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## Measurement of the tau lepton reconstruction and identification performance in the ATLAS experiment using $pp$ collisions at $\sqrt{s} = 13$ TeV

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41 **Abstract**

42 This document details measurements of the performance of the reconstruction and identific-  
43 ation of hadronic tau lepton decays using the ATLAS detector. The performance of these  
44 algorithms is measured with  $Z$  boson or top quark decays to tau leptons and uses the full  
45 2015 dataset of  $pp$  collisions collected at the LHC, corresponding to an integrated luminosity  
46 of  $3.2 \text{ fb}^{-1}$  and a center-of-mass energy  $\sqrt{s} = 13 \text{ TeV}$ . The measurements include the per-  
47 formance of the offline and online identification algorithms, the energy calibration and the  
48 electron discrimination algorithm for reconstructed tau candidates. The offline tau identific-  
49 ation efficiency is measured with a precision of between 5.0% and 6.0%, depending on the  
50 number of associated tracks. For hadronic tau lepton decays selected by offline algorithms,  
51 the tau trigger identification efficiency is measured with a precision of between 2% and  
52 10%, depending on the transverse energy, for tau candidates with a transverse energy below  
53 100 GeV. The tau energy scale is measured with a precision of between 1.4% and 2.6%,  
54 depending on the number of associated tracks. The probability of misidentifying an electron  
55 as a tau lepton is measured to be  $< 2\%$  for tau candidates with  $20 \text{ GeV} < p_T < 50 \text{ GeV}$ .

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## 167 **0. Notes**

168 The CONF document here describes several performance analyses performed on the 2015 dataset.

169 This is the first formal draft of the note, referred to as draft version 0.5. Previous editions revised by the  
170 working group can be found via the svn link in the section “drafts”.

171 Major changes to do still:

- 172 • Move the offline ttbar information into the main CONF body.
- 173 • Restyling of MVA TES performance plots
- 174 • Add systematics tables for all results i.e. eveto and Ztautau online.
- 175 • Add eVeto update performance plot
- 176 • Decide on presentation of BDT variable plots - where to put
- 177 • Add TES-MVA in-situ results
- 178 • Online ttbar stack plots (before after trigger as for Ztautau)

Not reviewed, for internal circulation only

# 1. Introduction

With a mass of 1.777 GeV and a proper decay length of 87  $\mu\text{m}$  [1], tau leptons decay either leptonically ( $\tau \rightarrow \ell \nu_\ell \nu_\tau$ ,  $\ell = e, \mu$ ) or hadronically ( $\tau \rightarrow \text{hadrons } \nu_\tau$ , labelled as  $\tau_{\text{had}}$ ) and do so typically before reaching active regions of the ATLAS detector. In this note, only hadronic tau lepton decays are considered. The hadronic tau lepton decays represent 65% of all possible decay modes. The hadronic decay products are one or three charged pions in 72% and 22% of all cases, respectively. In 78% of all hadronic decays, up to one associated neutral pion is also produced. The neutral and charged hadrons stemming from the tau lepton decay make up the visible part of the tau lepton, and in the following are referred to as  $\tau_{\text{had-vis}}$ .

The main background of hadronic tau lepton decays is from jets of energetic hadrons produced via the fragmentation of quarks and gluons. This background is present at the trigger (also referred to as *online* in the following) as well as during the event reconstruction (referred to as *offline*). Discriminating variables based on the narrow shower in the calorimeter, the distinct number of tracks and the displaced tau lepton decay vertex are used to distinguish  $\tau_{\text{had-vis}}$  candidates from jets. Electrons also form an important background for  $\tau_{\text{had-vis}}$  containing one charged hadron.

Final states with hadronically decaying tau leptons are an important part of the ATLAS physics program. This places strong requirements on both  $\tau_{\text{had-vis}}$  reconstruction and identification algorithms, as well as the performance measurements of the algorithms. The algorithms involved in triggering, reconstructing and identifying tau leptons during proton-proton collisions with a center-of-mass energy  $\sqrt{s} = 8$  TeV are described in Ref. [2], and the updates to these algorithms for the collection of 2015,  $\sqrt{s} = 13$  TeV data are described in Ref. [3].

This note first describes further updates to these algorithms for 2016 data-taking, and then describes performance measurements of several analyses related to the triggering, reconstruction and identification of hadronic tau lepton decays using the 2015 data. The performance of online and offline tau identification, and the tau energy scale calibration is measured using a *tag-and-probe* method applied to events enriched in  $Z \rightarrow \tau\tau$  processes, with one tau lepton decaying to a muon,  $\tau_\mu$  (*tag*), and the other decaying hadronically,  $\tau_{\text{had}}$  (*probe*). The performance of the online and offline tau identification algorithms in simulation and in recorded data are measured and correction factors are derived. For the tau energy scale measurement, the reconstructed visible mass distribution of the muon and  $\tau_{\text{had-vis}}$  system is determined in both data and simulation, and the energy calibration required to obtain agreement calculated.

In order to extend the range of the  $p_T$  spectrum of tau candidates, the performance of the offline tau identification algorithm is also measured using events enriched in  $t\bar{t}$  processes. This measurement similarly uses the tag-and-probe method with a muon (*tag*) and a hadronic tau lepton decay (*probe*) present to investigate the online tau identification efficiency and correction factors between simulation and data. Finally, the performance of the electron rejection algorithm is measured. The tag-and-probe method is used in events enriched in  $Z \rightarrow ee$  decays featuring at least one electron (*tag*) and a tau candidate (*probe*), and the efficiency of the electron rejection algorithm is measured.

This note is organised as follows. After a description of the ATLAS detector in section 2, the data and simulation samples used in the studies presented are described in section 3. The reconstruction and requirements on the objects used in this note are described in section 4. Updates to the 2015 tau energy calibration, and electron rejection method are described in section 5. The 2015 tau identification and energy scale performance measurements using the tag-and-probe method in  $Z \rightarrow \tau_\mu \tau_{\text{had}}$  events are described in section 6. Similarly the tag-and-probe studies carried out using  $t\bar{t}$  and  $Z \rightarrow ee$  events are described in sections 7 and 8 respectively.



## 2. ATLAS detector

The ATLAS detector [4] consists of an inner tracking system surrounded by a superconducting solenoid, electromagnetic (EM) and hadronic (HAD) calorimeters, and a muon spectrometer (MS).

The inner detector is immersed in a 2 T axial magnetic field, and consists of silicon pixel and microstrip (SCT) detectors inside a transition radiation tracker (TRT), providing charged particle tracking in the region  $|\eta| < 2.5$ .<sup>1</sup> For the  $\sqrt{s} = 13$  TeV run, a fourth layer of the pixel detector, the Insertable  $B$ -Layer (IBL) [5], has been inserted at an average radius of 33.2 mm, providing an additional position measurement with  $8 \mu\text{m}$  resolution in the  $(x, y)$  plane and  $40 \mu\text{m}$  along  $z$ .

The EM calorimeter uses lead and liquid argon (LAr) as absorber and active materials, respectively. In the central rapidity region, the EM calorimeter is divided in three layers, one of them segmented in thin  $\eta$  strips for optimal  $\gamma/\pi^0$  separation, completed by a presampler layer for  $|\eta| < 1.8$ . Hadron calorimetry is based on different detector technologies, with scintillator tiles ( $|\eta| < 1.7$ ) or LAr ( $1.5 < |\eta| < 4.9$ ) as active media, and uses steel, copper, or tungsten as the absorber material. The calorimeters provide coverage within  $|\eta| < 4.9$ . The MS consists of superconducting air-core toroids, a system of trigger chambers covering the range  $|\eta| < 2.4$ , and high-precision tracking chambers allowing muon momentum measurements within  $|\eta| < 2.7$ .

The ATLAS trigger system consists of two levels which reduce the initial bunch crossing rate to a manageable rate for disk storage while keeping interesting physics events. The first level (L1) is hardware-based and uses a subset of the detector information to reduce the accepted event rate to 100 kHz [6]. This is followed by a software-based High Level Trigger (HLT) that further reduces the average recorded collision rate to around 1 kHz.

## 3. Data and simulation samples

The data used in this note were recorded by the ATLAS experiment during the 2015 LHC run with proton-proton collisions at a centre-of-mass energy of  $\sqrt{s} = 13$  TeV. They correspond to an integrated luminosity of  $3.2 \text{ fb}^{-1}$ . To ensure good data quality, the inner-detector tracking systems, calorimeters and muon spectrometer are required to be fully operational.

Signal and background samples are produced using Monte Carlo (MC) simulation with various event generators. These generated event samples are then propagated through a detailed GEANT4 simulation [7] of the ATLAS detector and subdetector-specific digitisation algorithms [8]. The simulated events are reconstructed with the same algorithms as the data. Background samples of  $W$  and  $Z/\gamma^*$  bosons produced in association with jets,  $t\bar{t}$ , single top and diboson processes are used. All  $W$  and  $Z/\gamma^*$  samples are generated with POWHEG [9] and showered with PYTHIA8 [10]. The  $t\bar{t}$  and single top samples are also generated with POWHEG and showered with PYTHIA6 [11]. Diboson events are generated using the SHERPA generator [12].

<sup>1</sup> ATLAS uses a right-handed coordinate system with its origin at the nominal interaction point (IP) in the centre of the detector and the  $z$ -axis along the beam direction. The  $x$ -axis points from the IP to the centre of the LHC ring, and the  $y$ -axis points upward. Cylindrical coordinates  $(r, \phi)$  are used in the transverse  $(x, y)$  plane,  $\phi$  being the azimuthal angle around the beam direction. The pseudorapidity is defined in terms of the polar angle  $\theta$  as  $\eta = -\ln \tan(\theta/2)$ . The distance  $\Delta R$  in the  $\eta - \phi$  space is defined as  $\Delta R = \sqrt{(\Delta\eta)^2 + (\Delta\phi)^2}$ .

256 The effect of multiple proton ( $pp$ ) interactions, referred to as pile-up, is simulated by overlaying minimum-  
 257 bias interactions on the generated events. The simulated events are reweighted such that the average number  
 258 of  $pp$  interactions per bunch crossing has the same distribution in data and simulation.

## 259 4. Object selection

260 Muons are reconstructed by combining an inner detector track with a track from the MS [13]. They are  
 261 required to have  $p_T > 22$  GeV and  $|\eta| < 2.5$ . Corrections on simulated reconstruction efficiencies, derived  
 262 from the data, are applied to the simulated samples.

263 Electrons are reconstructed by matching clustered energy deposits in the electromagnetic calorimeter to  
 264 tracks reconstructed in the inner detector, and are required to have  $p_T > 15$  GeV and  $|\eta| < 2.47$  (excluding  
 265 the region  $1.37 < |\eta| < 1.52$ ) [14]. They must satisfy the *medium* likelihood-based identification criteria  
 266 as described in Ref. [15]. Corrections to the reconstruction and identification efficiencies derived from  
 267 the data are applied to the simulated samples.

268 For muons and electrons, the scalar sum of the transverse momenta of tracks within a cone of  $p_T$ -dependent  
 269 size,  $\Delta R < \min(10 \text{ GeV}/p_T, 0.3)$ , centred on the lepton candidate track and excluding the lepton track,  
 270 is required to be less than a  $p_T$ -dependent fraction of the lepton transverse momentum. Additionally, the  
 271 sum of the calorimeter energy deposits in a cone of size  $\Delta R < 0.2$  around the lepton, excluding energy  
 272 associated with the lepton candidate, must be less than a  $p_T$  dependent percentage of the lepton energy.  
 273 Two working points of this varied cone definition are used in the note: the first one, called *loose*, has a 99%  
 274 efficiency constant across the full  $p_T$  range, and the second one called *gradient* which 90(99)% efficiency  
 275 at 25 (60) GeV. The loose isolation is used in the  $t\bar{t}$  offline identification efficiency measurement, whilst  
 276 *gradient* is used in the  $t\bar{t}$  and  $Z \rightarrow \tau_\mu \tau_{\text{had}}$  trigger efficiency measurement, as well as  $Z \rightarrow ee$  tag-and-probe  
 277 analysis. Another isolation criteria uses a similar definition, except with a fixed cone size of  $\Delta R < 0.4$  for  
 278 tracks and with the threshold values fixed at 1% and 4% for the sum of track momenta, and the sum of the  
 279 calorimeter energy deposits respectively. This isolation, referred to as *fixed-threshold* isolation, provides  
 280 a stronger multi-jet rejection. Fixed-threshold isolation is used in the online tau identification and tau  
 281 energy scale measurements.

282 Jets are constructed using the anti- $k_t$  algorithm [16], with a distance parameter  $R = 0.4$ . Three-  
 283 dimensional clusters of calorimeter cells called *TopoClusters* [17], calibrated using a local hadronic  
 284 calibration (LC) [18], serve as inputs to the jet algorithm. Jets are required to be within  $|\eta| < 4.5$ . A  
 285 dedicated  $b$ -tagging algorithm described in Ref. [19] is used to identify jets associated with the decay of  
 286 a  $b$ -quark with a 77% efficiency.

287 Tau candidates are seeded by jets as described above. The triggering, reconstruction and identification  
 288 of  $\tau_{\text{had-vis}}$  candidates is described in detail in Ref. [2]. The energy calibration and tau identification  
 289 have been updated for the expected conditions in 13 TeV collisions in Ref. [3]. The tau identification  
 290 uses Boosted Decision Tree (BDT) based methods [20, 21], whereby the BDT is used to combine a set  
 291 of discriminating variables. Three working points, labelled *tight*, *medium* and *loose*, are provided, and  
 292 correspond to different tau identification efficiency values, with the efficiency designed to be independent  
 293 of  $p_T$ . To reduce the electron background, reconstructed  $\tau_{\text{had-vis}}$  candidates within a distance of  $\Delta R < 0.4$   
 294 of a reconstructed electron are rejected if the electron passes a *very loose* working point of the electron  
 295 likelihood discriminator. This electron veto is tuned to yield a 95% efficiency, and is dependent on the  $p_T$   
 296 and  $\eta$  of the tau candidate.

297 In this note,  $\tau_{\text{had-vis}}$  candidates are required to have  $p_T > 20$  GeV and  $|\eta| < 2.5$  (excluding the transition  
 298 region between the barrel and endcap calorimeters, corresponding to the region  $1.37 < |\eta| < 1.52$ ). The  
 299 application of the tau identification criteria to a tau candidate depends on the analysis considered, and is  
 300 described in the respective sections. The  $\tau_{\text{had-vis}}$  candidates are required to have one or three associated  
 301 tracks in the *core region* ( $\Delta R < 0.2$ ) around the  $\tau_{\text{had-vis}}$  axis and an absolute electric charge of one, as this  
 302 is the most common selection used in searches and measurements.

303 The geometric overlap of objects with  $\Delta R < 0.2$  is resolved by selecting only one of the overlapping  
 304 objects in the following order of priority: muons, electrons,  $\tau_{\text{had-vis}}$  candidates, and jets. The missing  
 305 transverse momentum, with magnitude  $E_T^{\text{miss}}$ , is calculated from the vector sum of the transverse momenta  
 306 of all reconstructed electrons, muons,  $\tau_{\text{had-vis}}$  and jets in the event, as well as a term for the remaining  
 307 tracks [22].

## 308 5. Updates to the tau energy calibration and electron discrimination 309 algorithm

310 After 2015 data-taking, several updates have been made to the tau energy calibration and the electron  
 311 discrimination algorithm.

### 312 5.1. MVA-based tau energy calibration

313 The baseline calculation of  $\tau_{\text{had-vis}}$  energy [3] uses TopoClusters within  $\Delta R < 0.2$  from the initial seed-  
 314 jet axis. It includes a final tau-specific calibration derived from simulated samples, which accounts  
 315 for out-of-cone energy, underlying event, the typical composition of hadrons in hadronic tau decays  
 316 and contributions from multiple interactions occurring in the same and neighbouring bunch crossings  
 317 (called pileup). The resolution is excellent at high- $p_T$  but quickly degrades at low- $p_T$ . A new method  
 318 of reconstructing the individual charged and neutral hadrons in tau decays was recently developed by the  
 319 ATLAS experiment [23], called ‘‘Tau Particle Flow’’ (TPF). The method significantly improves the tau  
 320 energy resolution at low- $p_T$  due to the superior measurement of the charged pion momentum from the  
 321 tracking system.

322 In this note, a new calibration is introduced which combines the information from the baseline and TPF  
 323 methods together with some additional calorimeter and tracking information via a multivariate-analysis  
 324 (MVA) technique. This technique is referred to as a boosted regression tree (BRT) method, and is  
 325 implemented using the TMVA package [24].

326 To optimise the BRT, tau candidates satisfying the medium tau identification requirement coming from  
 327 simulated  $Z/\gamma^* \rightarrow \tau\tau$  events are used. The two figures of merit used in optimising the BRT are defined  
 328 as follows: the *resolution* is defined as the half-width of the symmetric 68% confidence interval of the  
 329 ratio of the calibrated  $\tau_{\text{had-vis}}$  transverse momentum,  $p_T^{\text{cali}}$ , to the generated  $\tau_{\text{had-vis}}$  transverse momentum,  
 330  $p_T^{\text{true,vis}}$ , whilst the *non-closure* is the offset of the most probable value of the ratio  $p_T^{\text{cali}}/p_T^{\text{true,vis}}$  from unity.  
 331 The transverse component of the sum of the momenta of the reconstructed charged hadron and neutral  
 332 pion constituents is referred to as  $p_T^{\text{TPF}}$ , and the transverse momentum at LC scale is  $p_T^{\text{LC}}$ . As at low  $p_T$  the

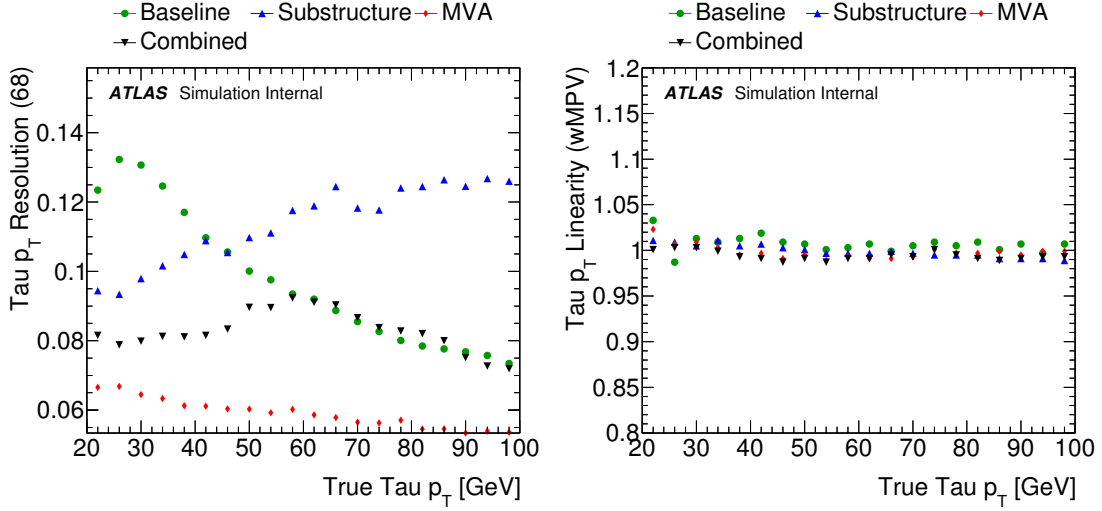


Figure 1: The resolution (a) and the linearity (b) of the MVA-based  $\tau_{\text{had-vis}}$  energy calibration, compared to the Baseline and TPF reconstructions, and the resolution-weighted average of both (Combined). The resolution, shown as a function of the generated tau  $p_T$ , is defined as the half-width of the symmetric 68% confidence interval of the ratio of the calibrated  $p_T$  to the true visible  $p_T$ . The linearity is defined as the most probable value of the ratio of the calibrated  $p_T$  to the true visible  $p_T$ .

333 resolution of  $p_T^{\text{TPF}}$  is better than  $p_T^{\text{LC}}$  and vice-versa at higher  $p_T$ , the interpolated transverse momentum,  
 334  $p_T^{\text{interp}}$ , is defined in the following equation:

$$p_T^{\text{interp}} = f_x \times p_T^{\text{LC}} + (1 - f_x) \times p_T^{\text{TPF}}, \quad (1)$$

335 where  $f_x$  is a weight between zero and one and is a function of  $p_T^{\text{LC}}$ :

$$f_x(p_T^{\text{LC}}) = \frac{1}{2} \left( 1 + \tanh \frac{p_T^{\text{LC}} - x \text{ GeV}}{20 \text{ GeV}} \right). \quad (2)$$

336 The symbol  $x$  defines the point where the transition from low  $p_T$  to high  $p_T$  occurs, and is chosen to be  
 337  $x = 250$ . The regression target is the ratio of the generated  $\tau_{\text{had-vis}}$  transverse momentum to  $p_T^{\text{interp}}$ .

338 The final input variables used in the regression BRT are listed and described in Table 1. The transverse  
 339 momenta  $p_T^{\text{LC}}$  and  $p_T^{\text{TPF}}$  provide basic knowledge about the  $\tau_{\text{had-vis}}$  energy. The regression BRT is less  
 340 powerful when two variables are highly correlated and so to reduce the correlation, ratios of these variables  
 341  $p_T^{\text{LC}}/p_T^{\text{interp}}$  and  $p_T^{\text{TPF}}/p_T^{\text{interp}}$  are used instead of the raw values. Cluster variables such as  $\lambda_{\text{centre}}$ ,  $\langle \lambda^2 \rangle$ ,  
 342  $\langle \rho \rangle$ ,  $f_{\text{presampler}}$ , and  $\mathbf{P}_{\text{EM}}$ , used in the LC calibration, as described in Ref. [18], of the  $\tau_{\text{had-vis}}$  energy  
 343 are found to be powerful input variables in the MVA tau energy calibration. The variables  $\mu$  and  $n_{\text{PV}}$   
 344 are included to provide information about multiple interactions occurring in the same and neighbouring  
 345 bunch crossings, whilst  $\gamma_\pi$  and  $n_{\pi^0}$  are variables that provide information about the tau candidate's decay  
 346 modes and improve the resolution at low  $p_T$ .

347 Figure 1 shows the performance of the MVA energy scale calibration. In the region  $p_T < 100$  GeV, the  
 348 MVA tau energy calibration improves on the baseline resolution by a factor of two, while at high  $p_T$  the  
 349 performance is comparable.

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<b>Number of primary vertices, <math>n_{pV}</math></b>
Number of primary vertices in the event
<b>Average interactions per crossing, <math>\mu</math></b>
Average number of interactions per bunch crossing
<b>Cluster shower depth, <math>\lambda_{\text{centre}}</math></b>
Distance of the cluster shower centre from the calorimeter front face measured along the shower axis
<b>Cluster second moment in <math>\lambda</math>, <math>\langle \lambda^2 \rangle</math></b>
Distance of a cell from the shower centre along the shower axis
<b>Cluster first moment in energy density, <math>\langle \rho \rangle</math></b>
Cluster first moment in energy density $\rho = E/V$
<b>Cluster presampler fraction, <math>f_{\text{presampler}}</math></b>
Fraction of cluster energy deposited in the barrel and endcap presamplers
<b>Cluster EM-like probability, <math>P_{\text{EM}}</math></b>
Classification probability of the cluster to be EM-like, as described in Ref. [18]
<b>Number of associated tracks, <math>n_{\text{track}}</math></b>
Number of tracks associated with the $\tau_{\text{had-vis}}$
<b>Number of reconstructed neutral pions, <math>n_{\pi^0}</math></b>
Number of reconstructed neutral pions associated with the $\tau_{\text{had-vis}}$
<b>Relative difference of pion energies, <math>\gamma_{\pi}</math></b>
Relative difference of the total charged pion energy $E_{\text{charged}}$ and the total neutral pion energy $E_{\text{neutral}}$ : $\gamma_{\pi} = (E_{\text{charged}} - E_{\text{neutral}})/(E_{\text{charged}} + E_{\text{neutral}})$
<b>Calorimeter-based pseudorapidity, <math>\eta_{\text{calo}}</math></b>
Calorimeter-based (Baseline) pseudorapidity
<b>Interpolated transverse momentum, <math>p_{\text{T}}^{\text{interp}}</math></b>
Transverse momentum interpolated from calorimetric corrections to energy measurement and TPF reconstruction.
<b>Ratio of <math>p_{\text{T}}^{\text{LC}}</math> to <math>p_{\text{T}}^{\text{interp}}</math>, <math>p_{\text{T}}^{\text{LC}}/p_{\text{T}}^{\text{interp}}</math></b>
Ratio of the local hadron calibration transverse momentum to $p_{\text{T}}^{\text{interp}}$
<b>Ratio of <math>p_{\text{T}}^{\text{TPF}}</math> to <math>p_{\text{T}}^{\text{interp}}</math>, <math>p_{\text{T}}^{\text{TPF}}/p_{\text{T}}^{\text{interp}}</math></b>
Ratio of the TPF reconstruction transverse momentum, $p_{\text{T}}^{\text{TPF}}$ , to $p_{\text{T}}^{\text{interp}}$

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Table 1: List of input variables used for  $\tau_{\text{had-vis}}$  energy MVA regression. The cluster variables are the energy weighted averages over the jet seed constituents within the tau cone, as described in detail in Ref. [18].

## 5.2. Electron discrimination algorithm

The likelihood (LLH) electron veto (e-veto) algorithm operates by placing  $p_T$ - and  $\eta$ -dependent cuts on the likelihood score used to identify prompt electron candidates matched to the reconstructed tau candidates within  $\Delta R < 0.4$ . The updated e-veto uses a new LLH tune which is better modelled by the simulation [25]. The cuts on the LLH score have been updated accordingly to maintain a 95% efficiency for  $\tau_{\text{had-vis}}$  in simulated  $Z \rightarrow \tau\tau$  events with 2015 data-taking and pile-up conditions. The tau candidates are required to have one reconstructed track,  $p_T > 20$  GeV and to be geometrically matched to a generated  $\tau_{\text{had-vis}}$ . The tuning of the cuts was performed in bins of  $\eta$  and  $p_T$  to give 95% efficiency for the generated  $\tau_{\text{had-vis}}$  described above. The residual mismodelling of simulation is absorbed in the scale factors reported in section 8.

## 6. $Z \rightarrow \tau_\mu \tau_{\text{had}}$ tag-and-probe analyses

To perform physics analyses involving hadronic tau lepton decays, it is important to evaluate the performance of the tau identification algorithms and the tau energy scale with data. For the  $\tau_{\text{had-vis}}$  signal, this is done on a sample enriched in  $Z \rightarrow \tau_\mu \tau_{\text{had}}$  events where one tau lepton decays to a muon and the other decays hadronically, with associated neutrinos. The chosen tag-and-probe approach consists of selecting events triggered by the presence of a muon (tag) and containing a hadronically decaying tau lepton candidate (probe) in the final state and studying the performance of the identification and energy reconstruction algorithms.

### 6.1. Common event selection

To select  $Z \rightarrow \tau_\mu \tau_{\text{had}}$  events, a single-muon trigger with an online requirement of  $p_T > 20$  GeV is used. The offline reconstructed muon candidate must have  $p_T > 22$  GeV and geometrically match the online muon. Events are required to have no additional electrons or muons and at least one  $\tau_{\text{had-vis}}$  candidate. If there are multiple  $\tau_{\text{had-vis}}$  candidates, only the leading  $p_T$  one is considered. In addition, a very loose requirement on the tau identification BDT output is made which suppresses jets while being more than 99% efficient for the simulated  $Z \rightarrow \tau\tau$  events. The muon and  $\tau_{\text{had-vis}}$  candidates are required to have opposite-sign electric charges (OS). To suppress the top quark backgrounds, events with  $b$ -tagged jets are rejected. The associated  $b$ -tagging systematic uncertainty is found to be negligible.

A series of selection requirements is used to suppress  $W$ +jets (mainly  $W \rightarrow \mu\nu_\mu$ ) events. The transverse mass of the muon and  $E_T^{\text{miss}}$  system,  $m_T = \sqrt{2p_T^\mu \cdot E_T^{\text{miss}}(1 - \cos \Delta\phi(\mu, E_T^{\text{miss}}))}$ , is required to be less than 50 GeV, where  $p_T^\mu$  is the transverse momentum of the muon, and  $\cos \Delta\phi(\mu, E_T^{\text{miss}})$  is the cosine of the  $\Delta\phi$  separation between the muon and the missing transverse momentum. The sum of the  $\cos \Delta\phi$  between the muon and  $E_T^{\text{miss}}$  (neutrinos) and between the  $\tau_{\text{had-vis}}$  and  $E_T^{\text{miss}}$ ,  $\Sigma \cos \Delta\phi = \cos \Delta\phi(\mu, E_T^{\text{miss}}) + \cos \Delta\phi(\tau_{\text{had-vis}}, E_T^{\text{miss}})$ , is required to be greater than  $-0.5$ .

In addition to the above common selection, the medium offline tau identification requirement is applied in the energy scale measurements, and the impact of the offline identification working point choice has been estimated as the systematic uncertainty. Several offline working points, i.e. loose, medium and tight, are applied in the online tau identification efficiency to derive the corresponding trigger efficiencies. A requirement on the invariant mass of the muon and tau candidate  $m_{\text{vis}}(\mu, \tau_{\text{had-vis}})$ ,



388  $45 \text{ GeV} < m_{\text{vis}}(\mu, \tau_{\text{had-vis}}) < 80 \text{ GeV}$ , is applied in both online and offline tau identification efficiency  
 389 measurements, but not in the energy scale measurement since the  $m_{\text{vis}}(\mu, \tau_{\text{had-vis}})$  distribution is used to  
 390 constrain the tau energy scale. To reduce the large contamination from misidentified jets in the offline tau  
 391 identification efficiency measurement, in which the medium tau identification requirement is not applied,  
 392 the lower threshold on  $\Sigma \cos \Delta\phi$  is tightened to  $-0.1$ . The detailed event selections and the signal purity,  
 393 i.e fraction of the generated tau leptons estimated from simulation after the selection requirements listed  
 394 above, are summarised in table 2.

Analyses	Offline Identification	Online Identification	TES
$m_T$	$< 50 \text{ GeV}$	$< 50 \text{ GeV}$	$< 50 \text{ GeV}$
$\Sigma \cos \Delta\phi$	$> -0.1$	$> -0.5$	$> -0.5$
$m_{\text{vis}}$	(45-80 GeV)	(45-80 GeV)	–
Tau Identification	–	various	medium
Purity	20%	65%	65%

Table 2: Summary of the  $Z \rightarrow \tau_\mu \tau_{\text{had}}$  event selections and purities in the online and offline tau identification analyses, and the tau energy scale measurement.

395 After the final selection, besides a small fraction of muons misidentified as hadronic tau lepton decays  
 396 (which are modelled via simulation), the main background for the probe  $\tau_{\text{had-vis}}$  candidates are jets  
 397 misidentified as hadronic  $\tau$  decays from  $W$ +jets and multi-jet events. The charge sign of misidentified jets  
 398 has a weaker correlation with that of the muon than in the case of  $Z \rightarrow \tau_\mu \tau_{\text{had}}$  signal events, particularly  
 399 in the case of multi-jet events. Therefore, the events with same sign (SS) charge are used to model the jet  
 400 to  $\tau$  fake background.

401 To improve the modelling of the jet background, two control regions of events enriched in specific  
 402 background processes are used. A  $W$ +jets control region, as shown in figure 2, is selected by requiring  
 403  $E_T^{\text{miss}} > 30 \text{ GeV}$  and  $m_T > 60 \text{ GeV}$ , and a multi-jet control region, as shown in figure 3, is selected by  
 404 inverting the muon isolation requirement. The identification is applied in the control regions for the TES  
 405 and the online identification measurements, while the offline identification measurement has the control  
 406 regions both with and without the tau identification requirement, in order to extract the yield with and  
 407 without the tau identification.

## 408 6.2. Offline tau identification efficiency measurement

409 The large contamination from jet backgrounds before applying the tau identification poses the greatest  
 410 challenge for the offline tau identification efficiency measurement. To estimate the background contam-  
 411 ination in data, a template fit is performed using a variable with high separation between signal and  
 412 background and that is well modelled by the simulation. The variable used is the track multiplicity,  
 413 defined as the sum of the number of core ( $\Delta R < 0.2$ ) and outer ( $0.2 < \Delta R < 0.6$ ) tracks associated to  
 414 the  $\tau_{\text{had-vis}}$  candidate. Outer tracks are only considered if they fulfil the track separation requirement,  
 415  $D^{\text{outer}} = \min([p_T^{\text{core}}/p_T^{\text{outer}}] \cdot \Delta R(\text{core, outer})) < 4$ , where  $p_T^{\text{core}}$  refers to any track in the core region, and  
 416  $\Delta R(\text{core, outer})$  refers to the distance between the candidate outer track and any track in the core region.  
 417 More details can be found in Sec. 4.1 in Ref. [2]. The expected distributions of this variable for both  
 418 signal and background events are then fitted to extract the  $\tau_{\text{had-vis}}$  signal.

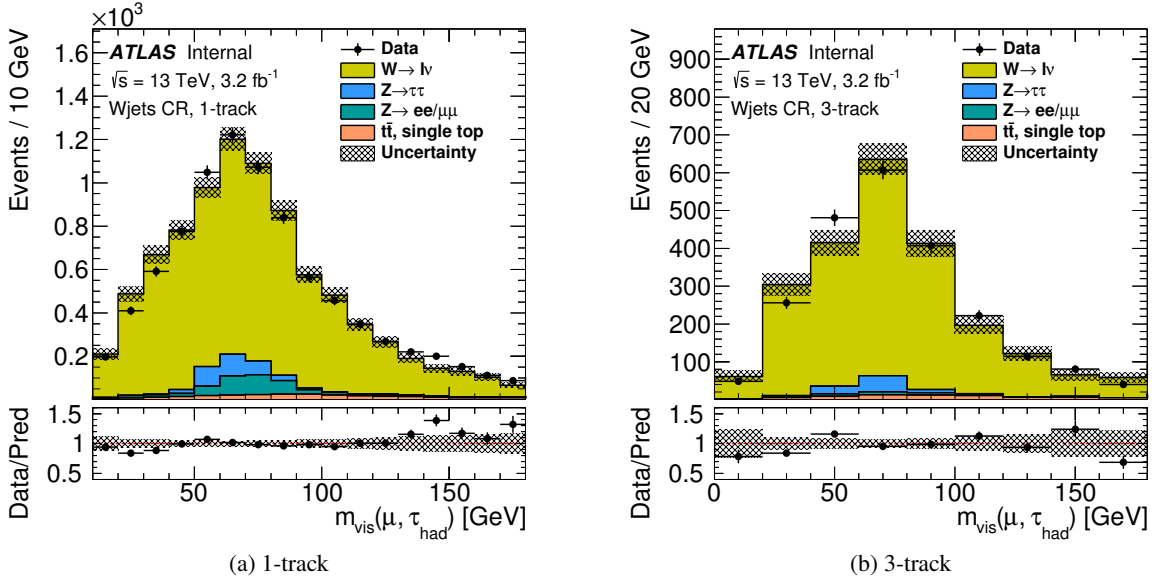


Figure 2: The distribution of  $m_{\text{vis}}$ : the invariant mass of the  $\tau_{\text{had-vis}}$  and muon system. Here, the tau candidate is required to pass medium identification. The error band only contains statistical uncertainty.

### 6.2.1. Signal and Background estimation

The signal track multiplicity distribution is modelled using simulated  $Z \rightarrow \tau_{\mu}\tau_{\text{had}}$  events. Only reconstructed  $\tau_{\text{had-vis}}$  matched to a generated hadronic tau lepton decay are considered.

A single template is used to model the background from quark- and gluon-initiated jets that are misidentified as hadronic tau lepton decays. The background is mainly composed of multi-jet and  $W$ +jets events with a minor contribution from  $Z$ +jets events. The template is constructed starting from the same sign control region, enriched in events with jets misidentified as tau candidates. The contributions from  $W$ +jets and  $Z$ +jets in the SS control region are subtracted to yield the multi-jet contribution. The template is then scaled by the ratio of OS/SS multi-jet events, measured in the multi-jet control region. A non-negligible contribution of  $Z \rightarrow \tau\tau$  events is found in the OS multi-jet control region, and is challenging to model accurately via simulation. The mismodelling impacts the ratio of OS/SS multi-jet events, and as such, events with  $45 \text{ GeV} < m_{\text{vis}}(\ell, \tau_{\text{had-vis}}) < 80 \text{ GeV}$  in the multi-jet control region are rejected. Finally, the OS contributions from  $W$ +jets are added to complete the template. The shape of the  $W$ +jets contribution is estimated from the  $W$ +jets control region and normalised to the signal region using transferring factors derived using simulated  $W$ +jets events. The same procedure is performed to build the templates both before and after the identification requirement applied.

An additional background shape is used to take into account the contamination due to misidentified muons and electrons. This small background contribution (stemming mainly from  $Z \rightarrow \mu\mu$  events) is modelled by taking the shape predicted by simulation using candidates in events of  $Z \rightarrow \tau\tau, t\bar{t}$ , diboson,  $Z \rightarrow ee/\mu\mu$  where the reconstructed tau candidate probe is matched to a generated muon. For the fit, the contribution of these backgrounds is fixed to the value predicted by the simulation, which is typically less than 1% of the total signal yield.

To measure the yield of  $\tau_{\text{had-vis}}$  signal and background before requiring identification, the signal plus



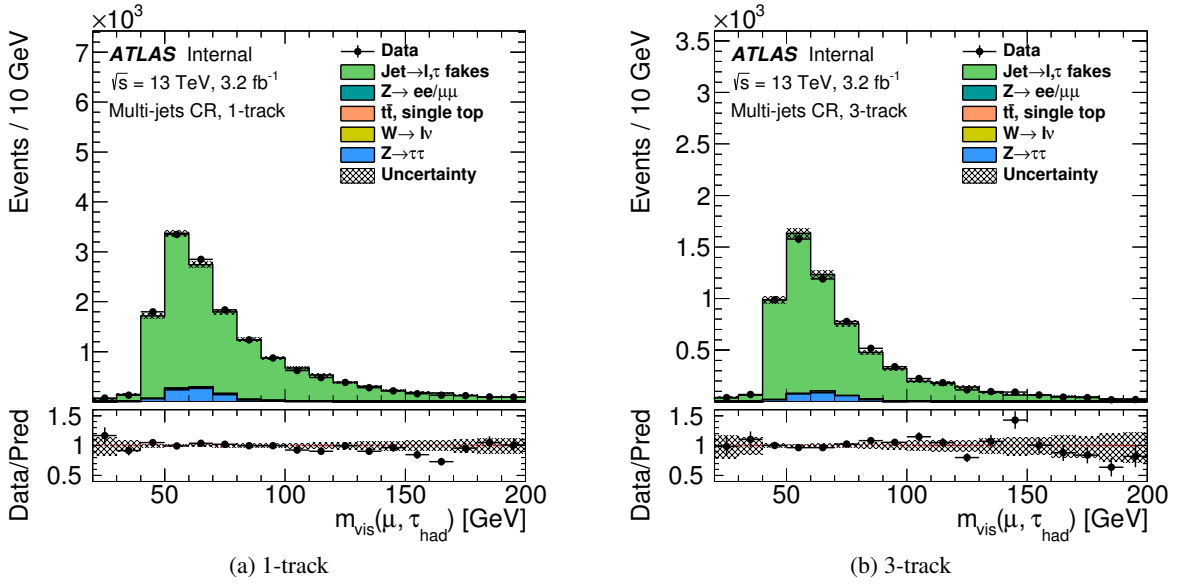


Figure 3: The distribution of  $m_{\text{vis}}$ : the invariant mass of the  $\tau_{\text{had-vis}}$  and muon system. Here, the tau candidate is required to pass medium identification. The error band only contains statistical uncertainty.

442 background model is fitted to the data, with the normalisation of both the  $\tau_{\text{had-vis}}$  and jet template allowed  
 443 to float. The track multiplicity included in the fit is up to 15. The signal templates are obtained by  
 444 requiring exactly one or three tracks reconstructed in the core region of the  $\tau_{\text{had-vis}}$  candidate. To improve  
 445 the fit stability, the ratio of the one track to three track normalisation is fixed to the value predicted by the  
 446 simulation. After the fit, the yield of each component can be obtained.

447 To extract the efficiency, the yield of real tau leptons passing different identification levels is determined  
 448 from the data subtracted by the backgrounds where the normalisation is corrected by normalisation factors  
 449 from the pre-identification fit.

## 450 6.2.2. Results

451 Figure 4 shows the track multiplicity distributions before and after applying the medium tau identification  
 452 requirement. The peaks in the one- and three-track bins are due to contributions from the signal and  
 453 become considerably more prominent after identification requirements are applied, due to the large amount  
 454 of background rejection provided by the identification algorithm. To account for the small differences  
 455 between data and the background model, correction factors (also referred to as scale factors), defined as  
 456 the ratio of the efficiency in data to the efficiency in simulation for  $\tau_{\text{had-vis}}$  signal to pass a certain level of  
 457 identification, are derived. The results are shown in figure 5 and found to be compatible with unity.

458 The sources of uncertainty on the scale factors are summarised in table 3. The uncertainty on the signal  
 459 template is estimated by comparing simulated signal generated with different configurations, such as  
 460 variations on the amount of detector material, and the hadronic interaction model, e.g. QGSP and FTFP  
 461 models [7, 26–28]. The uncertainty on the jet template accounts for differences between the  $W$ +jets shape  
 462 in the signal and control regions and is derived from comparisons to simulated  $W$ +jets events, as well as  
 463 the differences between the multi-jet shape in the opposite sign and same sign region derived by varying

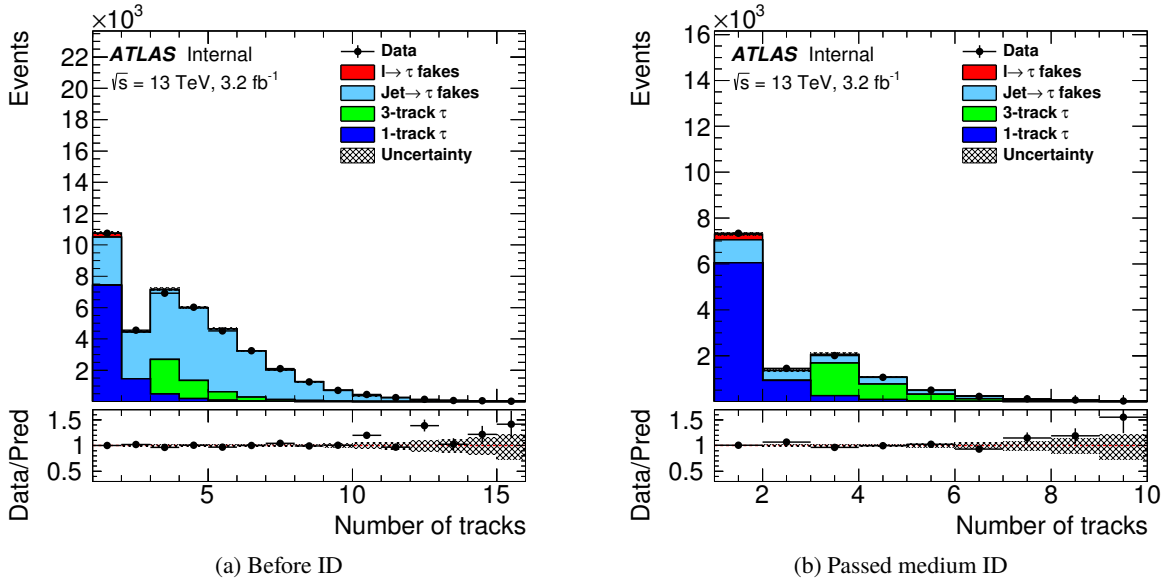


Figure 4: Track multiplicity: the sum of the number of core tracks and the outer tracks in  $0.2 < \Delta R < 0.6$  that fulfil the requirement  $D^{\text{outer}} < 4$ , as defined in the text and Ref. [2]. The true tau and jet  $\rightarrow$  tau fake component are fit to data while the lepton  $\rightarrow$  tau component is fixed to the simulation prediction. The uncertainty band includes only the statistical uncertainty.

464 the multi-jet control region selections. The uncertainty on the template due to the uncertainty on the  
 465 lepton faking tau is estimated conservatively by varying the normalisation up and down by 50%.

Source	Uncertainty [%]	
	1-track	3-track
Jet template	1.5	1.5
Tau template	4.4	4.3
Lepton template	1.7	1.7
Statistics	1.7	2.8
Total	4.9	4.9

Table 3: Dominant uncertainties on the tau identification efficiency scale factors estimated with the Z boson tag-and-probe method, and the total uncertainty, which combines systematic and statistical uncertainties. These uncertainties apply to  $\tau_{\text{had-vis}}$  candidates passing the medium tau identification algorithm with  $p_T > 20 \text{ GeV}$ .

466 Figure 6 shows the jet BDT score distribution, while figures 7 to 25 show the input variables of the jet  
 467 discriminant BDT. In both sets of plots, the estimations of the signal and background are as described  
 468 previously in this section. The definition of the input variables can be found in Sec. 5.1 in Ref. [3].

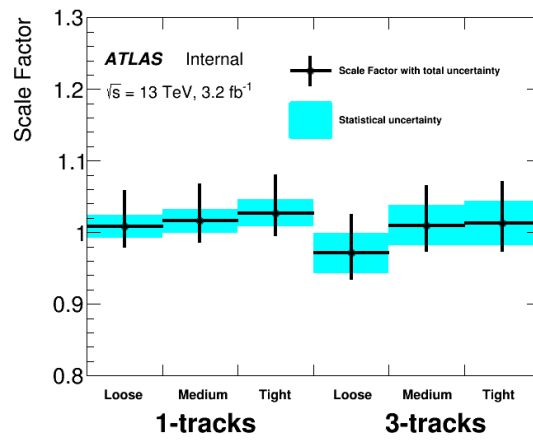


Figure 5: The scale factors needed to bring the offline tau identification efficiency in simulation to the level observed in data for one track and three track  $\tau_{\text{had-vis}}$  candidates with  $p_T > 20$  GeV. The combined systematic and statistical uncertainties are shown.

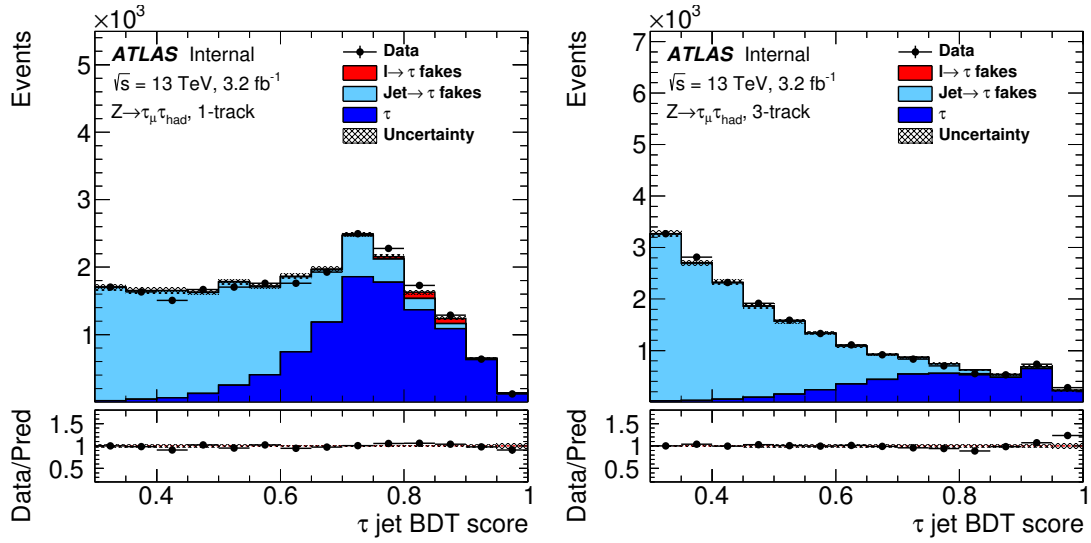


Figure 6: The jet discriminant BDT output distribution for one track (a) and three track (b)  $\tau_{\text{had-vis}}$  candidates. As mentioned in the text, a very loose cut,  $\text{BDT} > 0.3$ , is applied in the  $\tau_{\text{had-vis}}$  selection, which leads less than 1% inefficiency. The background estimation is the same as the main analysis as shown in figure 4 and the normalisation factors of the templates from the fit have been applied. The uncertainty band includes only the statistical uncertainty.

