^{k_2} \rangle$$

Dihedral Symmetries: Idea of the Prediction

Step 4:

Mass matrices \mathcal{M}_i have the form ($i = 1, 2$)

$$\mathcal{M}_i = \begin{pmatrix} 0 & A_i & B_i \\ C_i & D_i & E_i \\ -C_i e^{-i\varphi_i j} & D_i e^{-i\varphi_i j} & E_i e^{-i\varphi_i j} \end{pmatrix} \quad \text{with} \quad \varphi_i = \frac{2\pi k_i}{n}$$

Dihedral Symmetries: Idea of the Prediction

Step 4:

Mass matrices \mathcal{M}_i have the form ($i = 1, 2$)

$$\text{or } \mathcal{M}_i = \begin{pmatrix} A_i & C_i & C_i e^{-i\varphi_i m} \\ B_i & D_i & E_i \\ B_i e^{-i\varphi_i j} & E_i e^{-i\varphi_i(j-m)} & D_i e^{-i\varphi_i(j+m)} \end{pmatrix} \quad \text{with } \varphi_i = \frac{2\pi k_i}{n}$$

Dihedral Symmetries: Idea of the Prediction

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Step 5:

Such matrices have $\mathcal{M}_i \mathcal{M}_i^\dagger$ of the form

$$\mathcal{M}_i \mathcal{M}_i^\dagger = \begin{pmatrix} a_i & b_i e^{i\zeta_i} & b_i e^{i(\zeta_i + \varphi_i j)} \\ b_i e^{-i\zeta_i} & c_i & d_i e^{i\varphi_i j} \\ b_i e^{-i(\zeta_i + \varphi_i j)} & d_i e^{-i\varphi_i j} & c_i \end{pmatrix}$$

Dihedral Symmetries: Idea of the Prediction

Step 6:

Mixing matrices U_i are

$$U_i = \begin{pmatrix} 0 & \cos \theta_i e^{i\zeta_i} & \sin \theta_i e^{i\zeta_i} \\ -\frac{1}{\sqrt{2}} e^{i\varphi_{ij}} & -\frac{\sin \theta_i}{\sqrt{2}} & \frac{\cos \theta_i}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} & -\frac{\sin \theta_i}{\sqrt{2}} e^{-i\varphi_{ij}} & \frac{\cos \theta_i}{\sqrt{2}} e^{-i\varphi_{ij}} \end{pmatrix} \quad \text{with} \quad \varphi_i = \frac{2\pi k_i}{n}$$

Step 7:

Physical mixings arise from $V = U_1^t U_2^*$. You can check that one element is of the form

$$|V_{\alpha\beta}| = \frac{1}{2} \left| 1 + e^{i(\varphi_1 - \varphi_2)j} \right| = \left| \cos \left((\varphi_1 - \varphi_2) \frac{j}{2} \right) \right| = \left| \cos \left(\frac{\pi (k_1 - k_2) j}{n} \right) \right|$$

Rest of elements depends also on $\theta_{1,2}$ and $\zeta_{1,2}$.

D_4 Model for $\mu\tau$ Symmetry

(H/Ziegler ('10))

It has been shown in a study on the general properties of dihedral groups used as flavor symmetries that in case of a non-trivial breaking one element of the mixing matrix is given only in terms of group theoretical quantities.

In this model this is true for the PMNS matrix element $|U_{\mu 3}|$ which is

$$|U_{\mu 3}| = \left| \cos \left(\frac{\pi ((0, 2) - (1, 3)) 1}{4} \right) \right| = \left| \cos \left(\frac{\pi}{4} \right) \right| = 1/\sqrt{2}$$

$n = 4$, since G_F contains D_4

$j = 1$, since $L_D \sim \underline{\mathbf{2}}$

$k_1 = 0, 2$: $Z_2 = \langle B \rangle$ or $Z_2 = \langle B A^2 \rangle$ in neutrino sector

$k_2 = 1, 3$: $Z_2 = \langle B A \rangle$ or $Z_2 = \langle B A^3 \rangle$ in charged lepton sector

D_{14} Model: Idea of the Prediction

(Blum/H ('09))

It has been shown in a study on the general properties of dihedral groups used as flavor symmetries that in case of a non-trivial breaking one element of the mixing matrix is given only in terms of group theoretical quantities.