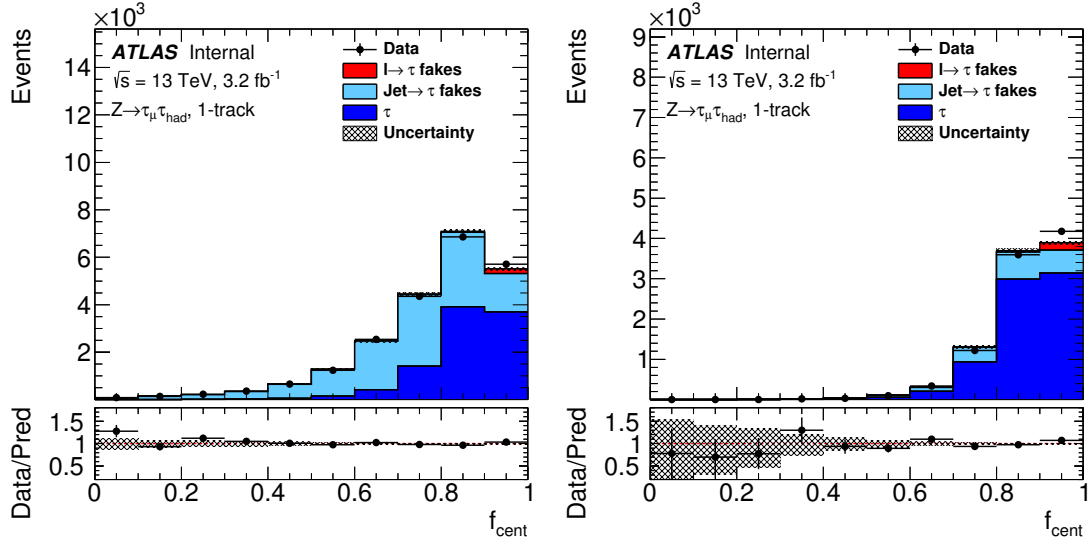


Figure 7: The jet discriminant BDT inputs: **Central energy fraction  $f_{\text{cent}}$**  for  $\tau_{\text{had-vis}}$  candidates before (a) and after (b) medium identification requirement. The background estimation is the same as the main analysis as shown in figure 4 and the normalisation factors of the templates from the fit have been applied. The uncertainty band includes only the statistical uncertainty.

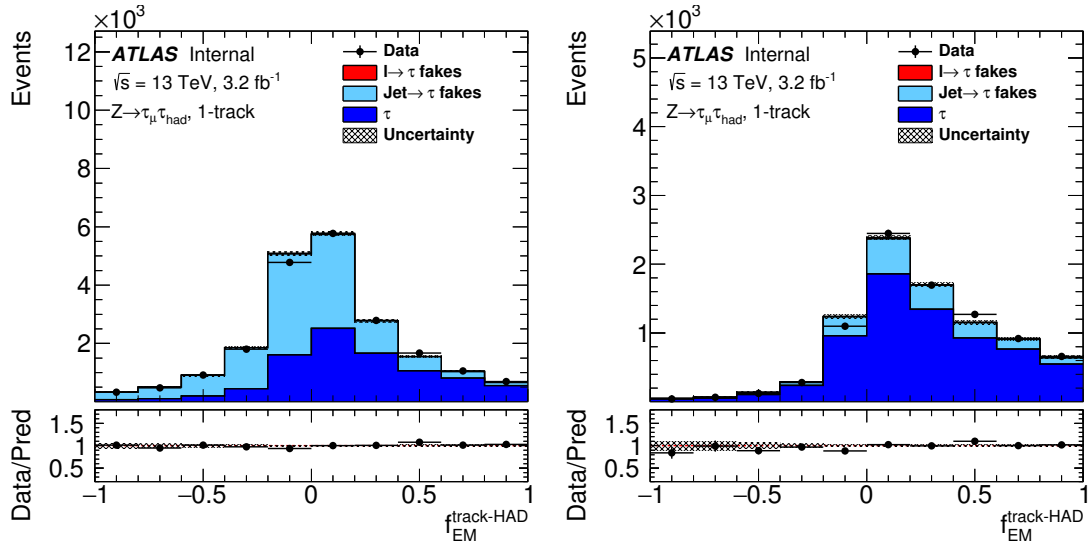


Figure 8: The jet discriminant BDT inputs: **Fraction of EM energy from charged pions  $f_{\text{EM}}^{\text{track-HAD}}$**  for  $\tau_{\text{had-vis}}$  candidates before (a) and after (b) medium identification requirement. The background estimation is the same as the main analysis as shown in figure 4 and the normalisation factors of the templates from the fit have been applied. The uncertainty band includes only the statistical uncertainty.

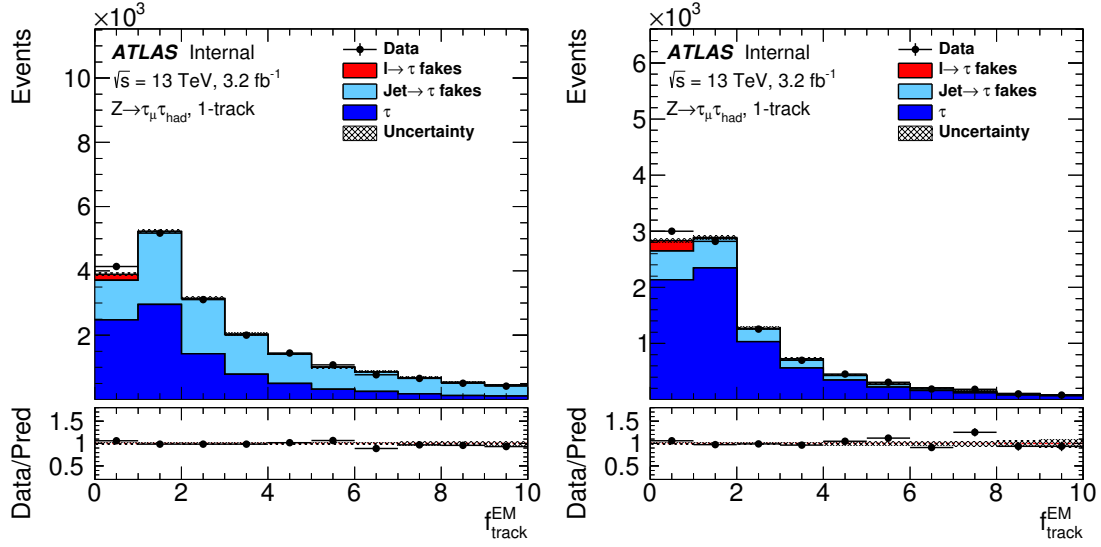


Figure 9: The jet discriminant BDT inputs: **Ratio of EM energy to track momentum  $f_{\text{track}}^{\text{EM}}$**  for  $\tau_{\text{had-vis}}$  candidates before (a) and after (b) medium identification requirement. The background estimation is the same as the main analysis as shown in figure 4 and the normalisation factors of the templates from the fit have been applied. The uncertainty band includes only the statistical uncertainty.

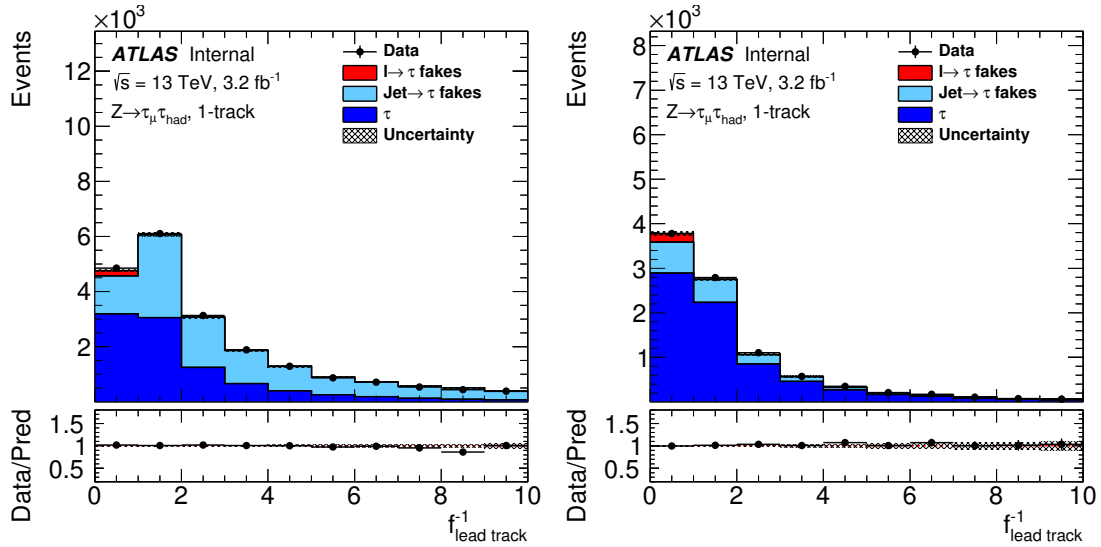


Figure 10: The jet discriminant BDT inputs: **Leading track momentum fraction  $f_{\text{lead track}}^{-1}$**  for  $\tau_{\text{had-vis}}$  candidates before (a) and after (b) medium identification requirement. The background estimation is the same as the main analysis as shown in figure 4 and the normalisation factors of the templates from the fit have been applied. The uncertainty band includes only the statistical uncertainty.

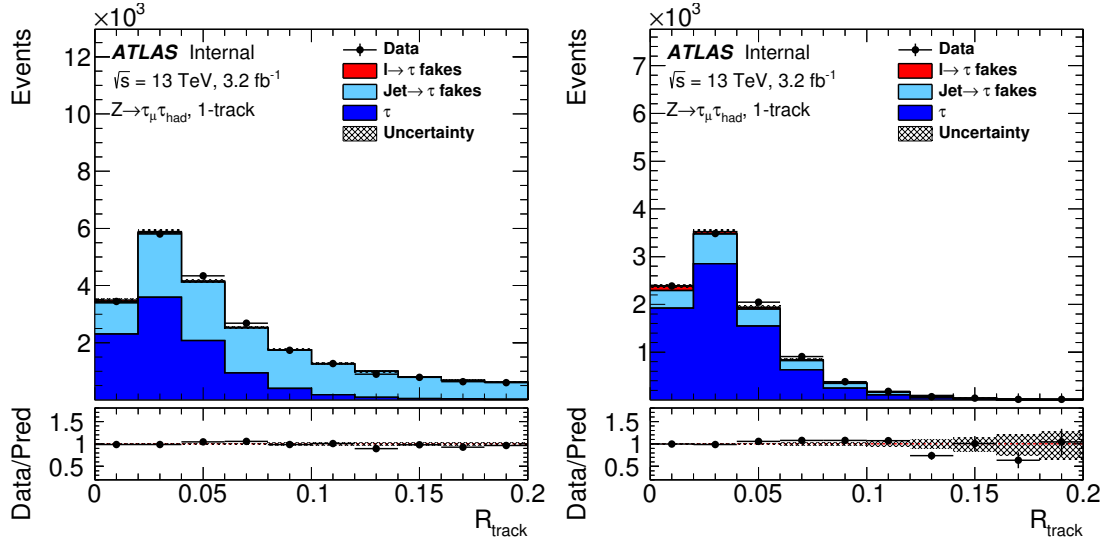


Figure 11: The jet discriminant BDT inputs: **Track radius**  $R_{\text{track}}$  for  $\tau_{\text{had-vis}}$  candidates before (a) and after (b) medium identification requirement. The background estimation is the same as the main analysis as shown in figure 4 and the normalisation factors of the templates from the fit have been applied. The uncertainty band includes only the statistical uncertainty.

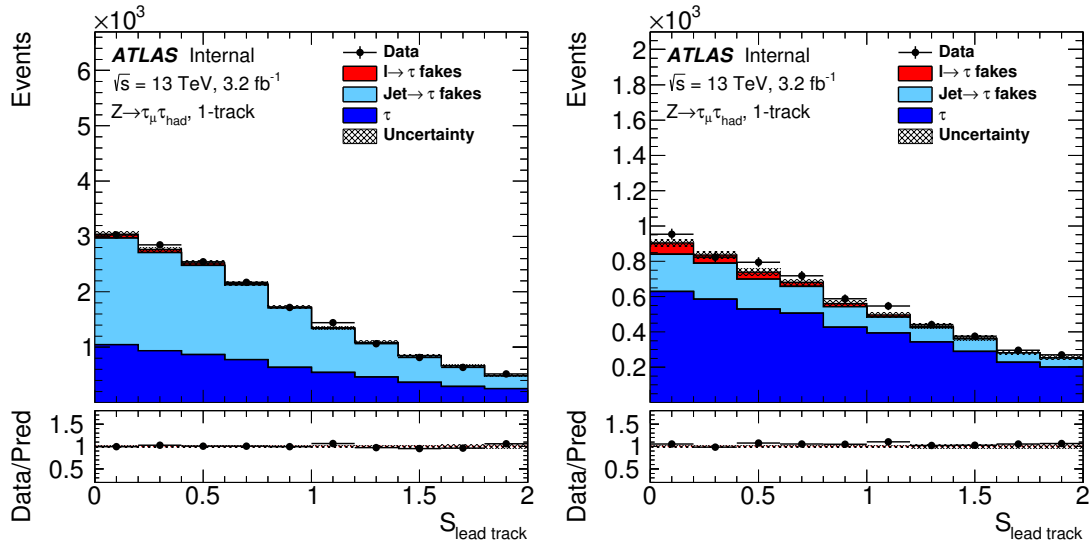


Figure 12: The jet discriminant BDT inputs: **Leading track IP significance**  $S_{\text{lead track}}$  for  $\tau_{\text{had-vis}}$  candidates before (a) and after (b) medium identification requirement. The background estimation is the same as the main analysis as shown in figure 4 and the normalisation factors of the templates from the fit have been applied. The uncertainty band includes only the statistical uncertainty.

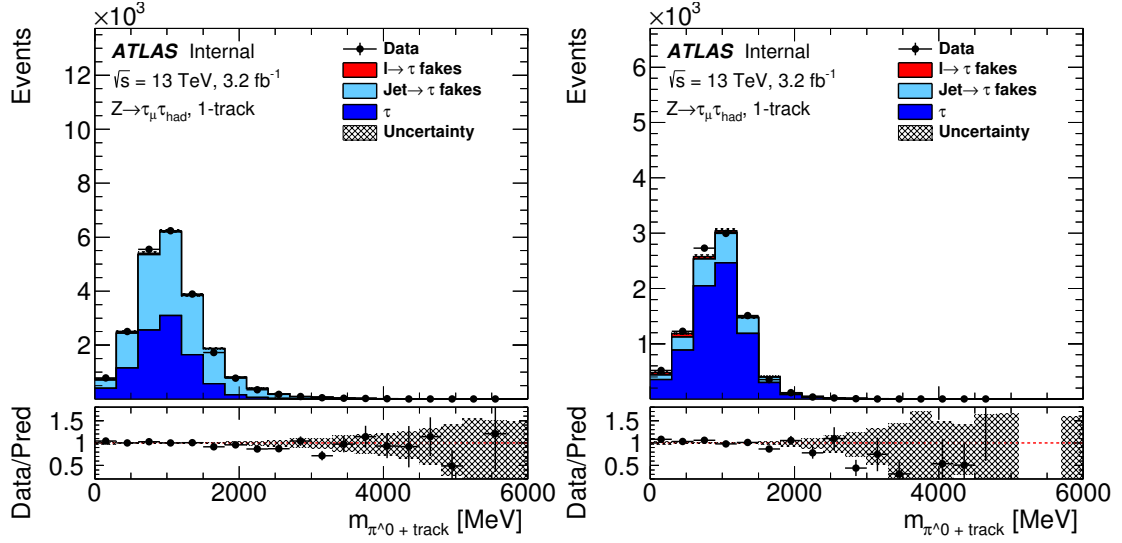


Figure 13: The jet discriminant BDT inputs: **Track-plus-EM-system mass  $m_{\pi^0+track}$**  for  $\tau_{had-vis}$  candidates before (a) and after (b) medium identification requirement. The background estimation is the same as the main analysis as shown in figure 4 and the normalisation factors of the templates from the fit have been applied. The uncertainty band includes only the statistical uncertainty.

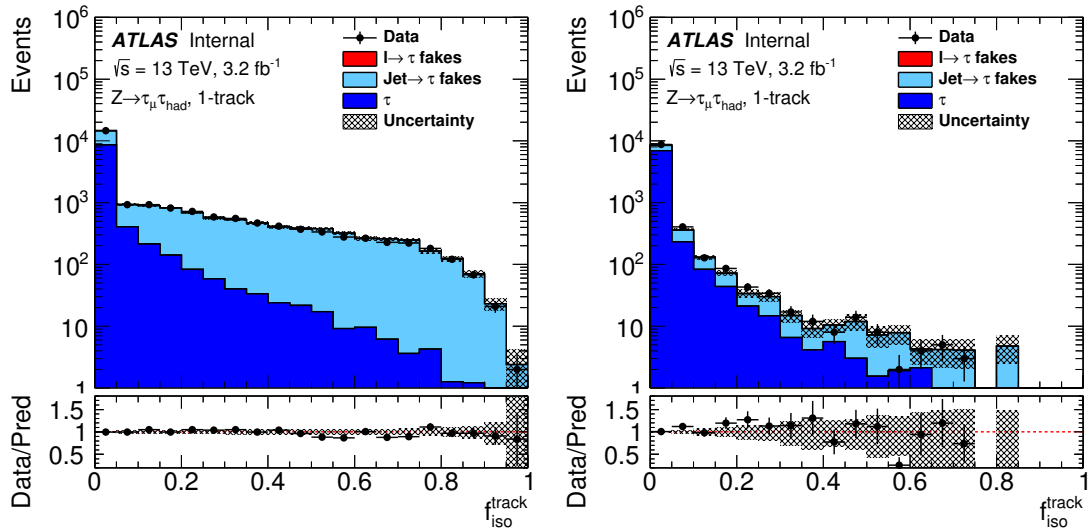


Figure 14: The jet discriminant BDT inputs: **Fraction of tracks  $p_T$  in the isolation region  $f_{iso}^{track}$**  for  $\tau_{had-vis}$  candidates before (a) and after (b) medium identification requirement. The background estimation is the same as the main analysis as shown in figure 4 and the normalisation factors of the templates from the fit have been applied. The uncertainty band includes only the statistical uncertainty.



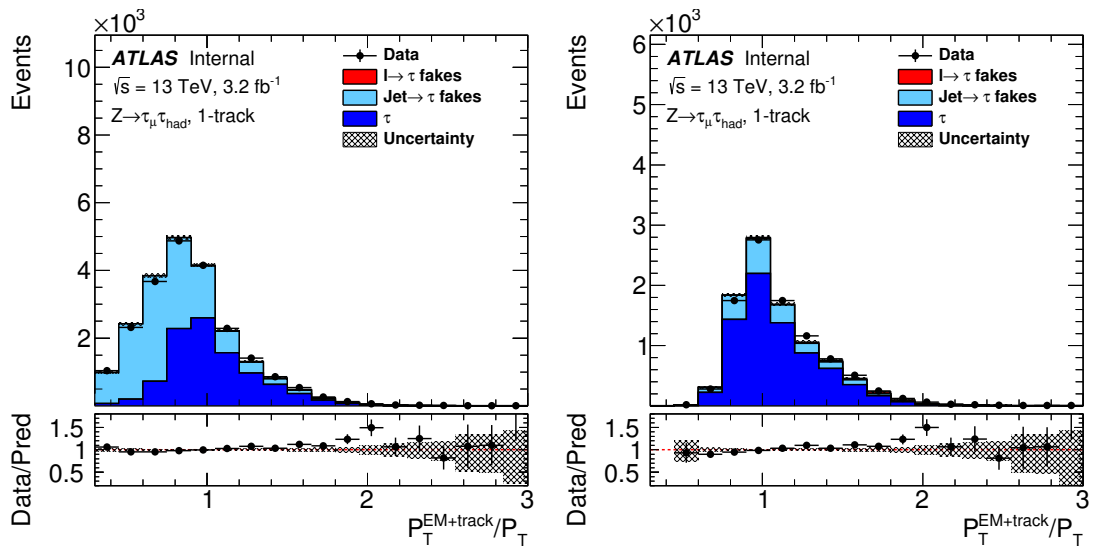


Figure 15: The jet discriminant BDT inputs: **Ratio of track-plus-EM-system to  $p_T$**   $p_T^{\text{EM+track}}/p_T$  for  $\tau_{\text{had-vis}}$  candidates before (a) and after (b) medium identification requirement. The background estimation is the same as the main analysis as shown in figure 4 and the normalisation factors of the templates from the fit have been applied. The uncertainty band includes only the statistical uncertainty.

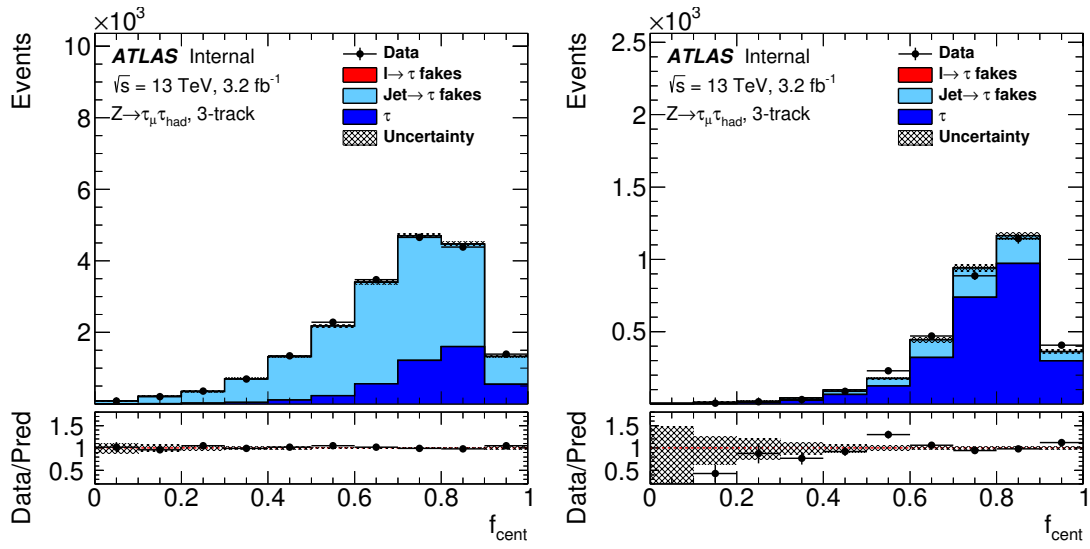


Figure 16: The jet discriminant BDT inputs: **Central energy fraction**  $f_{\text{cent}}$  for  $\tau_{\text{had-vis}}$  candidates before (a) and after (b) medium identification requirement. The background estimation is the same as the main analysis as shown in figure 4 and the normalisation factors of the templates from the fit have been applied. The uncertainty band includes only the statistical uncertainty.

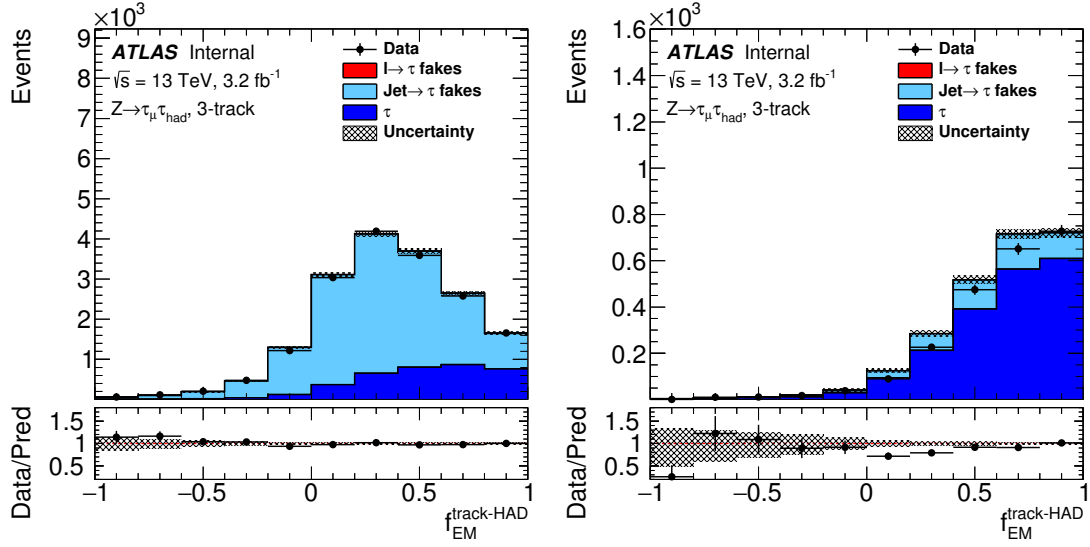


Figure 17: The jet discriminant BDT inputs: **Fraction of EM energy from charged pions  $f_{EM}^{\text{track-HAD}}$**  for  $\tau_{\text{had-vis}}$  candidates before (a) and after (b) medium identification requirement. The background estimation is the same as the main analysis as shown in figure 4 and the normalisation factors of the templates from the fit have been applied. The uncertainty band includes only the statistical uncertainty.

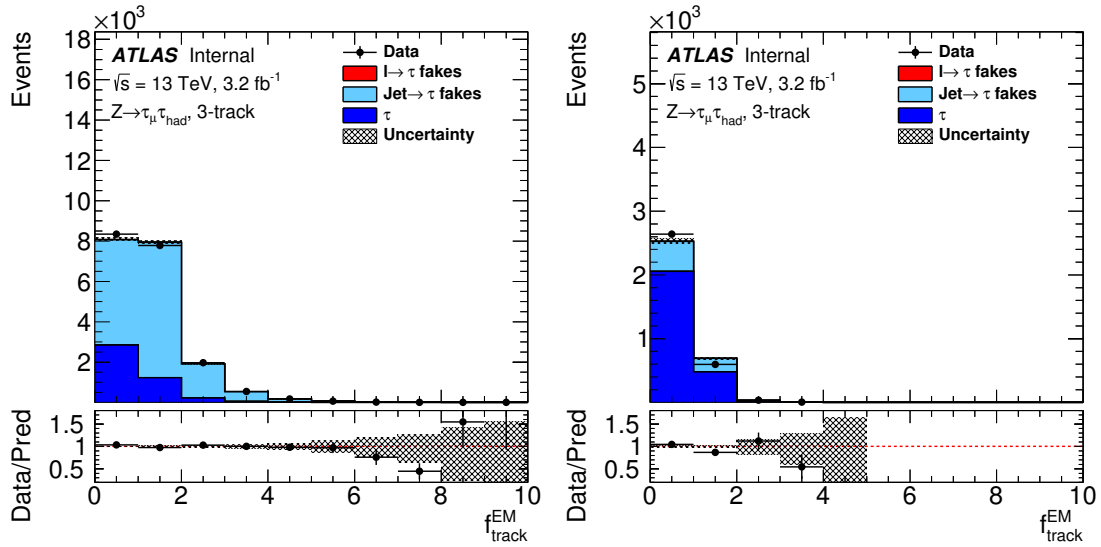


Figure 18: The jet discriminant BDT inputs: **Ratio of EM energy to track momentum  $f_{\text{track}}^{\text{EM}}$**  for  $\tau_{\text{had-vis}}$  candidates before (a) and after (b) medium identification requirement. The background estimation is the same as the main analysis as shown in figure 4 and the normalisation factors of the templates from the fit have been applied. The uncertainty band includes only the statistical uncertainty.

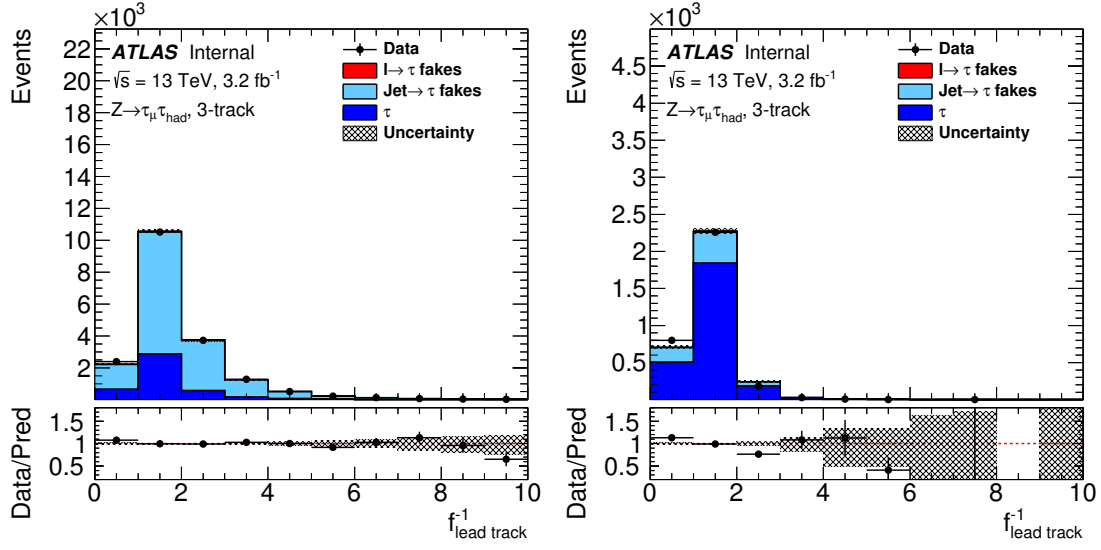


Figure 19: The jet discriminant BDT inputs: **Leading track momentum fraction  $f_{\text{lead track}}^{-1}$**  for  $\tau_{\text{had-vis}}$  candidates before (a) and after (b) medium identification requirement. The background estimation is the same as the main analysis as shown in figure 4 and the normalisation factors of the templates from the fit have been applied. The uncertainty band includes only the statistical uncertainty.

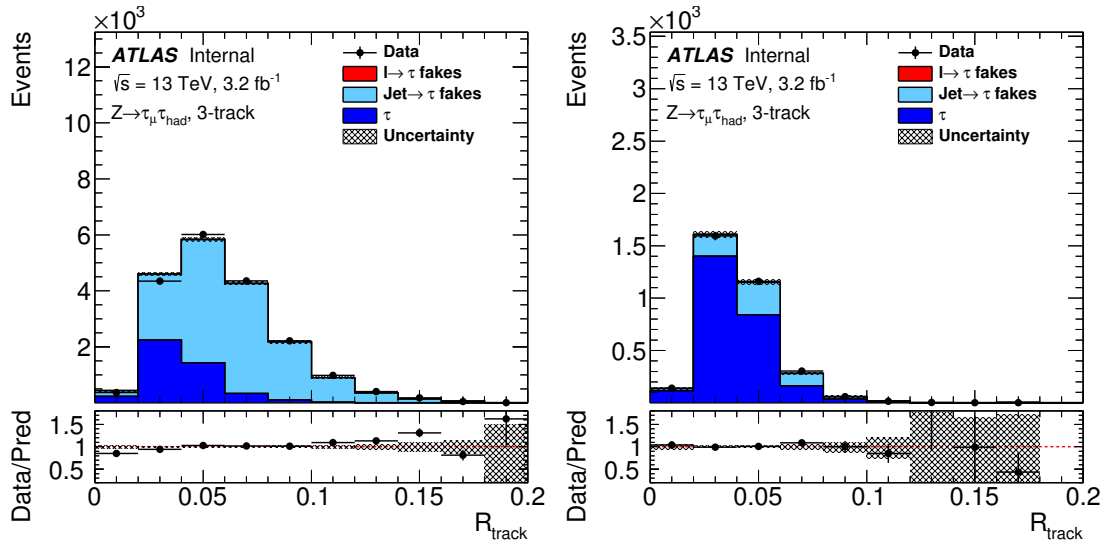


Figure 20: The jet discriminant BDT inputs: **Track radius  $R_{\text{track}}$**  for  $\tau_{\text{had-vis}}$  candidates before (a) and after (b) medium identification requirement. The background estimation is the same as the main analysis as shown in figure 4 and the normalisation factors of the templates from the fit have been applied. The uncertainty band includes only the statistical uncertainty.

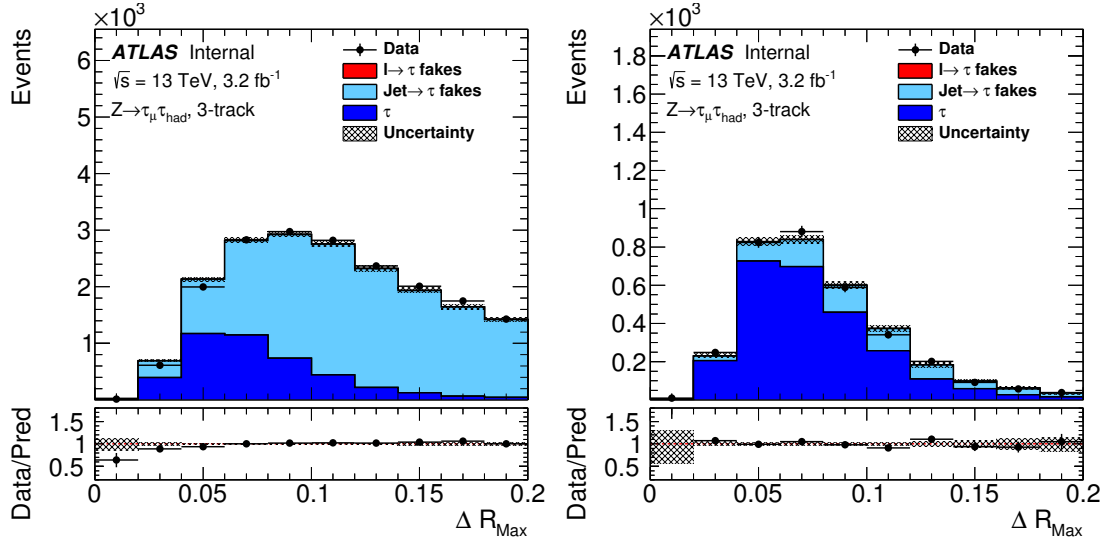


Figure 21: The jet discriminant BDT inputs: **Leading track IP significance  $S_{\text{lead track}}$**  for  $\tau_{\text{had-vis}}$  candidates before (a) and after (b) medium identification requirement. The background estimation is the same as the main analysis as shown in figure 4 and the normalisation factors of the templates from the fit have been applied. The uncertainty band includes only the statistical uncertainty.

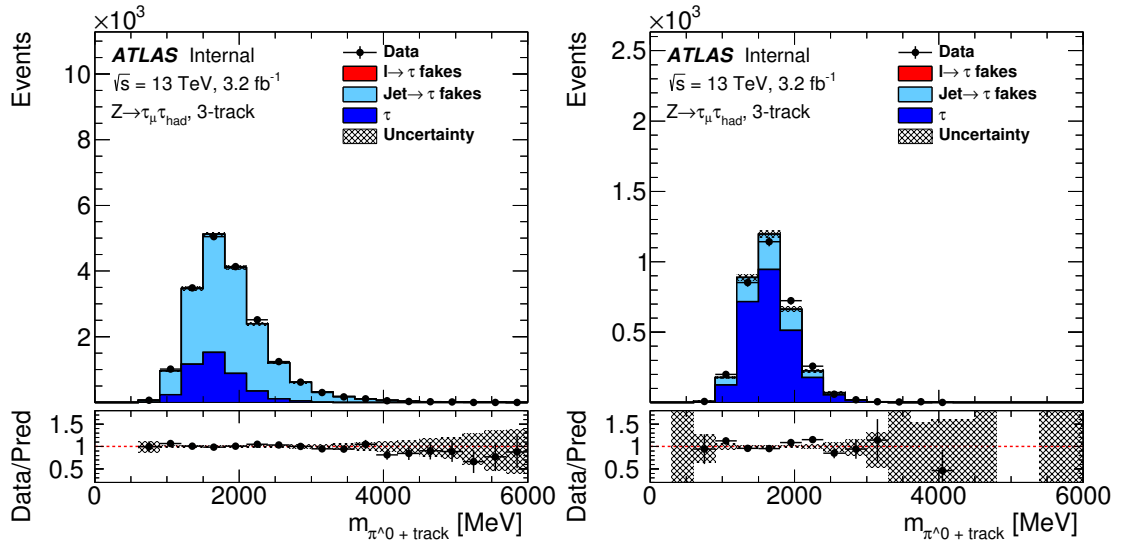


Figure 22: The jet discriminant BDT inputs: **Track-plus-EM-system mass  $m_{\pi^0+\text{track}}$**  for  $\tau_{\text{had-vis}}$  candidates before (a) and after (b) medium identification requirement. The background estimation is the same as the main analysis as shown in figure 4 and the normalisation factors of the templates from the fit have been applied. The uncertainty band includes only the statistical uncertainty.

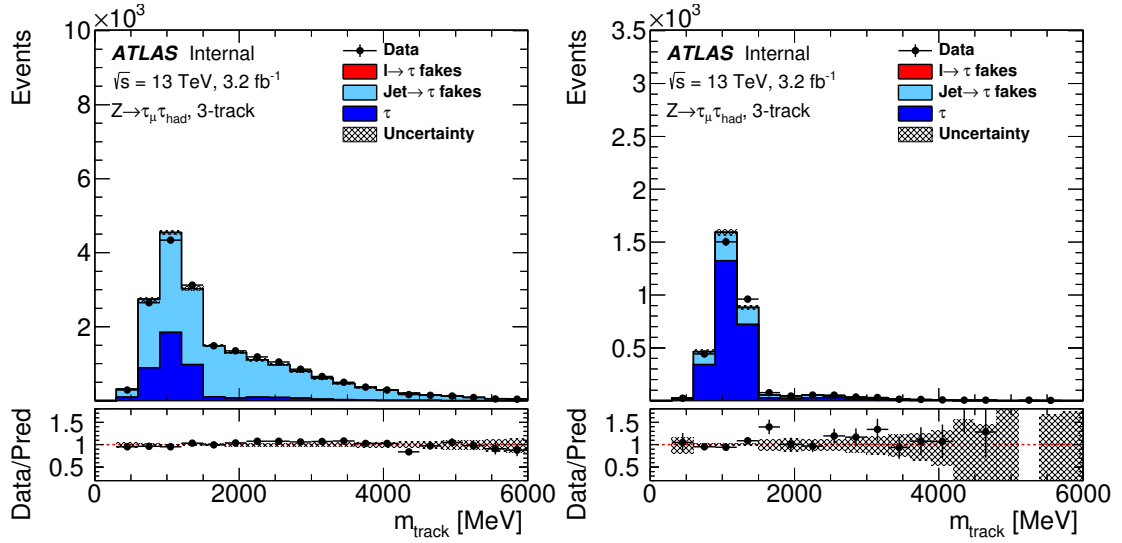


Figure 23: The jet discriminant BDT inputs: **Track mass  $m_{\text{track}}$**  for  $\tau_{\text{had-vis}}$  candidates before (a) and after (b) medium identification requirement. The background estimation is the same as the main analysis as shown in figure 4 and the normalisation factors of the templates from the fit have been applied. The uncertainty band includes only the statistical uncertainty.

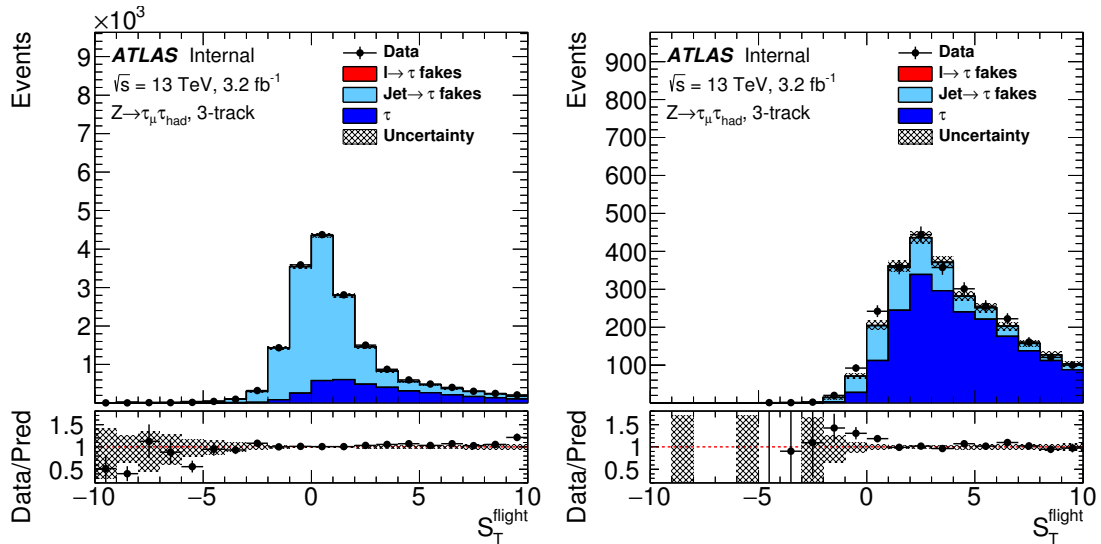


Figure 24: The jet discriminant BDT inputs: **Transverse flight path significance  $S_T^{\text{flight}}$**  for  $\tau_{\text{had-vis}}$  candidates before (a) and after (b) medium identification requirement. The background estimation is the same as the main analysis as shown in figure 4 and the normalisation factors of the templates from the fit have been applied. The uncertainty band includes only the statistical uncertainty.

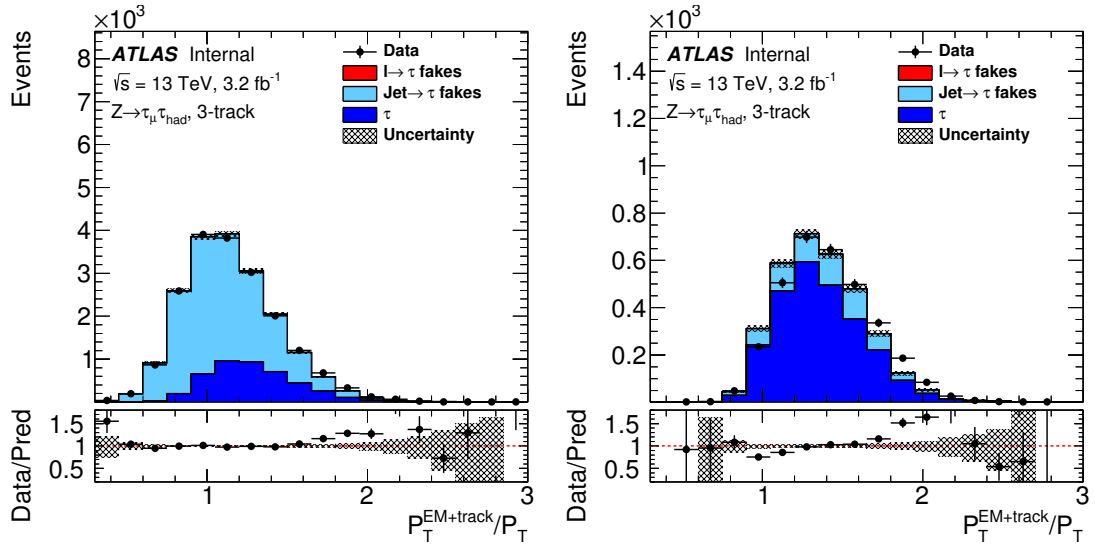


Figure 25: The jet discriminant BDT inputs: **Ratio of track-plus-EM-system to  $p_T$**   $p_T^{\text{EM+track}}/p_T$  for  $\tau_{\text{had-vis}}$  candidates before (a) and after (b) medium identification requirement. The background estimation is the same as the main analysis as shown in figure 4 and the normalisation factors of the templates from the fit have been applied. The uncertainty band includes only the statistical uncertainty.

Not reviewed, for internal circulation only

### 6.3. Trigger efficiency measurement

The performance of the tau trigger is important in meeting the event rate constraints in data taking. In this section, a comparison is made of the efficiency of the online tau identification measured in data and simulation using the tag-and-probe method. The selection of  $Z \rightarrow \tau_\mu \tau_{\text{had}}$  events used in the measurement of the online tau identification efficiency is described in section 6.1.

#### 6.3.1. Signal and Background estimation

The dominant background contributions come from the misidentification of jets as  $\tau_{\text{had-vis}}$  candidates in multi-jet and  $W$ +jets events. These backgrounds are estimated via data-driven methods, using control regions enriched in multi-jet and  $W$ +jets. The shape of the multi-jet background is taken from the same sign control region, and normalisation factors ( $r_{\text{QCD}}$ ) are derived in the multi-jet control region. The normalisation factors are defined as the ratio of OS to SS charge events and are parametrised by the number of charged tracks ( $N_{\text{track}}$ ) associated with the  $\tau_{\text{had-vis}}$  candidate, as well as its  $p_T$ .

The shape of the  $W$ +jets background is modelled with simulated events, with normalisation factors derived from the  $W$ +jets control region. Additionally, the requirements on the invariant mass of the muon and tau candidate, and the sum of the distance in the azimuthal plane between the muon and  $E_T^{\text{miss}}$  and between the  $\tau_{\text{had-vis}}$  and  $E_T^{\text{miss}}$ , are dropped. The normalisation factors are defined as the ratio of data to simulated  $W$ +jets events in the control region and are again parametrised by the number of charged tracks ( $N_{\text{track}}$ ) associated with the  $\tau_{\text{had-vis}}$  candidate, as well as its  $p_T$ . All other backgrounds are estimated via simulation. Figure 26 shows the signal region offline tau  $p_T$  distributions before and after the application of the tau trigger.

489 **6.3.2. Results**

490 The online tau identification efficiency is measured with respect to tau candidates reconstructed and  
 491 identified offline as a function of both the reconstructed transverse momentum of the tau candidate, and  
 492 the number of primary vertices in the event. At L1, the tau trigger has requirements of  $p_T > 12$  GeV  
 493 and calorimetric isolation, whereby energy deposited in a ring surrounding the L1 tau object is required  
 494 to be lower than a threshold dependent on the L1 tau energy. At HLT, the trigger has requirements of  
 495  $p_T > 25$  GeV, the number of associated charged tracks restricted to three or less, and a medium working  
 496 point selection on the online BDT score. Figure 27 shows the online tau identification efficiency measured  
 497 in data (with estimated backgrounds subtracted) for the different levels of the trigger.

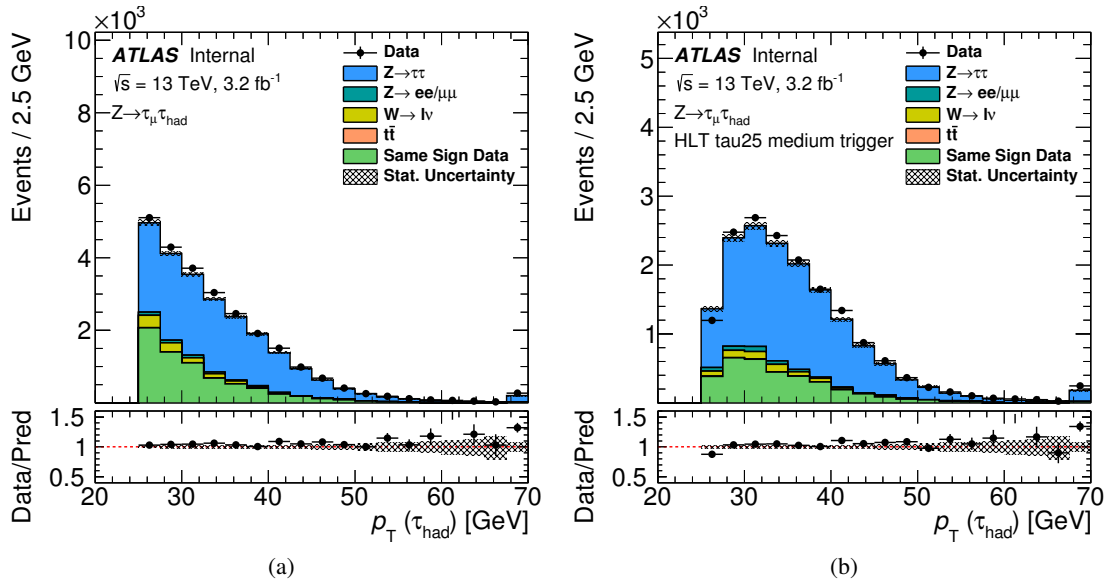


Figure 26: The  $p_T$  and distribution of the tau candidate passing the offline medium tau identification a) before, and b) after the application of the tau trigger. The tau trigger has an online  $p_T$  requirement of 25 GeV, and a medium online identification. The events are from a selection designed to be enriched in the process  $Z \rightarrow \tau_\mu \tau_{\text{had}}$ .