In this model this is true for the CKM matrix element $|V_{ud}|$ which is

$$|V_{ud}| = \left| \cos \left(\frac{\pi (0 - 1) 1}{14} \right) \right| = \left| \cos \left(\frac{\pi}{14} \right) \right| \approx 0.97493$$

for

$n = 14$, since G_F contains D_{14}

$j = 1$, since $Q_D \sim \mathbf{2}_1$

$k_1 = 0$ and $k_2 = 1$ or $k_2 = 13$ so that $Z_2 = \langle B \rangle$ is conserved in the up and $Z_2 = \langle B A \rangle$ or $Z_2 = \langle B A^{13} \rangle$ in the down quark sector

Group Theory of D_{14}

- D_{14} belongs to the dihedral groups and is the symmetry group of a regular planar 14-gon
- Its order is 28, i.e. it has 28 distinct elements
- It has 4 + 6 real irred. reps., $\underline{\mathbf{1}}_i$, $i = 1, \dots, 4$ and $\underline{\mathbf{2}}_j$, $j = 1, \dots, 6$
- Generator relations of D_{14}

$$A^{14} = \mathbb{1} , \quad B^2 = \mathbb{1} , \quad A B A = B$$

- Generators

$$\underline{\mathbf{1}}_1 \quad : \quad A = 1 , \quad B = 1$$

$$\underline{\mathbf{1}}_2 \quad : \quad A = 1 , \quad B = -1$$

$$\underline{\mathbf{1}}_3 \quad : \quad A = -1 , \quad B = 1$$

$$\underline{\mathbf{1}}_4 \quad : \quad A = -1 , \quad B = -1$$

Group Theory of D_{14}

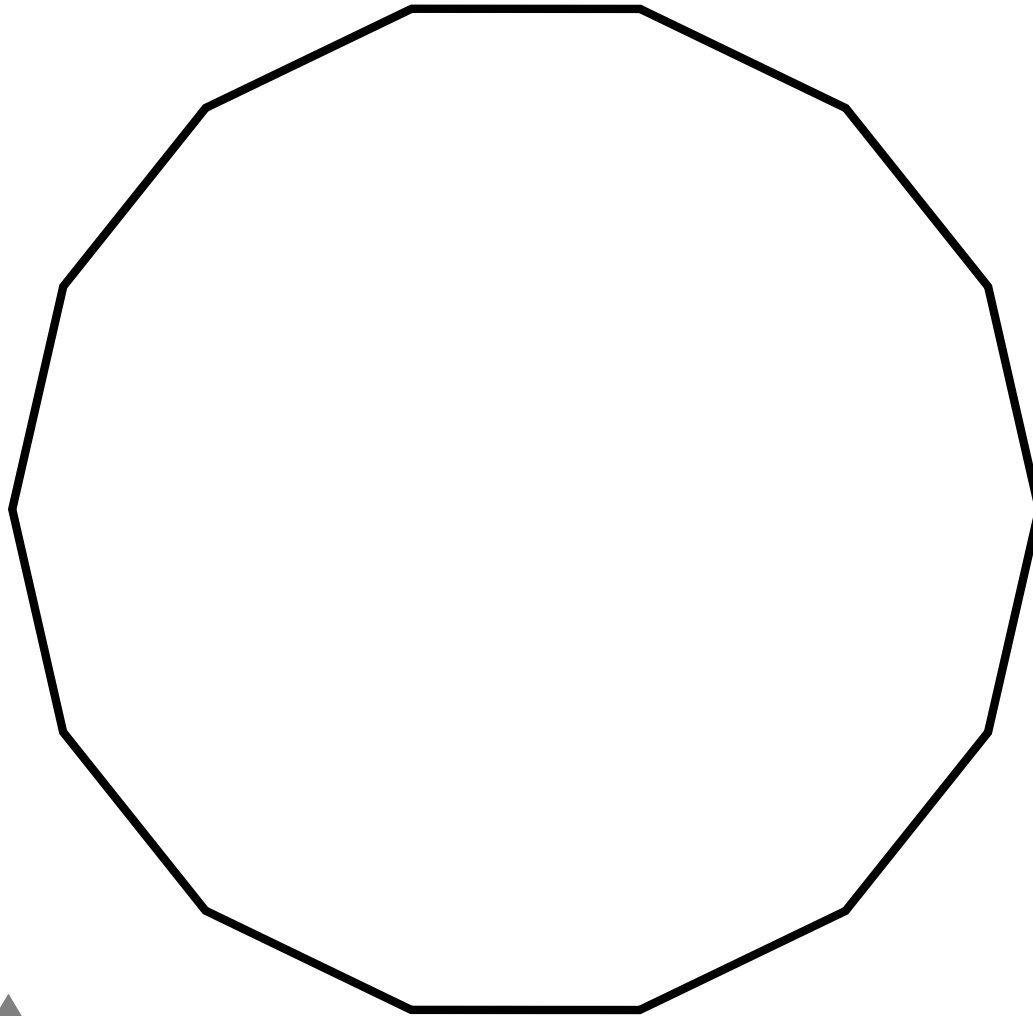
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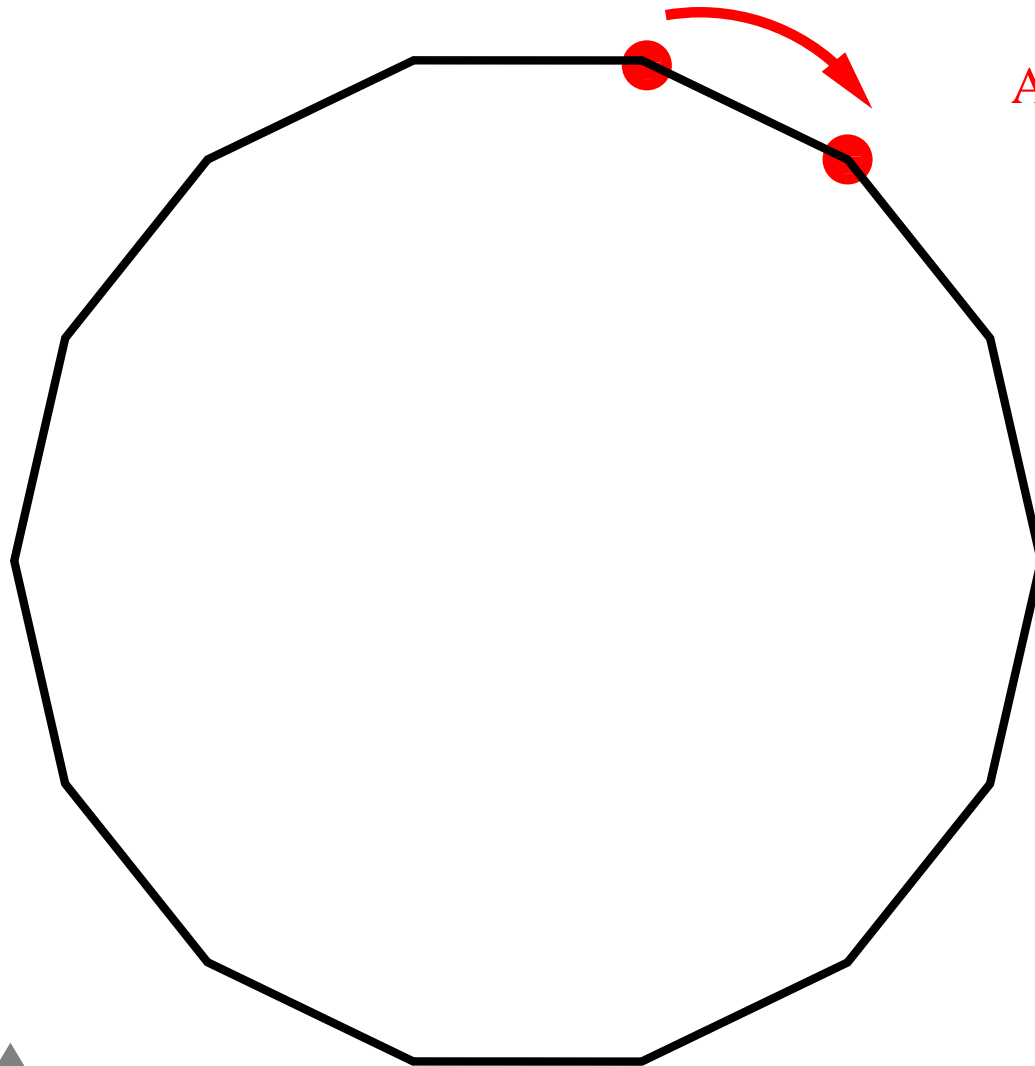
- Generators

$$A = \begin{pmatrix} e^{(\frac{\pi i}{7})j} & 0 \\ 0 & e^{-(\frac{\pi i}{7})j} \end{pmatrix}, \quad B = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad j = 1, \dots, 6$$