498 The measured online tau identification efficiency is compared to simulated  $Z \rightarrow \tau\tau$  events in figure 28  
 499 and is shown to be well modelled outside the turn-on region. As in the offline tau identification efficiency  
 500 measurement, scale factors are derived to account for the differences between data and simulation and  
 501 are found to be consistent with unity for tau candidates with a reconstructed transverse momentum above  
 502 30 GeV.

503 The dominant systematic uncertainties considered for the efficiency measurement are shown in table 4,  
 504 and are associated with the background subtraction. The largest systematic uncertainty results from the  
 505 uncertainty on the estimation of the multi-jet background. The systematic uncertainties are larger in the  
 506 low- $p_T$  region due to the larger background contribution.



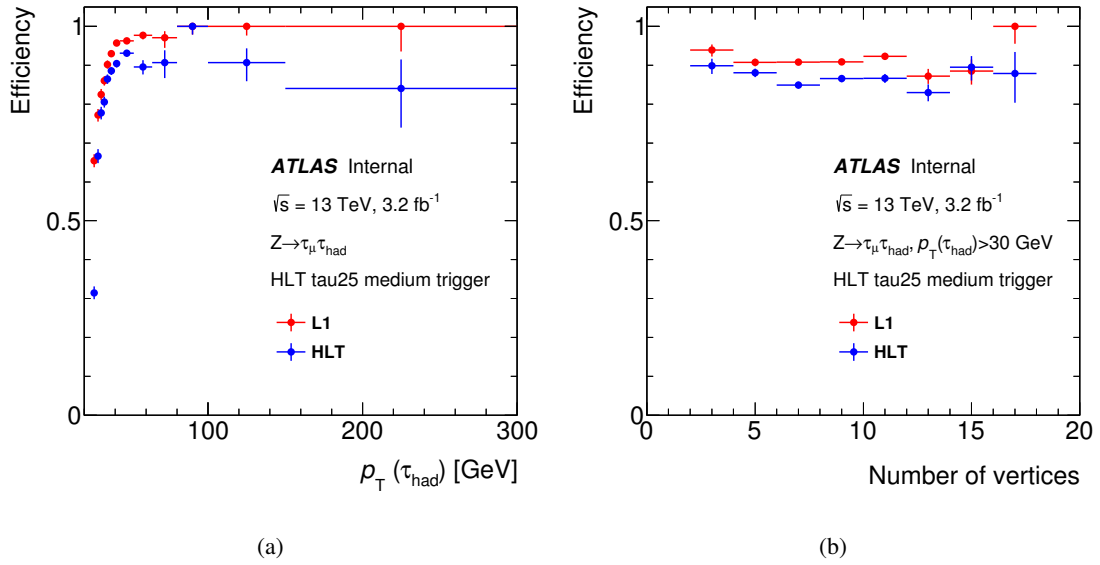


Figure 27: The Level 1 (red) and High Level Trigger (blue) online tau identification efficiency for  $\tau_{\text{had-vis}}$  candidates identified by the offline medium tau identification, as a function of (a) the offline  $\tau_{\text{had-vis}}$  transverse energy and (b) the number of primary vertices. The error bars correspond to the statistical uncertainty in data.

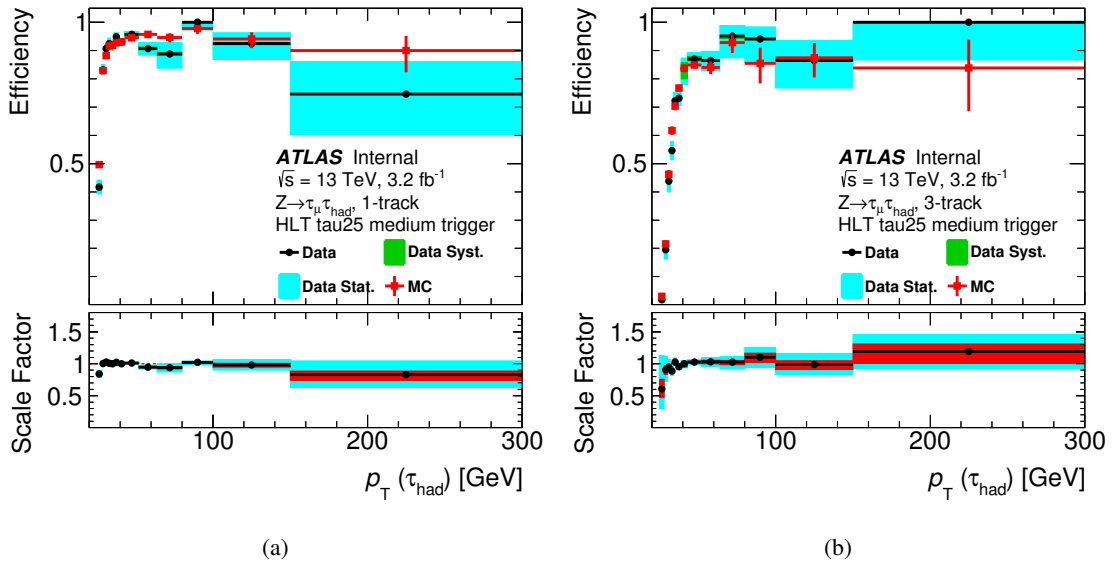


Figure 28: The online tau identification efficiency measured in data and simulation, for offline  $\tau_{\text{had-vis}}$  candidates passing the medium tau identification, as a function of the offline  $\tau_{\text{had-vis}}$  transverse energy. The expected background contribution has been subtracted from the data. The uncertainty band on the ratio reflects the statistical uncertainties associated with data and simulation as well as the sources of systematic uncertainty. The Scale Factor is defined as the ratio of data to MC.

	1-track		3-track	
	Without trigger	With trigger	Without trigger	With trigger
XXX	$x.xx\%$	$x.xx\%$	$x.xx\%$	$x.xx\%$
XXX	$x.xx\%$	$x.xx\%$	$x.xx\%$	$x.xx\%$
XXX	$x.xx\%$	$x.xx\%$	$x.xx\%$	$x.xx\%$
XXX	$x.xx\%$	$x.xx\%$	$x.xx\%$	$x.xx\%$
XXX	$x.xx\%$	$x.xx\%$	$x.xx\%$	$x.xx\%$
XXX	$x.xx\%$	$x.xx\%$	$x.xx\%$	$x.xx\%$
XXX	$x.xx\%$	$x.xx\%$	$x.xx\%$	$x.xx\%$
XXX	$x.xx\%$	$x.xx\%$	$x.xx\%$	$x.xx\%$

Table 4: Overall effect of individual systematic uncertainties on all backgrounds measured in selections with and without the application of the  $\tau$  trigger with a  $p_T$  threshold of 25 GeV. The systematic uncertainties are shown for 1-track and 3-track  $\tau$  candidates separately. If the systematic uncertainty consists of both upward and downward variations, the variation resulting in the highest effect is shown. Systematic uncertainties (or pairs thereof) whose overall effect is 0.05% or less are not shown. (After collecting information, these will be updated)

#### 6.4. Offline $\tau_{\text{had-vis}}$ energy calibration

The tau energy scale (TES), a tau-specific energy correction derived from simulation, is applied after the local hadronic calibration to the tau candidate energy. A full description is detailed in Ref. [2]. This section describes the in-situ measurement of the tau energy scale based on collision data. The method is based on the fact that the distribution of the reconstructed visible mass,  $m_{\text{vis}}$  in  $Z \rightarrow \tau_\mu \tau_{\text{had}}$  events can be used to measure a TES shift between data and simulation.

##### 6.4.1. Signal and Background estimation

The signal and background estimations are as described in section 6.1, with the difference being the selection criteria, as shown in table 2. The  $m_{\text{vis}}$  variable is defined as the invariant mass of the  $\tau_{\text{had-vis}}$  and muon system. The tau energy is parametrised as  $E_T \rightarrow (1 + \alpha)E_T$  by introducing a TES shift  $\alpha$ , while the muon momentum scale is measured independently with high precision. In Run-1,  $\alpha$  was determined by comparing the  $m_{\text{vis}}$  fitted peak value between data and simulation [2]. One drawback of this peak-fit method is that the peak value is easily affected by the statistical fluctuations. In Run-2, a new method has been developed by comparing the full  $m_{\text{vis}}$  shape and  $\alpha$  is determined by finding the value at which the simulation and data maximally agree. The new method is more robust against statistical fluctuations and the tau energy resolution. Technically,  $\alpha$  is determined by minimising the  $\chi^2(\alpha, f)$  defined as Eq. 3:

$$\chi^2(\alpha, f) = \sum_i \frac{(N_i^{\text{data}} - fN_i^{\text{sig}}(\alpha) - N_i^{\text{bkg}})^2}{(\sqrt{N_i^{\text{data}}})^2 + f^2(\Delta N_i^{\text{sig}}(\alpha))^2 + (\Delta N_i^{\text{bkg}})^2}. \quad (3)$$

Here  $N_i^{\text{data/sig/bkg}}$  is the number of events in the  $i$ -th bin of the visible mass distribution in the data, signal or background;  $\Delta N_i^{\text{sig/bkg}}$  is the corresponding uncertainty in the number of events;  $N_i^{\text{bkg}}$  ( $\Delta N_i^{\text{bkg}}$ ) is corresponds to the sum of the contributions from all backgrounds; the parameter  $f$  is introduced to reduce the impact of overall normalisation discrepancies between data and simulation. The signal yield in each bin depends upon the in-situ TES parameter  $\alpha$ .

528 **6.4.2. Results**

529 The measured TES shift is  $\alpha = -0.7\% \pm 0.8\%$  (stat)  $\pm 1.2\%$  (syst) and  $\alpha = -3.6\% \pm 1.2\%$  (stat)  $\pm 2.3\%$   
 530 (syst) for  $\tau_{\text{had-vis}}$  with one and three associated tracks, respectively. The corrections are negative and  
 531 applied to the momentum of  $\tau_{\text{had-vis}}$  in simulation in order to yield agreement (on average) with data. The  
 532 uncertainties only account for differences between data and simulation. The resulting  $m_{\text{vis}}$  distribution for  
 533 data and simulation is shown in figure 29 after applying the TES correction.

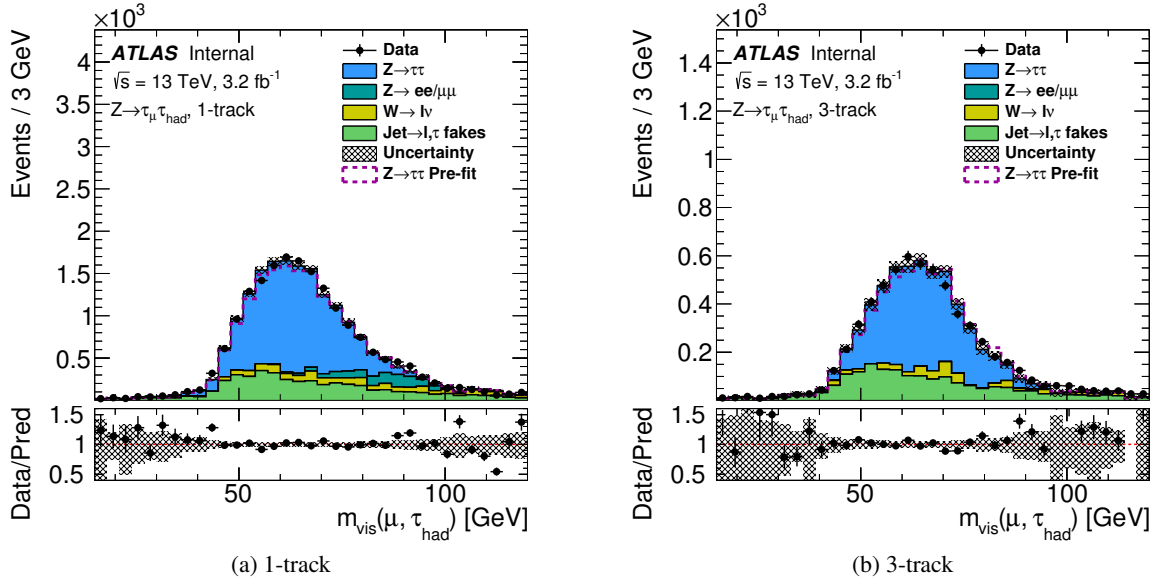


Figure 29: The distribution of  $m_{\text{vis}}$ : the invariant mass of the  $\tau_{\text{had-vis}}$  and muon system

534 The dominant systematic uncertainties of the in-situ measurement are due to the uncertainty related to  
 535 the tau identification, the potential bias of the fit obtained by varying the fit range, and the normalisation  
 536 of the jet background. The main systematic uncertainties are summarised in table 5. The impact of the  
 537 uncertainty related to the tau energy resolution is significantly reduced with respect to the previous method  
 538 [2].

Source	Uncertainty [%]	
	1-track	3-track
Fit bias	0.8	0.6
Tau energy resolution	0.3	0.6
Tau identification	0.5	2.6
Muon	0.2	0.6
Jet background	0.7	1.2
<b>Total</b>	<b>1.2</b>	<b>3.0</b>

Table 5: Dominant systematic uncertainties on the tau energy scale estimated using the in-situ method. In general, the values depend on the number of associated tracks. All other systematic uncertainties are smaller than 0.1%.

## 539 7. $t\bar{t}$ tag-and-probe analyses

540 The higher mass of the top quark in comparison to the  $Z$  boson results in decays to tau leptons with a  
 541 harder  $p_T$  spectrum, as shown in Fig. 30. This enables online and offline tau identification performance  
 542 measurements in a  $p_T$  region that is difficult to access and provides a useful cross check to the  $Z \rightarrow \tau\tau$   
 543 analyses discussed in section 6. In this section, two tag-and-probe analyses are described with differing  
 544 final states.

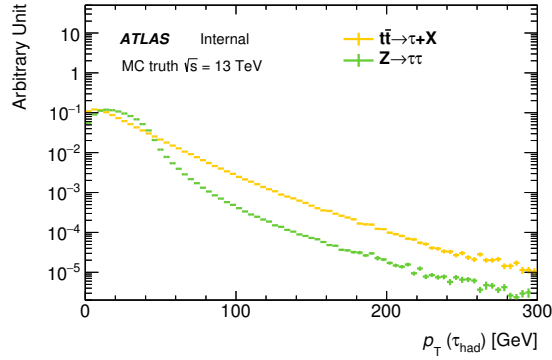


Figure 30: The  $p_T$  distribution of tau candidates matched to generated taus from  $t\bar{t}$  and  $Z \rightarrow \tau\tau$  events.

### 545 7.1. Offline tau identification efficiency measurement

546 this is currently a placeholder

### 547 7.2. Trigger efficiency measurement

#### 548 7.2.1. Event selection

549 In the online tau identification efficiency measurement, the process  $t\bar{t} \rightarrow [b\mu\nu_\mu][b\tau\nu_\tau]$  is considered  
 550 in which the muon constitutes the ‘tag’ object, and the hadronically decaying  $\tau_{\text{had-vis}}$  is probed. The  
 551 selection and triggering of the muon candidate is the same as described in section 6. Likewise, events  
 552 with additional electrons or muons are vetoed and at least one opposite sign charge  $\tau_{\text{had-vis}}$  is required,  
 553 with the leading  $p_T$  candidate considered. Non- $t\bar{t}$  processes are suppressed by requiring at least two jets  
 554 with  $p_T > 20$  GeV in the event, and with at least one  $b$ -tagged.

#### 555 7.2.2. Signal and background processes

556 All simulated events originating from  $t\bar{t}$ , single top, and  $W/Z$ +jets processes where the probe is geomet-  
 557 rically matched to a generated, hadronically decaying  $\tau_{\text{had-vis}}$  particle are considered as signal events. The  
 558 main backgrounds are events where a quark- or gluon-initiated jet is reconstructed and misidentified as  
 559 the probe object. These backgrounds principally result from multi-jet processes as well as  $t\bar{t}$ , single top,

560 and  $W/Z$ +jets processes. The combined shape of these backgrounds is taken from events in which the  
 561 muon and the  $\tau_{\text{had-vis}}$  have the same sign charge. Normalisation factors ( $r_{\text{QCD}}$ ) for the backgrounds are  
 562 derived in a control region enriched in multi-jet events, defined by inverting the isolation requirement on  
 563 the muon and dropping the  $b$ -tag requirement. The normalisation factors are defined as the ratio of OS to  
 564 SS charge events and are parametrised by the number of tracks associated with the  $\tau_{\text{had-vis}}$  candidate, as  
 565 well as its  $p_{\text{T}}$ . The  $r_{\text{QCD}}$  value is computed separately for events before and after the application of the tau  
 566 trigger.

567 Events containing  $t\bar{t}$ , single top, and  $W/Z$ +jets processes, where a jet is misidentified as the probe, are  
 568 modelled by simulated events with the OS requirement. The small background of events in which the  
 569 lepton is misidentified as the probe is also modelled by simulated events with the subset of events with  
 570 the same sign requirement subtracted.

### 571 7.2.3. Results

572 The online tau identification efficiency is measured with respect to tau candidates reconstructed and  
 573 identified offline in the same manner as described in section 6.3, and as a function of the reconstructed  
 574 transverse momentum of the tau candidate. For the tau trigger with a  $p_{\text{T}}$  threshold of 25 GeV and for events  
 575 with tau candidates reconstructed with the offline medium identification requirement, the efficiencies and  
 576 corresponding scale factors in simulated signal and data events (with the estimated backgrounds subtracted)  
 577 are shown in figure 31. The scale factors are consistent with 1 above 39 GeV for 1-track  $\tau_{\text{had-vis}}$  candidates,  
 578 and above 43 GeV for 3-track  $\tau_{\text{had-vis}}$  candidates.

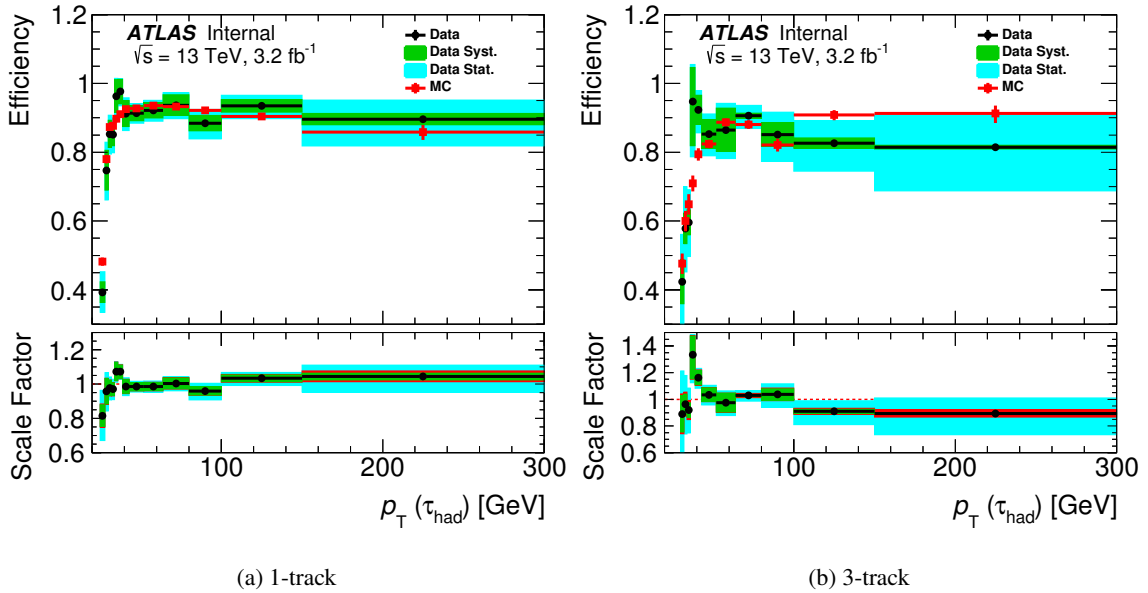


Figure 31: Efficiencies for signal and background subtracted data and corresponding scale factors for the tau trigger with online  $p_{\text{T}} > 25$  GeV as a function of the transverse momentum of offline  $\tau_{\text{had-vis}}$  candidates with a medium identification requirement. The efficiency is measured using the tag-and-probe with events primarily resulting from  $t\bar{t}$  decays. The Scale Factor is defined as the ratio of data to MC.

579 Sources of systematic uncertainty include the reconstruction and identification efficiencies and the energy  
 580 scale of the muon, the reconstruction efficiency of the  $b$ -jets, and the estimation of the  $r_{\text{QCD}}$  normalisation  
 581 factor. With the exception of  $r_{\text{QCD}}$ , which is calculated separately depending on the application of the  
 582 tau trigger, all are considered with and without the tau trigger applied. The systematic uncertainties enter  
 583 through the subtraction of estimated backgrounds from data. The main sources of systematic uncertainty  
 584 are displayed in table 6. The largest source of uncertainty, the multi-jet normalisation factor, contains a  
 585 statistical component related to the number of events in the SS control region, and a systematic component  
 586 derived by varying the choice of selection criteria used to define the control region.

Source	Uncertainty [%]	
	1-track	3-track
multi-jet normalisation	7.7	13.7
$b$ -tagging	< 1	< 1%
muon	< 1	< 1%

Table 6: Dominant uncertainties on the estimated backgrounds for the  $t\bar{t}$  tag-and-probe efficiency measurement.

## 587 8. $Z \rightarrow ee$ tag-and-probe analysis

588 The probability of misidentifying the electron as a candidate tau is measured in events dominated by  
 589  $Z \rightarrow ee$  processes. As in the previous sections, a tag-and-probe approach is used. Events are selected  
 590 by triggering on the presence of an electron (tag) and must contain a candidate tau lepton decaying  
 591 hadronically with a single track (probe).

### 592 8.1. Event selection

593 The event selection is chosen to produce a sample of events enriched in  $Z \rightarrow ee$  processes. The tag  
 594 object in the event is a reconstructed electron with  $p_{\text{T}} > 25$  GeV, and *tight* likelihood identification  
 595 requirements. Several triggers are available, with online medium electron likelihood identification and  
 596 varying online  $p_{\text{T}}$  requirements. These select events containing electrons with varying levels of efficiency,  
 597 and therefore the trigger used to select events is dependent on the  $p_{\text{T}}$  of the reconstructed electron in  
 598 order to maximise acceptance. Events in which the reconstructed electron has offline  $p_{\text{T}} > 135$  GeV,  
 599  $65 \text{ GeV} < p_{\text{T}} < 135 \text{ GeV}$  or  $25 < p_{\text{T}} < 65 \text{ GeV}$ , are selected and geometrically matched to a trigger  
 600 object with a respective online requirement of  $p_{\text{T}} > 120 \text{ GeV}$ ,  $p_{\text{T}} > 60 \text{ GeV}$  or  $p_{\text{T}} > 24 \text{ GeV}$ . The probe  
 601  $\tau_{\text{had-vis}}$  candidate must have a single track,  $p_{\text{T}} > 20 \text{ GeV}$ , and a veto is placed on events containing muons  
 602 or  $b$ -tagged jets.

603 To ensure the selected events contain a high purity of  $Z \rightarrow ee$  decays, an additional selection is placed on  
 604 the signal region, requiring the electron  $p_{\text{T}} > 30 \text{ GeV}$ , the invariant mass of the electron and tau system  
 605 to be within  $80 \text{ GeV} < m_{\text{vis}}(e, \tau_{\text{had-vis}}) < 100 \text{ GeV}$ , and the transverse mass of the electron and  $E_{\text{T}}^{\text{miss}}$   
 606 system,  $m_{\text{T}}(e, E_{\text{T}}^{\text{miss}})$ , to be less than 40 GeV.

## 8.2. Signal and background processes

The data sample enriched in  $Z \rightarrow ee$  processes is compared to an estimation of signal events and background processes. The  $Z \rightarrow ee$  signal process is estimated via simulation and the probe tau from both signal and background processes must be within a cone of size  $\Delta R < 0.2$  of a generated electron for simulated events. The contribution to the signal region from  $Z \rightarrow \tau\tau$  and top-quark processes are also estimated from simulation.

$W$ +jets processes are estimated via simulated events, with a scale factor extracted from a  $W$ +jets dominated control region. The control region is defined by requiring exactly one electron in the event,  $m_{\text{vis}}(e, \tau_{\text{had-vis}}) < 80$  GeV,  $m_{\text{T}}(e, E_{\text{T}}^{\text{miss}}) > 70$  GeV, and  $E_{\text{T}}^{\text{miss}} > 30$  GeV.

The shape of the multi-jet background is taken from events in which the electron and the tau lepton have the same charge and scaled with a normalisation factor derived in a control region enriched in multi-jet events. The multi-jet control region is defined by inverting the isolation requirement on the electron, such that the ratio of transverse energy in a cone of  $\Delta R < 0.2$  around the electron to the electron  $p_{\text{T}}$ , and the ratio of transverse momentum in a cone of  $\Delta R < 0.4$  around the electron to the electron  $p_{\text{T}}$ , are greater than 12% and 8% respectively. Same sign events from the simulated  $Z \rightarrow \tau\tau$ ,  $W$ +jets and top backgrounds are subtracted from the same sign background.

The  $p_{\text{T}}$  and  $\eta$  distributions of the tau candidate are shown in figure 32 after the full event selection and with the background estimation described above.

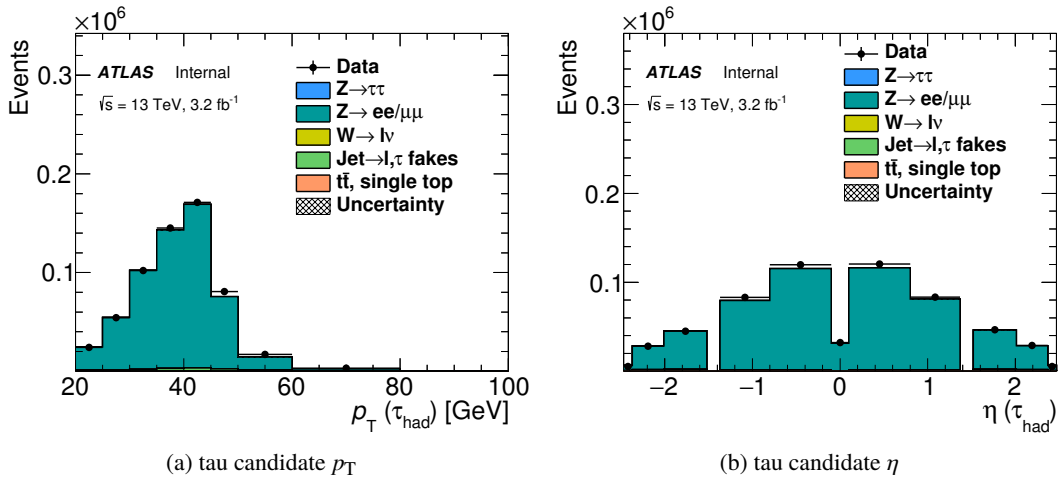


Figure 32: The  $p_{\text{T}}$  and  $\eta$  distributions of the tau candidate after the full event selection.

## 8.3. Results

The electron misidentification probability is defined as the probability of an electron passing both the tau identification and the electron discrimination algorithm. It is measured by taking the ratio of signal region events passing the medium tau identification and the *very loose* electron discrimination requirements to all signal region events, and is calculated for both data and the simulated  $Z \rightarrow ee$  signal process. For

630 the efficiency in data, the estimated contribution to the signal region coming from non- $Z \rightarrow ee$  events is  
 631 first subtracted. The efficiencies in data and simulation, and the ratio or correction factors are shown in  
 632 figure 33. The misidentification probability ranges between 0.5% and 2.5% across the  $\eta$  spectrum of the  
 633 tau candidate and is below 1% for tau candidates with  $p_T < 50$  GeV.

634 Sources of systematic uncertainty include uncertainties associated with the reconstruction, identification  
 635 and energy scale of the electron and  $\tau_{\text{had-vis}}$ , and the electron trigger. The estimation of the multi-jet and  
 636  $W$ +jets normalisation factors contribute as additional sources of uncertainty.

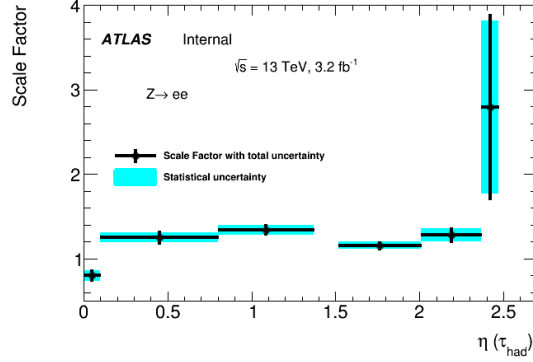


Figure 33: Electron misidentification probability and corresponding scale factors for the requirement that  $\tau_{\text{had-vis}}$  candidates with overlapping electrons pass a medium tau identification criteria and the electron discrimination algorithm. The measurements are carried out on data enriched in  $Z \rightarrow ee$  events and with estimated backgrounds subtracted, as well as simulated  $Z \rightarrow ee$  events, and the ratio is displayed as a scale factor.

## 637 9. Summary and conclusions

638 The performance of the online and offline tau identification, and the energy calibration is measured  
 639 using  $Z \rightarrow \tau\tau$  tag-and-probe measurements. The uncertainties on the offline tau identification efficiency  
 640 measurement are approximately (5–6)%, depending on the working point, inclusive in  $\eta$  and for a visible  
 641 transverse momentum greater than 20 GeV. The online tau identification efficiency is measured with a  
 642 precision of (3–10)% depending on the transverse energy of the tau candidate, by using the hadronic tau  
 643 lepton decays from  $Z$  bosons, selected by offline algorithms. The transverse energy range of the online tau  
 644 identification efficiency measurement is extended via measurements on tau lepton decays from  $t\bar{t}$  processes,  
 645 and the results are found to be consistent with unity above 45 GeV. The probability of misidentifying  
 646 electrons as tau candidates is measured to be  $< 2\%$  for tau candidates with  $20 \text{ GeV} < p_T < 50 \text{ GeV}$ . The  
 647 reconstructed tau energy scale is measured with a precision of approximately (2–3)%.



648 **References**

- 649 [1] K Nakamura et al., *Review of Particle Physics*,  
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## Appendix

### A. Offline tau identification efficiency measurement

The goal of this study is to derive the identification efficiency of hadronically decaying tau leptons from the data recorded by the ATLAS detector, and compare it to the efficiency expected from Monte Carlo. This is performed by using  $Z \rightarrow \tau\tau$  events, selected following a *tag-and-probe* approach: events triggered by the presence of a muon (tag) and containing a hadronically decaying tau candidate (probe) are selected. The  $Z \rightarrow \tau_\mu \tau_{had}$  signal will be subject to several backgrounds, those considered in this study being  $W$ +jet,  $Z \rightarrow ll$ , top and multijet.

In order to get the efficiency, one must determine and compare the number of reconstructed  $\tau_{had}$  before and after application of the identification algorithm.

#### A.1. Event selection

A pre-selection (FIG. 34) is first applied, requiring one single trigger matched muon with a  $p_T$  over 22 GeV and at least one  $\tau_{had}$  candidate with a  $p_T$  over 20 GeV. If several, the candidate with the highest  $p_T$  is chosen. Some distributions after this pre-selection are shown in FIG. 35.

Pre-selection	HLT_mu20_loose_L1MU15 muTrig_Match_HLT_mu20_loose_L1MU15 $N_{p_{vx}} \geq 1$ Exactly 1 muon, electron veto
Tau requirements	Only leading tau candidate $p_T \geq 20$ GeV $ \eta  \leq 1.37$ and $1.52 \leq  \eta  \leq 2.47$ $N_{tracks} = 1$ or $3$ , $ q =1$ tau jet BDT score $\geq 0.30$
Muon requirements	$p_T \geq 22$ GeV medium Id $ptcone40/pt \leq 0.01$ $etcone20/pt \leq 0.04$

Figure 34: Pre-Selection

A signal region, enriched in  $Z \rightarrow \tau\tau$  events, is then defined by applying cuts on the transverse mass ( $M_T = \sqrt{2p_T(\mu) \cdot E_T^{\text{miss}} \cdot (1 - \cos\Delta\Phi(\mu, E_T^{\text{miss}}))}$ ),  $\text{SumCosDPhi} (= \cos\Delta\Phi(\mu, E_T^{\text{miss}}) + \cos\Delta\Phi(\tau_{had}, E_T^{\text{miss}}))$  and the visible mass from the muon and the tau (FIG. 36).

Two control regions, respectively enriched in  $W$ +jet and multijet events, are also defined in order to perform the background estimation.

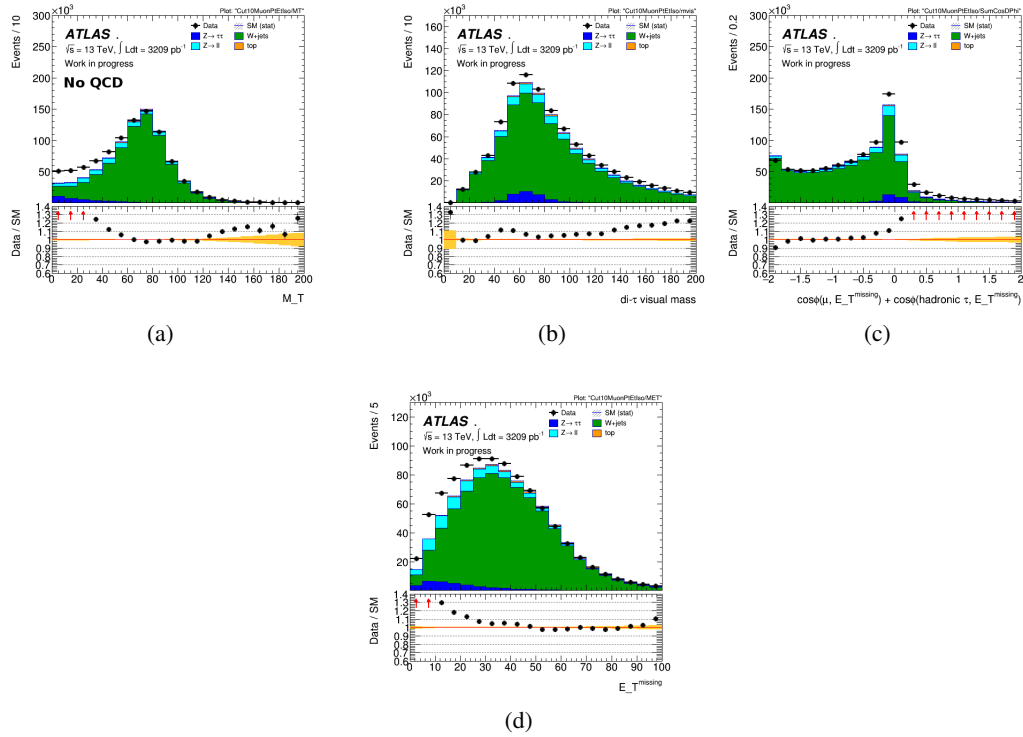


Figure 35: Distribution after pre-selection of the transverse mass (a), the visible mass of the muon and the tau (b), SumCosDPhi (c) and the transverse missing energy (d).

## A.2. Efficiency extraction

The identification efficiency is derived by performing a fit in the OS signal region. The variable used for this fit is the track multiplicity of the  $\tau_{had}$  candidate, defined as the sum of the number of core tracks ( $0 \leq \Delta R \leq 0.2$ ) and of the number of outer tracks ( $0.2 \leq \Delta R \leq 0.6$ ) satisfying the criterion  $\min(\frac{p_T^{core}}{p_T^{outer}} \times \Delta R(core, outer)) \leq 4$ . This criterion, on top of suppressing tracks from pileup and underlying events, will enable to separate the true tau leptons from the jet fakes by requiring the outer tracks to have a  $p_T$  close to the core tracks and to be close to them. The track multiplicity will therefore tend to be higher for jet fakes than for true tau leptons.

Three templates are built in order to perform the fit:

- Tau template: the signal template, built from Monte Carlo  $Z \rightarrow \tau\tau$  and top ( $t\bar{t}$ ) events with truth matched taus. It is split between 1 and 3 prong;
- Lepton template: first background template, built from Monte Carlo  $Z \rightarrow ll$ ,  $Z \rightarrow \tau\tau$  and top events with lepton fake taus;
- Jet template: second background template, accounting for jet fakes (W+jet and Multijet). This template is data driven and needs a specific construction.

Signal region	$M_T \leq 50 \text{ GeV}$ $\text{SumCosDPhi} \geq -0.1$ $42 \leq \text{visible mass}(\mu, \tau) \leq 82 \text{ GeV}$ $\mu p_T \leq 40 \text{ GeV}, \Delta\Phi(\mu, \tau) \geq 2.4$
W+jet control region	$M_T \geq 60 \text{ GeV}$ $E_T^{\text{miss}} \geq 30 \text{ GeV}$ $\text{SumCosDPhi} \leq 0$
Multijet control region	Same as signal region except: $\text{ptcone40}/\text{pt} \geq 0.01$ $\text{etcone20}/\text{pt} \geq 0.04$ $15 \leq \text{visible mass} \leq 50 \text{ GeV}$ and $\text{visible mass} \geq 90 \text{ GeV}$

Figure 36: Definition of the signal region and the control regions

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770 **Jet template construction** The jet template accounts for the jet fakes in the OS signal region, it is  
 771 therefore built as sum of the contributions from W+jet and Multijet, which need both to be estimated.  
 772 The W+jet contribution in the OS signal region is obtained by taking the data distribution in the OS W+jet  
 773 control region, and by applying it a (OS W) transfer factor defined as the ratio between W+Jet Monte  
 774 Carlo's in the OS signal region and the OS W+jet control region (FIG. 37).

775 The multijet contribution must first be estimated in the SS signal region, before applying it a multijet  
 776 transfer factor, defined as the ratio between data in the OS multijet control region and the SS multijet  
 777 control region (FIG. 39). This estimation of multijet in the SS signal region is obtained by taking the data  
 778 distribution in the SS signal region, and subtracting an estimation of W+jet in this same region (defined  
 779 the same way as W+jet in the OS signal region, FIG. 38).

780 The three transfer factor used are applied as flat normalisation factors, their shapes being taken into account  
 781 in the systematics. The different templates are presented in FIG. 40.

782 **Pre-Id fit** The choice was made to perform the fit in the pre-Id region, rather than making simultaneous  
 783 fits in the passed and failed Id regions. Indeed, a fit in the failed Id region is too dependent on the jet  
 784 template modelling and would lead to uncontrollable systematics. In addition, the pre-Id fit also enables  
 785 to increase the tau statistics and therefore the fit power.

786 For this fit, the tau templates (1 and 3 prong) are floated with a common parameter, the jet template is also  
 787 floated and the lepton template is constrained to the Monte Carlo prediction.

788 This pre-Id fit (FIG. 41(a)) enables to extract two essential elements for the computation of the efficiency:  
 789 the yield of tau before Id and the jet normalization factor (i.e. the floated parameter for the jet template).

790

791 **Efficiency** To get the efficiency, the yield of tau in the passed Id region is also needed. To get this,  
 792 templates in the passed Id region are built (splitting between 1 and 3 prong for all of them, FIG. 43). The  
 793 jet templates are built following the same procedure as for the pre-Id jet template, the different transfer  
 794 factors used are shown in FIG. 42.

795 The jet normalization factor extracted from the fit is then applied on the jet templates in passed Id, and the

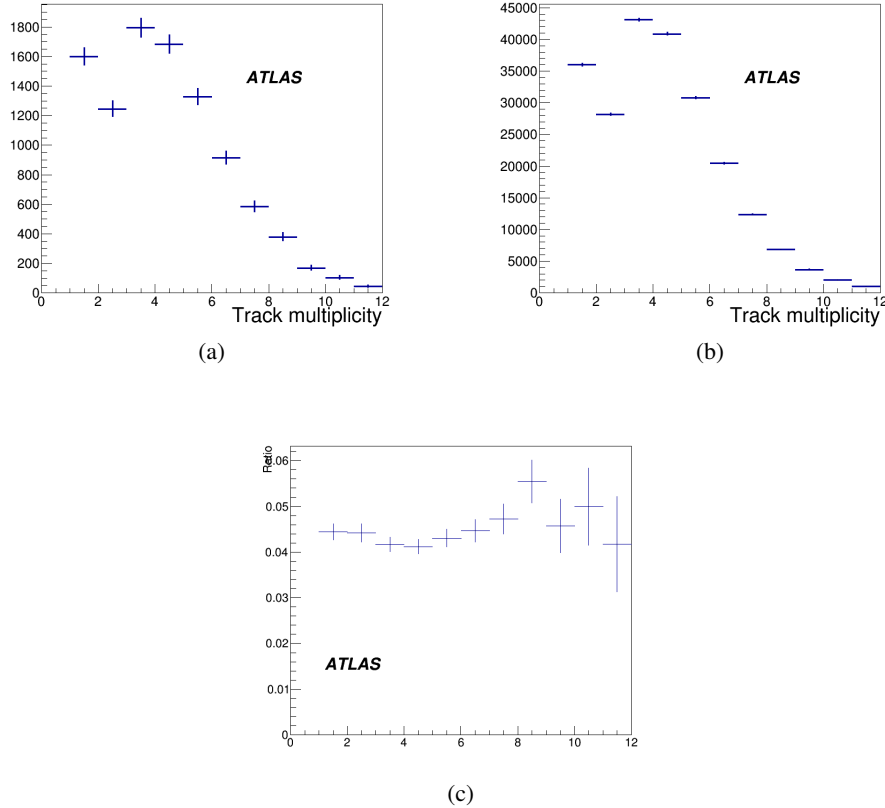


Figure 37: W+jet distribution in the OS signal region (a), W+jet distribution in the OS W+jet control region (b) and OS W transfer factor (c).

796 yield of tau in passed Id is obtained by subtracting the lepton and the jet templates to data.  
 797 The efficiency is then computed as the ratio between the yield of tau in passed Id and before Id.

### 798 A.3. Results

799 Here are given the results obtained with the full 2015 dataset, i.e. an integrated luminosity of  $3.2 \text{ fb}^{-1}$ ,  
 800 and MC15b samples. The results are split between 1 and 3 prong, and between three identification  
 801 requirements: tight (FIG. 44), medium (FIG. 45) and loose (FIG. 46).

802

803 The systematics considered come from the definition of the templates:

- 804 • The transfer factors used to build the jet template are a source of systematics. For the W transfer  
 805 factors (OS and SS), the uncertainties on the normalisation factors applied are considered, as well  
 806 as the shapes of the transfer factors as a function of the track multiplicity. For this latter, the OS  
 807 and SS transfer factors are respectively fitted to a 3rd and 2nd order polynomial, and the resulting  
 808 function is used instead of the flat normalisation factor. The shape of the multijet transfer factor is  
 809 also taken into account, and in addition the reliability of the multijet control region is estimated by  
 810 splitting into three sub-regions and deriving a transfer factor for each.

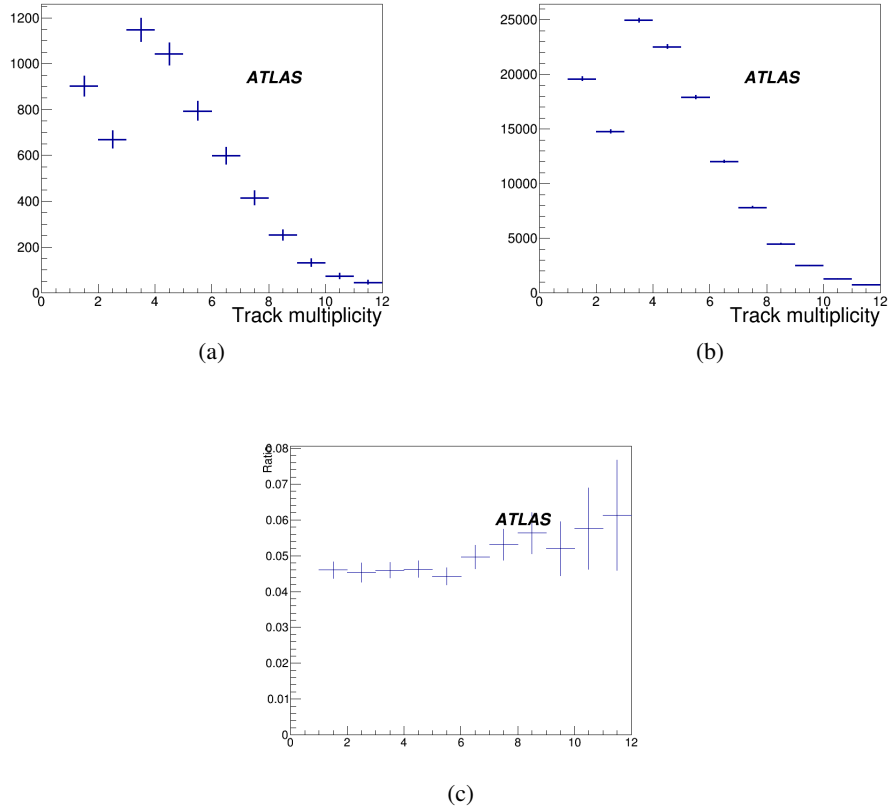


Figure 38: W+jet distribution in the SS signal region (a), W+jet distribution in the SS W+jet control region (b) and SS W transfer factor (c).

- 811 • The systematics on the tau template are estimated by using different  $Z \rightarrow \tau\tau$  Monte Carlo samples,  
 812 with alternative detector geometries (Alternative GEO (+5%), Alternative IBL GEO) or different  
 813 physics lists in Geant 4 (QGSP\_BIC, FTFP\_BERT\_BIC).
- 814 • For the lepton template, an arbitrary uncertainty on the modelling of 50 % is propagated.



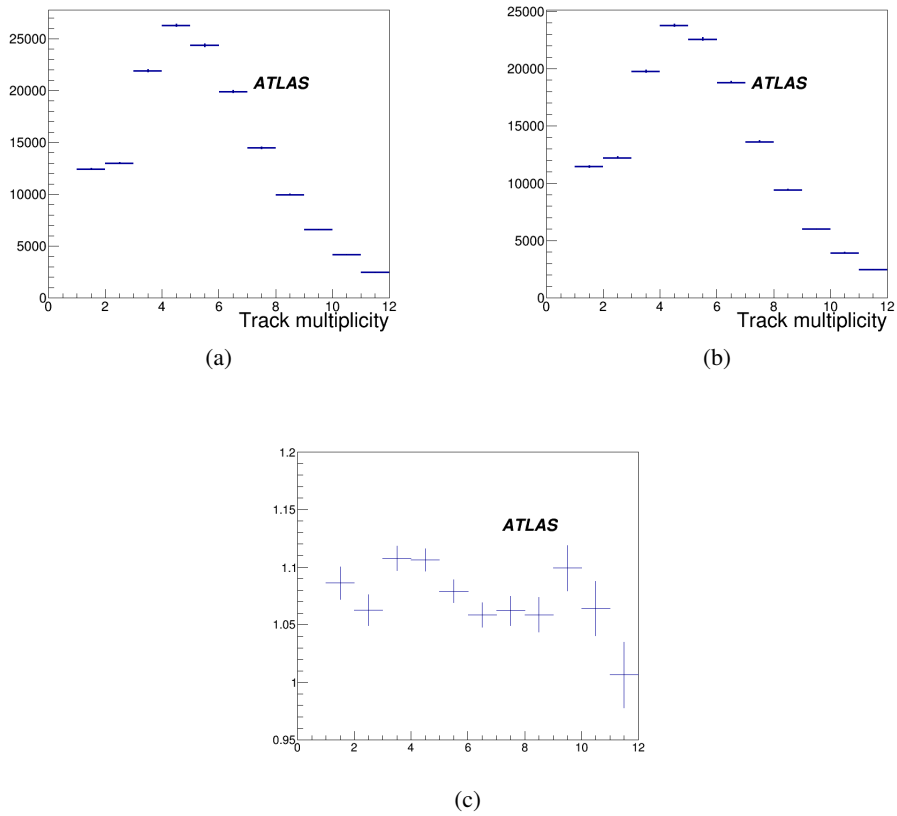


Figure 39: Data distribution in the OS multijet control region (a), in the SS multijet control region (b) and multijet transfer factor (c).