Visualization of D_{14}

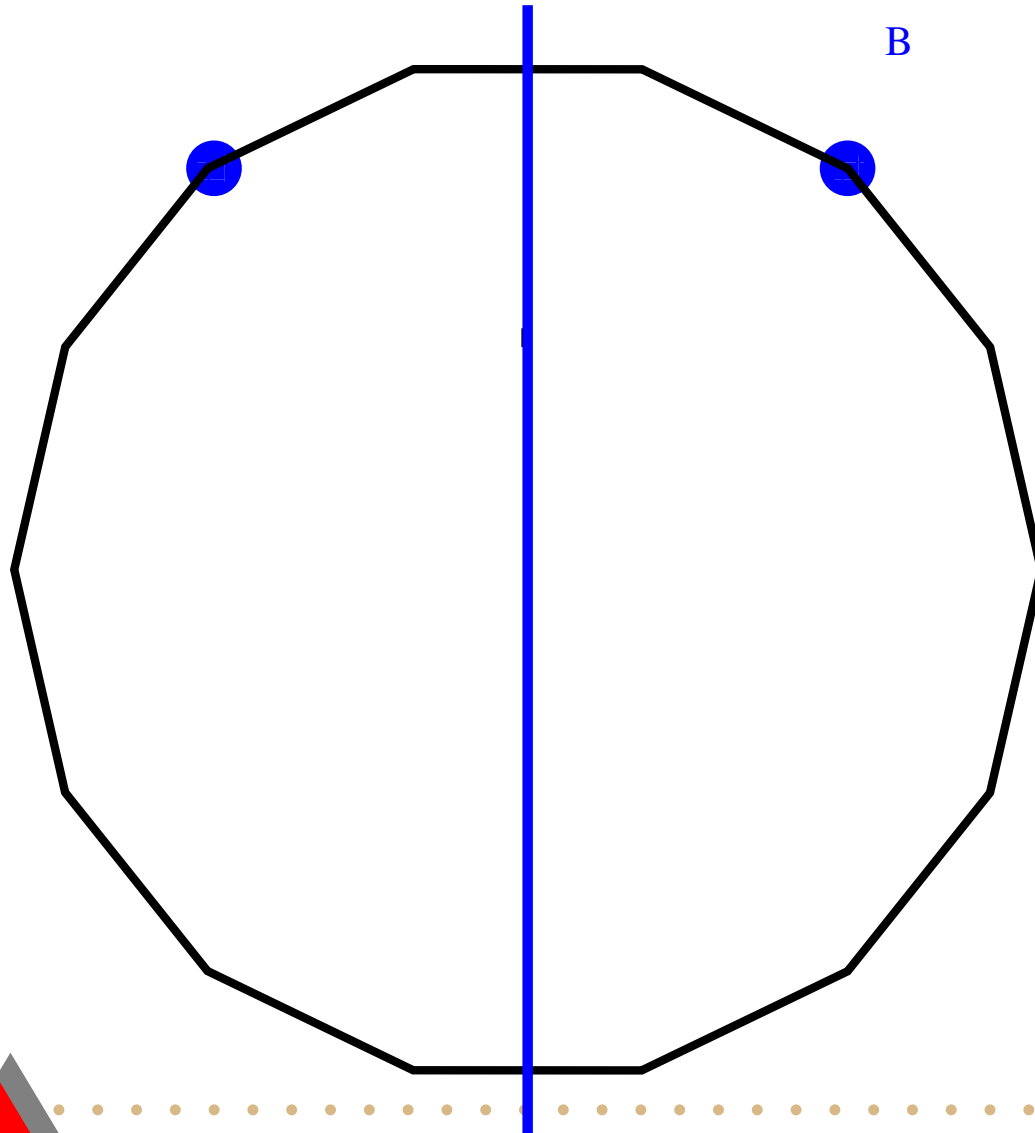


Visualization of D_{14}



A

Visualization of D_{14}



Z_2 Subgroups of D_{14}

One type of Z_2 subgroup is generated by the element BA^k with $k = 0, \dots, 13$

$$\begin{aligned} \underline{\mathbf{1}}_1 & : BA^k = 1 && \checkmark \\ \underline{\mathbf{1}}_2 & : BA^k = -1 && - \\ \underline{\mathbf{1}}_3 & : BA^k = (-1)^k && \checkmark \text{ for } k \text{ even} \\ \underline{\mathbf{1}}_4 & : BA^k = (-1)^{k+1} && \checkmark \text{ for } k \text{ odd} \end{aligned}$$

Scalars $\varphi_{1,2}$ forming a $\underline{\mathbf{2}}_j$ under D_{14} leave a Z_2 invariant, if

$$\begin{pmatrix} \langle \varphi_1 \rangle \\ \langle \varphi_2 \rangle \end{pmatrix} \propto \begin{pmatrix} e^{-\frac{\pi i j k}{7}} \\ 1 \end{pmatrix} \Rightarrow \boxed{\begin{array}{l} Z_2 \text{ group in up quark sector with } k_1 = 0 \\ \text{and in down quark sector with } k_2 \neq 0 \text{ odd} \end{array}}$$

D_{14} Quark Model

(Blum/H ('09))