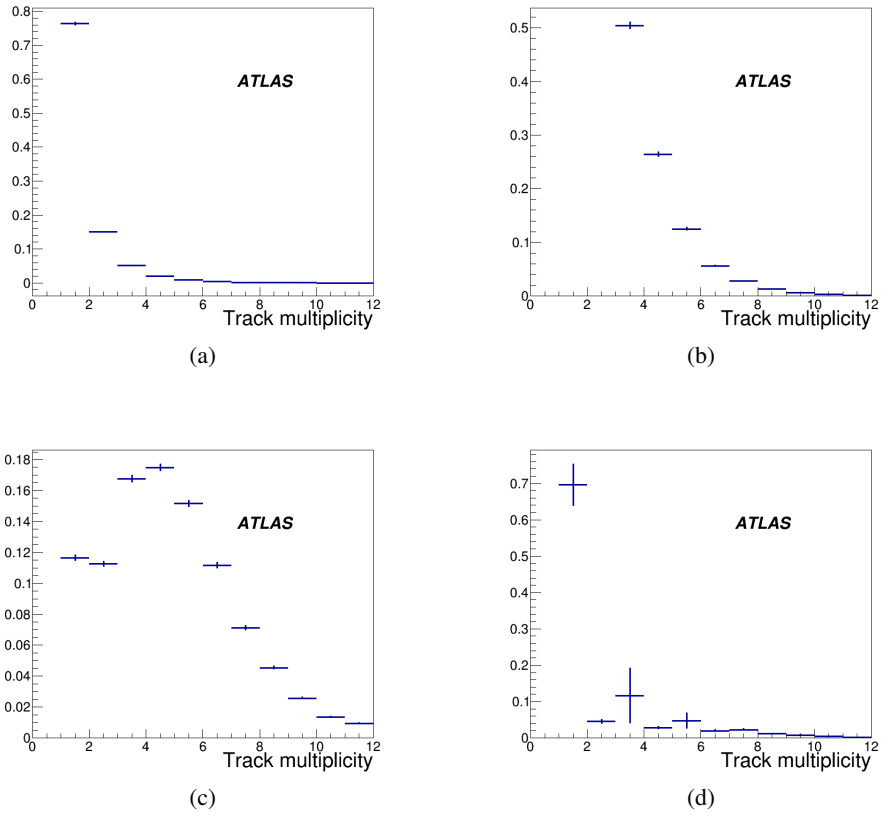


Figure 40: Tau template, split between 1 (a) and 3 prong (b), jet template (c) and lepton template (d).

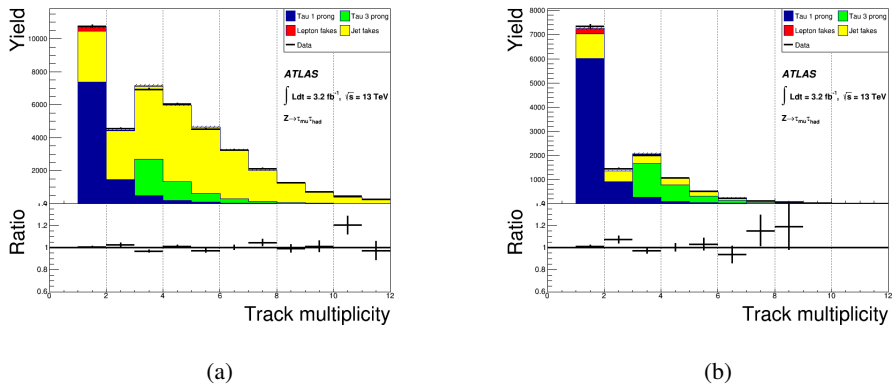
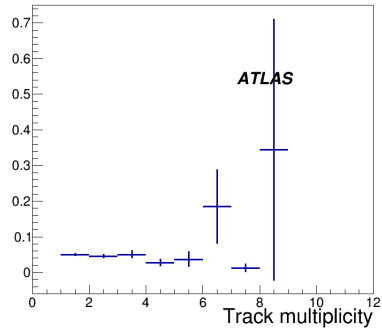
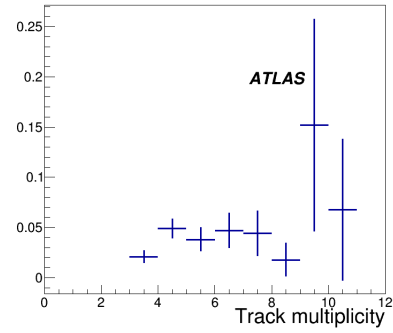


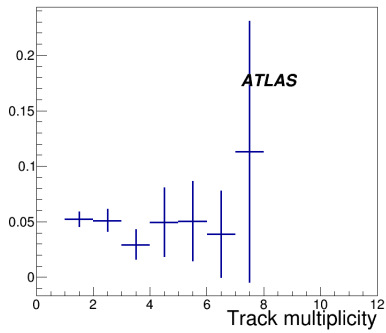
Figure 41: Track multiplicity before Id (a) and for passed Id (b).



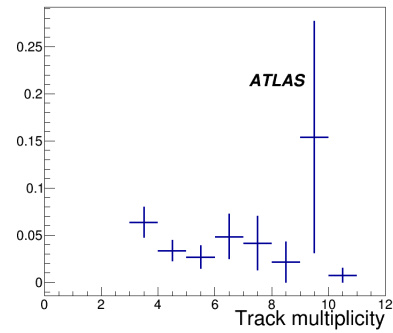
(a)



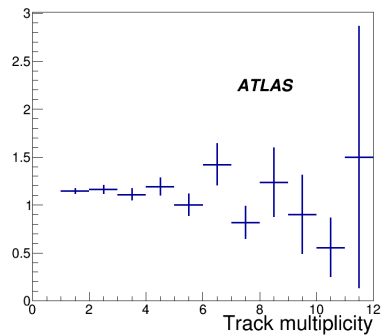
(b)



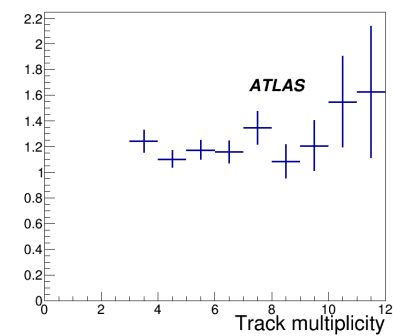
(c)



(d)



(e)



(f)

Figure 42: Passed identification: OS W transfer factor 1 (a) and 3 prong (b), SS W transfer factor 1 (c) and 3 prong (d) and multijet transfer factor 1 (e) and 3 prong (f).

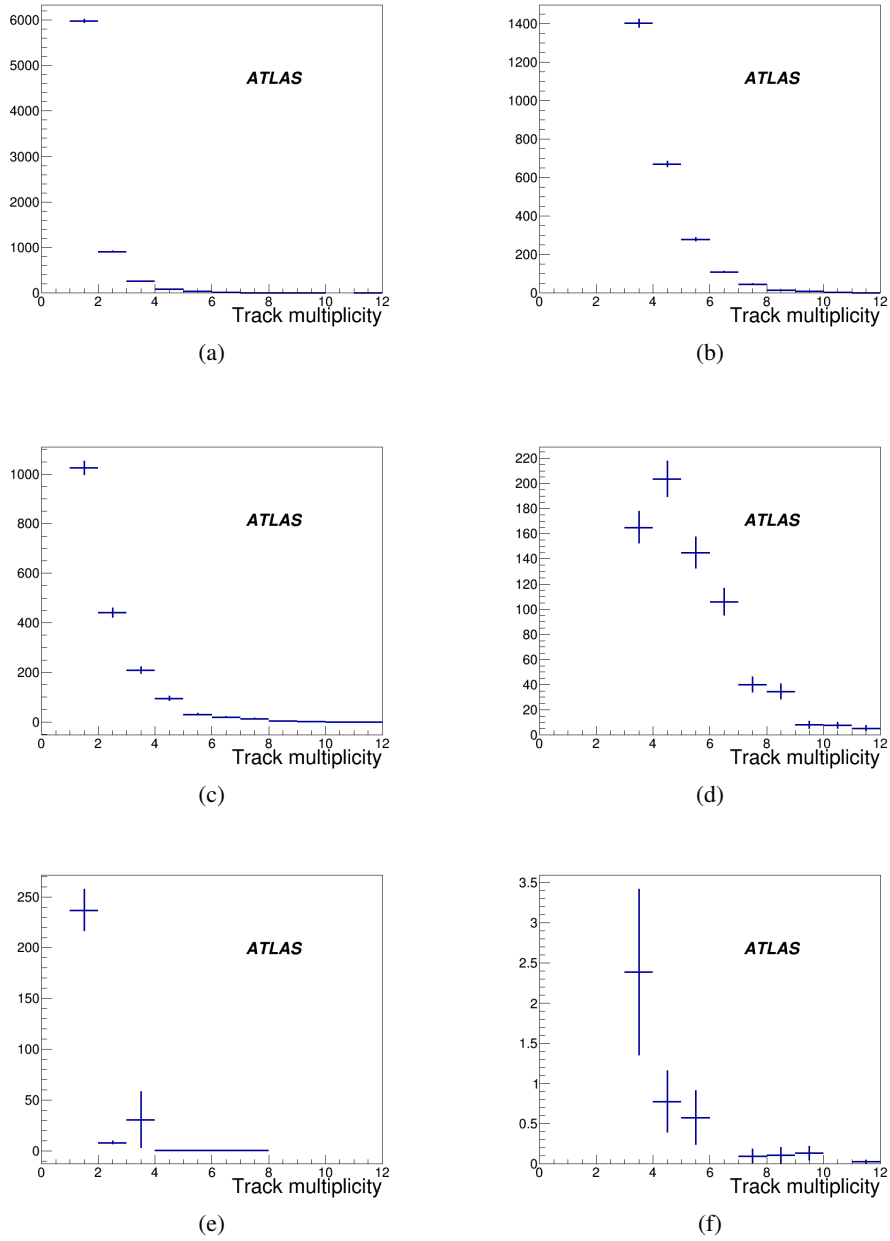


Figure 43: Passed identification: tau template 1 (a) and 3 prong (b), jet template 1 (c) and 3 prong (d) and lepton template 1 (e) and 3 prong (f).

	1 prong	3 prong
Data efficiency	0.606±0.010	0.430±0.011
MC efficiency	0.590	0.424
SF	1.027 ± 0.019(stat.) <sup>+0.051</sup> <sub>-0.024</sub> (sys.)	1.013 ± 0.031(stat.) <sup>+0.050</sup> <sub>-0.024</sub> (sys.)

Figure 44: Tau identification efficiency from data and Monte Carlo for the tight identification requirement. The scale factor is the ratio between measured and expected efficiencies.

	1 prong	3 prong
Data efficiency	$0.771 \pm 0.012$	$0.592 \pm 0.015$
MC efficiency	0.760	0.586
SF	$1.016 \pm 0.017(\text{stat.})^{+0.049}_{-0.024}(\text{sys.})$	$1.010 \pm 0.028(\text{stat.})^{+0.049}_{-0.023}(\text{sys.})$

Figure 45: Tau identification efficiency from data and Monte Carlo for the medium identification requirement. The scale factor is the ratio between measured and expected efficiencies.

	1 prong	3 prong
Data efficiency	$0.860 \pm 0.013$	$0.723 \pm 0.019$
MC efficiency	0.852	0.745
SF	$1.008 \pm 0.016(\text{stat.})^{+0.048}_{-0.023}(\text{sys.})$	$0.971 \pm 0.028(\text{stat.})^{+0.046}_{-0.023}(\text{sys.})$

Figure 46: Tau identification efficiency from data and Monte Carlo for the loose identification requirement. The scale factor is the ratio between measured and expected efficiencies.

## B. Online tau identification efficiency measurement

A tau trigger in the ATLAS experiment has been designed and implemented to select events which contains hadronically decaying tau leptons ( $\tau_{\text{had}}$ ) in the final state.

The ATLAS trigger system is consist of two level triggers to efficiently collect interesting events keeping trigger rate. The first level is hardware-based trigger named Level 1 (L1) while the second one is software based trigger named High Level Trigger (HLT). At L1, the tau reconstruction is performed based on the energy deposit in the electromagnetic (EM) and hadronic (HAD) calorimeters. These energy deposits are read out in calorimetric towers with a granularity of  $\delta\eta \times \delta\phi = 0.1 \times 0.1$ . Taus are identified if the uncalibrated sum of the energy depoists in  $2 \times 1$  EM towers and  $2 \times 2$  HAD towers behind the EM towers exceed a given threshold. An additional isolation requirement can be applied by setting an upper threshold for the energy deposited in  $4 \times 4$  ring surrounding the  $2 \times 2$  towers in the EM calorimeter. Isolation thresholds has been implemented with respect to its core energy from 2015 Run. Isolation requirments can effectively reject QCD jets whicle keeping a high-efficiency for selecting  $\tau_{\text{had}}$ . The position of the L1 energy deposit is defined as a region of interest (RoI). At HLT, three sequential selections are made around RoIs. Firstly, a cut on the transverse energy of the tau candidate is made using topological clusters of calorimeter cells, with a dedicated tau energy calibration applied. Secondly, a two-step fast tracking is used to select tau candidates with a low track multiplicity. A leading track is found within a narrow  $\Delta R$  of the tau direction, followed by a second fast-tracking step using a larger  $\Delta R$  but with the tracks required to emanate from the same position along the beamline as the leading track. Finally, the full HLT precision tracking is run and a collection of variables, built from calorimeter and track quantities, are fed into a BDT for the final tau identification. BDT tau identification has been harmonised with the offline tau identification as much as possible.

The efficiency of the tau trigger was measured on real data using a  $Z \rightarrow \tau_{\mu} \tau_{\text{had}}$  tag-and-probe method. The presense of an isolated muon coming from a  $\tau_{\mu}$  decay is required to tag the  $Z \rightarrow \tau_{\mu} \tau_{\text{had}}$  event while the  $\tau_{\text{had}}$  is used as an unbiased probe of tau trigger performance. In order to measure the efficiency, tau  $p_T$  spectrum is measured before and after passing single tau trigger.

### B.1. Object & Event Selection

In this analysis, hadronic taus in  $Z \rightarrow \tau_{\mu} \tau_{\text{had}}$  events are considered. The selected events are accepted by the lowest unscaled single muon trigger are tagged by an offline reconstructed muon passing gradient isolation requirement with transverse momentum above 22 GeV. The presense of an offline reconstructed tau with transverse momentum above 25 GeV, one or three tracks, passing the offline tau identification working point (loose, medium and tight); tau identification working point depends on which measurement are performed. The electric charge of tau is required to be opposite to the one of muon. The event selection used to enhance the  $Z \rightarrow \tau_{\mu} \tau_{\text{had}}$  events. To reject  $Z(\rightarrow \mu\mu)+\text{jets}$  and di-leptonic  $t\bar{t}$  events, it is required that there is exactly only one reconstructed muon and no other reconstructed light lepton; i.e. electron and muon. To reject QCD multi-jets and  $W \rightarrow \mu\nu+\text{jets}$  events, the invariant mass of the muon and the offline tau candidate is required to be between 45 and 80 GeV, the transverse mass of the muon and  $E_T^{\text{miss}}$  ( $m_T = \sqrt{2p_T^{\mu} E_T^{\text{miss}} (1 - \cos \Delta\phi(\mu, E_T^{\text{miss}}))}$ ) has to be less than 50 GeV and the distance in the azimuthal plane between the muon and  $E_T^{\text{miss}}$  and between the offline tau candidate and  $E_T^{\text{miss}}$  ( $\Sigma \cos \Delta\phi = \cos \Delta\phi(\mu, E_T^{\text{miss}}) + \cos \Delta\phi(\tau, E_T^{\text{miss}})$ ) has to be greater than -0.5. Finally, no b-tagged jet with 77% working point is required to suppress a little bit contribution from  $t\bar{t}$  events.

856 The full list of selection requirements are summarized in Table 7.

Table 7: Event selection requirements for  $Z \rightarrow \tau_\mu \tau_{had}$  events

	Requirement
Trigger	HLT_mu20_i_loose_L1MU15
$\mu$	Medium quality Trigger matched Gradient or inverted Gradient isolation $p_T > 22$ GeV $ \eta  < 2.5$
$e$	Loose likelihood ID $p_T > 15$ GeV $ \eta  < 2.5$
$\tau$	Loose, Medium, or Tight BDT ID $ q  = 1$ $N_{track} = 1$ or $N_{track} = 3$ $p_T > 25$ GeV $ \eta  < 1.37$ or $1.52 <  \eta  < 2.47$ no overlapping electron
jet	$p_T > 20$ GeV $ \eta  < 4.5$ JVT $> 0.64$ for $ \eta  < 2.5$ & $p_T < 50$ GeV 77% identification efficiency for $b$ -jets
preselection	one primary vertex with at least 4 tracks one reconstructed $\mu$ no other reconstructed leptons one or more reconstructed $\tau$ the $\mu$ and the $\tau$ have opposite sign charge no $b$ -tagged jet
signal region	Gradient isolation on the $\mu$ $m_T < 50$ GeV $\cos(\Delta\phi(\mu, E_T^{miss})) + \cos(\Delta\phi(\tau, E_T^{miss})) > -0.5$ $45 < M_{\mu,\tau} [\text{GeV}] < 80$
QCD control region	inverted Gradient isolation on the $\mu$ $m_T < 50$ GeV $\cos(\Delta\phi(\mu, E_T^{miss})) + \cos(\Delta\phi(\tau, E_T^{miss})) > -0.5$
W+Jet control region	Gradient isolation on the $\mu$ $E_T^{miss} > 30$ GeV $m_T > 60$ GeV

## 857 B.2. Backgrounds Estimation

858 After applying event selection in B.1, the dominant sources of background events are  $W \rightarrow \mu\nu + \text{jets}$  and  
859 QCD multi-jets events. Since it is difficult to estimate jet to  $\tau_{had}$  fake only with MC simulation, these  
860 backgrounds are estimated using data in the dedicated control regions.

### 861 B.2.1. Multi-jets Estimation

862 QCD multi-jets events are modeled from real data events where the offline tau candidate and the muon  
 863 have the same sign electric charge (SS data). The shape of the background events is taken from the same  
 864 requirements as signal region but using the SS data. Considering the difference of the amount of multi-jets  
 865 contribution between opposite sign (OS) and SS data, the normalization factor ( $r_{\text{QCD}}$ ) is derived from the  
 866 dedicated control region (QCD control region in Table 7), where QCD multi-jets events are dominant by  
 867 requiring that muon candidate does not pass gradient isolation requirements. Unlike signal region, Z mass  
 868 window cut is dropped in QCD multi-jet control region not to enhance  $Z \rightarrow \tau_\mu \tau_{\text{had}}$  fraction.

869 The  $r_{\text{QCD}}$  factors are parameterized by the followings.

- 870 • offline  $\tau$  identification requirement: loose, medium, tight;
- 871 •  $\tau$   $N_{\text{track}}$  requirement: 1 or 3;
- 872 •  $\tau$ :  $p_T \leq 40$  GeV or  $p_T > 40$  GeV;

873 The  $r_{\text{QCD}}$  factors are computed as the ratio of opposite-sign and same-sign events in the QCD control  
 874 region with the selected parameterization after subtracting all MC contributions.

$$r_{\text{QCD}}(N_{\text{track}}, \text{ID}, p_T) = \frac{N_{\text{OS}}^{\text{QCD CR Data}}(N_{\text{track}}, \text{ID}, p_T) - N_{\text{OS}}^{\text{QCD CR MC}}(N_{\text{track}}, \text{ID}, p_T)}{N_{\text{SS}}^{\text{QCD CR Data}}(N_{\text{track}}, \text{ID}, p_T) - N_{\text{OS}}^{\text{QCD CR MC}}(N_{\text{track}}, \text{ID}, p_T)}.$$

875 These factors are applied to same sign events in the signal region to estimate SS data background.

$$\text{SS data}(N_{\text{track}}, \text{ID}, p_T) = \sum_{N_{\text{track}}, \text{ID}, p_T} r_{\text{QCD}}(N_{\text{track}}, \text{ID}, p_T) \times \text{SS}^{\text{SR}}(N_{\text{track}}, \text{ID}, p_T)$$

876 Both statistical and systematic components are considered for the  $r_{\text{QCD}}$  uncertainties. The statistical com-  
 877 ponents is computed assuming that number of OS and SS events in the QCD control region are distributed  
 878 according to the normal distribution. To derive the systematic component, cuts on two isolation vari-  
 879 ables are used: the distribution of momentum of tracks inside a cone of  $\Delta R < 0.3$  (ptvarcone30), and  
 880 the distribution of energy of calorimeter deposits inside a cone of  $\Delta R < 0.2$  (topoetcone20) of the  
 881  $\mu$  direction, relative to the offline  $\mu$   $p_T$ . The cuts are placed and varied individually (between 0.1 and  
 882 0.4), and the envelope of the change of the  $r_{\text{QCD}}$  factor under each variation makes one component of the  
 883 systematic uncertainty. The total systematic uncertainty on the  $r_{\text{QCD}}$  factor is computed by adding the two  
 884 components in quadrature.

885 The  $r_{\text{QCD}}$  factors for 1-track, 3-track, and 1- or 3-track  $\tau$  candidates are shown in Table 8. The  $\tau$   $p_T$   
 886 distributions of opposite-sign and same-sign events in the QCD control region with a *medium* offline  $\tau$   
 887 identification requirement are shown in Figure 47.



	loose	medium	tight
<b>1-track <math>\tau</math> candidate</b>			
$p_T$ inclusive	$1.15 \pm 0.01 \pm 0.03$	$1.19 \pm 0.02 \pm 0.03$	$1.21 \pm 0.02 \pm 0.08$
$p_T \leq 40$ GeV	$1.11 \pm 0.02 \pm 0.03$	$1.15 \pm 0.02 \pm 0.05$	$1.17 \pm 0.03 \pm 0.07$
$p_T > 40$ GeV	$1.20 \pm 0.02 \pm 0.05$	$1.25 \pm 0.03 \pm 0.06$	$1.27 \pm 0.04 \pm 0.11$
<b>3-track <math>\tau</math> candidate</b>			
$p_T$ inclusive	$1.25 \pm 0.02 \pm 0.05$	$1.28 \pm 0.03 \pm 0.08$	$1.39 \pm 0.05 \pm 0.14$
$p_T \leq 40$ GeV	$1.19 \pm 0.02 \pm 0.05$	$1.21 \pm 0.03 \pm 0.10$	$1.29 \pm 0.06 \pm 0.16$
$p_T > 40$ GeV	$1.36 \pm 0.03 \pm 0.09$	$1.42 \pm 0.06 \pm 0.16$	$1.62 \pm 0.11 \pm 0.25$
<b>1 or 3-track <math>\tau</math> candidate</b>			
$p_T$ inclusive	$1.18 \pm 0.01 \pm 0.03$	$1.21 \pm 0.01 \pm 0.03$	$1.24 \pm 0.02 \pm 0.08$
$p_T \leq 40$ GeV	$1.14 \pm 0.01 \pm 0.03$	$1.17 \pm 0.02 \pm 0.04$	$1.19 \pm 0.03 \pm 0.08$
$p_T > 40$ GeV	$1.25 \pm 0.02 \pm 0.05$	$1.28 \pm 0.02 \pm 0.06$	$1.32 \pm 0.04 \pm 0.10$

Table 8:  $r_{\text{QCD}}$  with statistical and systematic uncertainties for events with 1-track, 3-track and 1- or 3-track  $\tau$  candidates, for selections with a  $p_T$  threshold of 25 GeV, and for different ranges in  $\tau$   $p_T$ .

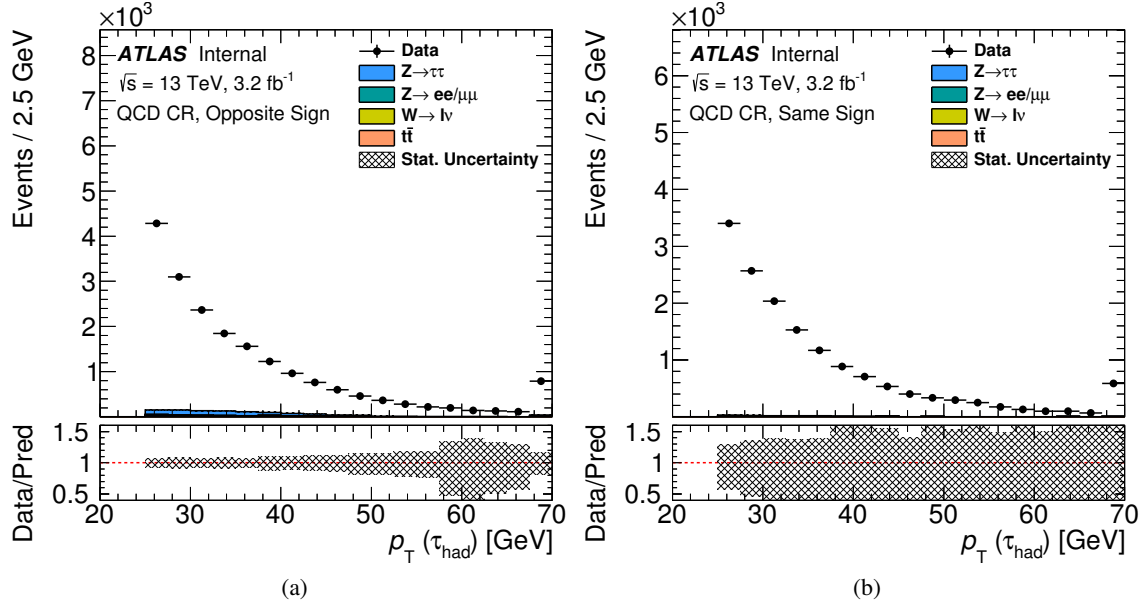


Figure 47: Distributions of  $\tau$   $p_T$  in data and simulated events (MC) in the QCD control region, with opposite-sign and same-sign events in the left and right plots respectively.

## 888 B.2.2. W+jets Estimation

889 The shape of the W+jets background events are modeled with MC simulation. Normalization factor  
 890 ( $k_W$ ) is derived from real data in the dedicated control region (W+Jet control region in Table ??). The  
 891 transverse mass of the muon and  $E_T^{\text{miss}}$  ( $m_T = \sqrt{2p_T^\mu E_T^{\text{miss}}(1 - \cos \Delta\phi(\mu, E_T^{\text{miss}}))}$ ) has to be more than 60  
 892 GeV to enhance the the purity of the W+Jet events. And  $E_T^{\text{miss}}$  has to be more than 30 GeV to reject the  
 893 QCD multi-jet contributions. Taking into account the difference of the amount of the W+Jet contribution

894 between OS and SS events, the normalization factors are extracted OS and SS data, respectively.

895 The  $k_W$  factors are also parameterized by the followings.

- 896 • offline  $\tau$  identification requirement: loose, medium, tight;
- 897 •  $\tau$   $N_{\text{track}}$  requirement: 1 or 3;
- 898 •  $\tau$ :  $p_T \leq 40$  GeV or  $p_T > 40$  GeV;

899 Both  $k_W$  factors in OS and SS events ( $k_W^{\text{OS}}$  and  $k_W^{\text{SS}}$ ) are computed as the ratio of real data and W+Jet  
 900 MC simulation events in the W+Jet control region with the selected parameterization, requiring opposite  
 901 and same sign charge between tau and muon candidate, respectively. For these computation, all MC  
 902 contributions except W+Jet are subtracted from real data.

$$k_W^{\text{OS}}(N_{\text{track}}, \text{ID}, p_T) = \frac{N_{OS}^{\text{W+Jet CR Data}}(N_{\text{track}}, \text{ID}, p_T) - N_{OS}^{\text{W+Jet CR MC(all)}}(N_{\text{track}}, \text{ID}, p_T)}{N_{OS}^{\text{W+Jet CR MC(W+Jet)}}(N_{\text{track}}, \text{ID}, p_T)}.$$

$$k_W^{\text{SS}}(N_{\text{track}}, \text{ID}, p_T) = \frac{N_{SS}^{\text{W+Jet CR Data}}(N_{\text{track}}, \text{ID}, p_T) - N_{SS}^{\text{W+Jet CR MC(all)}}(N_{\text{track}}, \text{ID}, p_T)}{N_{SS}^{\text{W+Jet CR MC(W+Jet)}}(N_{\text{track}}, \text{ID}, p_T)}.$$

904 Both statistical and systematic components are also considered for the  $k_W$  uncertainties. The statistical  
 905 components is computed taking into account both statistics of real data and W+Jet MC simulation events.  
 906 To derive the systematic component, cuts on transverse mass of the muon and  $E_T^{\text{miss}}$  is used. The cuts are  
 907 placed and varied individually (between 60 GeV and 120 GeV), and the envelope of the change of the  
 908  $k_W$  factor under each variation makes the systematic uncertainty.

909 The  $k_W^{\text{OS}}$  and  $k_W^{\text{SS}}$  factors for 1-track, 3-track, and 1- or 3-track  $\tau$  candidates are shown in Table 9 and  
 910 Table 10, respectively. The transverse mass distributions of opposite-sign and same-sign events in the  
 911 W+Jet control region with a *medium* offline  $\tau$  identification requirement are shown in Figure 48.

	loose	medium	tight
<b>1-track <math>\tau</math> candidate</b>			
$p_T$ inclusive	$1.32 \pm 0.02 \pm 0.03$	$1.35 \pm 0.02 \pm 0.03$	$1.42 \pm 0.04 \pm 0.03$
$p_T \leq 40$ GeV	$1.29 \pm 0.02 \pm 0.05$	$1.33 \pm 0.03 \pm 0.05$	$1.42 \pm 0.04 \pm 0.05$
$p_T > 40$ GeV	$1.38 \pm 0.03 \pm 0.05$	$1.38 \pm 0.04 \pm 0.04$	$1.41 \pm 0.06 \pm 0.07$
<b>3-track <math>\tau</math> candidate</b>			
$p_T$ inclusive	$1.43 \pm 0.03 \pm 0.06$	$1.47 \pm 0.05 \pm 0.13$	$1.60 \pm 0.09 \pm 0.11$
$p_T \leq 40$ GeV	$1.41 \pm 0.03 \pm 0.09$	$1.43 \pm 0.06 \pm 0.09$	$1.63 \pm 0.10 \pm 0.08$
$p_T > 40$ GeV	$1.49 \pm 0.06 \pm 0.09$	$1.56 \pm 0.10 \pm 0.20$	$1.54 \pm 0.17 \pm 0.25$
<b>1 or 3-track <math>\tau</math> candidate</b>			
$p_T$ inclusive	$1.36 \pm 0.02 \pm 0.03$	$1.37 \pm 0.02 \pm 0.03$	$1.45 \pm 0.03 \pm 0.03$
$p_T \leq 40$ GeV	$1.33 \pm 0.02 \pm 0.03$	$1.35 \pm 0.03 \pm 0.03$	$1.46 \pm 0.04 \pm 0.06$
$p_T > 40$ GeV	$1.41 \pm 0.03 \pm 0.05$	$1.41 \pm 0.04 \pm 0.07$	$1.42 \pm 0.06 \pm 0.07$

Table 9:  $k_W^{\text{OS}}$  with statistical and systematic uncertainties for events with 1-track, 3-track and 1- or 3-track  $\tau$  candidates, for selections with a  $p_T$  threshold of 25 GeV, and for different ranges in  $\tau$   $p_T$ .

	loose	medium	tight
<b>1-track <math>\tau</math> candidate</b>			
$p_T$ inclusive	$1.65 \pm 0.04 \pm 0.10$	$1.68 \pm 0.05 \pm 0.15$	$1.63 \pm 0.07 \pm 0.19$
$p_T \leq 40$ GeV	$1.56 \pm 0.04 \pm 0.15$	$1.59 \pm 0.06 \pm 0.20$	$1.53 \pm 0.08 \pm 0.19$
$p_T > 40$ GeV	$1.93 \pm 0.09 \pm 0.09$	$1.95 \pm 0.12 \pm 0.14$	$1.93 \pm 0.17 \pm 0.33$
<b>3-track <math>\tau</math> candidate</b>			
$p_T$ inclusive	$1.48 \pm 0.05 \pm 0.05$	$1.63 \pm 0.09 \pm 0.22$	$2.10 \pm 0.20 \pm 0.38$
$p_T \leq 40$ GeV	$1.40 \pm 0.05 \pm 0.05$	$1.57 \pm 0.09 \pm 0.19$	$2.02 \pm 0.22 \pm 0.58$
$p_T > 40$ GeV	$1.76 \pm 0.12 \pm 0.11$	$1.86 \pm 0.21 \pm 0.33$	$2.38 \pm 0.47 \pm 0.33$
<b>1 or 3-track <math>\tau</math> candidate</b>			
$p_T$ inclusive	$1.58 \pm 0.03 \pm 0.06$	$1.67 \pm 0.05 \pm 0.09$	$1.70 \pm 0.07 \pm 0.15$
$p_T \leq 40$ GeV	$1.50 \pm 0.03 \pm 0.09$	$1.59 \pm 0.05 \pm 0.13$	$1.61 \pm 0.07 \pm 0.12$
$p_T > 40$ GeV	$1.87 \pm 0.07 \pm 0.05$	$1.93 \pm 0.11 \pm 0.06$	$2.00 \pm 0.16 \pm 0.31$

Table 10:  $k_W^{SS}$  with statistical and systematic uncertainties for events with 1-track, 3-track and 1- or 3-track  $\tau$  candidates, for selections with a  $p_T$  threshold of 25 GeV, and for different ranges in  $\tau$   $p_T$ .

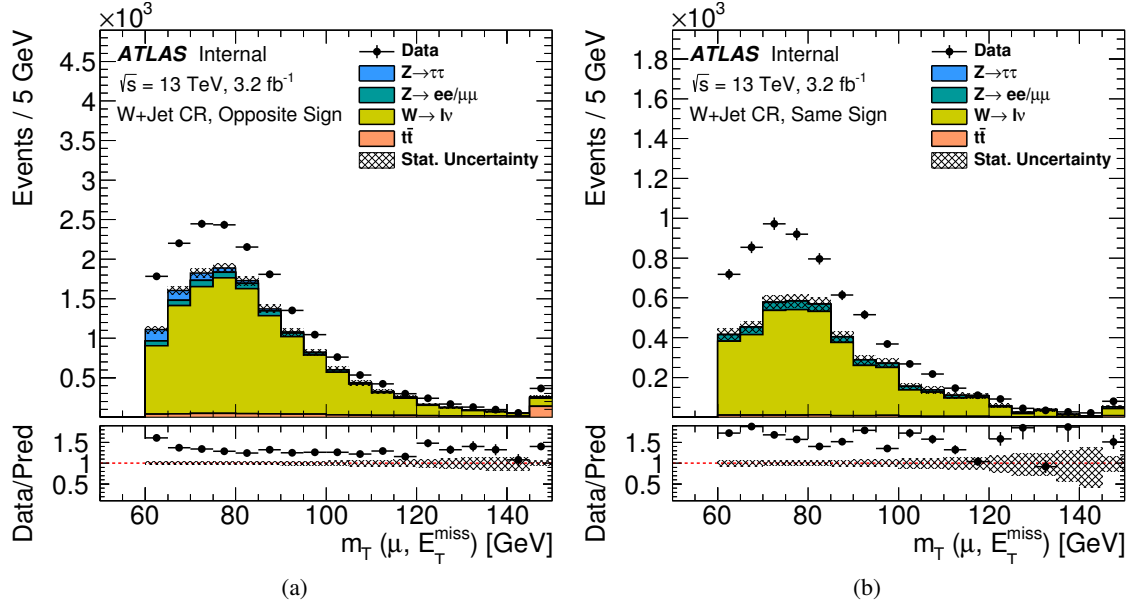


Figure 48: Distributions of transverse mass in data and simulated events (MC) in the W+Jet control region, with opposite-sign and same-sign events in the left and right plots respectively.

### 912 B.2.3. Summary of Backgrounds Estimation

913 All the contributions in the signal regions are estimated by the following equations, using MC simulation  
 914 samples and the same sign data with  $r_{\text{QCD}}$  and  $k_W$  factors.

$$\text{Data}_{\text{OS}}^{\text{SR}} = r_{\text{QCD}} \times \text{Data}_{\text{SS}}^{\text{SR}} + Z\tau_\mu\tau_{\text{had}}^{\text{SR}}_{\text{OS-SS}} + \text{W+Jet}_{\text{OS-SS}}^{\text{SR}} + \text{Z+Jet}_{\text{OS-SS}}^{\text{SR}} + t\bar{t}_{\text{OS-SS}}^{\text{SR}}.$$

$$Z\tau_\mu\tau_{\text{had}}^{\text{SR}}_{\text{OS-SS}} = Z\tau_\mu\tau_{\text{had}}(\text{MC})^{\text{SR}}_{\text{OS}} - r_{\text{QCD}} \times Z\tau_\mu\tau_{\text{had}}(\text{MC})^{\text{SR}}_{\text{SS}}.$$

$$Z+\text{Jet}^{\text{SR}}_{\text{OS-SS}} = Z+\text{Jet}(\text{MC})^{\text{SR}}_{\text{OS}} - r_{\text{QCD}} \times Z+\text{Jet}(\text{MC})^{\text{SR}}_{\text{SS}}.$$

$$\text{tbar}^{\text{SR}}_{\text{OS-SS}} = \text{tbar}(\text{MC})^{\text{SR}}_{\text{OS}} - r_{\text{QCD}} \times \text{tbar}(\text{MC})^{\text{SR}}_{\text{SS}}.$$

$$\text{W}+\text{Jet}^{\text{SR}}_{\text{OS-SS}} = k_{\text{W}}^{\text{OS}} \times \text{W}+\text{Jet}(\text{MC})^{\text{SR}}_{\text{OS}} - k_{\text{W}}^{\text{SS}} \times r_{\text{QCD}} \times \text{W}+\text{Jet}(\text{MC})^{\text{SR}}_{\text{SS}}.$$

915 In SS data, there are not only QCD multi-jets events but also W+Jet, and a few contribution from  
 916  $Z \rightarrow \tau_\mu\tau_{\text{had}}$ , Z+Jet and tbar events. To avoid double counting, all the MC contribution applied same  
 917 requirements as signal region but required same sign charge between muon and tau candidates (denoted  
 918 as  $\text{MC}_{\text{SS}}^{\text{SR}}$ ) are subtracted from MC contribution in signal region (denoted as  $\text{MC}_{\text{OS}}^{\text{SR}}$ ) taking into account  
 919  $r_{\text{QCD}}$  and  $k_{\text{W}}$  factors.

### 920 B.3. Method

921 The tau trigger efficiency is defined as the fraction of tau trigger candidates that pass the trigger decision  
 922 with respect to the total number of offline tau candidates. The efficiency is computed as the following in  
 923 data.

$$\epsilon = \frac{N_{\text{Data}}^{\text{TRG}} - N_{\text{BKG}}^{\text{TRG}}}{N_{\text{Data}} - N_{\text{BKG}}}$$

924 Here,  $N_{\text{Data}}$  and  $N_{\text{BKG}}$  mean number of data and all background events in signal region before requiring  
 925 tau trigger, and  $N_{\text{Data}}^{\text{TRG}}$  and  $N_{\text{BKG}}^{\text{TRG}}$  are the ones after passing tau trigger. All backgrounds described in  
 926 section B.2 are subtracted from real data for the tau trigger efficiency measurement. The efficiency is  
 927 calculated in each offline reconstructed  $\tau p_{\text{T}}$  bin. The statistical uncertainty on the efficiency is computed  
 928 using a Bayesian prior condition in the division, where the efficiency is restricted to the [0,1]. The tau  
 929 trigger efficiency is also studied in  $Z \rightarrow \tau_\mu\tau_{\text{had}}$  MC simulation sample. The ratio of the efficiency in MC  
 930 simulation and data (scale factor) can be used to correct the simulated events where a offline reconstructed  
 931  $\tau$  candidate is matched to the  $\tau$  trigger object and to a true hadronically decaying  $\tau$ .

### 932 B.4. Systematic Uncertainties

933 The systematic uncertainties considered in this measurements are listed in the followings.

- 934 •  $\mu$ : trigger; reconstruction, and offline identification efficiency; energy scale
- 935 •  $\tau$ : reconstruction and offline identification efficiency; energy scale
- 936 • The soft term of the  $E_{\text{T}}^{\text{miss}}$
- 937 • pile-up reweighting
- 938 •  $r_{\text{QCD}}$  and  $k_{\text{W}}$  factors

939 The systematic uncertainties related to offline muon and tau reconstruction, identification efficiencies and  
 940 the energy scale are treated. For the muon, the uncertainty related to the single muon trigger is also  
 941 treated. The normalization factors for SS data and W+Jet is also considered. These systematics have both  
 942 statistical and systematic componetns, which are treated individually The uncertainties for the soft term  
 943 of the  $E_T^{\text{miss}}$ , which is calculated from calorimeter cells and tracks not associated to high- $p_T$  objects, is  
 944 taken into account. The uncertainties for the scale factor of the pile-up from MC to data is also treated.  
 945 The overall effect of a specific systematic uncertainty is measured by comparing the yields of background  
 946 events from SS data and MC simulation events with and without applying the systematic variation. All  
 947 systematic uncertainties are listed in Table 11.

	1-track		3-track	
	Without trigger	With trigger	Without trigger	With trigger
XXX	$x.xx\%$	$x.xx\%$	$x.xx\%$	$x.xx\%$
XXX	$x.xx\%$	$x.xx\%$	$x.xx\%$	$x.xx\%$
XXX	$x.xx\%$	$x.xx\%$	$x.xx\%$	$x.xx\%$
XXX	$x.xx\%$	$x.xx\%$	$x.xx\%$	$x.xx\%$
XXX	$x.xx\%$	$x.xx\%$	$x.xx\%$	$x.xx\%$
XXX	$x.xx\%$	$x.xx\%$	$x.xx\%$	$x.xx\%$
XXX	$x.xx\%$	$x.xx\%$	$x.xx\%$	$x.xx\%$
XXX	$x.xx\%$	$x.xx\%$	$x.xx\%$	$x.xx\%$

Table 11: Overall effect of individual systematic uncertainty on all backgrounds measured in selections without and with requiring a  $\tau$  trigger with a  $p_T$  threshold of 25 GeV, and for 1-track and 3-track  $\tau$  candidates separately. If the systematic uncertainty consists of both upward and downward variations, the variation resulting in the highest effect is shown. Systematic uncertainties (or pairs thereof) whose overall effect is 0.05% or less are not shown.(After collecting information, these will be updated)

## 948 B.5. Results

### 949 B.5.1. Kinematics before applying $\tau$ trigger

950 A comparison between data and  $Z \rightarrow \tau_\mu \tau_{\text{had}}$  plus all backgrounds are shown for distributions of kinematic  
 951 variables and event variables in the signal region with a *medium* offline identification requirement on the  
 952 hadronically decaying  $\tau$  candidate, and with 1 or 3 tracks inclusively. Distributions of kinematic variables  
 953 of the  $\mu$  can be found in Figure 49, while those related to the hadronically decaying  $\tau$  candidate can  
 954 be found in Figure 50. Figure 51 shows distributions of the  $\tau$   $N_{\text{track}}$  and the output score of the offline  
 955  $\tau$  identification boosted decision tree (BDT) algorithm. Distributions of  $N_{\text{vtx}}$  and  $N_{\text{jets}}$  can be found in  
 956 Figure 52, and distributions of  $E_T^{\text{miss}}$  and the invariant mass between the  $\tau$  and the muon in Figure 53.

### 957 B.5.2. Kinematics after applying $\tau$ trigger

958 The comparison between data and  $Z \rightarrow \tau_\mu \tau_{\text{had}}$  plus all backgrounds are made for events in the signal region  
 959 with a *medium* offline identification requirement on the hadronically decaying  $\tau$  candidate, and also for  
 960 events fulfilling the same selection with the additional requirement that the  $\tau$  trigger with a  $p_T$  threshold  
 961 of 25 GeV is fired. The  $\tau$   $p_T$  distributions with and without applying the  $\tau$  trigger with a  $p_T$  threshold of

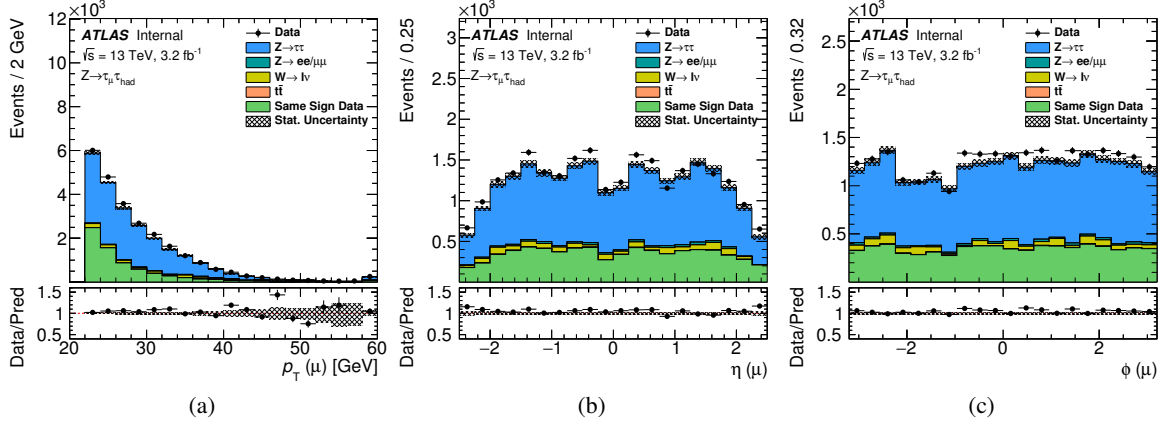


Figure 49: Kinematic distributions of the tag  $\mu$  in the signal region with a *medium* offline identification requirement on the hadronically decaying  $\tau$  candidate, and with 1 or 3 tracks inclusively.