- Flavor group $G_F = D_{14} \times Z_3 \times U(1)_{FN}$
- Framework: MSSM
- Quarks transform non-trivially under G_F
- MSSM Higgs doublets $h_{u,d}$ are singlets under G_F
- Necessity of gauge singlets (flavons) transforming under G_F

$$\{\psi_{1,2}^u, \chi_{1,2}^u, \xi_{1,2}^u, \eta^u\} \quad \text{and} \quad \{\psi_{1,2}^d, \chi_{1,2}^d, \xi_{1,2}^d, \eta^d, \sigma\}$$

- FN field θ is only charged under $U(1)_{FN}$
- Structure of Yukawa couplings

$$\frac{\theta^2}{\Lambda^4} (Q_D u^c \chi^u \xi^u) h_u \quad \text{and} \quad \frac{1}{\Lambda} Q_3 (b^c \eta^d) h_d$$

Setup of Quark Model

Field	Q_D	Q_3	u^c	c^c	t^c	d^c	s^c	b^c	$h_{u,d}$
D_{14}	$\underline{\mathbf{2}}_1$	$\underline{\mathbf{1}}_1$	$\underline{\mathbf{1}}_4$	$\underline{\mathbf{1}}_3$	$\underline{\mathbf{1}}_1$	$\underline{\mathbf{1}}_3$	$\underline{\mathbf{1}}_1$	$\underline{\mathbf{1}}_4$	$\underline{\mathbf{1}}_1$
Z_3	1	1	1	1	1	ω^2	ω^2	ω^2	1
$U(1)_{FN}$	0	0	2	0	0	1	1	0	0

Field	$\psi_{1,2}^u$	$\chi_{1,2}^u$	$\xi_{1,2}^u$	η^u	$\psi_{1,2}^d$	$\chi_{1,2}^d$	$\xi_{1,2}^d$	η^d	σ	θ
D_{14}	$\underline{\mathbf{2}}_1$	$\underline{\mathbf{2}}_2$	$\underline{\mathbf{2}}_4$	$\underline{\mathbf{1}}_3$	$\underline{\mathbf{2}}_1$	$\underline{\mathbf{2}}_2$	$\underline{\mathbf{2}}_4$	$\underline{\mathbf{1}}_4$	$\underline{\mathbf{1}}_1$	$\underline{\mathbf{1}}_1$
Z_3	1	1	1	1	ω	ω	ω	ω	ω	1
$U(1)_{FN}$	0	0	0	0	0	0	0	0	0	-1

Leading Order in Up Quark Sector

no ϕ

$$(33) \quad Q_3 t^c h_u$$

1 ϕ

$$(13), (23) \quad \frac{1}{\Lambda} (Q_D \psi^u) t^c h_u$$

$$(32) \quad \frac{1}{\Lambda} Q_3 (c^c \eta^u) h_u$$

2 ϕ

$$(11), (21) \quad \frac{\theta^2}{\Lambda^4} (Q_D u^c \chi^u \xi^u) h_u + \frac{\theta^2}{\Lambda^4} (Q_D u^c (\xi^u)^2) h_u + \frac{\theta^2}{\Lambda^4} (Q_D \psi^u \eta^u u^c) h_u$$

$$(12), (22) \quad \frac{1}{\Lambda^2} (Q_D c^c \chi^u \xi^u) h_u + \frac{1}{\Lambda^2} (Q_D c^c (\xi^u)^2) h_u + \frac{1}{\Lambda^2} (Q_D \psi^u) (\eta^u c^c) h_u$$

Leading Order in Down Quark Sector

$$\boxed{1\phi}$$

$$(33) \quad \frac{1}{\Lambda} Q_3 (b^c \eta^d) h_d$$

$$(32) \quad \frac{\theta}{\Lambda^2} Q_3 s^c \sigma h_d$$

$$(12), (22) \quad \frac{\theta}{\Lambda^2} (Q_D \psi^d) s^c h_d$$

Vacuum Structure

Up quark sector $\langle \eta^u \rangle \neq 0$

$$\begin{pmatrix} \langle \psi_1^u \rangle \\ \langle \psi_2^u \rangle \end{pmatrix} = v^u \begin{pmatrix} 1 \\ 1 \end{pmatrix}, \quad \begin{pmatrix} \langle \chi_1^u \rangle \\ \langle \chi_2^u \rangle \end{pmatrix} = w^u \begin{pmatrix} 1 \\ 1 \end{pmatrix}, \quad \begin{pmatrix} \langle \xi_1^u \rangle \\ \langle \xi_2^u \rangle \end{pmatrix} = z^u \begin{pmatrix} 1 \\ 1 \end{pmatrix}$$