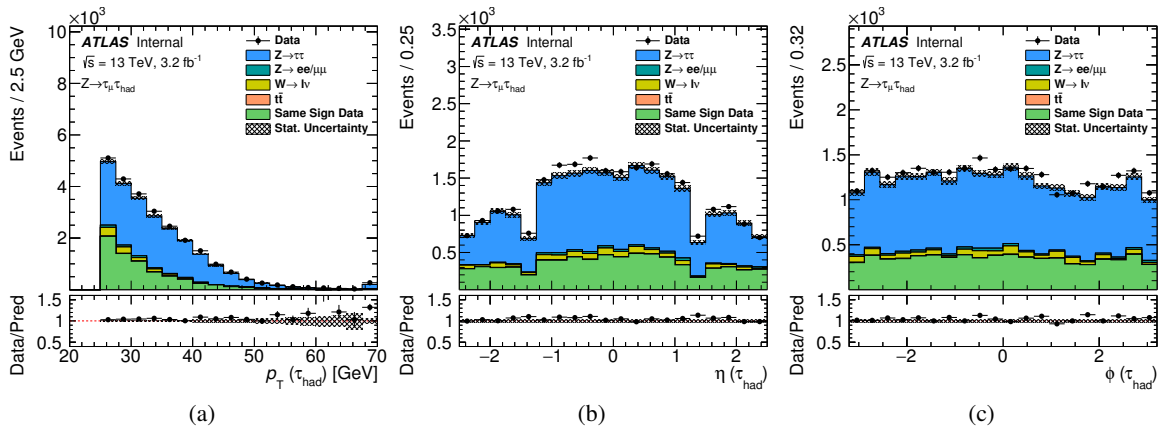


Figure 50: Kinematic distributions of the probe  $\tau$  in the signal region with a *medium* offline identification requirement on the hadronically decaying  $\tau$  candidate, and with 1 or 3 tracks inclusively.

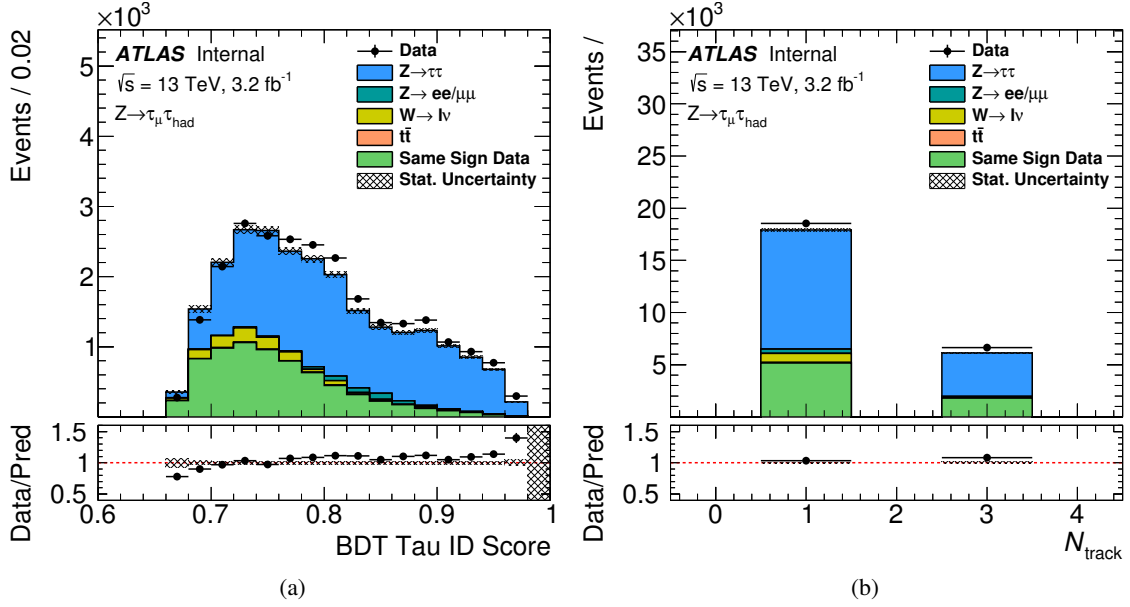


Figure 51: Distributions of the offline  $\tau$  BDT score and  $N_{\text{track}}$  in the signal region with a *medium* offline identification requirement on the hadronically decaying  $\tau$  candidate, and with 1 or 3 tracks inclusively.

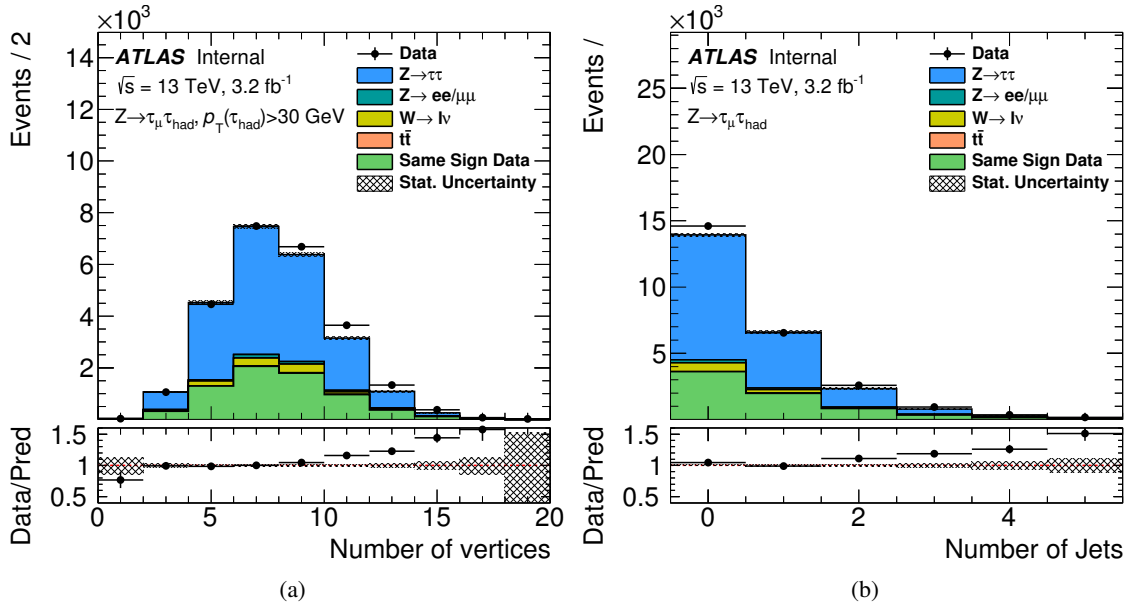


Figure 52: Distributions of the number of vertices and jets in the signal region with a *medium* offline identification requirement on the hadronically decaying  $\tau$  candidate, and with 1 or 3 tracks inclusively.

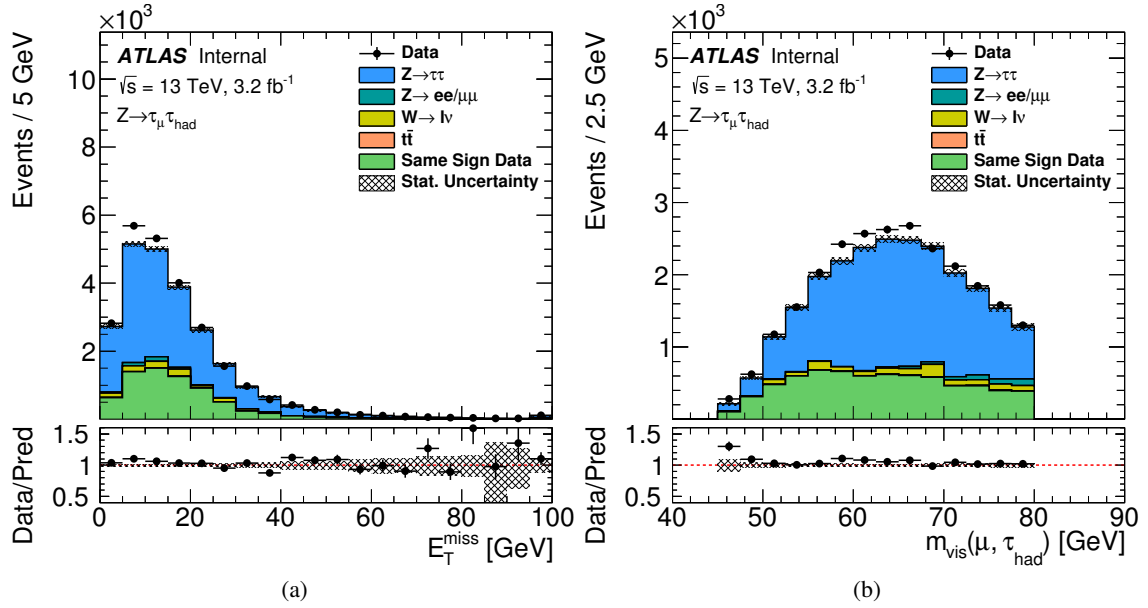


Figure 53: Distributions of  $E_T^{\text{miss}}$  and invariant mass between tau and muon candidate in the signal region with a *medium* offline identification requirement on the hadronically decaying  $\tau$  candidate, and with 1 or 3 tracks inclusively.

962 25 GeV in barrel ( $\tau |\eta| < 1.37$ ) and endcap ( $\tau |\eta| > 1.52$ ) region are shown in Figure 54 and Figure 55,  
 963 respectively.

### 964 B.5.3. Efficiencies and Scale factors

965 The efficiencies for signal and data-background, as well as the corresponding scale factors for the  $\tau$  trigger  
 966 with a  $p_T$  threshold of 25 GeV are shown in Figure 56, Figure 57, and Figure 58 for a *loose*, *medium*, and  
 967 *tight* offline identification requirement on the hadronically decaying  $\tau$  candidate, respectively.



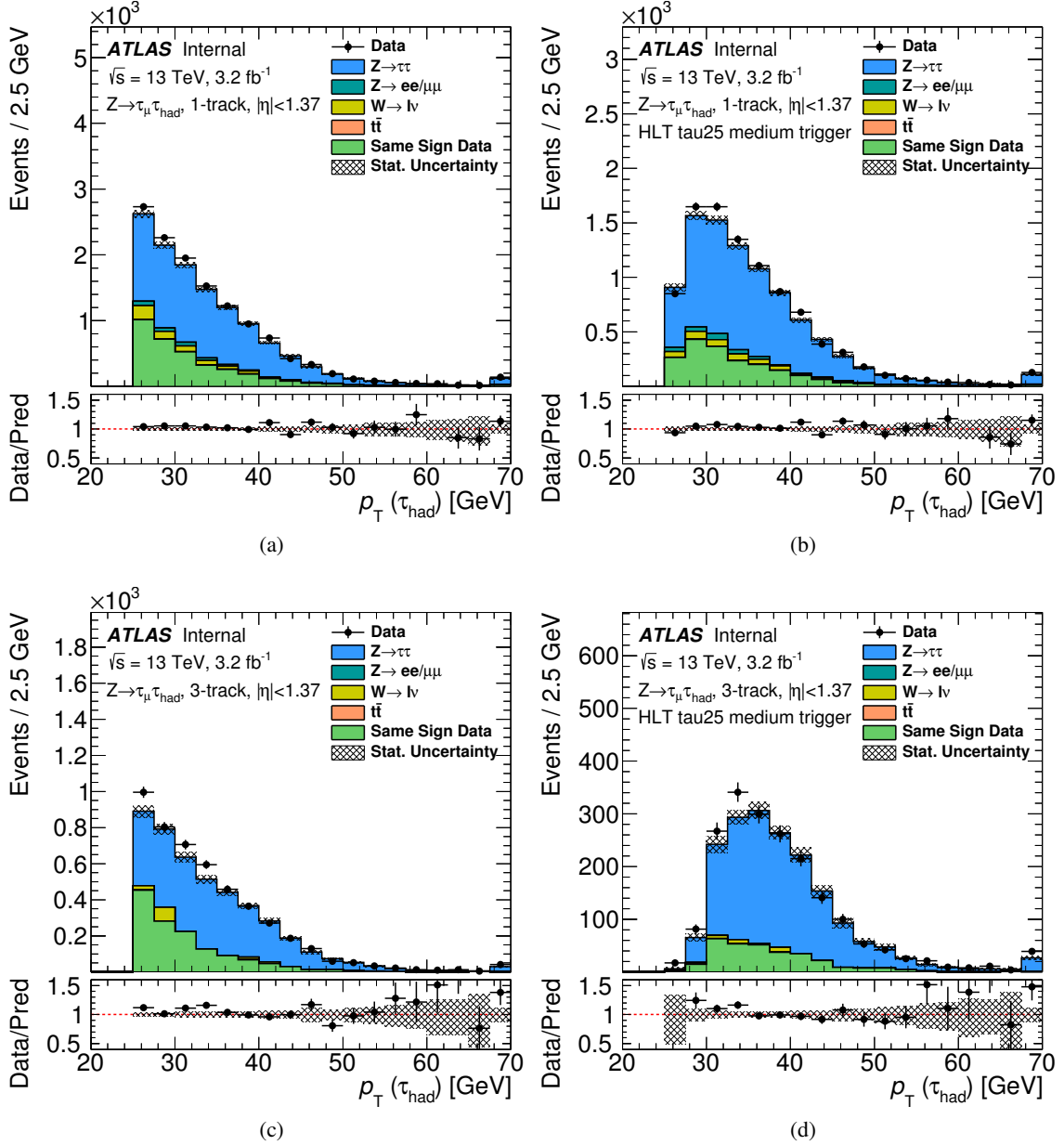


Figure 54: Distributions of  $\tau p_T$  in the signal region with a *medium* offline identification requirement on the hadronically decaying  $\tau$  candidate in barrel region ( $\tau |\eta| < 1.37$ ). The distributions on the top and bottom rows are for 1-track and 3-track  $\tau$  candidates, while the left and right columns are with and without applying the  $\tau$  trigger with a  $p_T$  threshold of 25 GeV, respectively. (Currently they are not separated w.r.t its  $\eta$  and prong. These will be updated.)

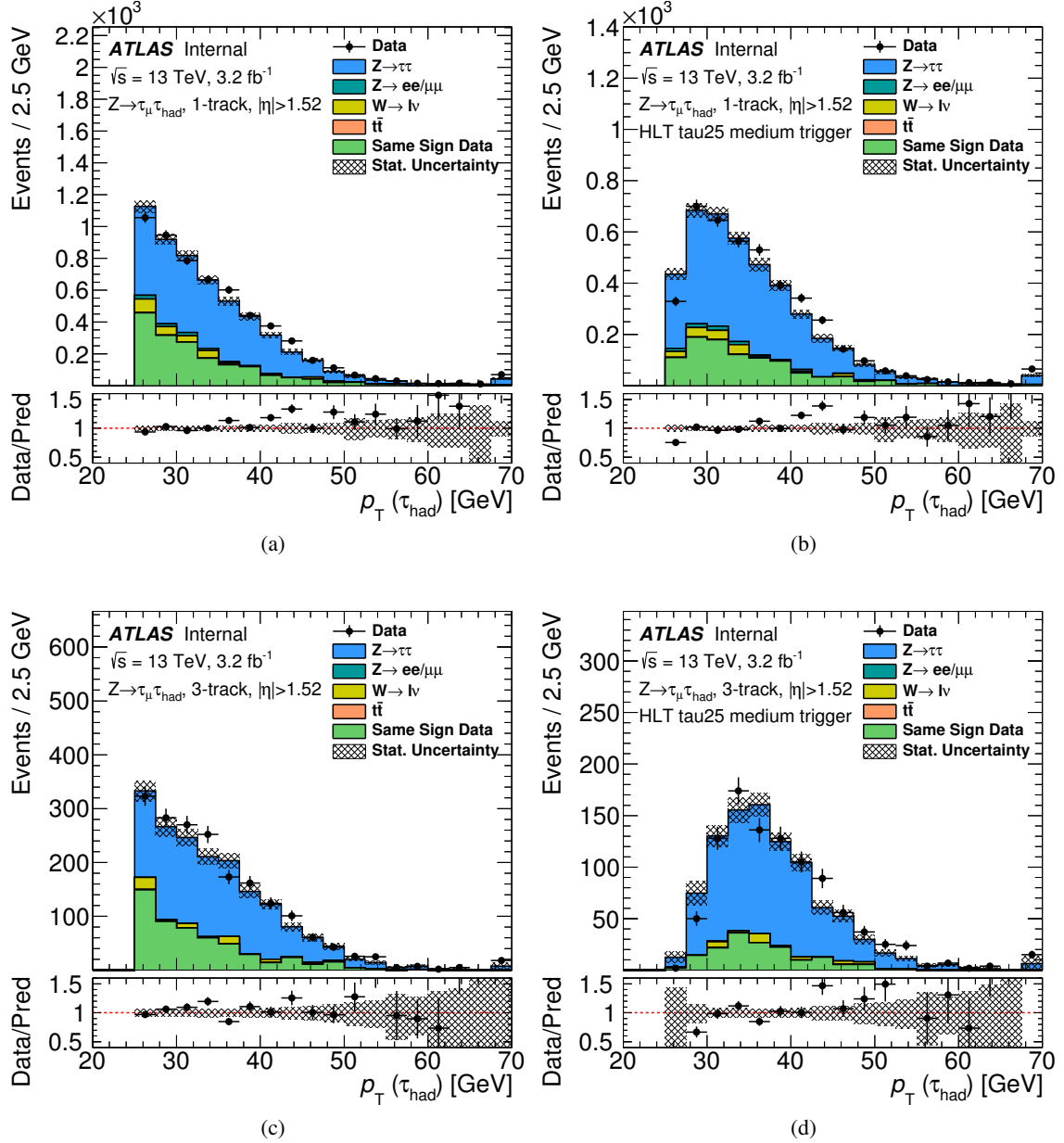


Figure 55: Distributions of  $\tau p_T$  in the signal region with a *medium* offline identification requirement on the hadronically decaying  $\tau$  candidate in endcap region ( $\tau |\eta| > 1.52$ ). The distributions on the top and bottom rows are for 1-track and 3-track  $\tau$  candidates, while the left and right columns are with and without applying the  $\tau$  trigger with a  $p_T$  threshold of 25 GeV, respectively. (Currently they are not separated w.r.t its  $\eta$  and prong. These will be updated.)

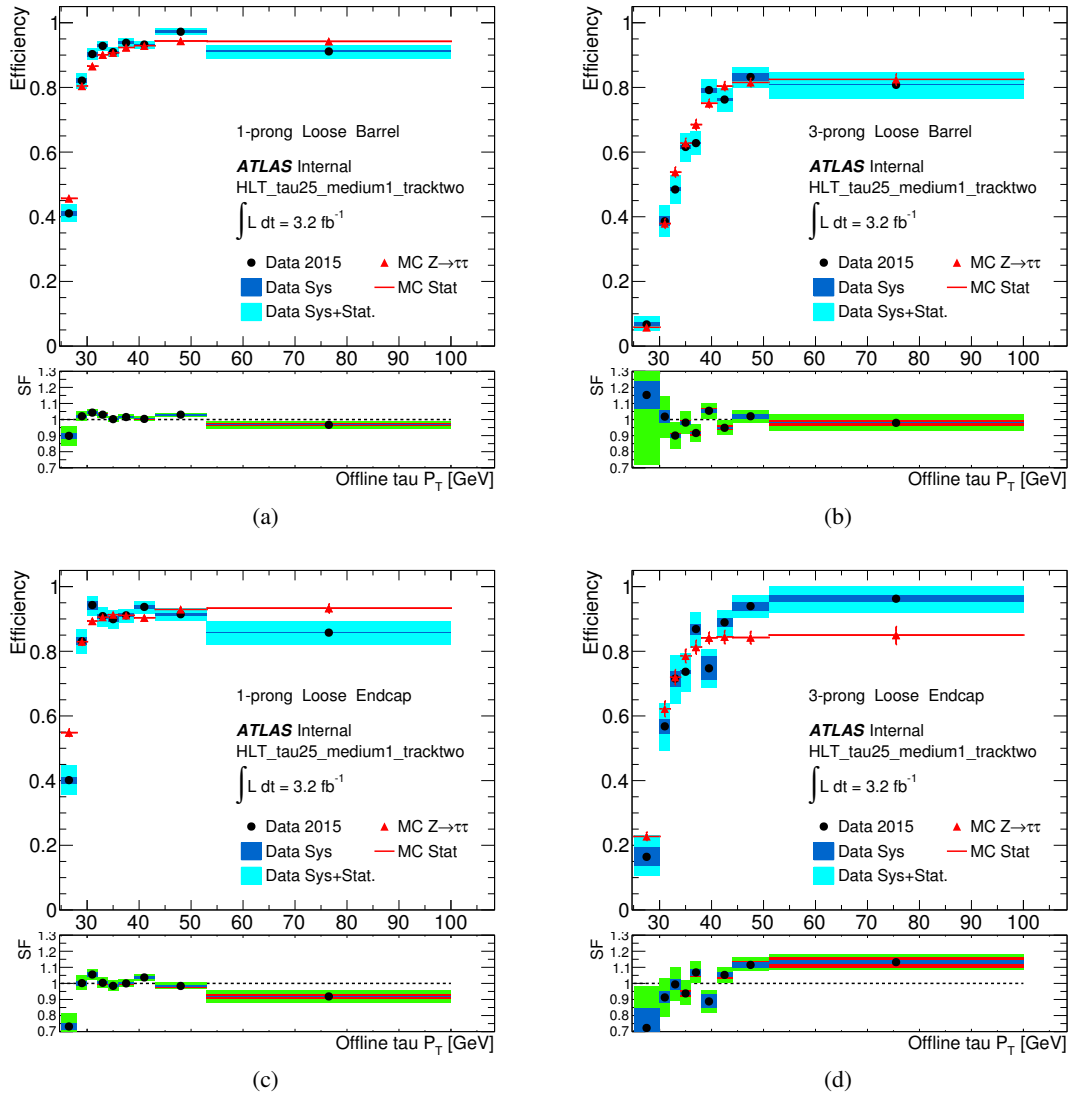


Figure 56: Efficiencies for signal and data-background and corresponding scale factors for the  $\tau$  trigger with  $p_T > 25$  GeV, and for hadronically decaying  $\tau$  candidates with a *loose* offline identification requirement.

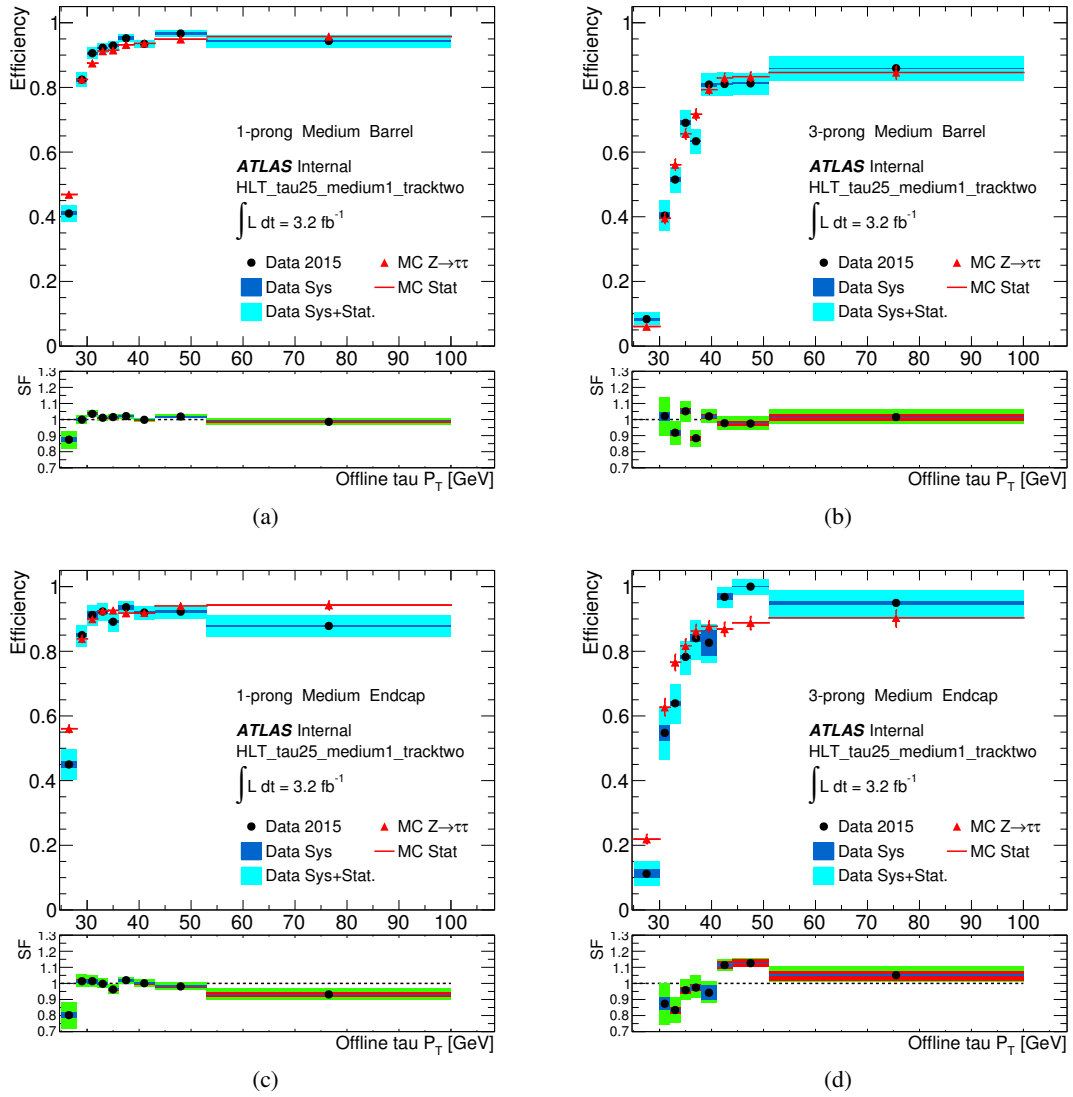


Figure 57: Efficiencies for signal and data-background and corresponding scale factors for the  $\tau$  trigger with  $p_T > 25$  GeV, and for hadronically decaying  $\tau$  candidates with a *medium* offline identification requirement.

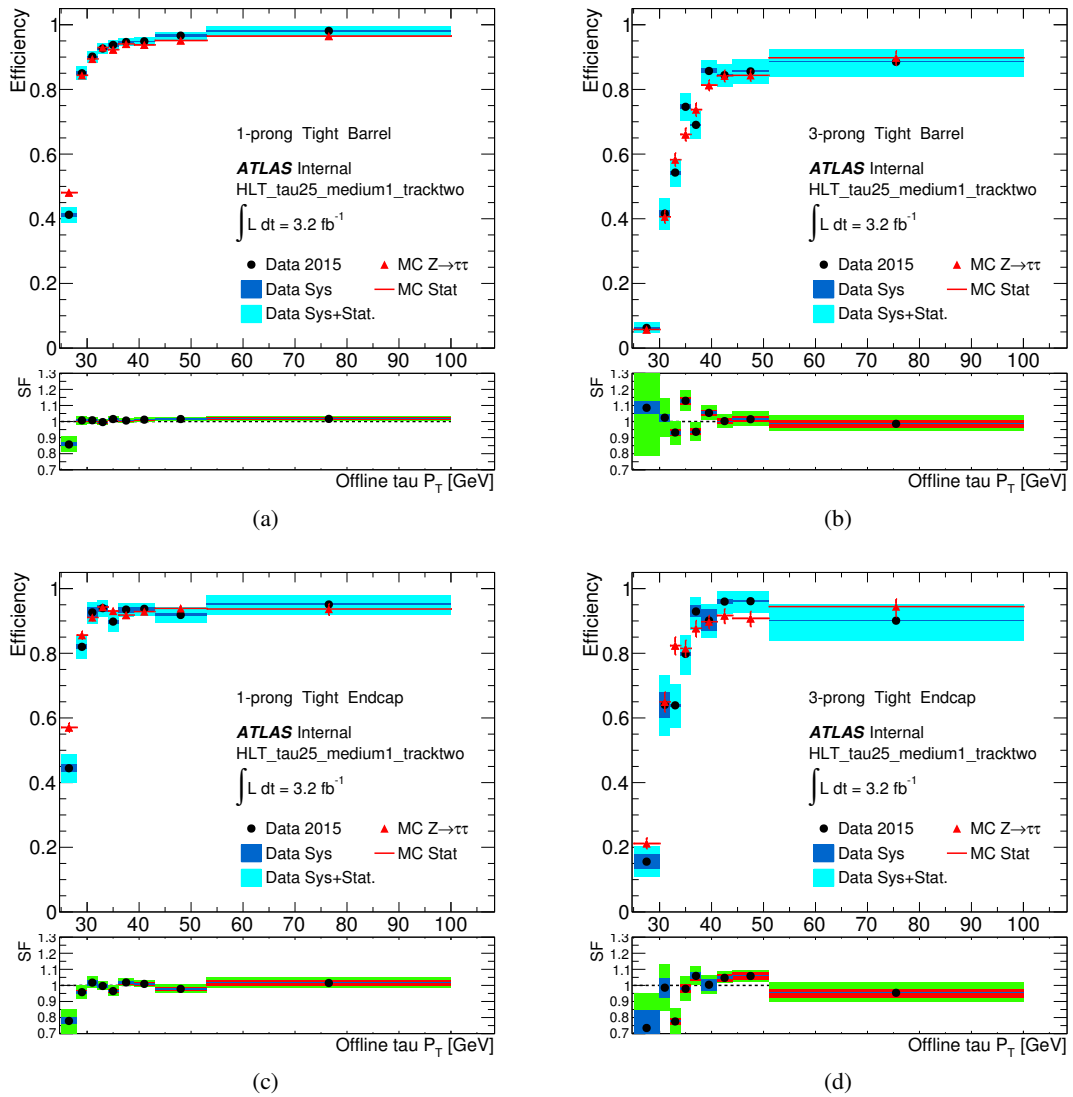


Figure 58: Efficiencies for signal and data-background and corresponding scale factors for the  $\tau$  trigger with  $p_T > 25$  GeV, and for hadronically decaying  $\tau$  candidates with a *tight* offline identification requirement.

## C. Electron misidentification probability measurement

Section in progress. Plots ready, text being written.

### C.1. Eveto tuning

The likelihood (Llh) electron veto (eveto) algorithm operates by placing  $p_T$ - and  $\eta$ -dependent cuts on the likelihood score used to identify prompt electron candidates matched to the reconstructed tau candidates within  $\Delta R < 0.2$ . Since the optimization of the Llh eveto available at the start of 2015 data taking was originally performed on early Run 2 validation samples from before data taking was even started, it was necessary to re-optimize the cut values used in the Llh veto algorithm on a Monte Carlo sample tuned to 2015 data-taking and pile-up conditions. The sample used for this purpose was:

`mc15_13TeV.361108.PowhegPythia8EvtGen_AZNLOCTEQ6L1_Ztautau.merge.DAOD_TAUP1.e3601_s2726_r73`

This sample is the same used for performing the eveto tag-and-probe efficiency scale factor measurement. Since this sample was processed with the TAUP1 derivation setup, the following set of cuts was applied:

- The same set of triggers as used in the tag-and-probe analysis are applied.
- At least one electron with  $p_T > 26$  GeV,  $|\eta| < 2.6$  and passing the loose cut-based or likelihood identification is present in each event.
- No muons with  $p_T > 10$  GeV,  $|\eta| < 2.0$  and passing normal muon quality criteria are present in the events.
- At least one tau candidate with  $p_T > 12$  GeV,  $|\eta| < 2.6$  and absolute reconstruction charged equal to one is present in each event.

On top of this, the tau candidates were required to have one reconstructed track,  $p_T > 20$  GeV and to be truth matched to real hadronic tau decays. The reoptimization of the eveto cut values used the new electron Llh tune performed by the egamma group in June 2015 which used MC15b samples and was validated against data for the normal electron identification working points. The tuning of the cuts was performed in bins of  $\eta$  and  $p_T$ , with the following bin edges in  $p_T$ :

`{20, 25, 35, 45, 55, 75, 255}`

and in  $\eta$

`{0.0, 0.6, 0.8, 1.15, 1.37, 1.52, 1.81, 2.01, 2.47}`

to give 95% efficiency for the truth-matched tau candidates described above. The actual cut values are illustrated in Figure ???. The achieved background rejection was approximately a factor two better than the previous cut tune at same efficiency. Also the inclusion of the new tune of the electron likelihood, which was validated against data, reduced strongly the mismodelling between data and simulation. The residual mismodelling, which is expected due to the cut tune on the likelihood score being much looser than any working point used by the egamma group, is absorbed in the scale factors reported below.

## eveto\_cutvals\_egammatune\_mc15\_20150712

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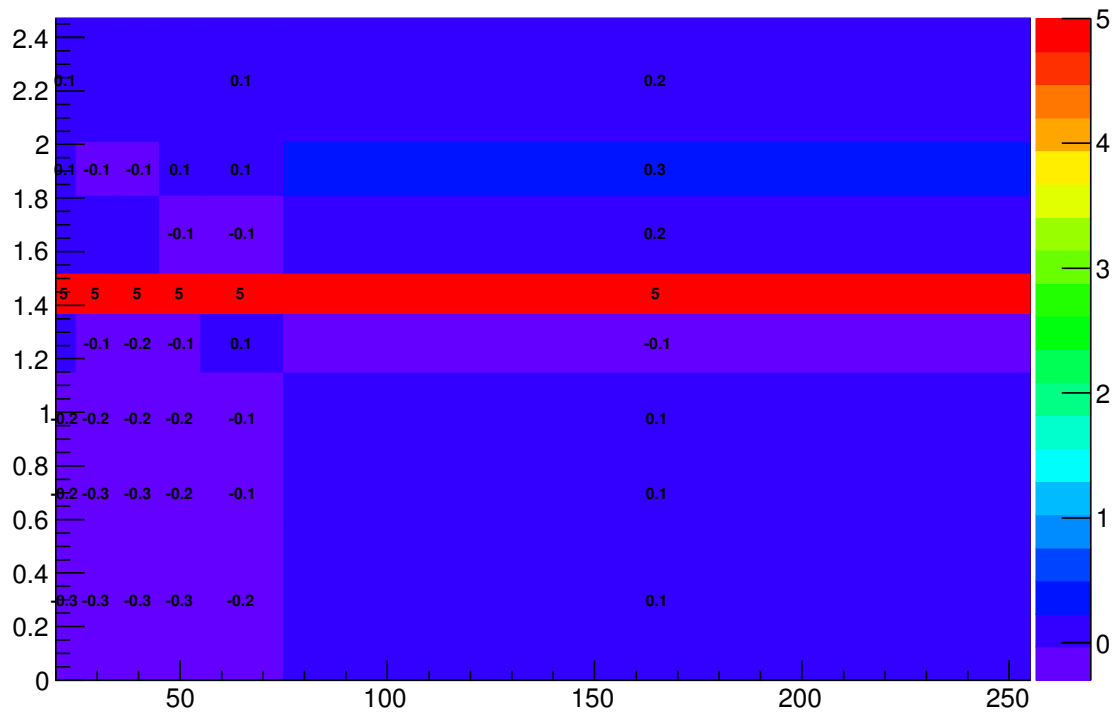


Figure 59: Re-optimized cut values for electron likelihood veto in the actual  $p_T$ - and  $\eta$  binning used for the eveto algorithm.

## 1001 C.2. Event selection

- 1002 • single electron triggers
- 1003 • trigger logic:
- 1004 • if offline  $p_T(e) < 65\text{GeV}$ :
- 1005 • 24 GeV trigger on medium LH electrons
- 1006 • if offline  $65\text{GeV} < p_T(e) < 135\text{GeV}$ :
- 1007 • 60 GeV trigger on medium LH electrons
- 1008 • if offline  $p_T(e) > 135\text{GeV}$ :
- 1009 • 120 GeV trigger on medium LH electrons
- 1010 • at least one  $\tau$  and one  $e$
- 1011 • no  $\mu$ , no b-jets
- 1012 • exactly one primary vertex

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- 1013 • electron requirements:
- 1014 •  $p_T(e) > 25\text{GeV}$
- 1015 • tight quality
- 1016 •  $|q(e)| = 1$
- 1017 • gradient isolation
- 1018 •  $0 < |\eta(e)| < 2.47$ , excluding crack region  $\eta > 1.37$  and  $\eta < 1.52$
- 1019 • association of electron track to primary vertex
- 1020 • tau requirements:
- 1021 • 1-prong  $\tau$  only
- 1022 •  $p_T(\tau) > 20\text{GeV}$
- 1023 •  $|q(\tau)| = 1$
- 1024 •  $0 < |\eta(\tau)| < 2.47$ , excluding crack region  $\eta > 1.37$  and  $\eta < 1.52$
- 1025 • medium JetBDT as indicated
- 1026 • truth match within  $\Delta R < 0.2$  to electron

1027 **C.3. QCD Control Region**

- 1028 • require anti isolation for electron:
- 1029 •  $E_T^{\text{cone20}}/p_T > 12\%$
- 1030 •  $p_T^{\text{cone40}}/p_T > 8\%$

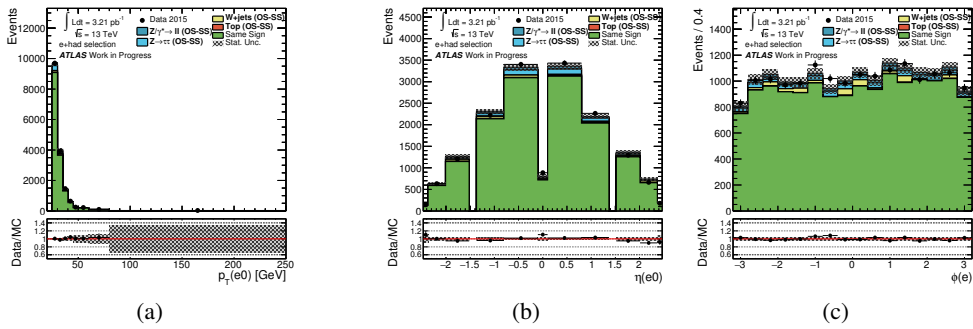


Figure 60



Not reviewed, for internal circulation only

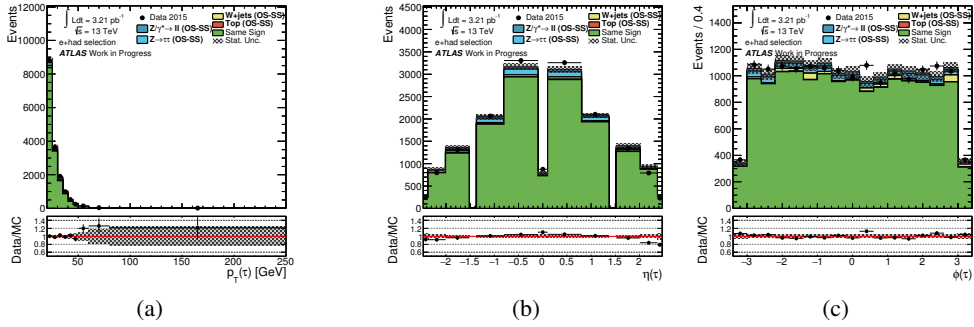
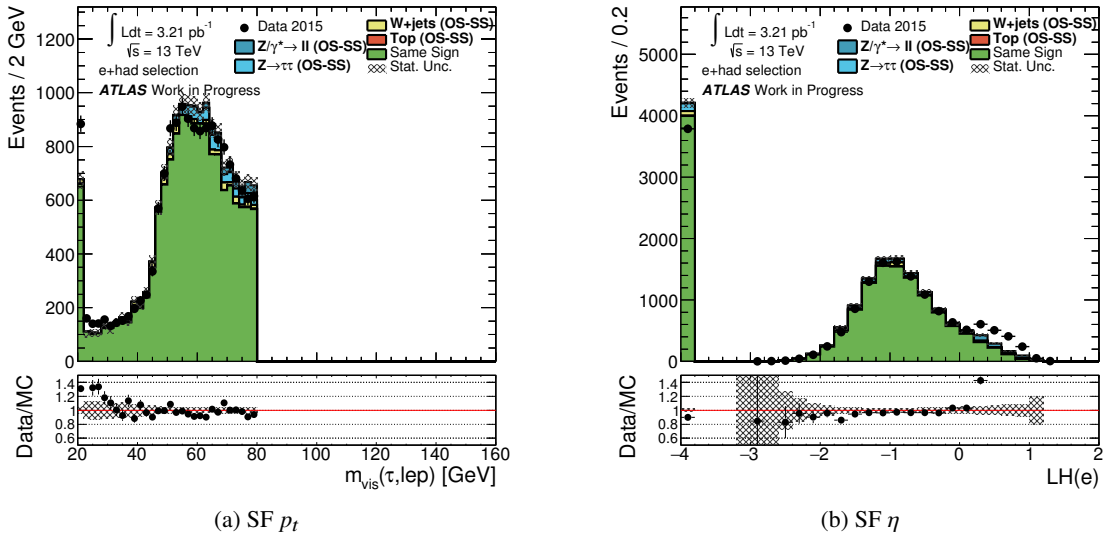


Figure 61



1031 **C.4. W Control Region**

- 1032 • exactly one electron
- 1033 •  $/E_T > 30\text{GeV}$
- 1034 •  $m_T(e, /E_T) > 70\text{GeV}$
- 1035 •  $m_{vis}(\tau, e) < 80\text{GeV}$

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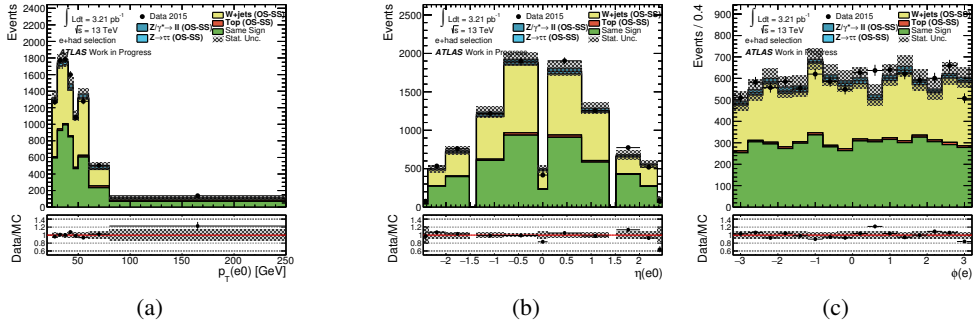


Figure 62

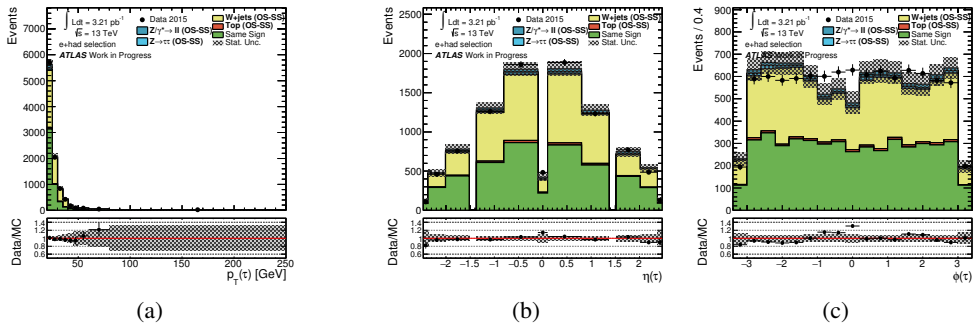
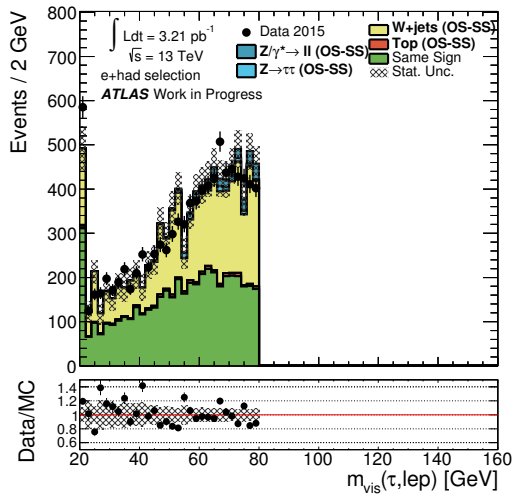
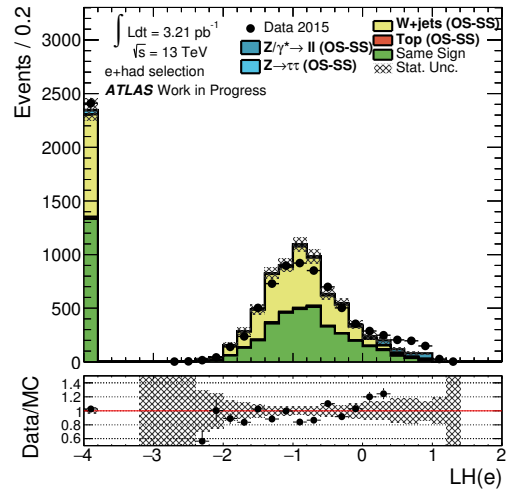


Figure 63



(a) SF  $p_t$



(b) SF  $\eta$

1036 **C.5. Kinematics of fake taus**

- 1037 •  $p_T(e) > 30\text{GeV}$   
 1038 •  $m_T(e, /E_T) < 40\text{GeV}$   
 1039 •  $80\text{GeV} < m_{vis}(\tau, e) < 100\text{GeV}$

Not reviewed, for internal circulation only

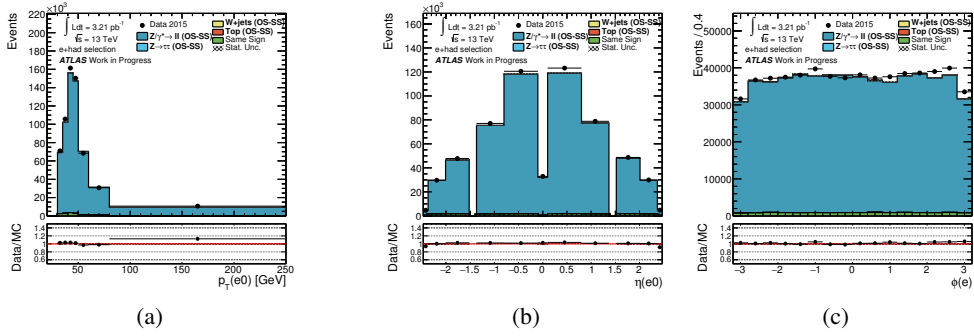


Figure 64

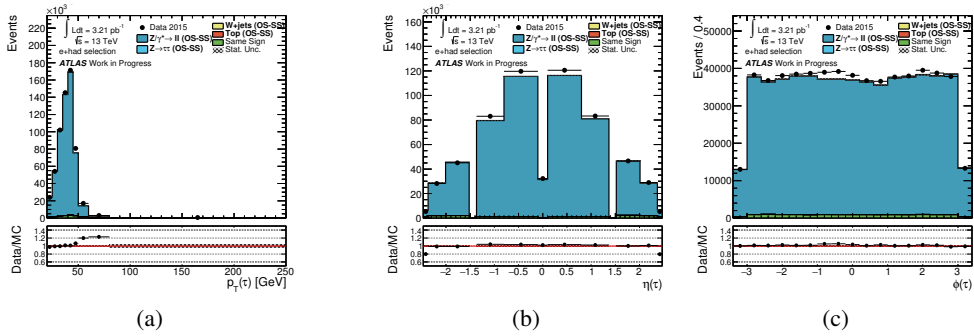
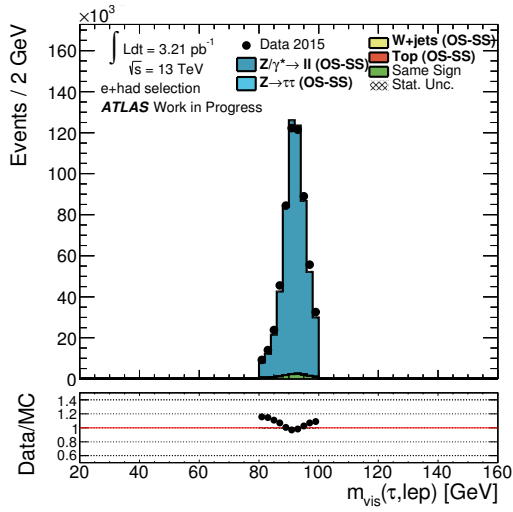
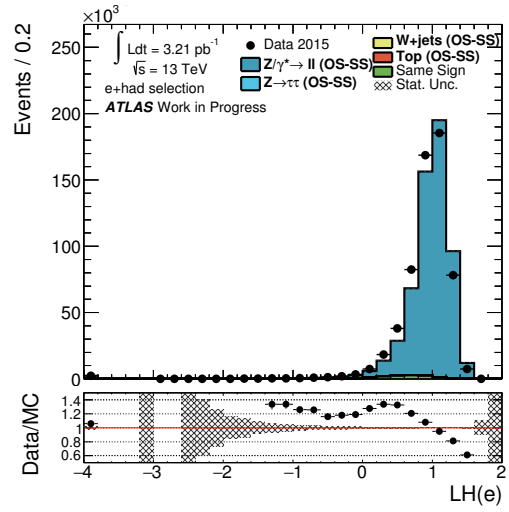