Down quark sector $\langle \eta^d \rangle \neq 0$ and $\langle \sigma \rangle \neq 0$

$$\begin{pmatrix} \langle \psi_1^d \rangle \\ \langle \psi_2^d \rangle \end{pmatrix} = v^d \begin{pmatrix} e^{-\frac{\pi i k}{7}} \\ 1 \end{pmatrix}, \quad \begin{pmatrix} \langle \chi_1^d \rangle \\ \langle \chi_2^d \rangle \end{pmatrix} = w^d e^{\frac{\pi i k}{7}} \begin{pmatrix} e^{-\frac{2\pi i k}{7}} \\ 1 \end{pmatrix},$$
$$\begin{pmatrix} \langle \xi_1^d \rangle \\ \langle \xi_2^d \rangle \end{pmatrix} = z^d e^{\frac{2\pi i k}{7}} \begin{pmatrix} e^{-\frac{4\pi i k}{7}} \\ 1 \end{pmatrix}$$

Results for Quarks at Leading Order

Assume $\frac{\langle \Phi^u \rangle}{\Lambda} \approx \epsilon$, $\frac{\langle \Phi^d \rangle}{\Lambda} \approx \epsilon$, $t = \frac{\langle \theta \rangle}{\Lambda} \approx \epsilon \approx \lambda^2 \approx 0.04$
then \mathcal{M}_u and \mathcal{M}_d read

$$\mathcal{M}_u = \begin{pmatrix} -\alpha_1^u t^2 \epsilon^2 & \alpha_2^u \epsilon^2 & \alpha_3^u \epsilon \\ \alpha_1^u t^2 \epsilon^2 & \alpha_2^u \epsilon^2 & \alpha_3^u \epsilon \\ 0 & \alpha_4^u \epsilon & y_t \end{pmatrix} \langle h_u \rangle$$

$$\mathcal{M}_d = \begin{pmatrix} 0 & \alpha_1^d t \epsilon & 0 \\ 0 & \alpha_1^d e^{-\pi i k/7} t \epsilon & 0 \\ 0 & \alpha_2^d t \epsilon & y_b \epsilon \end{pmatrix} \langle h_d \rangle$$

Results for Quarks at Leading Order

Quark Masses

$$m_u^2 : m_c^2 : m_t^2 \sim \epsilon^8 : \epsilon^4 : 1, \quad m_d^2 : m_s^2 : m_b^2 \sim 0 : \epsilon^2 : 1,$$
$$m_b^2 : m_t^2 \sim \epsilon^2 : 1 \quad \text{for small } \tan \beta$$

CKM matrix

$$|V_{CKM}| = \begin{pmatrix} |\cos(\frac{k\pi}{14})| & |\sin(\frac{k\pi}{14})| & 0 \\ |\sin(\frac{k\pi}{14})| & |\cos(\frac{k\pi}{14})| & 0 \\ 0 & 0 & 1 \end{pmatrix} + \begin{pmatrix} 0 & \mathcal{O}(\epsilon^4) & \mathcal{O}(\epsilon^2) \\ \mathcal{O}(\epsilon^2) & \mathcal{O}(\epsilon^2) & \mathcal{O}(\epsilon) \\ \mathcal{O}(\epsilon) & \mathcal{O}(\epsilon) & \mathcal{O}(\epsilon^2) \end{pmatrix}$$



$$k = 1 \text{ or } k = 13 \text{ leads to } |V_{ud}| \approx 0.97493$$

Experimental value: $|V_{ud}|_{\text{exp}} = 0.97419_{-0.00022}^{+0.00022}$

Completion: Add Leptons

(H/Meloni (to appear))

Goals :

- Add leptons in minimal way
- Predict $\mu\tau$ symmetry in the lepton sector
- Do not disturb quark sector

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Solution

Field	L_1	L_D	e^c	μ^c	τ^c	ν_1^c	ν_D^c	$\chi_{1,2}^e$
D_{14}	$\underline{\mathbf{1}}_3$	$\underline{\mathbf{2}}_2$	$\underline{\mathbf{1}}_1$	$\underline{\mathbf{1}}_1$	$\underline{\mathbf{1}}_1$	$\underline{\mathbf{1}}_2$	$\underline{\mathbf{2}}_3$	$\underline{\mathbf{2}}_2$
Z_7	1	1	ω_7^5	ω_7^5	ω_7^5	ω_7^4	ω_7^4	ω_7^2

Neutrino Sector

Majorana mass matrix for right-handed neutrinos

$$\boxed{1\phi}$$
$$(11) \quad \nu_1^c \nu_1^c \sigma$$
$$(23) \quad (\nu_D^c \nu_D^c) \sigma$$

leads to

$$\mathcal{M}_R = \begin{pmatrix} \alpha_1^M & 0 & 0 \\ 0 & 0 & \alpha_2^M \\ 0 & \alpha_2^M & 0 \end{pmatrix} \in \Lambda$$

Neutrino Sector

Dirac neutrino mass matrix

$$\boxed{1\phi}$$

$$(12), (13) \quad \frac{1}{\Lambda} (L_1 \nu_D^c \xi^u) h_u$$

$$(21), (31) \quad \frac{1}{\Lambda} (L_D \nu_1^c \chi^u) h_u$$

$$(23), (32) \quad \frac{1}{\Lambda} (L_D \nu_D^c \psi^u) h_u$$

leads to

$$\mathcal{M}_\nu^D = \begin{pmatrix} 0 & \alpha_1^D & \alpha_1^D \\ \alpha_2^D & 0 & \alpha_3^D \\ -\alpha_2^D & \alpha_3^D & 0 \end{pmatrix} \epsilon \langle h_u \rangle$$

Neutrino Sector

Light neutrino mass matrix

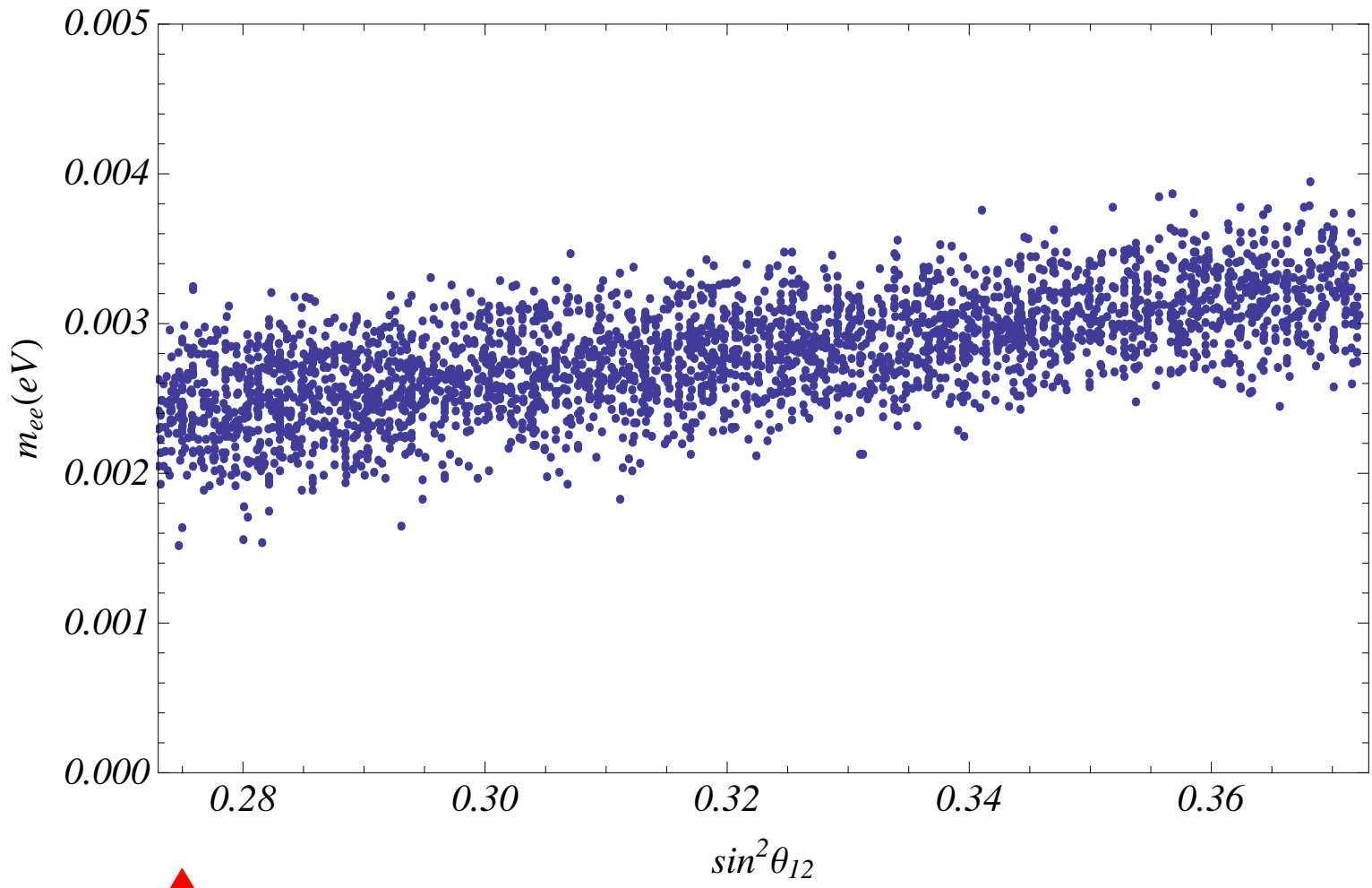
$$\mathcal{M}_\nu = \begin{pmatrix} 2x^2/v & x & x \\ x & z & v-z \\ x & v-z & z \end{pmatrix} \epsilon \langle h_u \rangle^2 / \Lambda$$