Figure 65



(a) SF  $p_t$



(b) SF  $\eta$

Not reviewed, for internal circulation only

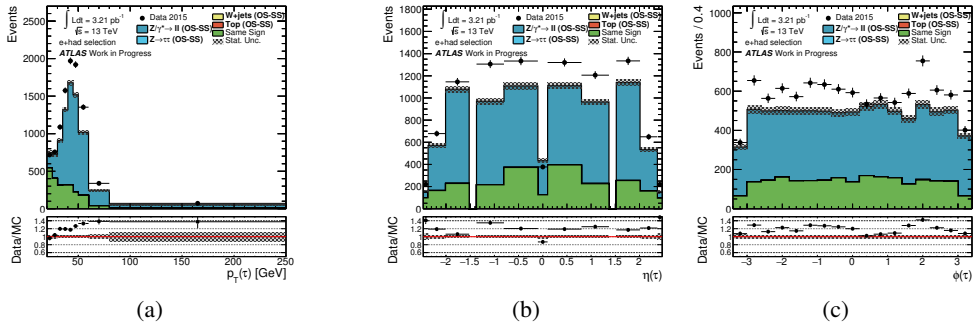


Figure 66

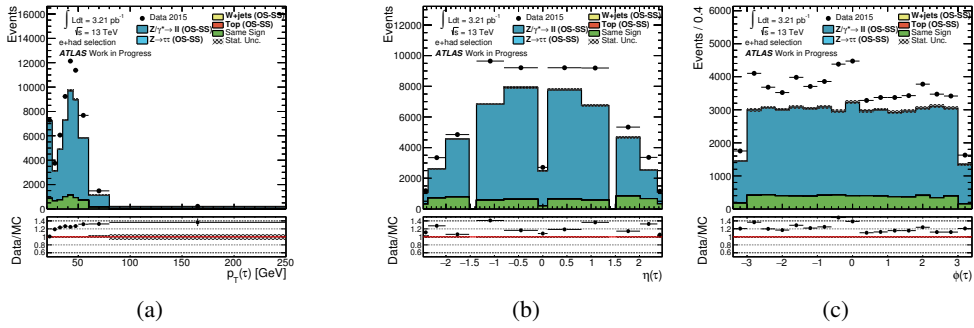


Figure 67

Not reviewed, for internal circulation only

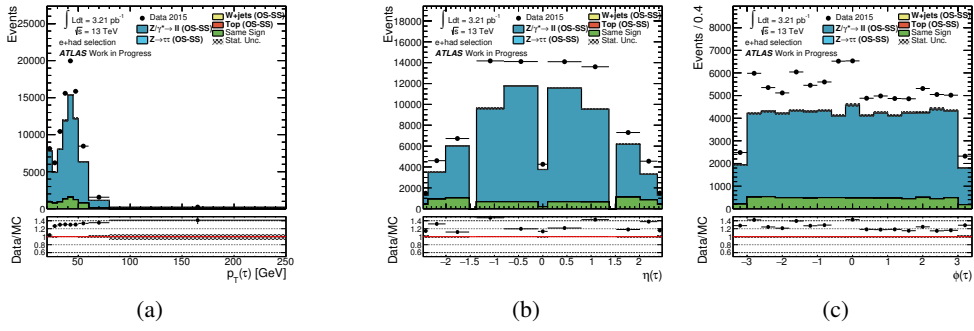


Figure 68

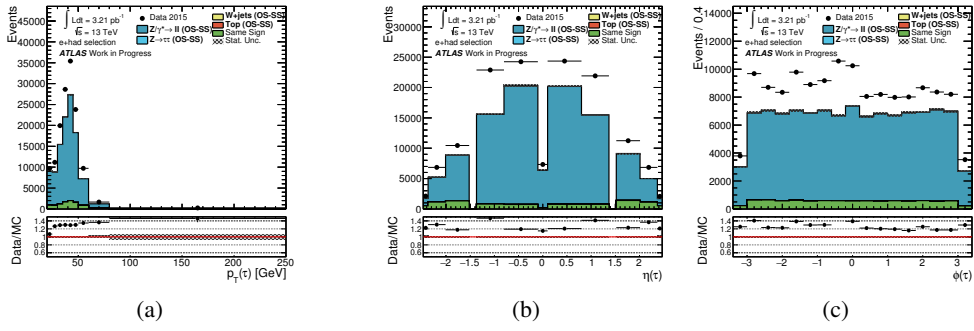


Figure 69

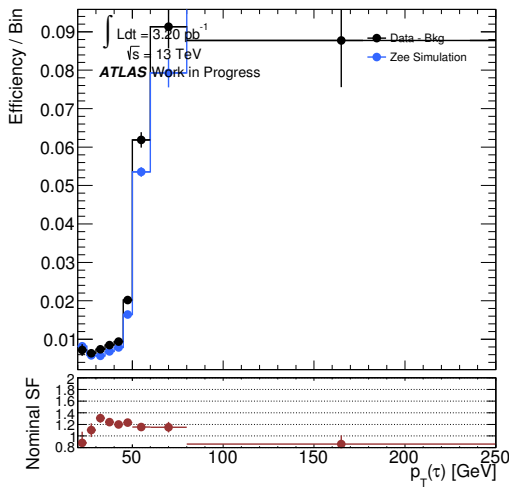
1040 **C.6. Efficiency Measurement and Scalefactors**

- 1041 • idea of fake rejection method: reject any  $\tau$  candidate which overlaps within  $\Delta R < 0.2$  with an
- 1042 electron
- 1043 • different electron quality working points give different rejection
- 1044 • use 3 standard working points loose LH, medium LH, tight LH and perform standard OLR: if and
- 1045 overlap is found, reject tau candidate (independent pt, eta)
- 1046 • fourth working point - the electron veto - corresponds to OLR with very loose electrons
- 1047 • OLR removal performed dependent on tau pt,eta and electron LH score
- 1048 • cuts tuned to yield 95% signal efficiency

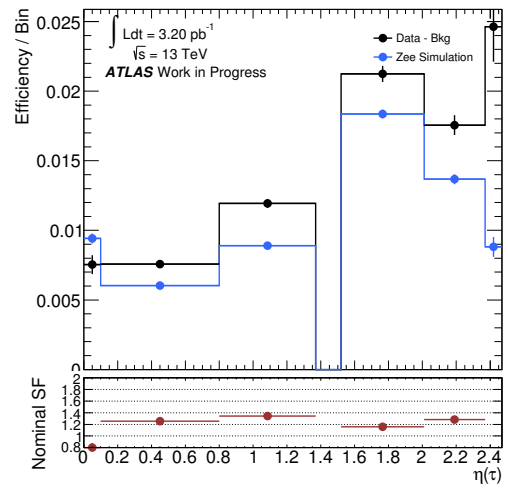
$$\varepsilon = \frac{N^{\text{reco}}(\tau) \parallel \text{Veto truthmatched MediumJetBDT}}{N^{\text{reco}}(\tau) \parallel \text{truthmatched NoJetBDT}}$$

1049 **Rejection of very loose electrons**

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(a) SF  $p_t$



(b) SF  $\eta$

1050 **Corrections for rejection of very loose electrons**

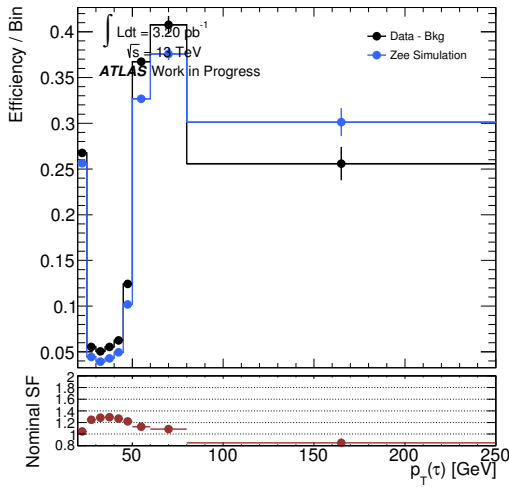


Not reviewed, for internal circulation only

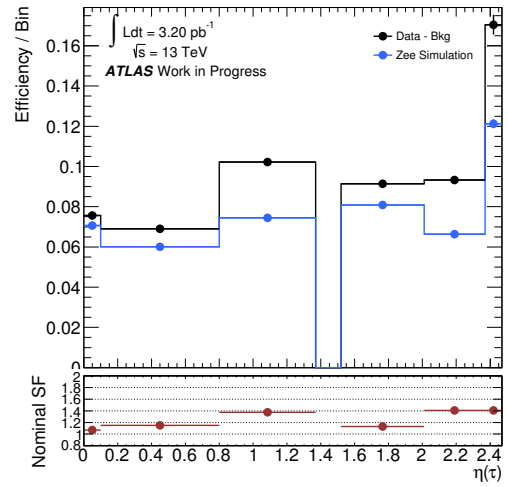
Combined:					
bin-center	SF	stat	sym sys	stat [%]	sym sys [%]
0.05	0.800	0.079	0.034	9.844	4.298
0.45	1.255	0.045	0.041	3.623	3.294
1.08	1.342	0.043	0.021	3.188	1.563
1.45	1.000	0.000	0.000	0.000	0.000
1.76	1.157	0.037	0.014	3.226	1.242
2.19	1.284	0.062	0.024	4.847	1.870
2.42	2.796	0.365	0.141	13.071	5.057

Combined:					
bin-center	SF	stat	sym sys	stat [%]	sym sys [%]
0.05	1.070	0.026	0.013	2.455	1.215
0.45	1.149	0.011	0.009	0.957	0.810
1.08	1.373	0.013	0.010	0.958	0.743
1.45	1.000	0.000	0.000	0.000	0.000
1.76	1.130	0.016	0.015	1.456	1.359
2.19	1.407	0.028	0.031	2.024	2.177
2.42	1.406	0.048	0.020	3.392	1.410

1051 **C.6.1. Rejection of loose electrons**



(a) SF  $p_t$



(b) SF  $\eta$

1052 **Corrections for rejection of loose electrons**

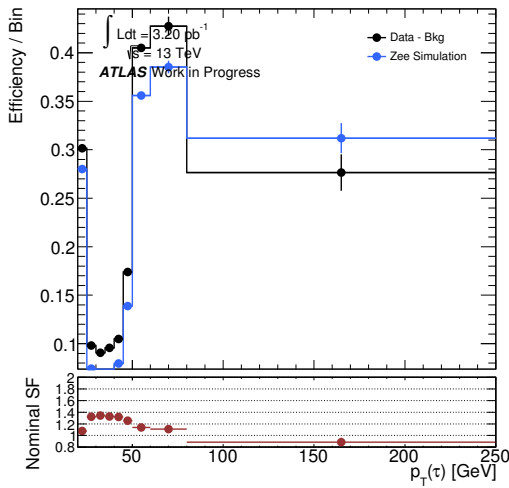
Combined:

bin-center	SF	stat	sym sys	stat [%]	sym sys [%]
0.05	1.119	0.021	0.010	1.866	0.924
0.45	1.178	0.009	0.007	0.741	0.573
1.08	1.434	0.011	0.010	0.763	0.668
1.45	1.000	0.000	0.000	0.000	0.000
1.76	1.186	0.014	0.016	1.218	1.334
2.19	1.468	0.025	0.030	1.686	2.074
2.42	1.531	0.046	0.024	3.009	1.569

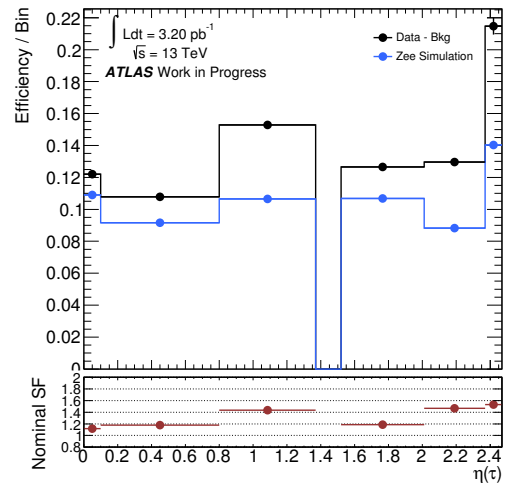
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1053

### C.6.2. Rejection of medium electrons



(a) SF  $p_t$



(b) SF  $\eta$

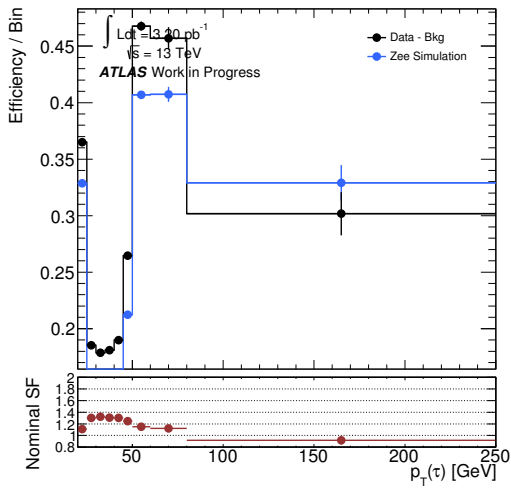
1054

### Corrections for rejection of medium electrons

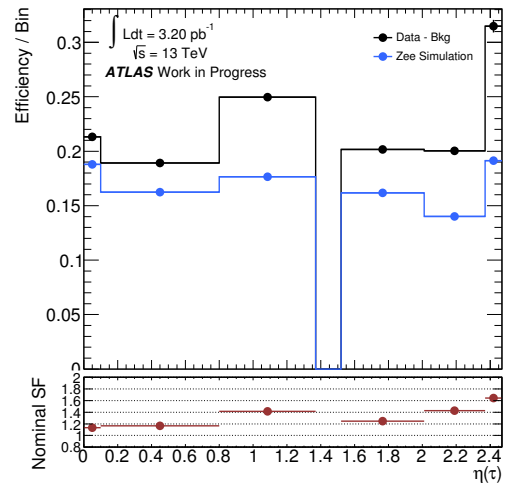
Combined: bin-center	SF	stat	sym sys	stat [%]	sym sys [%]
0.05	1.134	0.015	0.006	1.327	0.553
0.45	1.166	0.006	0.005	0.527	0.414
1.08	1.415	0.008	0.007	0.558	0.478
1.45	1.000	0.000	0.000	0.000	0.000
1.76	1.246	0.011	0.013	0.910	1.069
2.19	1.429	0.018	0.023	1.253	1.596
2.42	1.645	0.038	0.025	2.309	1.513

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1055 **C.6.3. Rejection of tight electrons**



(a) SF  $p_t$



(b) SF  $\eta$

1056 **Corrections for rejection of tight electrons**

## D. In-situ tau energy scale calibration

### D.1. Introduction of the in-situ method

The TES in-situ, embodied by the parameter  $\alpha$ , is determined by comparing the visible mass distribution of  $Z \rightarrow \tau\tau \rightarrow \mu\tau_{\text{had}}$  in the data and that obtained from a Monte Carlo sample. The visible mass is calculated by the reconstructed momentum of the muon and the hadronic tau. As the muon momentum scale can be determined to a high precision, the visible mass thus depends upon  $p_{\text{T}}^{\tau_{\text{had}}}$ . The parameter  $\alpha$  is defined in Eq. 4.

$$p_{\text{T}}^{\tau_{\text{had}}}(\alpha) = (1 + \alpha)p_{\text{T}}^{\tau_{\text{had}}}(\text{MC}), \quad (4)$$

where  $p_{\text{T}}^{\tau_{\text{had}}}(\text{MC})$  is the transverse momentum of the hadronical tau lepton in the MC simulation. The  $\alpha$  is determined by the best fit of the MC to the data. It is to minimize the following  $\chi^2(\alpha, f)$ .

$$\chi^2(\alpha, f) = \sum_i \frac{(N_i^{\text{data}} - fN_i^{\text{sig}}(\alpha) - N_i^{\text{bkg}})^2}{(\sqrt{N_i^{\text{data}}})^2 + f^2(\Delta N_i^{\text{sig}}(\alpha))^2 + (\Delta N_i^{\text{bkg}})^2}. \quad (5)$$

Here  $N_i^{\text{data/sig/bkg}}$  is the number of events in the  $i$ -th bin of the visible mass distribution in the data/signal/background;  $\Delta N_i^{\text{sig/bkg}}$  is the corresponding uncertainty of the number of events;  $N_i^{\text{bkg}}$  ( $\Delta N_i^{\text{bkg}}$ ) is understood as the sum of the contributions from all backgrounds; the parameter  $f$  is introduced to consider the possible normalization uncertainty. The signal yield in each bin depends upon the TES in-situ  $\alpha$ .

### D.2. Background estimation

The main backgrounds are the multi-jet and  $W$ +jets backgrounds. In the former background, the muon and tau candidates are faked by the jets. It is estimated by the data in which the muon and tau candidates have the same charge sign (same-sign data for simplicity). For the latter background, the  $M_{\text{vis}}$  shape is determined by the MC simulatin while the normalization is determined by the data in a control region where the  $W$ +jets events are dominant.

We introduce the following notation system. A bra  $\langle X|$  denotes the sample “ $X$ ”. A ket  $|CR\rangle$  denotes the control region or/and selection conditions “ $CR$ ”. The product  $\langle X|CR\rangle$  represents some quantity, such as the yield  $N$  or  $dN/dm_{\text{vis}}$ , of the sample  $X$  in the control region or/and satisfying the selection conditions “ $CR$ ”. The following operations are also defined.

$$\langle s|c_1\rangle|c_2\rangle \equiv \langle s|c_1, c_2\rangle \quad (6)$$

$$\langle (s_1| + \langle s_2|)|c\rangle \equiv \langle s_1|c\rangle + \langle s_2|c\rangle \quad (7)$$

The selected events in the signal region can be decomposed in the way shown in Eq. 8.

$$\begin{aligned} \langle \text{data}|\text{SR}, \text{OS}\rangle = & R_{\text{QCD}}\langle \text{MJ}|\text{SR}, \text{SS}\rangle + \langle Z\tau\tau|\text{SR}, \text{OS}\rangle + \langle Zll + \text{jets}|\text{SR}, \text{OS}\rangle \\ & + k_W^{\text{OS}}\langle W + \text{jets}|\text{SR}, \text{OS}\rangle + \langle \text{top}|\text{SR}, \text{OS}\rangle \end{aligned} \quad (8)$$

$$\begin{aligned} \langle \text{MJ}|\text{SR}, \text{SS}\rangle = & \langle \text{data}|\text{SR}, \text{SS}\rangle - \langle Z\tau\tau|\text{SR}, \text{SS}\rangle - \langle Zll + \text{jets}|\text{SR}, \text{SS}\rangle \\ & - k_W^{\text{SS}}\langle W + \text{jets}|\text{SR}, \text{SS}\rangle - \langle \text{top}|\text{SR}, \text{SS}\rangle \end{aligned} \quad (9)$$

1081 Here  $|SR\rangle$  denotes the signal region;  $|SS\rangle$  ( $|OS\rangle$ ) denotes the requirement that the muon and tau candidates  
 1082 have the same (opposite) charge sign;  $\langle Z\tau\tau\rangle$ ,  $\langle Zll + jets\rangle$ ,  $\langle W + jets\rangle$  and  $\langle top\rangle$  denotes the signal,  $Zll + jets$ ,  
 1083  $W + jets$  and top background, respectively.

1084 In the right hand side of Eq. 8, the first term ( $\langle MJ\rangle$ ) represents the multi-jet background which is estimated  
 1085 by the same-sign data subtracting all other components, indicated by Eq. 9. The normalization difference  
 1086 between the requirement  $|OS\rangle$  and  $|SS\rangle$  is considered by the factor  $R_{QCD}$ .  $R_{QCD}$  can be estimated in the  
 1087 control region where the multi-jet faking events are dominant ( $|MJCR\rangle$ ). It is calculated according to  
 1088 Eq. 10. The rest terms denotes the signal and the other backgrounds.

$$R_{QCD} = \frac{(\langle data\rangle - \langle Z\tau\tau\rangle - \langle Zll + jets\rangle - \langle W + jets\rangle - \langle top\rangle)|MJCR, OS\rangle}{(\langle data\rangle - \langle Z\tau\tau\rangle - \langle Zll + jets\rangle - \langle W + jets\rangle - \langle top\rangle)|MJCR, SS\rangle} \quad (10)$$

1089 For the  $W + jets$  background, the shape of the  $M_{vis}$  distribution is estimated by the MC simulation while  
 1090 the yield is estimated by the data in a control region where the  $W + jets$  background is dominant ( $|WCR\rangle$ ).  
 1091 Because the same-sign and opposite-sign events have obviously asymmetric behaviours, the normalization  
 1092 factors,  $k_W^{OS}$  and  $k_W^{SS}$ , are estimated individually, as indicated by Eq. 11.

$$k_W^{OS} = \frac{(\langle data\rangle - \langle Z\tau\tau\rangle - \langle Zll + jets\rangle - \langle top\rangle)|WCR, OS\rangle}{\langle W + jets|WCR, OS\rangle}$$

$$k_W^{SS} = \frac{(\langle data\rangle - \langle Z\tau\tau\rangle - \langle Zll + jets\rangle - \langle top\rangle)|WCR, SS\rangle}{\langle W + jets|WCR, SS\rangle} \quad (11)$$

1093 The selection conditions for the signal and control regions are elaborated in next section.

### 1094 D.3. Event selection

1095 To present the selection criteria, we introduce the following denotations for convenience. They are also  
 1096 summarized in Table 12.

- 1097 1.  $|Trigger\rangle$  denotes the trigger condition “HLT\_mu20\_loose\_L1MU15” and the trigger object match-  
 1098 ing condition “muTrigMatch\_0\_HLT\_mu20\_loose\_L1MU15”.
- 1099 2.  $|Base\rangle$  denotes the basic selection conditions. One muon candidate ( $N_\mu$ ), at least one tau candidate  
 1100 ( $N_{\tau_{had}} \geq 1$ ), no electron candidate ( $N_e = 0$ ), no  $b$ -jet candidate ( $N_{b-jet} = 0$ ), at least one primary  
 1101 vertex ( $N_{pvx} \geq 1$ ), and the trigger conditions.
- 1102 3.  $|Tau\rangle$  defines a tau candidate.  $p_T > 20$  GeV,  $|\eta| < 1.37$  and  $1.52 < |\eta| < 2.47$ , a unit charge  
 1103 ( $|Q| = 1$ ), and one or three charged tracks ( $N_{trk} = 1, 3$ ). It is required to pass the medium  
 1104 identification criteria.
- 1105 4.  $|Mu\rangle$  defines a muon candidate. It is required to have  $p_T > 22$  GeV and to pass the medium  
 1106 identification criteria.
- 1107 5.  $|iso\rangle$  defines the isolation conditions for the muon candidate, which suppress the multi-jet back-  
 1108 ground.  $etcone20/p_T < 0.04$  and  $ptcone40/p_T < 0.01$ .  $|\overline{iso}\rangle$  defines the opposite of the isolation  
 1109 conditions.
- 1110 6.  $|SS\rangle$  ( $|OS\rangle$ ) denotes that the muon candidate and the tau candidate have the same (opposite) charge  
 1111 sign.

Table 12: Definition of various selection conditions

Denotation	Definition
Trigger⟩	HLT_mu20_loose_L1MU15, muTrigMatch_0_HLT_mu20_loose_L1MU15
Base⟩	$N_\mu = 1, N_{\tau_{\text{had}}} \geq 1, N_e = 0, N_{b\text{-jet}} = 0, N_{\text{pvx}} \geq 1,  \text{Trigger}\rangle$
Tau⟩	$p_T > 20 \text{ GeV},  \eta  < 1.37$ and $1.52 <  \eta  < 2.47,  Q  = 1, N_{\text{trk}} = 1, 3$ , medium identification criteria
Muon⟩	$p_T > 22 \text{ GeV}$ , medium identification criteria
iso⟩	etcone20/ $p_T < 0.04$ and ptcone40/ $p_T < 0.01$ for the muon candidate
\isō⟩	etcone20/ $p_T > 0.04$ or ptcone40/ $p_T > 0.01$ for the muon candidate
Low $m_T$ ⟩	$m_T < 50 \text{ GeV}$
High $m_T$ ⟩	$m_T > 60 \text{ GeV}$
$D\phi$ ⟩	$D\phi > -0.5$
$E_T^{\text{miss}}$ ⟩	$E_T^{\text{miss}} > 30 \text{ GeV}$
SS⟩	$Q_\mu Q_{\tau_{\text{had}}} = +1$
OS⟩	$Q_\mu Q_{\tau_{\text{had}}} = -1$

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1112 We now give the conditions for the signal selection and the various control regions.

- 1113 • For the signal region |SR⟩, we require at least one tau candidate satisfying the condition |Tau⟩ and  
 1114 one isolated muon candidate satisfying the condition |Muon⟩. The  $W$  + jets background is reduced  
 1115 by the two conditions below, denoted by |Low  $m_T$ ⟩ and | $D\phi$ ⟩ respectively.

$$m_T \equiv \sqrt{2p_T(\mu)E_T^{\text{miss}}(1 - \cos(\phi(\mu) - \phi(E_T^{\text{miss}})))} < 50 \text{ GeV}, \quad (12)$$

1116 and

$$D\phi \equiv \cos(\phi(\tau_{\text{had}}) - \phi(E_T^{\text{miss}})) + \cos(\phi(\mu) - \phi(E_T^{\text{miss}})) > -0.5. \quad (13)$$

1117 For the  $W$  + jets background, the transverse mass  $m_T$  is large due to the decay  $W \rightarrow \mu\nu_\mu$  and the  
 1118 large mass of the  $W$  boson; in the decays  $W \rightarrow \mu\nu_\nu/\tau\nu_\tau$ , the azimuthal angle difference between the  
 1119 visible  $\mu/\tau_{\text{had}}$  and the missing transverse energy tends to be close to  $\pi$  and  $D\phi$  has a large negative  
 1120 value if the  $W$  boson is produced nearly still.

- 1121 • The definition of the multi-jet control region |MJCR⟩ is the same as the signal region except that  
 1122 the muon candidate is required to be not isolated (just reverse the isolation condition in |SR⟩).
- 1123 • The control region for the  $W$  + jets background is defined to have large transverse mass,  $m_T > 60 \text{ GeV}$   
 1124 (denoted by |High  $m_T$ ⟩), and large missing transverse energy,  $E_T^{\text{miss}} > 30 \text{ GeV}$  (denoted by | $E_T^{\text{miss}}$ ⟩).

The selection criteria above are summarized in Table 13.

Table 13: Selection criteria for the signal and control regions.

Denotation	Definition
Signal region,  SR⟩	Base⟩ Muon⟩ Tau⟩ iso⟩ Low $m_T$ ⟩  $D\phi$ ⟩
Multi-jet control region,  MJCR⟩	Base⟩ Muon⟩ Tau⟩ \isō⟩ Low $m_T$ ⟩  $D\phi$ ⟩
$W$ background control region,  WCR⟩	Base⟩ Muon⟩ Tau⟩ iso⟩ High $m_T$ ⟩  $E_T^{\text{miss}}$ ⟩

1125

## 1126 D.4. TES estimation

1127 The TES in-situ is estimated individually for the tau candidate with one and three charged tracks. In  
 1128 the first place, the factors  $R_{\text{QCD}}$ ,  $k_W^{\text{OS}}$  and  $k_W^{\text{SS}}$  are determined and listed in Table 14. The TES in-situ is

Table 14: Summary of the factors and the TES in-situ for the  $\tau_{\text{had}}$  with one or three charged tracks. The uncertainties are only statistical.

Factor	One-track $\tau_{\text{had}}$	Three-track $\tau_{\text{had}}$
$R_{\text{QCD}}$	$1.20 \pm 0.01$	$1.24 \pm 0.01$
$k_W^{\text{SS}}$	$1.36 \pm 0.02$	$1.35 \pm 0.04$
$k_W^{\text{OS}}$	$1.17 \pm 0.01$	$1.28 \pm 0.03$
$f$	$1.06 \pm 0.02$	$1.03 \pm 0.03$
$\alpha$	$(-0.70 \pm 0.81)\%$	$(-3.60 \pm 1.16)\%$
$\alpha$ (Forward- $\eta$ )	$(1.51 \pm 1.10)\%$	$(-2.80 \pm 1.86)\%$
$\alpha$ (Center- $\eta$ )	$(-3.58 \pm 1.01)\%$	$(-2.63 \pm 2.04)\%$

1128 determined by minimizing the  $\chi^2(\alpha, f)$  defined in Eq. 5. Here are the procedures.

- 1129 1. In practise, a sequence of signal MC samples with different hypotheses  $\alpha$  are produced. For the  
 1130 signal MC sample with the hypothesis value  $\alpha$ ,  $p_{\text{T}}^{\tau_{\text{had}}}$  in each event with the reconstructed  $\tau_{\text{had}}$   
 1131 correctly matched to the truth is scaled to  $(1 + \alpha)p_{\text{T}}^{\tau_{\text{had}}}$ .  
 1132
- 1133 2. In calculating  $\chi^2(\alpha, f)$ , the sum is performed over the region  $38 \leq m_{\text{vis}} \leq 92$  GeV. For each  
 1134 hypothesis  $\alpha$ ,  $\chi^2(\alpha, f)$  is minimized with respect to  $f$ . The optimal  $f$  is denoted by  $f^*$  and its  
 1135 uncertainty is determined by  $\chi^2(\alpha, f^*) + 1$ .
- 1136 3. Let  $\alpha_0$  denote the  $\alpha$  value minimizing the  $\chi^2(\alpha, f^*)$  among all the hypotheses. Taking into  
 1137 account the statistical fluctuations of the MC samples, however, the optimal value and the statistical  
 1138 uncertainty,  $\alpha^*$  and  $\Delta\alpha$ , are determined by the  $\alpha$  vaules,  $\alpha_{L,R}$ , at the  $2\sigma$  interval, which corresponds  
 1139 to  $\chi^2(\alpha_0, f^*) + 4$ , as shown in Eq. 14.

$$\alpha^* \equiv \frac{\alpha_L + \alpha_R}{2}, \quad \Delta\alpha \equiv \frac{\alpha_R - \alpha_L}{2 \times 2}$$

$$\chi^2(\alpha_{L,R}, f^*) \equiv \chi^2(\alpha_0, f^*) + 4, \quad \alpha_L < \alpha_R \quad (14)$$

1140 The obtained TES in-situ  $\alpha$ 's are listed in the bottom of Table 14. The TES values in the forward- $\eta$  region  
 1141 ( $1.52 < |\eta| < 2.47$ ) and center- $\eta$  region ( $|\eta| < 1.37$ ) are also shown. Figure 70- 72 and Fig. 73- 75  
 1142 show the related results for one-track and three-track  $\tau_{\text{had}}$ , respectively. In both figures, (a) shows the  $m_{\text{vis}}$   
 1143 distributions in the data (dots with error bar) and in the predictions with  $\alpha = 0$  (black histogram) and  
 1144  $\alpha = \alpha_0$  (blue histogram); (b) shows the  $\chi^2(\alpha, f^*)$  as a function of  $\alpha$ ; (c) shows the  $\chi^2$  value in each  $m_{\text{vis}}$   
 1145 bin in the predictions with  $\alpha = 0$  (black curve) and  $\alpha = \alpha_0$  (blue curve).

## 1146 D.5. systematic uncertainties

1147 In this section, various systematic uncertainties are estimated below one by one.

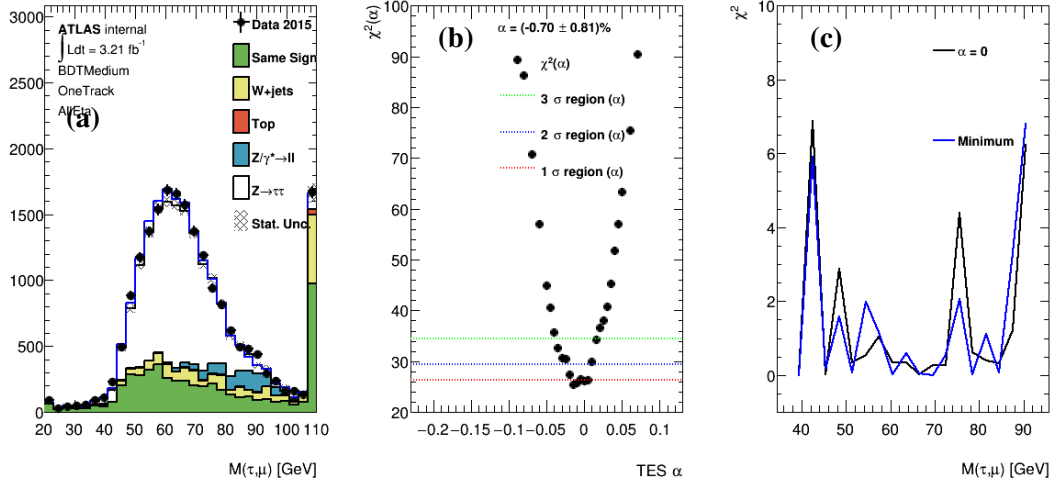


Figure 70: (a) shows the  $m_{vis}$  distributions. (b) shows the  $\chi^2(\alpha)$  as a function of the TES in-situ  $\alpha$ . (c) shows the  $\chi^2$  value in each  $m_{vis}$  bin. In (a) and (c), the black (blue) blank histogram or curve represents the result with the hypothesis  $\alpha = 0$  (the optimal hypothesis  $\alpha_0$ ). All results are for the one-track  $\tau_{had}$ .

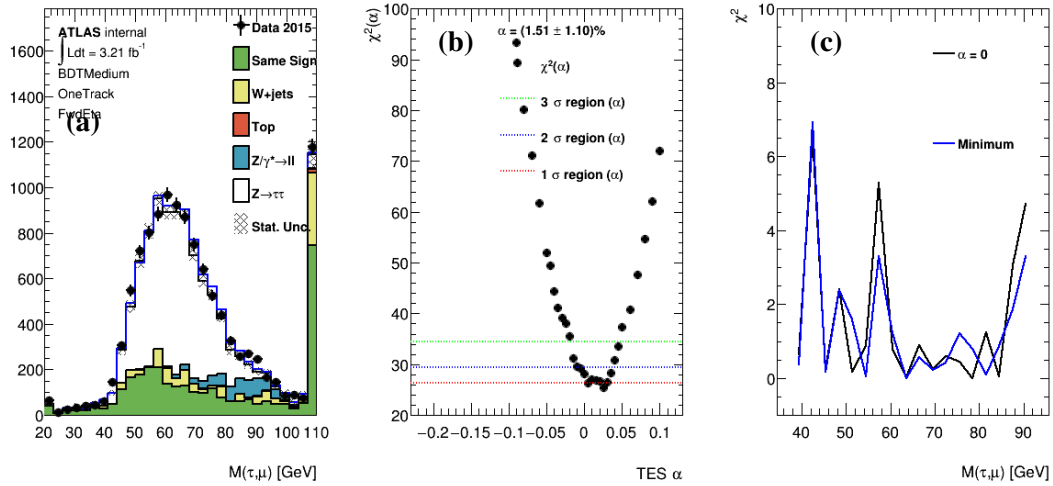


Figure 71: (a) shows the  $m_{vis}$  distributions. (b) shows the  $\chi^2(\alpha)$  as a function of the TES in-situ  $\alpha$ . (c) shows the  $\chi^2$  value in each  $m_{vis}$  bin. In (a) and (c), the black (blue) blank histogram or curve represents the result with the hypothesis  $\alpha = 0$  (the optimal hypothesis  $\alpha_0$ ). All results are for the one-track  $\tau_{had}$  in the forward- $\eta$  region.



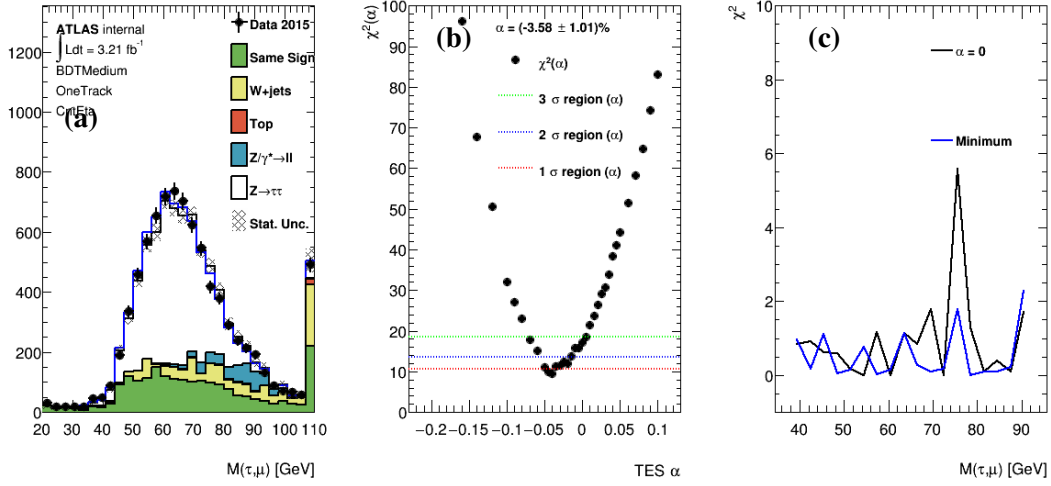


Figure 72: (a) shows the  $m_{vis}$  distributions. (b) shows the  $\chi^2(\alpha)$  as a function of the TES in-situ  $\alpha$ . (c) shows the  $\chi^2$  value in each  $m_{vis}$  bin. In (a) and (c), the black (blue) blank histogram or curve represents the result with the hypothesis  $\alpha = 0$  (the optimal hypothesis  $\alpha_0$ ). All results are for the one-track  $\tau_{had}$  in the center- $\eta$  region.

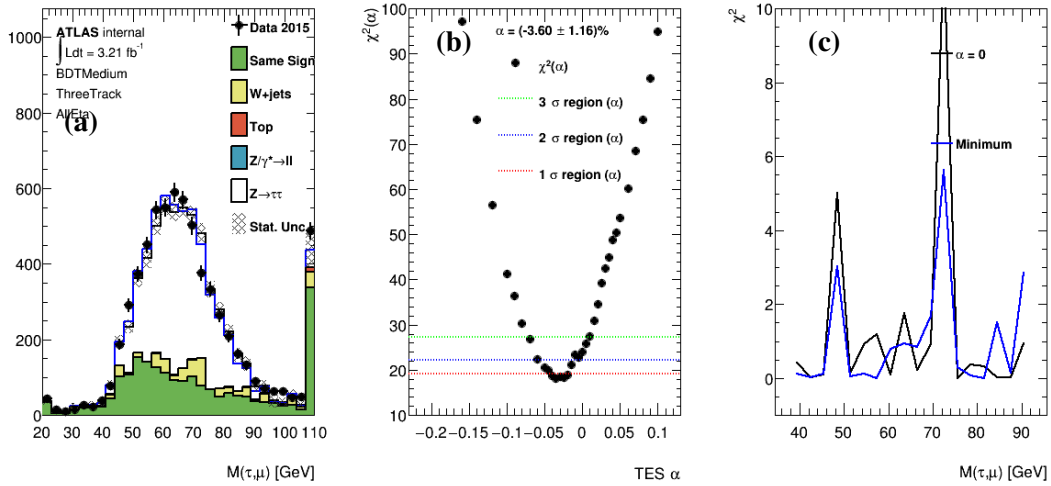


Figure 73: (a) shows the  $m_{vis}$  distributions. (b) shows the  $\chi^2(\alpha)$  as a function of the TES in-situ  $\alpha$ . (c) shows the  $\chi^2$  value in each  $m_{vis}$  bin. In (a) and (c), the black (blue) blank histogram or curve represents the result with the hypothesis  $\alpha = 0$  (the optimal hypothesis  $\alpha_0$ ). All results are for the three-track  $\tau_{had}$ .

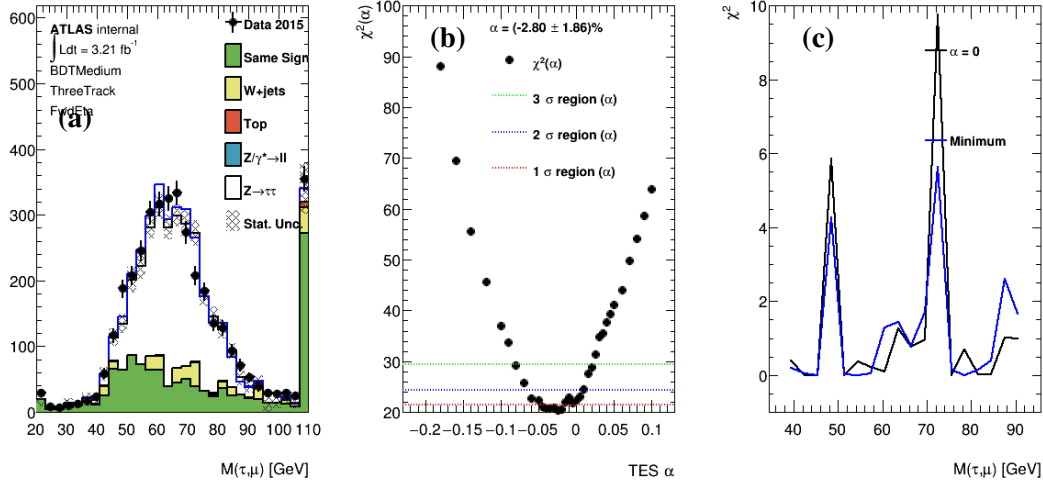


Figure 74: (a) shows the  $m_{vis}$  distributions. (b) shows the  $\chi^2(\alpha)$  as a function of the TES in-situ  $\alpha$ . (c) shows the  $\chi^2$  value in each  $m_{vis}$  bin. In (a) and (c), the black (blue) blank histogram or curve represents the result with the hypothesis  $\alpha = 0$  (the optimal hypothesis  $\alpha_0$ ). All results are for the three-track  $\tau_{had}$  in the forward- $\eta$  region.

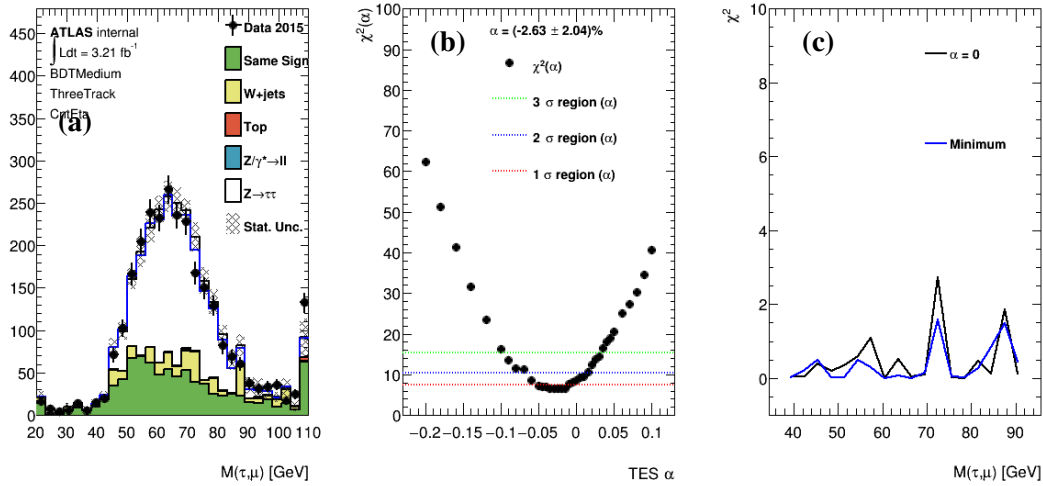


Figure 75: (a) shows the  $m_{vis}$  distributions. (b) shows the  $\chi^2(\alpha)$  as a function of the TES in-situ  $\alpha$ . (c) shows the  $\chi^2$  value in each  $m_{vis}$  bin. In (a) and (c), the black (blue) blank histogram or curve represents the result with the hypothesis  $\alpha = 0$  (the optimal hypothesis  $\alpha_0$ ). All results are for the three-track  $\tau_{had}$  in the center- $\eta$  region.

- 1148 1. Uncertainty of the factors  $R_{\text{QCD}}$ ,  $k_W^{\text{OS}}$  and  $k_W^{\text{SS}}$ : The systematic uncertainty of  $R_{\text{QCD}}$  is investigated by  
 1149 varying the anti-isolation conditions of the muon candidate while the other factors are investigated  
 1150 by changing the requirements on  $m_T$  and  $E_T^{\text{miss}}$ . The uncertainties are found to be within  $\pm 20\%$   
 1151 of their nominal values. The contribution to the TES systematic uncertainty is thus estimated by  
 1152 varying the factors within  $\pm 20\%$ .
- 1153 2. Trigger, energy scale, reconstruction and identification of a muon track: Various systematics  
 1154 about the efficiency of selecting a muon candidate, including the trigger condition, energy scale,  
 1155 reconstruction and identification, are considered. The contribution to the TES systematic uncertainty  
 1156 is small.
- 1157 3. Reconstruction of the missing transverse energy: Various systematics about the missing transverse  
 1158 energy, including the resolution and the energy scale of the soft tracks, are considered. The  
 1159 contribution to the TES systematic uncertainty is small.
- 1160 4. Resolution of  $p_T^{\tau_{\text{had}}}$ : The effect of the resolution of  $p_T^{\tau_{\text{had}}}$  is estimated by changing  $p_T^{\tau_{\text{had}}}$  by  $\pm 5\% \times$   
 1161  $(p_T^{\tau_{\text{had}}} - p_T^{\tau_{\text{had}}}(\text{truth}))$  in the signal MC sample, where  $p_T^{\tau_{\text{had}}}(\text{truth})$  is the transverse momentum in the  
 1162 truth level.
- 1163 5.  $m_{\text{vis}}$  range: In calculating  $\chi^{\alpha, f}$ , the sum is performed in the range  $38 \leq m_{\text{vis}} < 92$  GeV. The lower  
 1164 and upper limits are changed within  $\pm 6$  GeV individually to check the variation of the TES. The  
 1165 TES difference compared to the nominal values are taken as the systematic uncertainty.
- 1166 6.  $\tau_{\text{had}}$  identification: For each tau candidate, the medium identification condition is required. The  
 1167 effect is estimated by using a loose or tight identification condition (see Fig. 76-77 taking three-track  
 $\tau_{\text{had}}$  as example). It is the dominant systematic uncertainty for the TES of three-track  $\tau_{\text{had}}$ .

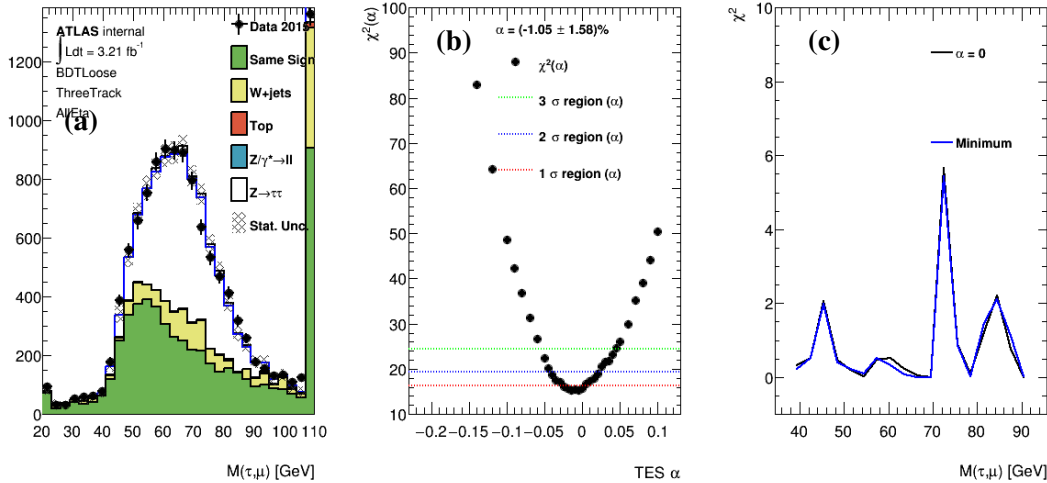


Figure 76: (a) shows the  $m_{\text{vis}}$  distributions. (b) shows the  $\chi^2(\alpha)$  as a function of the TES in-situ  $\alpha$ . (c) shows the  $\chi^2$  value in each  $m_{\text{vis}}$  bin. In (a) and (c), the black (blue) blank histogram or curve represents the result with the hypothesis  $\alpha = 0$  (the optimal hypothesis  $\alpha_0$ ). All results are for the three-track  $\tau_{\text{had}}$  passing the loose identification criteria.

1168

- 1169 7. Bin width of the  $m_{\text{vis}}$  distribution: The largest TES difference is taken as the systematic uncertainty  
 1170 by changing the nominal bin width 3 GeV to 2.25 GeV or 4.5 GeV.

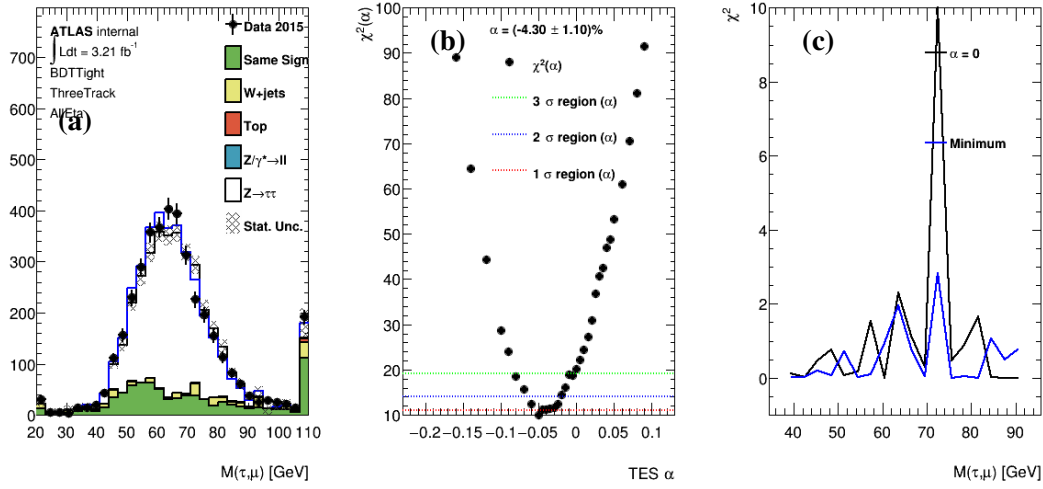


Figure 77: (a) shows the  $m_{vis}$  distributions. (b) shows the  $\chi^2(\alpha)$  as a function of the TES in-situ  $\alpha$ . (c) shows the  $\chi^2$  value in each  $m_{vis}$  bin. In (a) and (c), the black (blue) blank histogram or curve represents the result with the hypothesis  $\alpha = 0$  (the optimal hypothesis  $\alpha_0$ ). All results are for the three-track  $\tau_{had}$  passing the tight identification criteria.

1171 Table 15 summarize the systematical uncertainties considered above. In the last line, the total systematic  
 1172 uncertainty is the square root of the quadratic sum of the individuals assuming they are independent. For  
 1173 the TES of one-track  $\tau_{had}$ ,  $k_W^{OS}$  and the  $m_{vis}$  calculating range are the dominant contributions. For the TES  
 1174 of three-track  $\tau_{had}$ , the systematic uncertainty due to the  $\tau_{had}$  identification is dominant. Eq. 15 gives the  
 1175 final result of the TES in-situ.

$$\begin{aligned}\alpha &= (-0.70 \pm 0.81 \pm 1.19)\% \quad (\text{one-track } \tau_{had}), \\ \alpha &= (-3.60 \pm 1.16 \pm 2.99)\% \quad (\text{three-track } \tau_{had}),\end{aligned}\tag{15}$$

1176 where the first uncertainty is statistical and the second uncertainty is systematical.

Table 15: Summary of the systematical uncertainties (unit: %).

Source	One-track $\tau_{had}$	Three-track $\tau_{had}$
$R_{QCD}$	0.08	0.80
$k_W^{SS}$	0.05	0.48
$k_W^{OS}$	0.64	0.77
Muon	0.18	0.62
$E_T^{miss}$	0.05	0.06
Resolution of $p_T^{\tau_{had}}$	0.33	0.56
$m_{vis}$ Range	0.68	0.31
$\tau_{had}$ identification	0.47	2.55
Bin width	0.41	0.47
Total	1.19	2.99

1177 **E. Offline  $t\bar{t}$  tau identification efficiency measurement**

1178 **E.1. Brief Review Of This Study**

1179 **E.1.1. Object Definition**

1180 Object definition is described in the table 16.

Table 16: Object Definition

muon
$p_T > 10$ GeV $ \eta  < 2.7$ Loose ID Loose Isolation (applied only for $ \eta  < 2.5$ )
electron
$p_T > 10$ GeV $ \eta  < 2.5$ (Note: no crack veto) LLH Loose ID Loose Isolation
photon
$p_T > 10$ GeV $ \eta  < 2.47$ Tight ID Tight Isolation
Jet
AntiKt4EMTopo $p_T > 30$ GeV $ \eta  < 2.5$ JVT > 0.64 (applied only for $ \eta  < 2.4$ & $p_T < 50$ GeV) mv2c20, FixedCut (60% efficiency, SF file: 13TeV/2015-PreRecomm-13TeV-MC12-CDI-October23_v2.root) Jet/MET cleaning: LooseBad
MET
TST with el/mu soft term
Tau
$p_T > 30$ GeV $ \eta  < 2.5$ (excluding $1.37 <  \eta  < 1.52$ ) Absolute Charge = 1 Number of Core Tracks = 1 or 3 Electron Overlap Removal through TauAnalysisTools Muon Overlap Removal (muon $p_T > 2.0$ , $ \eta  < 2.5$ , Loose ID, passHighPTCut)

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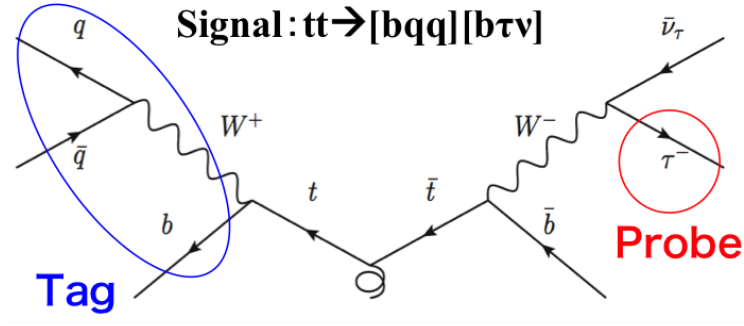


Figure 78: Feynman diagram of the signal event in this analysis

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### 1181 E.1.2. Pre-Selection For The Signal Region

1182 The pre-selection for the signal region is defined as follows. I used HLT\_xe70 (at that time, it was the  
 1183 lowest unrescaled trigger of MET). To avoid bad MET, I did Jet/MET Cleaning (bad jet veto). I did  
 1184 lepton veto to suppress leptonic backgrounds. Number of anti-b-jets are required at least 3, and number  
 1185 of b-jets at least 2. MET significance, defined by  $E_T^{\text{miss}} [\text{GeV}] / \sqrt{0.5 \text{ GeV} \cdot \sum E_T}$ , is required at least 9 to  
 1186 reduce w+jets, multi-jet events.

### 1187 E.1.3. Tag And Probe Selection

1188 A tag and probe selection explained in this section is applied to events remaining after the pre-selection.

1189 Figure ?? is a feynman diagram of the signal event in this analysis. To select the signal events without  
 1190 offline tau identification and with suppressing bias in the selected samples as possible, 'tag' part is defined  
 1191 as finding hadronic top decay products and 'probe' is defined as a anti-b-jet not belong to hadronic top  
 1192 decay products. Hadronic top decay products are defined as a combination of 3 jets passing the following  
 1193 requirements.

$$1194 \quad 1. \quad \chi^2 = (M_{jj} - M_w)^2 / \sigma_{M_{jj}}^2 + (M_{jjb} - M_t)^2 / \sigma_{M_{jjb}}^2$$

- 1195 • j: anti-bjet, b: b-jet,  $M_w$ : mass of w-boson,  $M_t$ : mass of top-quark,  $\sigma_{M_{jj}}$  and  $\sigma_{M_{jjb}}$ : mass  
 1196 uncertainty propagated from jet energy uncertainty

- 1197 • find a combination of jjb with minimum  $\chi^2$

$$1198 \quad 2. \quad \chi^2 < 4.0$$

$$1199 \quad 3. \quad M_{jjb} < 200 \text{ GeV}$$

$$1200 \quad 4. \quad \Delta\phi_{jjb, E_T^{\text{miss}}} > 2.3$$

1201 If there is no combination satisfying these 4 requirements, those events are discarded. If a combination  
 1202 passing the all items is found out, then 'probe', hadronic tau, is defined in the following way.

- 1203 1. Find a highest  $p_T$  anti-b-jet not belong to the 'tagged' particles

- 1204 2. If there is a reconstructed tau object inside the jet, it is defined as 'probe'

1205 Furthermore, the following additional cuts are applied for improving purity of the signal events.

- 1206 1.  $M_{T(\text{probe}, E_T^{\text{miss}})} < 120 \text{ GeV}$
- 1207 2.  $\Delta R_{(\text{probe}, \text{jet nearest to probe})} = [0.8, 2.0]$

1208 **E.1.4. Plots At The Signal Region ('No Tau ID' vs 'Tight ID')**

1209 This section shows important plots at the signal region without offline tau identification and with the  
 1210 "Tight" identification as a reference. Some variables used for selection of the signal region are shown in  
 1211 N-1 format.

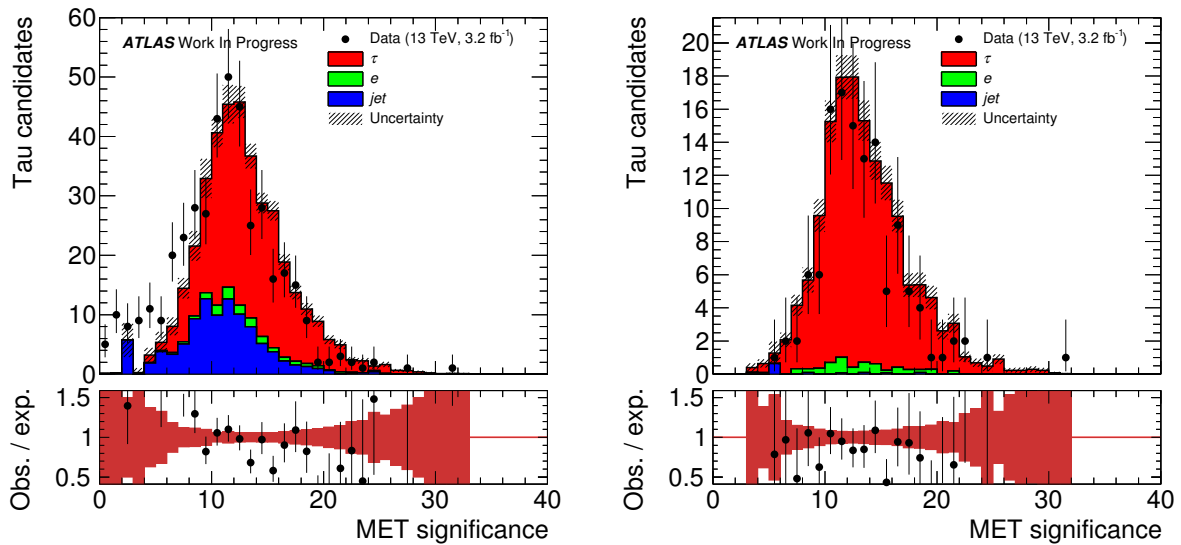


Figure 79: met significance without met significance >= 9 cut(no ID)      Figure 80: met significance without met significance >= 9 cut(Tight ID)

1212 **E.2. Background Estimation**

1213 **E.2.1. Track Multiplicity For Template Fit**

1214 In the sample obtained after the selection, some probes are fake jets or fake electrons and events containing  
 1215 those probes are defined as backgrounds in this analysis. The primary background is  $t\bar{t} \rightarrow \tau + \text{jets}$ , all jets,  
 1216 or other decay mode, where the probe is a fake jet. The second dominant one is the same events but the  
 1217 probe is a fake electron. To estimate the yields of these backgrounds in the signal region, track multiplicity,  
 1218 defined by 'number of core + wide tracks', where a core (wide) track is defined by a track associated to  
 1219 a tau vertex and being within  $\Delta R = 0.2$  ([0.2, 0.4]) to the axis of momentum of the reconstructed tau  
 1220 object. Distribution of the track multiplicity at the signal region is shown in Figure ?? and one can see  
 1221 that there are 2 peaks at 1 and 3 tracks for the signal events (the probe is tau) because products of hadronic  
 1222 tau decay are basically 1 or 3 charged meson. The track multiplicity of the fake-jet backgrounds tend to

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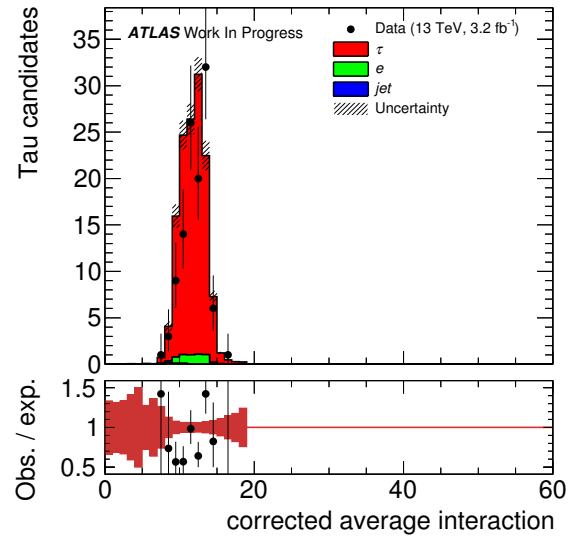
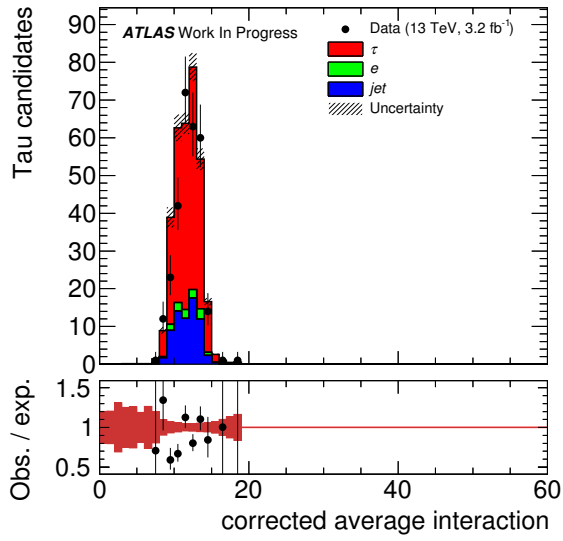


Figure 81: number of averaged interaction with pileup-  
Figure 82: number of averaged interaction with pileup-  
reweight (no ID) reweight (Tight ID)

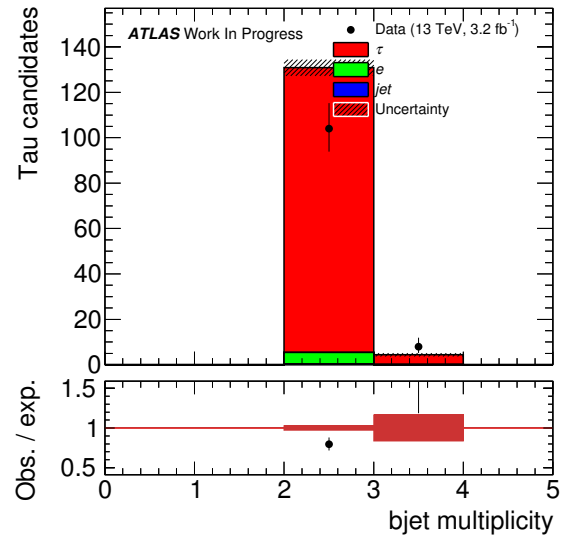
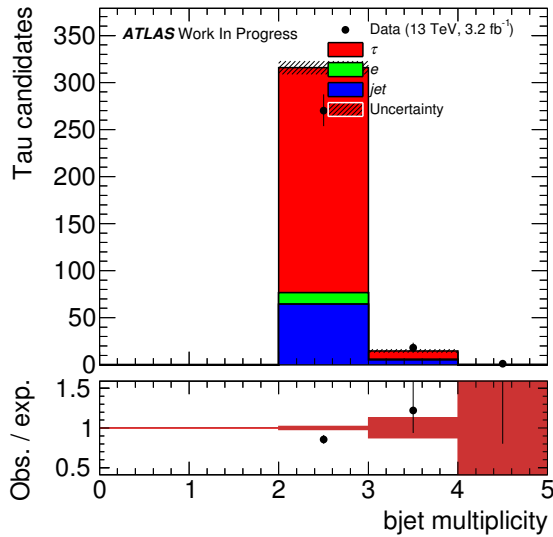


Figure 83: b-tagged jet multiplicity (no ID)

Figure 84: b-tagged jet multiplicity (Tight ID)



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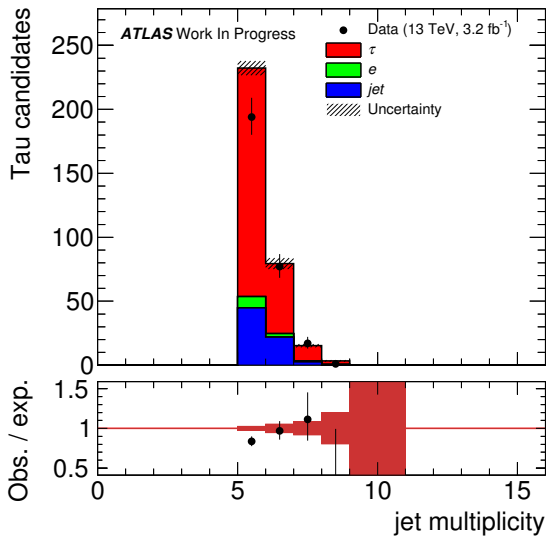


Figure 85: jet multiplicity (no ID)

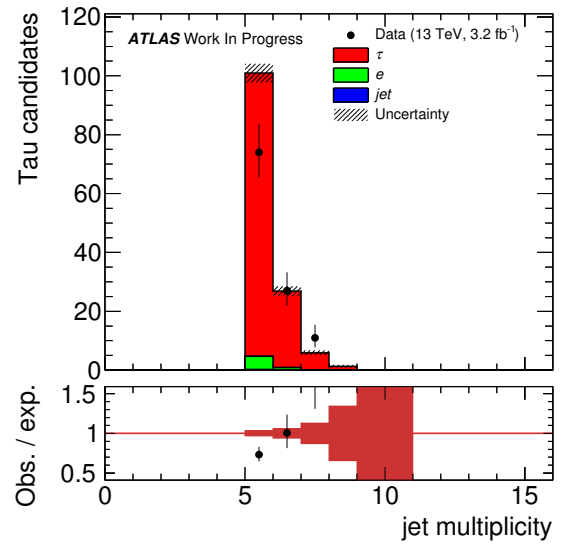


Figure 86: jet multiplicity (Tight ID)

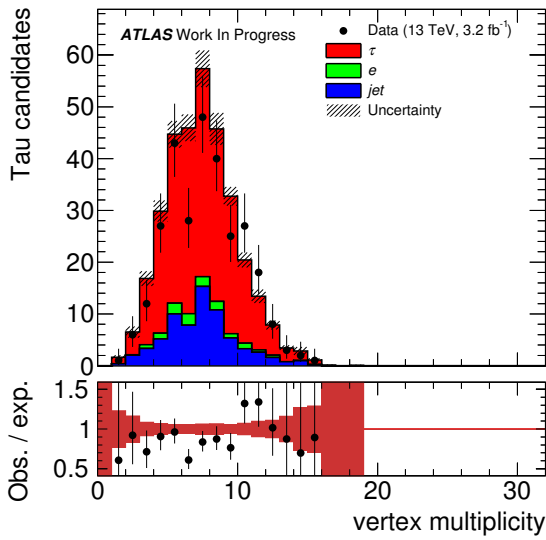


Figure 87: number of vertices(no ID)

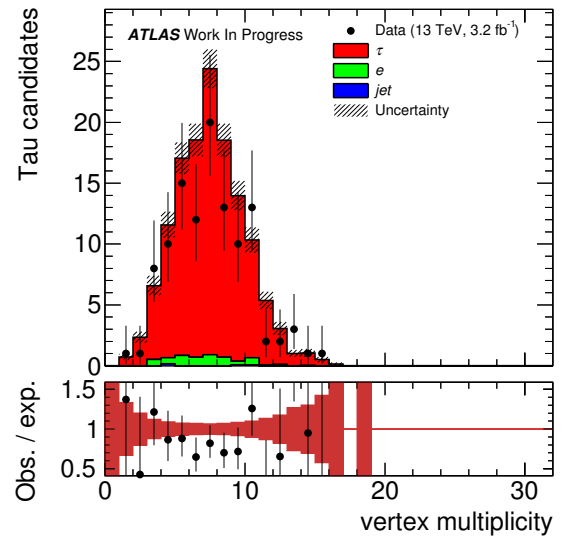


Figure 88: number of vertices(Tight ID)

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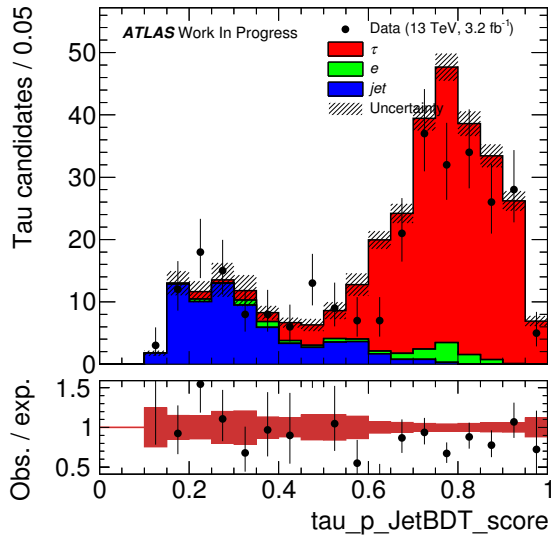


Figure 89: BDT score of offline tau ID (no ID)

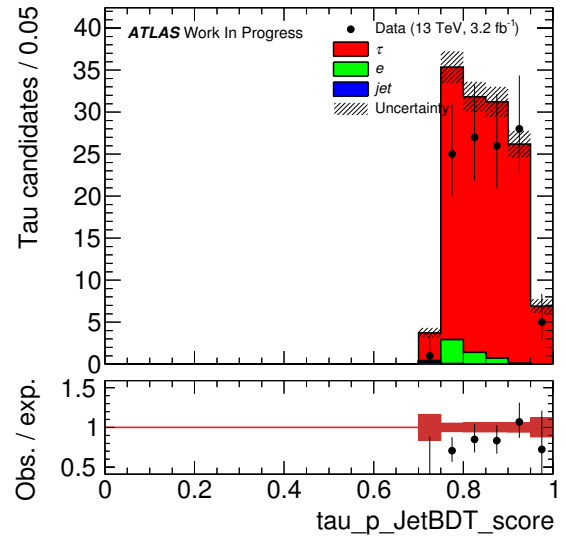


Figure 90: BDT score of offline tau ID (Tight ID)

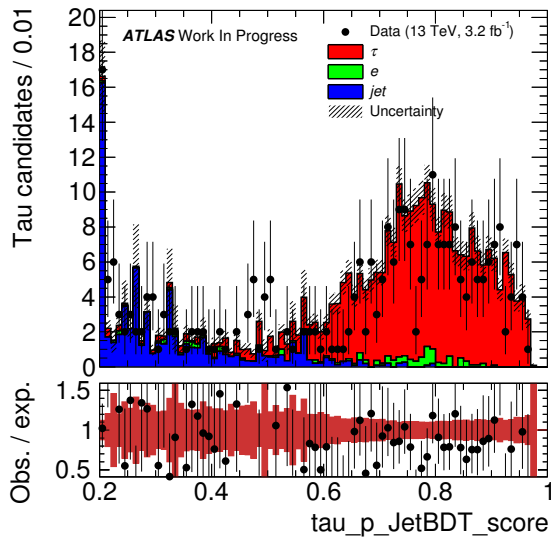


Figure 91: BDT score of offline tau ID with finer binning (no ID)

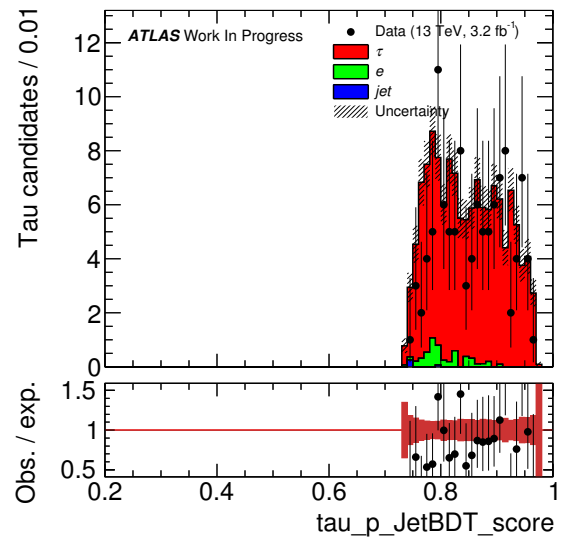


Figure 92: BDT score of offline tau ID with finer binning (Tight ID)

Not reviewed, for internal circulation only

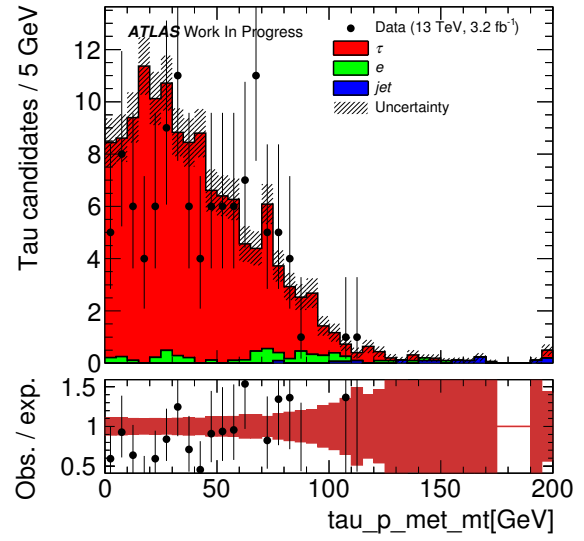
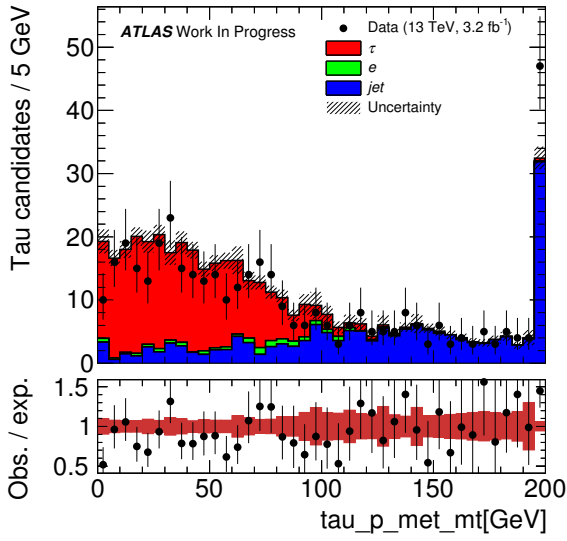


Figure 93:  $M_T(\text{lep}, E_T^{\text{miss}})$  without  $M_T(\text{lep}, E_T^{\text{miss}}) < 120$  GeV cut (no ID)

Figure 94:  $M_T(\text{lep}, E_T^{\text{miss}})$  without  $M_T(\text{lep}, E_T^{\text{miss}}) < 120$  GeV cut (Tight ID)

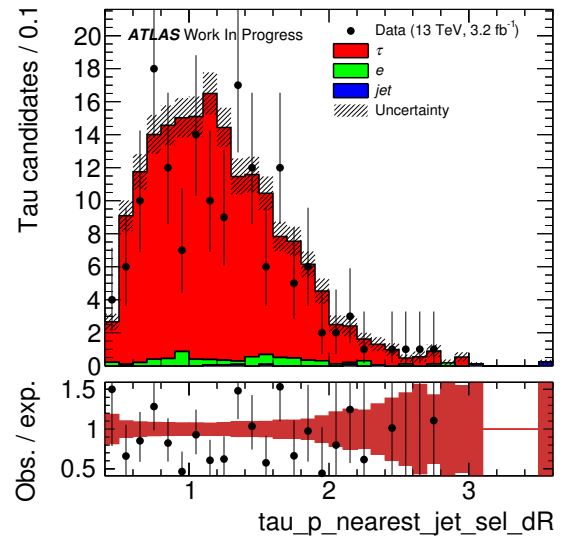
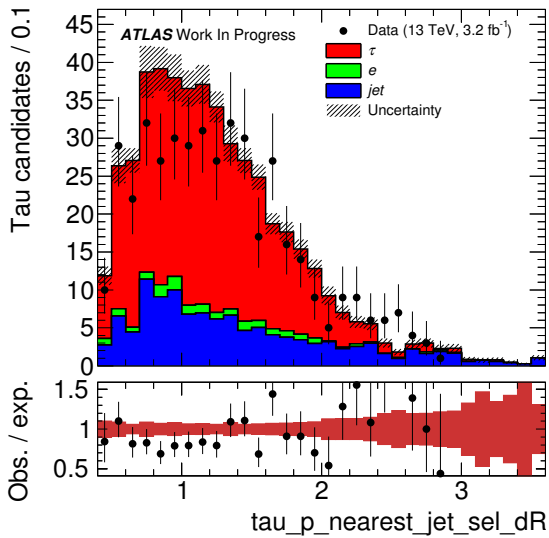


Figure 95:  $\Delta R(\text{probe}, \text{jet nearest to probe})$  without  $\Delta R(\text{probe}, \text{jet nearest to probe}) = [0.8, 2.0]$  cut (no ID)

Figure 96:  $\Delta R(\text{probe}, \text{jet nearest to probe})$  without  $\Delta R(\text{probe}, \text{jet nearest to probe}) = [0.8, 2.0]$  cut (Tight ID)

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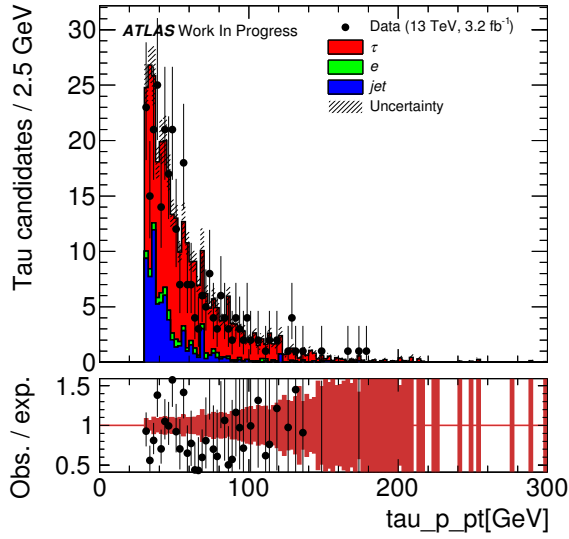


Figure 97: probe  $p_T$  (no ID)

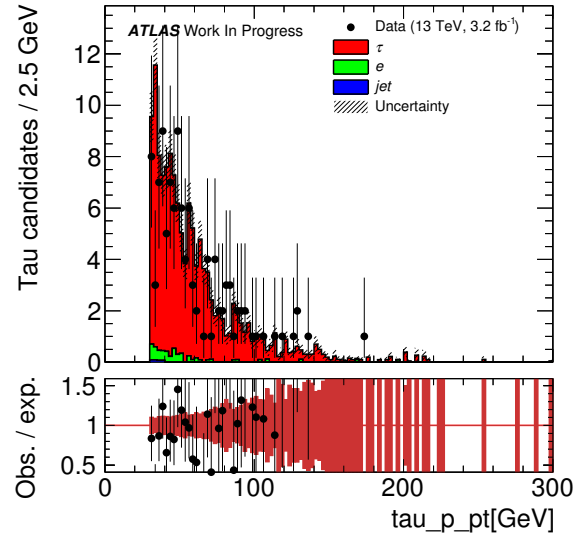


Figure 98: probe  $p_T$  (Tight ID)

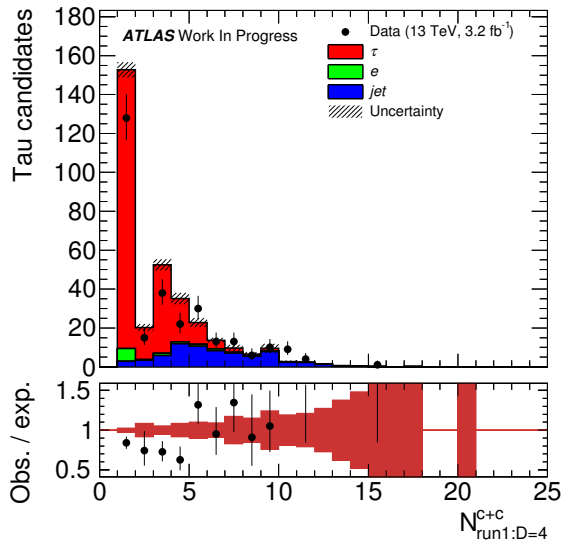


Figure 99: kt4-like track multiplicity within  $\Delta R = 0.6$  (no ID)

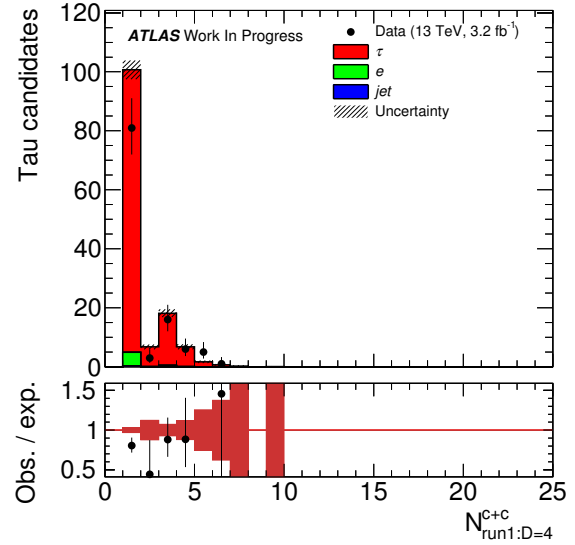


Figure 100: kt4-like track multiplicity within  $\Delta R = 0.6$  (Tight ID)

Not reviewed, for internal circulation only

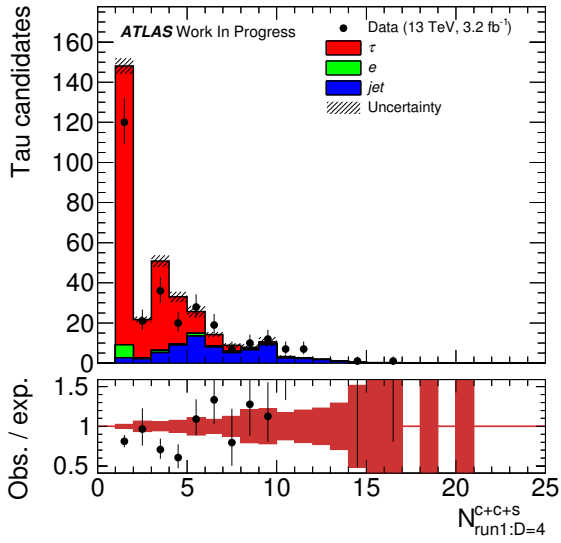


Figure 101: kt4-like track multiplicity within  $\Delta R = 0.6$  with soft track term (no ID)

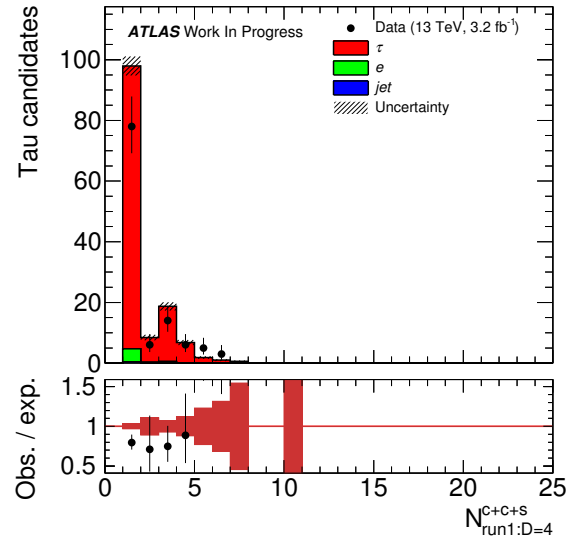


Figure 102: kt4-like track multiplicity within  $\Delta R = 0.6$  with soft track term (Tight ID)

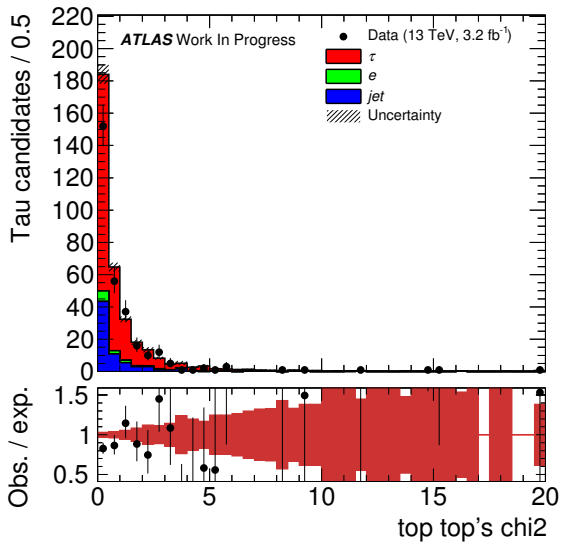


Figure 103: minimum  $\chi^2$  of jjb without  $\chi^2 < 4.0$  cut (no ID)

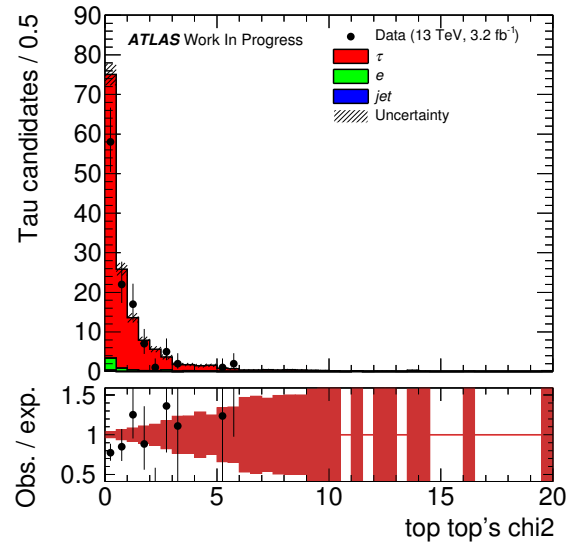


Figure 104: minimum  $\chi^2$  of jjb without  $\chi^2 < 4.0$  cut (Tight ID)

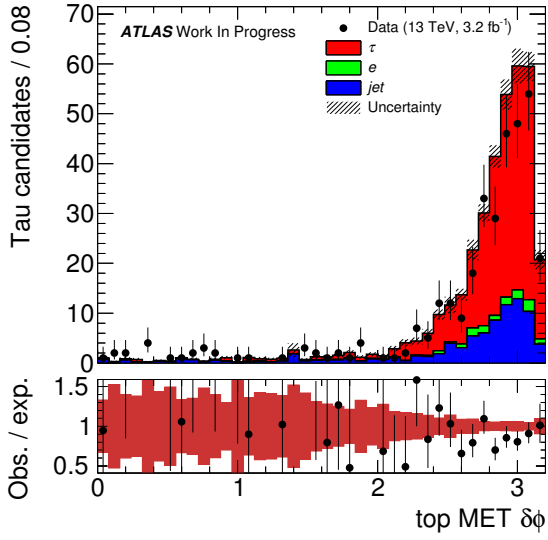


Figure 105:  $\Delta\phi(jjb, E_T^{\text{miss}})$  without  $\Delta\phi(jjb, E_T^{\text{miss}})$  (no ID)

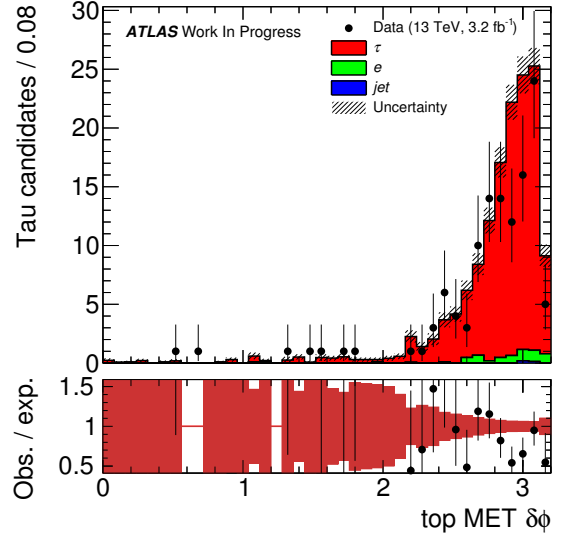


Figure 106:  $\Delta\phi(jjb, E_T^{\text{miss}})$  without  $\Delta\phi(jjb, E_T^{\text{miss}})$  (Tight ID)

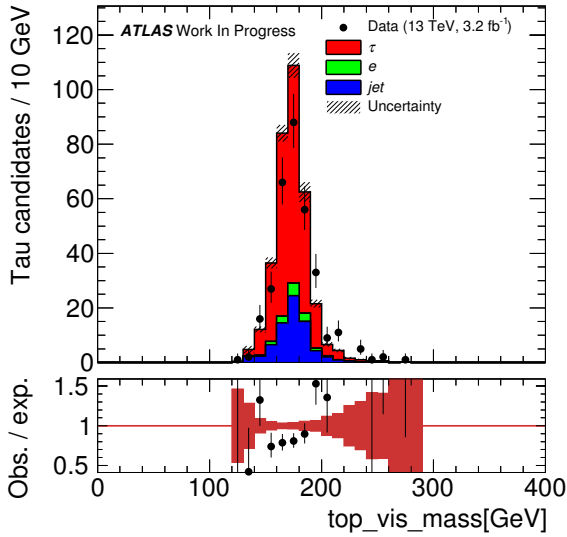


Figure 107:  $\chi^2$ -base jjb mass without  $M_{jjb} < 200$  GeV cut (no ID)

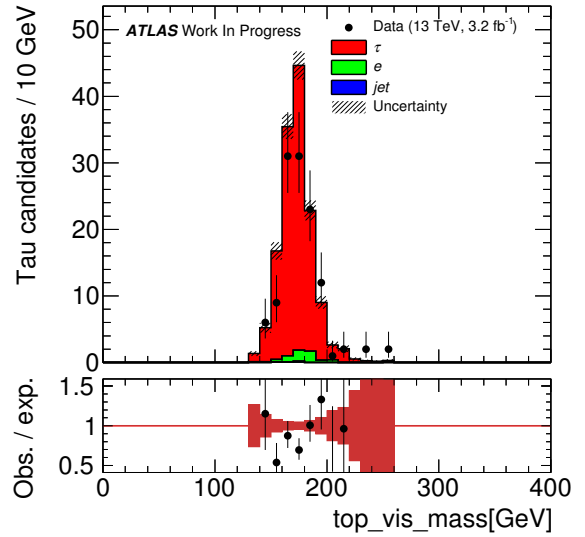


Figure 108:  $\chi^2$ -base jjb mass without  $M_{jjb} < 200$  GeV cut (Tight ID)

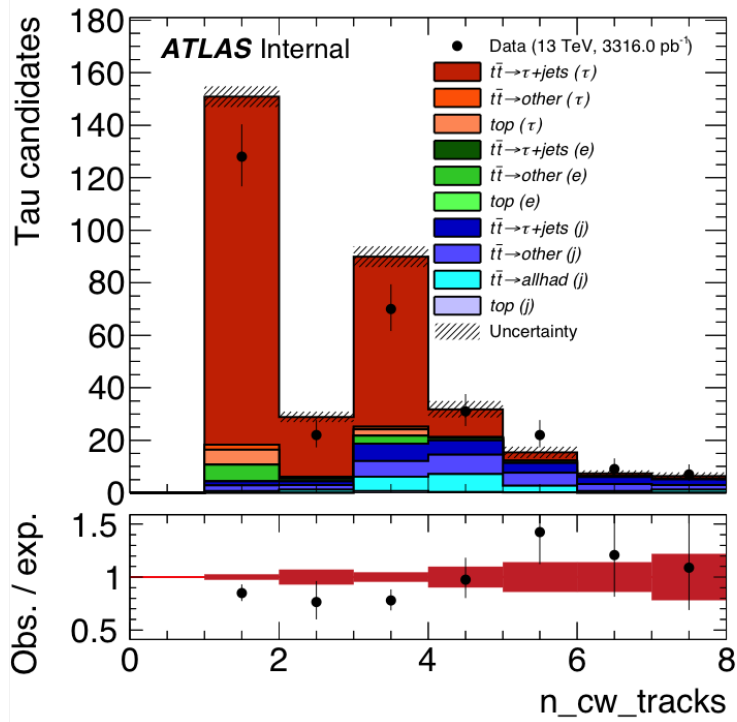


Figure 109: Track Multiplicity at the Signal Region: embraced characters,  $\tau$ ,  $e$ ,  $j$ , mean indicate what the probe actually is.

1223 be higher than the signal events, and that of the fake-electron backgrounds have one peak at 1 track. For  
1224 background estimation, I did a simultaneous template fit with track multiplicity PDFs.

1225 By the way, I didn't use a kt4-like track multiplicity, used in Run 1, because fit results are almost same  
1226 between the simple track multiplicity used in this analysis and kt4-like one. Since the latter one needs  
1227 more bins, statistics are not enough to obtain benefits of the shape differences.

### 1228 E.2.2. Configuration Of Template Fit

1229 In this analysis, 2 regions are used for simultaneous template fitting. The one region is same as the region  
1230 after the selection explained in the section E.1.3 and is named 'total channel' from here. The other region  
1231 is a part of the one, probes of which pass offline tau identification, and is named 'pass channel' from here.  
1232 In each region, templates are prepared for tau, fake-jet, fake-electron. MC statistical uncertainty is also  
1233 considered in the fit. The fitting software used in this analysis is 'HistFactory' (ref?). So the technical  
1234 terms of HistFactory related to systematic uncertainty, OverallSys, HistoSys, are used to explain the fit  
1235 configuration (OverallSys is uncertainty on normalization, and HistoSys is uncertainty on PDF shape).