- $\mu\tau$ symmetric neutrino mixing
- θ_{12}^ν is given by $\tan(\theta_{12}^\nu) = \sqrt{2} \left| \frac{x}{v} \right|$
- Normal ordering with $m_1 = 0$ is predicted and

$$m_2^2 = \frac{(|v|^2 + 2|x|^2)^2}{|v|^2} \left(\frac{\epsilon \langle h_u \rangle^2}{\Lambda} \right)^2, \quad m_3^2 = |v - 2z|^2 \left(\frac{\epsilon \langle h_u \rangle^2}{\Lambda} \right)^2$$

- Additional relation $|m_{ee}| = m_2 \sin^2(\theta_{12}^\nu) = \sqrt{\delta m^2} \sin^2(\theta_{12}^\nu)$

Neutrino Sector



Charged Lepton Sector

Alignment of new flavon $\chi_{1,2}^e$

$$\begin{pmatrix} \langle \chi_1^e \rangle \\ \langle \chi_2^e \rangle \end{pmatrix} = v^e \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

for which just one additional driving field σ^{0e} is needed

$$w_{f,l} = a_l \sigma^{0e} \chi_1^e \chi_2^e$$

Nota bene: Also this alignment preserves a Z_2 subgroup of D_{14} , because $\underline{\mathbf{2}}_2$ is unfaithful.

Yukawa Operators for Charged Leptons

$$\boxed{1\phi}$$

$$(3\alpha) \quad \frac{1}{\Lambda} (L_D \chi^e) \alpha^c h_d$$

$$\boxed{2\phi}$$

$$(2\alpha) \quad \frac{1}{\Lambda^2} (L_D \chi^e \xi^u) \alpha^c h_d$$

$$\boxed{3\phi}$$

$$(1\alpha) \quad \frac{1}{\Lambda^3} (L_1 \chi^e \psi^u \xi^u) \alpha^c h_d$$

$$(1\alpha) \quad \frac{1}{\Lambda^3} (L_1 \eta^u) (\chi^e \chi^u) \alpha^c h_d$$

Charged Lepton Mass Matrix

For $\frac{v^e}{\Lambda} \approx \epsilon \approx \lambda^2$ we get

$$\mathcal{M}_e = \begin{pmatrix} \alpha_1^e \epsilon^3 & \alpha_2^e \epsilon^3 & \alpha_3^e \epsilon^3 \\ \alpha_4^e \epsilon^2 & \alpha_5^e \epsilon^2 & \alpha_6^e \epsilon^2 \\ \alpha_7^e \epsilon & \alpha_8^e \epsilon & \alpha_9^e \epsilon \end{pmatrix} \langle h_d \rangle$$

- Charged lepton masses $m_e : m_\mu : m_\tau \sim \epsilon^2 : \epsilon : 1$
- Charged lepton mixing angles $\theta_{12}^e \sim \epsilon$, $\theta_{13}^e \sim \epsilon^2$, $\theta_{23}^e \sim \epsilon$

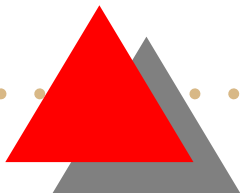
Lepton mixings are nearly $\mu\tau$ symmetric

$$\sin^2(\theta_{23}^l) = \frac{1}{2} + \mathcal{O}(\epsilon), \quad \sin(\theta_{13}^l) = \mathcal{O}(\epsilon), \quad \sin^2(\theta_{12}^l) = \mathcal{O}(1)$$



Conclusions

- There are many hints at a flavor symmetry: existence of three generations, fermion mass hierarchies, mixing patterns, no excessive flavor violation observed
- Discrete symmetries are very useful to understand fermion mixing, especially if non-trivially broken in different sectors of the theory
- **Impact of T2K?!** ... one might argue that many mixing patterns/models are now disfavored
... but: in all models corrections exist,
T2K results need to be confirmed by others/more statistics
- Still we should search for new symmetries giving easily rise to $\theta_{13} \neq 0$ at LO, not giving up the non-trivial breaking pattern of the flavor group!



Thank you.