### 1236 E.2.3. Total Channel

1237 Fit function in total channel is defined by equation 16:

$$\begin{aligned}
 & N_{\tau_{1,3}}^{\text{total}} \cdot \text{PDF}_{\tau_{1,3}}^{\text{total}} \cdot \text{HistoSys}_{\tau_{1,3}, \text{ radiation}}^{\text{total}} \cdot \text{HistoSys}_{\tau_{1,3}, \text{ geo}}^{\text{total}} \times \\
 & N_{\tau_{1,3}}^{\text{total}} \cdot R_{e_{1,3}/\tau_{1,3}}^{\text{total}} \cdot \text{OverallSys}_{e_{1,3}, \text{ e-veto eff}}^{\text{total}} \cdot \text{PDF}_{e_{1,3}}^{\text{total}} \times \\
 & N_{j_{1,3}}^{\text{total}} \cdot \text{PDF}_{j_{1,3}}^{\text{total}} \cdot \text{HistoSys}_{j_{1,3}, \text{ closure}}^{\text{total}}
 \end{aligned} \tag{16}$$

1238 where fit parameters (also shared in pass channel) are:

- 1239 •  $N_{\tau_{1,3}}^{\text{total}}$ : number of events where the probe with 1 or 3 core tracks is a tau
- 1240 •  $N_{j_{1,3}}^{\text{total}}$ : number of events where the probe with 1 or 3 core tracks is a fake-jet

1241 constant parameters are:

- 1242 •  $R_{e_{1,3}/\tau_{1,3}}^{\text{total}}$ : defined by  $\frac{\text{the expected yeild of events where the probe with 1 or 3 core tracks is a fake-electron}}{\text{the expected yeild of events where the probe with 1 or 3 core tracks is a tau}}$

1243 the ways to construct each PDF are:

- 1244 •  $\text{PDF}_{\tau_{1,3}}^{\text{total}}, \text{PDF}_{e_{1,3}}^{\text{total}}$ : MC modeling
- 1245 •  $\text{PDF}_{j_{1,3}}^{\text{total}}$ : a data-driven modeling (will be explained in section E.2.5)

1246 and considered systematic uncertainties are:

- 1247 •  $\text{HistoSys}_{\tau_{1,3}, \text{ radiation}}^{\text{total}}$ : Shape uncertainty on the PDF coming from radiation tuning in MC modeling
- 1248 •  $\text{HistoSys}_{\tau_{1,3}, \text{ geo}}^{\text{total}}$ : Shape uncertainty on the PDF coming from uncertainty on MC detector modeling (explained in appendix E.6)
- 1250 •  $\text{OverallSys}_{e_{1,3}, \text{ e-veto eff}}^{\text{total}}$ : Overall uncertainty on the yeild coming from uncertainty on electron veto efficiency (50%). This parameter is also shared in pass channel.
- 1252 •  $\text{HistoSys}_{j_{1,3}, \text{ closure}}^{\text{total}}$ : Shape uncertainty on the PDF (will be explained in section E.2.5)

1253 The uncertainty on  $R_{e_{1,3}/\tau_{1,3}}^{\text{total}}$  were checked and found negligible. The reason that the 50% relative  
 1254 uncertainty coming from electron veto efficiency is same as the analysis of offline tau identification  
 1255 efficiency throuth  $Z \rightarrow \tau\tau$  events and is discribed at TauConf2015.

### 1256 E.2.4. Pass Channel

1257 Fit function in pass channel is defined by equation 17:

$$\begin{aligned}
 & N_{\tau_{1,3}}^{\text{total}} \cdot R_{\tau_1/\tau_{1,3}} \cdot \epsilon_{\tau_1} \cdot \text{PDF}_{\tau_1}^{\text{pass}} \cdot \text{HistoSys}_{\tau_1, \text{ radiation}}^{\text{pass}} \cdot \text{HistoSys}_{\tau_1, \text{ geo}}^{\text{pass}} \times \\
 & N_{\tau_{1,3}}^{\text{total}} \cdot R_{\tau_3/\tau_{1,3}} \cdot \epsilon_{\tau_3} \cdot \text{PDF}_{\tau_3}^{\text{pass}} \cdot \text{HistoSys}_{\tau_3, \text{ radiation}}^{\text{pass}} \cdot \text{HistoSys}_{\tau_3, \text{ geo}}^{\text{pass}} \times \\
 & N_{\tau_{1,3}}^{\text{total}} \cdot \text{OverallSys}_{e_{1,3}, \text{ e-veto eff}}^{\text{total}} \cdot R_{e_1/\tau_{1,3}} \cdot \epsilon_{e_1} \cdot \text{PDF}_{e_1}^{\text{pass}} \times \\
 & N_{j_{1,3}}^{\text{total}} \cdot R_{j_1/j_{1,3}} \cdot \epsilon_{j_1} \cdot \text{OverallSys}_{j_1, \text{ stat fake eff}}^{\text{pass}} \cdot \text{OverallSys}_{j_1, \text{ meas fake eff}}^{\text{pass}} \cdot \text{PDF}_{j_1}^{\text{pass}} \times \\
 & N_{j_{1,3}}^{\text{total}} \cdot R_{j_3/j_{1,3}} \cdot \epsilon_{j_3} \cdot \text{OverallSys}_{j_3, \text{ stat fake eff}}^{\text{pass}} \cdot \text{OverallSys}_{j_3, \text{ meas fake eff}}^{\text{pass}} \cdot \text{PDF}_{j_3}^{\text{pass}}
 \end{aligned} \tag{17}$$

1258 where fit parameters appearing only in pass channel are:



- 1259 •  $\epsilon_{\tau_1}$ : offline tau identification efficiency for tau with 1 core track
- 1260 •  $\epsilon_{\tau_3}$ : offline tau identification efficiency for tau with 3 core tracks

1261 constant parameters are:

- 1262 •  $R_{\tau_1/\tau_{1,3}}$ : defined by  $\frac{\text{the expected yeild of events where the probe with 1 core track is a tau}}{\text{the expected yeild of events where the probe with 1 or 3 core tracks is a tau}}$
- 1263 •  $R_{\tau_3/\tau_{1,3}}$ : defined by  $\frac{\text{the expected yeild of events where the probe with 3 core track is a tau}}{\text{the expected yeild of events where the probe with 1 or 3 core tracks is a tau}}$
- 1264 •  $R_{e_1/\tau_{1,3}}$ : defined by  $\frac{\text{the expected yeild of events where the probe with 3 core track is a fake-electron}}{\text{the expected yeild of events where the probe with 1 or 3 core tracks is a tau}}$
- 1265 •  $R_{j_1/j_{1,3}}$ : defined by  $\frac{\text{the expected yeild of events where the probe with 1 core track is a fake-jet}}{\text{the expected yeild of events where the probe with 1 or 3 core tracks is a fake-jet}}$
- 1266 •  $R_{j_3/j_{1,3}}$ : defined by  $\frac{\text{the expected yeild of events where the probe with 3 core track is a fake-jet}}{\text{the expected yeild of events where the probe with 1 or 3 core tracks is a fake-jet}}$
- 1267 •  $\epsilon_{e_1}$ : offline tau identification efficiency for fake-electron with 1 core track, which is estimated with  
1268 MC.
- 1269 •  $\epsilon_{j_1}$ : offline tau identification efficiency for fake-jet with 1 core track, which is measured with a  $\gamma$ +jet  
1270 sample (explained in section E.2.6)
- 1271 •  $\epsilon_{j_3}$ : offline tau identification efficiency for fake-jet with 3 core tracks, which is measured with a  
1272  $\gamma$ +jet sample (explained in section E.2.6)

1273 the ways to construct each PDF are:

- 1274 •  $\text{PDF}_{\tau_1}^{\text{pass}}, \text{PDF}_{e_1}^{\text{pass}}$ : MC modeling
- 1275 •  $\text{PDF}_{\tau_3}^{\text{pass}}, \text{PDF}_{e_3}^{\text{pass}}$ : MC modeling
- 1276 •  $\text{PDF}_{j_1}^{\text{pass}}$ : a data-driven modeling explained in section E.2.5
- 1277 •  $\text{PDF}_{j_3}^{\text{pass}}$ : a data-driven modeling explained in section E.2.5

1278 and considered systematic uncertainties are:

- 1279 •  $\text{HistoSys}_{\tau_1, \text{radiation}}^{\text{pass}}$ : Shape uncertainty on the PDF for tau with 1 core track coming from radiation  
1280 tuning in MC modeling (explained in appendix E.6)
- 1281 •  $\text{HistoSys}_{\tau_3, \text{radiation}}^{\text{pass}}$ : Shape uncertainty on the PDF for tau with 3 core tracks coming from radiation  
1282 tuning in MC modeling (explained in appendix E.6)
- 1283 •  $\text{HistoSys}_{\tau_1, \text{geo}}^{\text{total}}$ : Shape uncertainty on the PDF for tau with 1 core track coming from uncertainty on  
1284 MC detector modeling (explained in appendix E.6)
- 1285 •  $\text{HistoSys}_{\tau_3, \text{geo}}^{\text{total}}$ : Shape uncertainty on the PDF for tau with 3 core tracks coming from uncertainty  
1286 on MC detector modeling
- 1287 •  $\text{OverallSys}_{e_{1,3}, \text{e-veto eff}}^{\text{total}}$ : Overall uncertainty on the yeild coming from uncertainty on electron veto  
1288 efficiency (50%). This parameter is also shared in total channel.
- 1289 •  $\text{OverallSys}_{j_1, \text{stat fake eff}}^{\text{pass}}$ : Statistical uncertainty of measurement of offline tau identification efficiency  
1290 for fake-jet with 1 core track
- 1291 •  $\text{OverallSys}_{j_3, \text{stat fake eff}}^{\text{pass}}$ : Statistical uncertainty of measurement of offline tau identification efficiency  
1292 for fake-jet with 3 core tracks

- 1293 • OverallSys $_{j_1, \text{meas fake eff}}^{\text{pass}}$ : Systematic Uncertainty of measurement of offline tau identification effi-  
1294 ciency for fake-jet with 1 core track (explained in section E.7)
- 1295 • OverallSys $_{j_3, \text{meas fake eff}}^{\text{pass}}$ : Systematic Uncertainty of measurement of offline tau identification effi-  
1296 ciency for fake-jet with 3 core tracks (explained in section E.7)

1297 The uncertainty on the constant parameters for pass channel were checked and found negligible.

### 1298 E.2.5. Building Templates For Fake-Jets In A Data-Driven Way

1299 Fake-jet templates in total and pass channel are built with data in a control region, where  $tt \rightarrow \mu + jets$   
1300 events are enriched. The selection for the control region is:

- 1301 1. HLT\_xe70
- 1302 2. No bad jets (Jet/MET cleaning)
- 1303 3. Exact one muon passing tight ID and tight isolation
- 1304 4. Electron veto
- 1305 5. at least 2 b-jets
- 1306 6. at least 2 anti-b-jets

1307 And then leading 2 anti-b-jets are used as (fake-jet-enriched) probe.  $p_T$  distributions of the two are  
1308 different, but track multiplicity of the two were found highly independent of  $p_T$ , so I didn't do pt-weight  
1309 correction. Figure 110 shows the track multiplicity of the probe at the control region and shape of the  
1310 distribution is used as the fake-jet templates at total and pass channel. Since there is a small contamination  
1311 where the probe is tau, I corrected the shape with MC prediction, which is negligibly affected by systematic  
1312 uncertainty on MC modeling. I also did closure test between the track multiplicity of fake-jet at the signal  
1313 region and the one at the control region, which is shown in Figure 111. The shape difference in Figure  
1314 111 is considered as HistoSys $_{j_{1,3}, \text{closure}}^{\text{total}}$ . This uncertainty is relatively negligible in pass channel and then  
1315 is considered only in total channel.

### 1316 E.2.6. Measurement Of Fake-Jet Efficiency

1317 In pass channel, the track multiplicity of fake-jet becomes similar to that of tau, as you can see in Figure  
1318 ???. It was found difficult to determine normalization of the fake-jet template in pass channel by the fit, so  
1319 I decided to measure fake-jet efficiency and to use the measured efficiency as a constant parameter with  
1320 some types of systematic uncertainties in the fit. Since it is difficult to prepare fake-jets in tt events with  
1321 high statistics, I used fake-jets in a  $\gamma + jet$  sample. The selection for this sample is:

- 1322 1. A lot of triggers (listed in appendix E.8)
- 1323 2. Exact 1 photon
  - 1324 •  $p_T > 30 \text{ GeV}$ ,  $|\eta| < 2.47$  (excluding crack region)
  - 1325 • Tight ID, Tight Isolation

1326 And then (fake-jet) probe is defined in the following way:

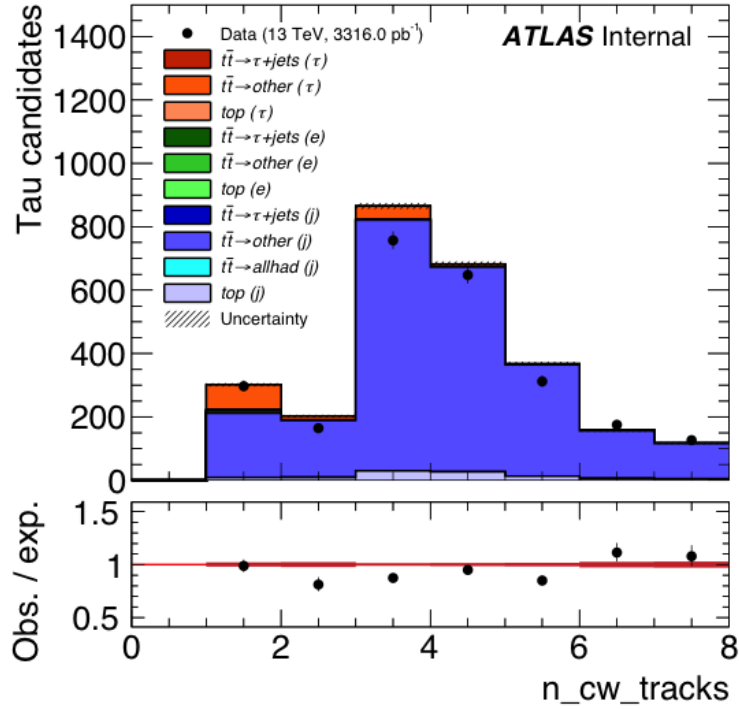


Figure 110: The track multiplicity of the probe at the control region in total channel

- Find a jet satisfying the below requirements

1.  $|\Delta\phi_{\gamma,\text{jet}}| > 2.8$
2.  $|\Delta p_{T,\gamma,\text{jet}}| < 50 \text{ GeV}$
3. There is a reconstructed tau object inside the jet

Figure ?? shows the fake-jet efficiency measured using the  $\gamma$ +jet control sample. In the fit, the 2 constant parameters  $\epsilon_{j_1}$ ,  $\epsilon_{j_3}$ , correspond to the values corrected from the measured efficiency through a  $p_T$  reweighting process. The uncertainty on the constant parameters which I considered in the fit consists of statistical uncertainty of the measurement, systematic uncertainty from fake-photon contribution, and systematic uncertainty from the validity of the measurement. How I estimated the latter 2 systematic uncertainties is explained in appendix E.7.

### E.3. Result

Summary of the fit results is shown in Table 17. In this analysis, I prepared 3  $p_T$  bins, inclusive,  $[0,70] \text{ GeV}$ , and  $[70,200] \text{ GeV}$ . Figure 114 shows the distributions of the track multiplicity after the simultaneous fit in total and pass channel, where probe  $p_T$  binning is inclusive and 'Loose' tau ID is used for pass channel. Table 18 shows the effects of uncertainty sources for Medium ID and indicates statistical uncertainty is the most dominant.

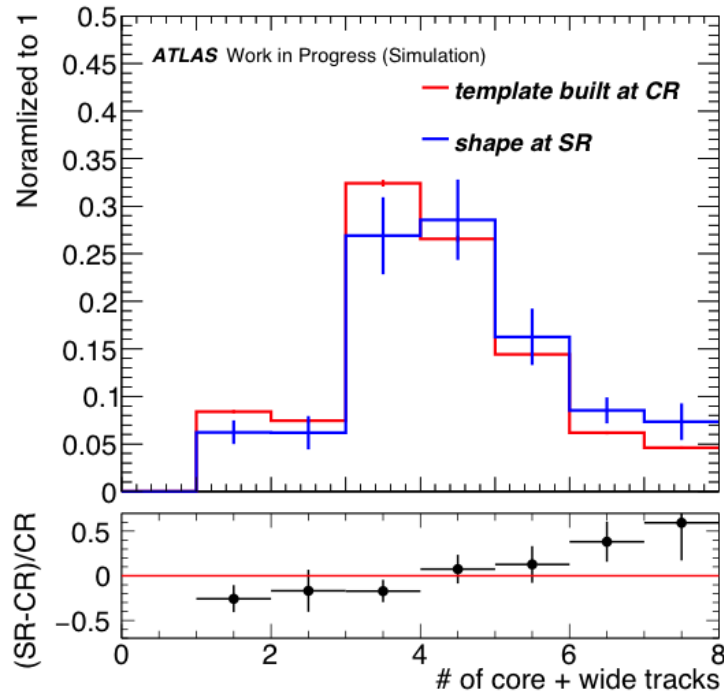


Figure 111: The closure test between the track multiplicity of fake-jet at the signal region and the one at the control region. The 2 distributions are modelled using  $t\bar{t}$  MC.

#### 1343 E.4. Events Where Probe Is B-Jet

1344 b-jets as probe could be one of sources making bias because the efficiency of b is higher than that of gluon  
 1345 and light quarks. For assuring that the effect is very small in this analysis, I checked 'truth flavor label' of  
 1346 probe and then number of b-jets selected as probe was assured to be negligible. Figure ?? shows 'truth  
 1347 flavor label' of probe at the signal region and indicates that not only b-jets but also c-jets don't affect the  
 1348 shape of track multiplicity so much.

#### 1349 E.5. Contribution Of Multi-Jet Events

1350 Since statistics of MC sample of multi-jet events was not enough, it was difficult to estimate how many  
 1351 multi-jet events there are in the signal region in a rigorous, mathematical, or quantitative way. However,  
 1352 I prepared some plots showing variables sensitive to multi-jet events and supporting that the contribution  
 1353 of multi-jet events is negligible.

1354 Figure 116 and 117 show met and met significance distribution at the signal region without met significance  
 1355  $\geq 9$  cut. As we expect that Multi-jet events tend to have low met, some excess at low met (significance)  
 1356 can be seen and it indicates that met significance  $\geq 9$  cut can reduce multi-jet events up to a negligible  
 1357 level. Furthermore, Figure 118 and 119 show met significance distribution at the signal region without  
 1358  $M_{T,(\text{probe}, \text{MET})} < 120$  GeV cut and without  $M_{jjb} < 200$  GeV cut. These two cuts also strongly reduce  
 1359 multi-jet events, but the two figures show multi-jet-like excess does not appear and indicate that multi-jet

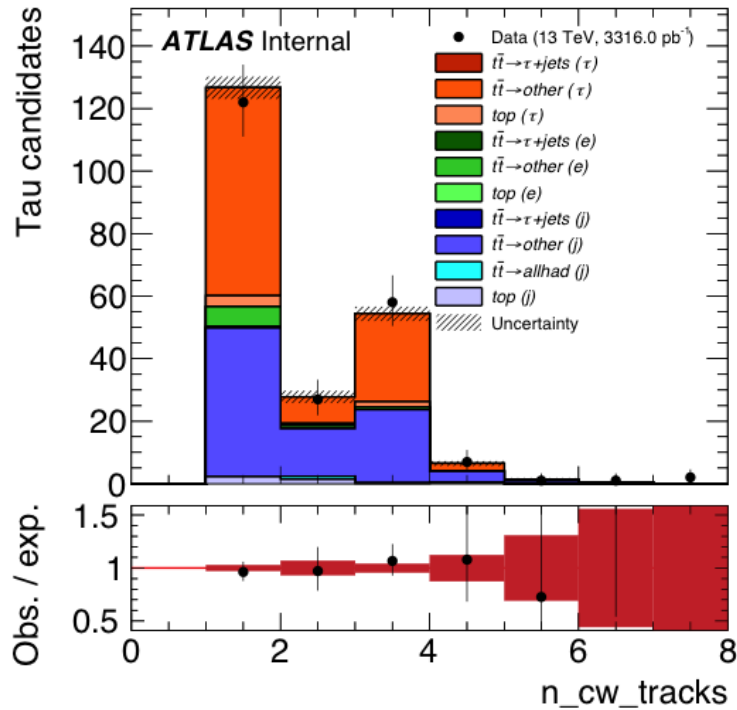


Figure 112: The track multiplicity of the probe at the control region in pass channel. The level of the offline tau identification level is 'Loose'.

1360 events are already negligible before applying the cuts. From these studies, I decided to ignore multi-jet  
 1361 events in this analysis.

## 1362 E.6. Geometrical Uncertainty On Tau Template

1363 I estimated geometric uncertainties with samples same as ones used in the Ztautau tag and probe analysis.  
 1364 Figure 120 shows distributions of the track multiplicity of tau with some systematic variations. Those are  
 1365 considered as HistoSys (shpae uncertainty on the tau templates) in the template fit.

## 1366 E.7. Systematic Uncertainty On Fake-Jet Efficiency

1367 Since it was difficult to estimate fake-jet efficiency using tt events due to its statistics, instead I measured  
 1368 fake-jet efficiency with the  $\gamma + jet$  sample. Measured fake-jet efficiency could vary with composition of  
 1369 parton flavor in fake-jet sample used to measure, and the measurement with  $\gamma + jet$  could be the case.

### 1370 E.7.1. Variation of Photon Isolation

1371 In the  $\gamma + jet$  sample, fake-photons could also be the fake-jet probes. Figure 121 and 122 shows the  
 1372 measured fake-jet efficiency using the sample of fake-jet probes and shows that the difference between

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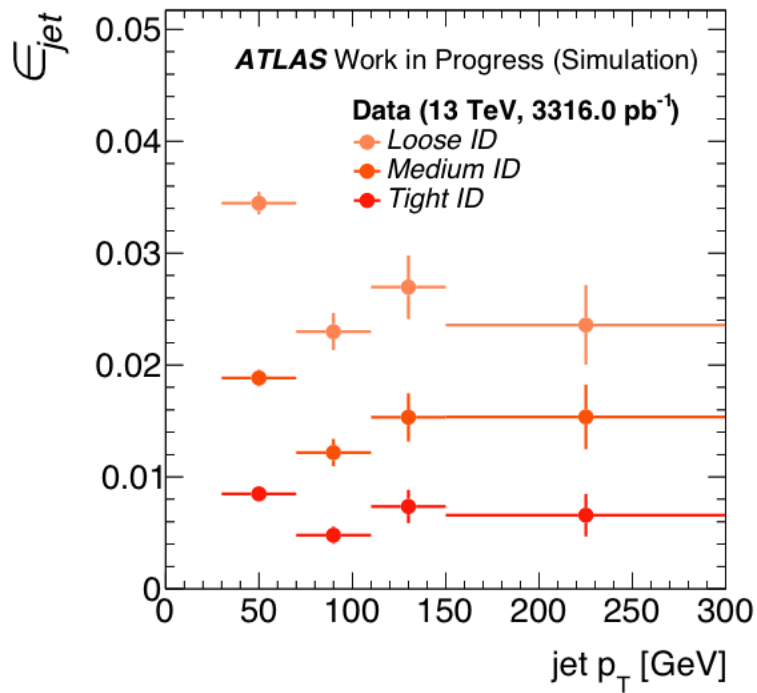


Figure 113: The fake-jet efficiency measured using the  $\gamma$ +jet control sample. 'Loose', 'Medium', and 'Tight' identification levels were checked respectively. In this plot, fake jets with 1 or 3 core tracks are used.

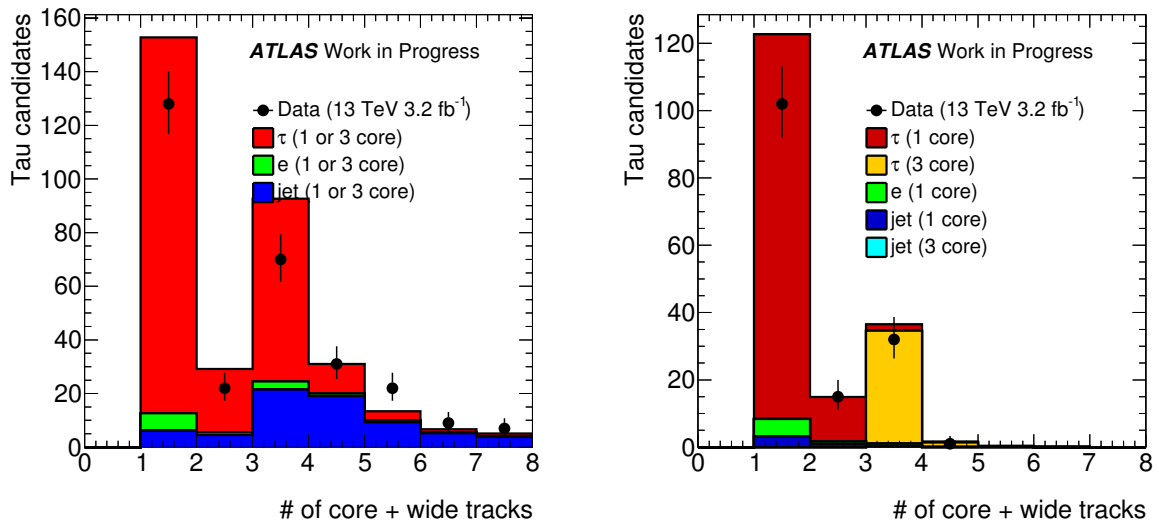


Figure 114: The distributions of the track multiplicity after the simultaneous fit in total (left) and pass (right) channel, where probe  $p_T$  binning is inclusive and 'Medium' tau ID is used for pass channel.

ID Level	$p_T$ [GeV]	nCoreTracks	$\epsilon_{\text{Data}}$	$\frac{\epsilon_{\text{Data}}}{\epsilon_{\text{MC}}}$
Loose	[30, 200]	1	$0.93^{+0.05}_{-0.11}(\text{stat.})^{+0.05}_{-0.05}(\text{syst.})$	$1.11^{+0.06}_{-0.13}(\text{stat.})^{+0.06}_{-0.06}(\text{syst.})$
Loose	[30, 200]	3	$0.65^{+0.14}_{-0.12}(\text{stat.})^{+0.04}_{-0.02}(\text{syst.})$	$1.04^{+0.22}_{-0.19}(\text{stat.})^{+0.06}_{-0.04}(\text{syst.})$
Loose	[30, 70]	1	$0.87^{+0.12}_{-0.10}(\text{stat.})^{+0.05}_{-0.04}(\text{syst.})$	$1.03^{+0.16}_{-0.14}(\text{stat.})^{+0.06}_{-0.05}(\text{syst.})$
Loose	[30, 70]	3	$0.67^{+0.12}_{-0.10}(\text{stat.})^{+0.03}_{-0.02}(\text{syst.})$	$1.10^{+0.26}_{-0.22}(\text{stat.})^{+0.07}_{-0.04}(\text{syst.})$
Loose	[70, 200]	1	$1.00^{+0.10}_{-0.08}(\text{stat.})^{+0.05}_{-0.04}(\text{syst.})$	$1.21^{+0.15}_{-0.13}(\text{stat.})^{+0.08}_{-0.06}(\text{syst.})$
Loose	[70, 200]	3	$0.60^{+0.10}_{-0.09}(\text{stat.})^{+0.04}_{-0.03}(\text{syst.})$	$0.94^{+0.33}_{-0.28}(\text{stat.})^{+0.13}_{-0.09}(\text{syst.})$
Medium	[30, 200]	1	$0.84^{+0.09}_{-0.13}(\text{stat.})^{+0.09}_{-0.05}(\text{syst.})$	$1.10^{+0.11}_{-0.15}(\text{stat.})^{+0.11}_{-0.06}(\text{syst.})$
Medium	[30, 200]	3	$0.50^{+0.18}_{-0.15}(\text{stat.})^{+0.02}_{-0.00}(\text{syst.})$	$1.11^{+0.29}_{-0.24}(\text{stat.})^{+0.04}_{-0.00}(\text{syst.})$
Medium	[30, 70]	1	$0.58^{+0.14}_{-0.12}(\text{stat.})^{+0.07}_{-0.05}(\text{syst.})$	$0.90^{+0.18}_{-0.15}(\text{stat.})^{+0.08}_{-0.06}(\text{syst.})$
Medium	[30, 70]	3	$0.42^{+0.16}_{-0.13}(\text{stat.})^{+0.04}_{-0.00}(\text{syst.})$	$1.26^{+0.35}_{-0.29}(\text{stat.})^{+0.08}_{-0.00}(\text{syst.})$
Medium	[70, 200]	1	$0.95^{+0.11}_{-0.09}(\text{stat.})^{+0.05}_{-0.03}(\text{syst.})$	$1.29^{+0.17}_{-0.15}(\text{stat.})^{+0.07}_{-0.05}(\text{syst.})$
Medium	[70, 200]	3	$0.46^{+0.14}_{-0.11}(\text{stat.})^{+0.04}_{-0.00}(\text{syst.})$	$1.02^{+0.41}_{-0.34}(\text{stat.})^{+0.12}_{-0.00}(\text{syst.})$
Tight	[30, 200]	1	$0.61^{+0.00}_{-0.16}(\text{stat.})^{+0.00}_{-0.07}(\text{syst.})$	$0.99^{+0.00}_{-0.19}(\text{stat.})^{+0.00}_{-0.09}(\text{syst.})$
Tight	[30, 200]	3	$0.41^{+0.21}_{-0.17}(\text{stat.})^{+0.09}_{-0.05}(\text{syst.})$	$1.31^{+0.33}_{-0.27}(\text{stat.})^{+0.14}_{-0.08}(\text{syst.})$
Tight	[30, 70]	1	$0.80^{+0.06}_{-0.20}(\text{stat.})^{+0.00}_{-0.11}(\text{syst.})$	$1.04^{+0.08}_{-0.27}(\text{stat.})^{+0.00}_{-0.15}(\text{syst.})$
Tight	[30, 70]	3	$0.53^{+0.20}_{-0.15}(\text{stat.})^{+0.09}_{-0.06}(\text{syst.})$	$1.18^{+0.44}_{-0.33}(\text{stat.})^{+0.19}_{-0.13}(\text{syst.})$
Tight	[70, 200]	1	$0.70^{+0.20}_{-0.16}(\text{stat.})^{+0.23}_{-0.09}(\text{syst.})$	$1.27^{+0.37}_{-0.29}(\text{stat.})^{+0.41}_{-0.16}(\text{syst.})$
Tight	[70, 200]	3	$0.39^{+0.18}_{-0.13}(\text{stat.})^{+0.10}_{-0.06}(\text{syst.})$	$1.44^{+0.65}_{-0.48}(\text{stat.})^{+0.38}_{-0.21}(\text{syst.})$

Table 17: The fit result for each  $p_T$  bin, for each number of core tracks, and for each identification level.

Source	Uncertainty [%]	
	1-track	3-track
Jet template	2.0	4.1
Tau template	0.9	1.2
Ele template	1.5	4.4
Statistics	14.6	24.1
Total	16.0	24.5

Table 18: Dominant uncertainties on the tau identification efficiency correction factors estimated with the tt tag-and-probe method, and the total uncertainty, which combines systematic and statistical uncertainties. These uncertainties apply to  $\tau_{\text{had-vis}}$  candidates passing the *medium* tau identification algorithm with  $30 \text{ GeV} < p_T < 200 \text{ GeV}$ 

1373 Loose and Tight isolation is at most 3 %. These differences are considered as OverallSys (normalization  
1374 uncertainty) in the template fit.

### 1375 E.7.2. Comparison of $\gamma + jet$ and $W + jet$

1376 To estimate the impact of composition of parton flavor in fake-jet sample, I also measured fake-jet efficiency  
1377 with  $W(\mu\nu) + jet$  sample same as the W control region of the Ztautau tag and probe analysis. Figure 123  
1378 shows comparison of fake-jet efficiency measured with the  $\gamma + jet$  sample and with the  $W + jet$  sample

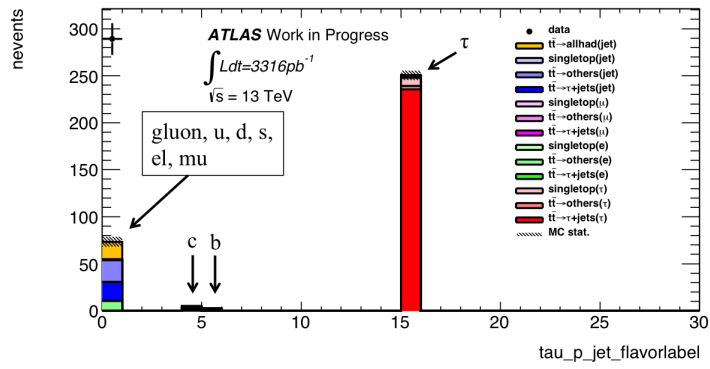


Figure 115: Truth flavor label of probe at the signal region.

1379 for probe with 1 or 3 core tracks, 1 core track, and 3 core tracks respectively. It indicates the differences  
 1380 are at most 100 %, which are considered as OverallSys (normalization uncertainty) in the template fit.

### 1381 E.8. All Triggers For The $\gamma + jet$ Sample

1382 The following list shows all the triggers actually used for obtaining the  $\gamma + jet$  sample. Since low-  
 1383  $p_T$  single-photon triggers are highly prescaled, I used single-jet and multi-jet triggers to obtain more  
 1384 statistics.



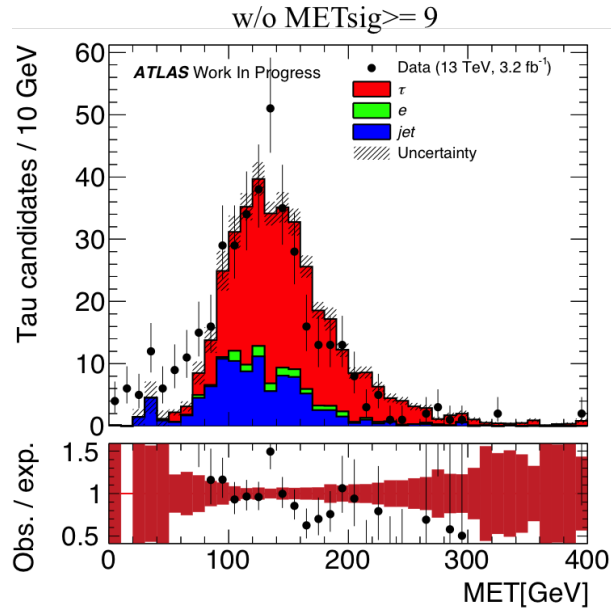


Figure 116: met distribution at the signal region without met significance  $\geq 9$  cut

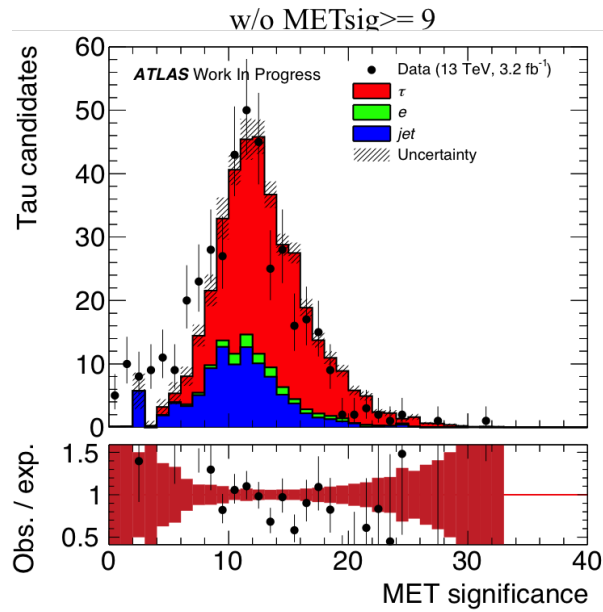


Figure 117: met significance distribution at the signal region without met significance  $\geq 9$  cut

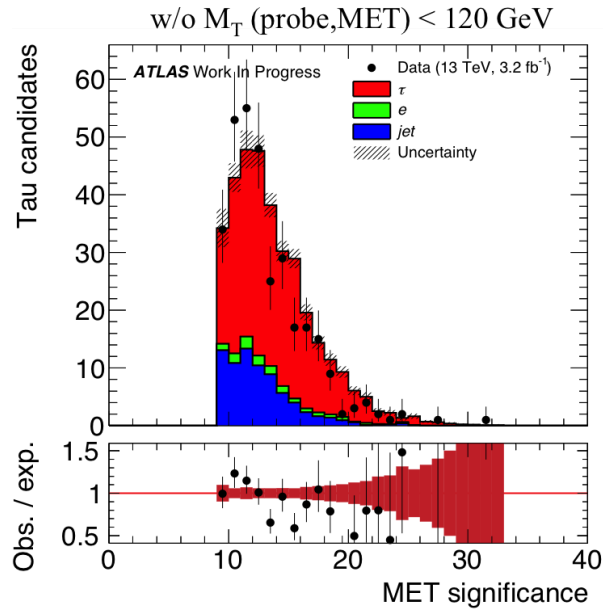


Figure 118: met significance distribution at the signal region without  $M_{T,(\text{probe}, \text{MET})} < 120 \text{ GeV}$  cut

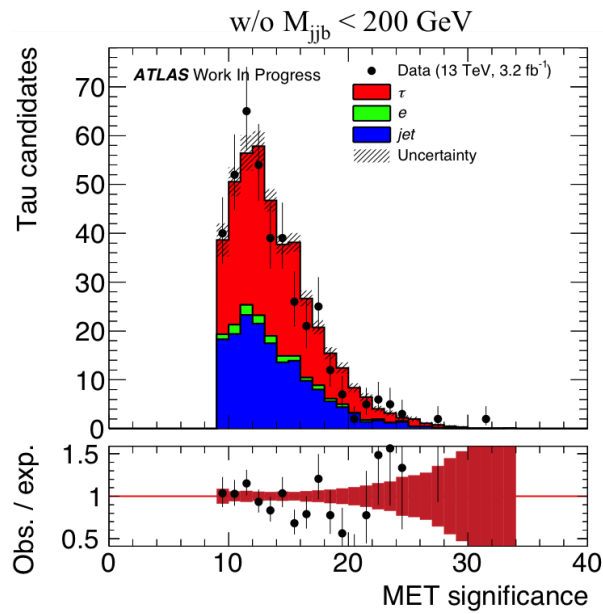


Figure 119: met significance distribution at the signal region without  $M_{j\bar{j}b} < 200 \text{ GeV}$  cut

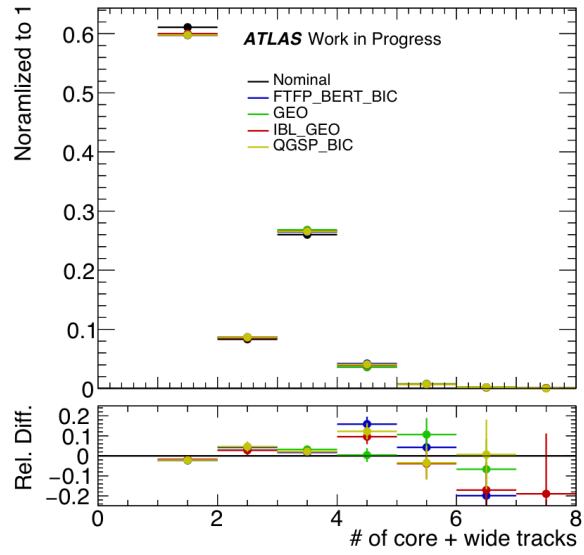


Figure 120: Distributions of the track multiplicity of tau with some systematic variations

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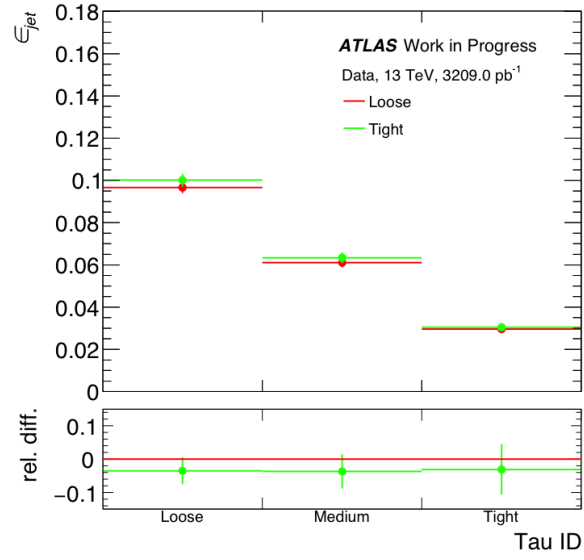


Figure 121: The measured fake-jet efficiency using the sample of fake-jet probes with 1 core track

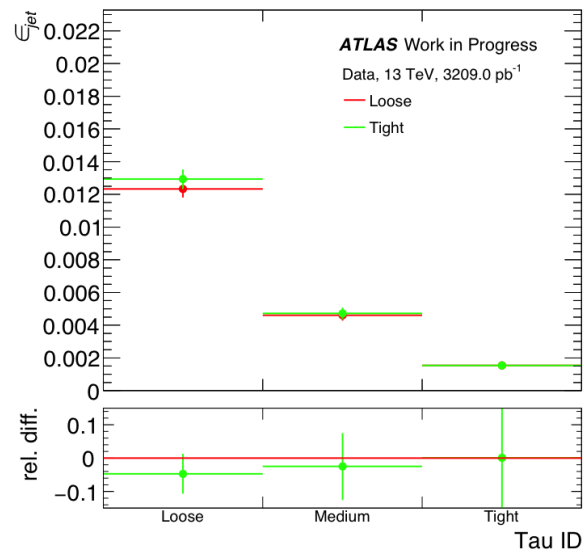


Figure 122: The measured fake-jet efficiency using the sample of fake-jet probes with 3 core tracks

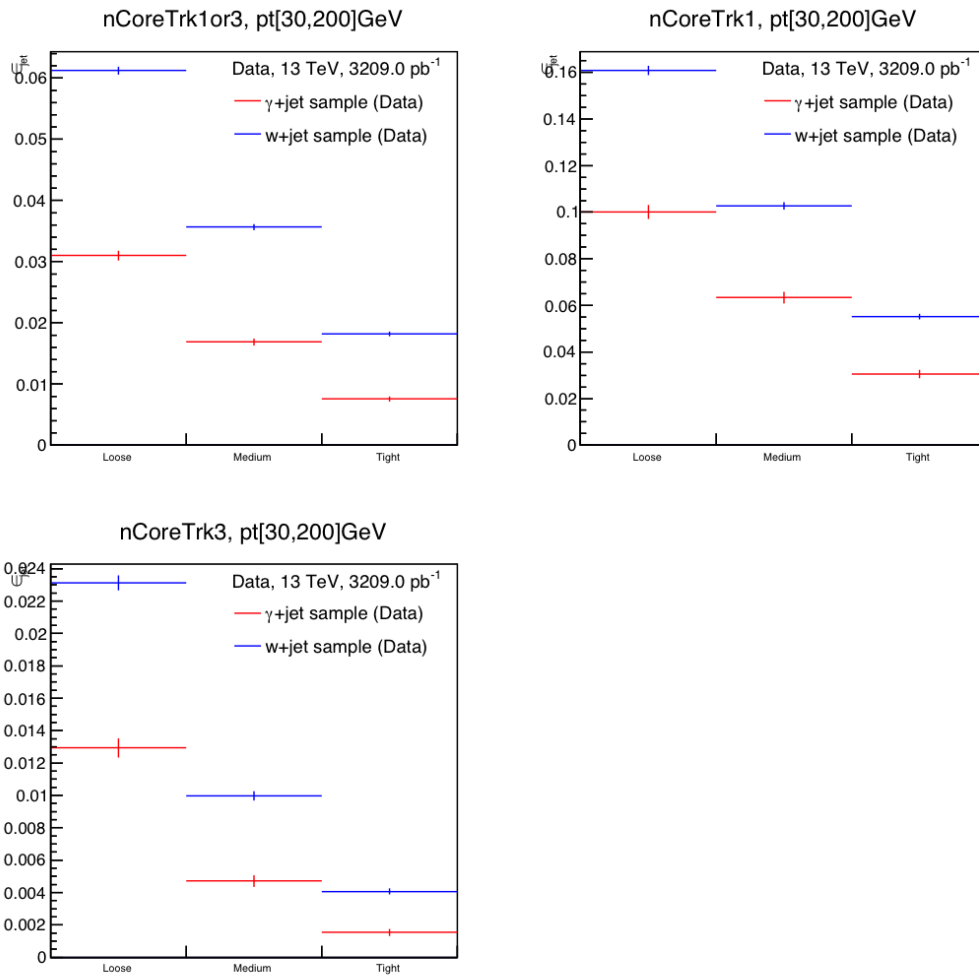


Figure 123: Measured fake-jet efficiency with  $\gamma + jet$  sample and with the  $W + jet$  sample for probe with 1 or 3 core tracks, 1 core track, and 3 core tracks respectively.

Table 19: All triggers for  $\gamma + jet$  sample

single-jet trigger	multi-jet trigger	single-photon trigger
HLT_j15	HLT_3j175	HLT_g10_loose
HLT_j25	HLT_4j25	HLT_g15_loose
HLT_j35	HLT_4j45	HLT_g20_loose
HLT_j45	HLT_4j85	HLT_g50_loose
HLT_j55	HLT_4j100	HLT_g40_loose
HLT_j60	HLT_5j25	HLT_g60_loose
HLT_j85	HLT_5j45	HLT_g70_loose
HLT_j100	HLT_5j55	HLT_g80_loose
HLT_j110	HLT_5j60	HLT_g100_loose
HLT_j150	HLT_5j70	HLT_g120_loose
HLT_j175	HLT_5j85	HLT_g140_loose
HLT_j200	HLT_6j25	HLT_g15_loose_L1EM3
HLT_j260	HLT_6j45	HLT_g15_loose_L1EM7
HLT_j300	HLT_6j45_0eta240	HLT_g20_loose_L1EM12
HLT_j320	HLT_6j45_0eta240_L14J20	HLT_g20_loose_L1EM15
HLT_j360	HLT_6j45_0eta240_L15J150ETA25	HLT_g25_loose_L1EM15
HLT_j380	HLT_6j50_0eta240_L14J20	HLT_g35_loose_L1EM15
HLT_j400	HLT_6j50_0eta240_L15J150ETA25	HLT_g40_loose_L1EM15
HLT_j420	HLT_6j55_0eta240_L14J20	HLT_g45_loose_L1EM15
HLT_j440	HLT_6j55_0eta240_L15J150ETA25	HLT_g50_loose_L1EM15
HLT_j460	HLT_7j25	HLT_g60_loose_L1EM15VH
	HLT_7j45	HLT_g40_tight_xe40noL1
	HLT_7j45_0eta240_L14J20	
	HLT_7j45_0eta240_L15J150ETA25	
	HLT_7j45_L14J20	

## 1385 F. Online $t\bar{t}$ tau identification efficiency measurement

1386 In  $pp$  collisions at  $\sqrt{s} = 13$  TeV the production cross section for  $t\bar{t}$  pairs is predicted to be  $832^{+40}_{-46}$  pb [29,  
1387 30]. With an integrated luminosity of  $3.2\text{fb}^{-1}$ , the 2015 ATLAS dataset contains a few million such pairs.  
1388 The combined branching ratio for the decays of  $t\bar{t}$  pairs into a  $[b\mu\nu_\mu][b\tau\nu_\tau]$  final state is about 1%.

1389 Similarly to the  $Z \rightarrow \tau\tau$  process, these events can be used to measure the efficiency of the  $\tau$  trigger  
1390 in a tag-and-probe analysis, where the  $\mu$  acts as the tag and the  $\tau$  as the probe. The  $p_T$  spectrum of  
1391 hadronically decaying  $\tau$  leptons from top quarks is somewhat harder than those originating from  $Z \rightarrow \tau\tau$   
1392 decays, courtesy of the top quark mass being higher than the  $Z$  mass. These can thus be used to measure  
1393 the trigger efficiency in a region of  $p_T$  which is otherwise difficult to access.

### 1394 F.1. Event Selection

1395 In this analysis,  $\tau$  decays from  $t\bar{t} \rightarrow [b\mu\nu_\mu][b\tau\nu_\tau]$  events are considered. A tag-and-probe selection is  
1396 employed, where the  $\mu$  acts as the tag and the hadronically decaying  $\tau$  as the probe. In order to suppress  
1397 non- $t\bar{t}$  processes and obtain a high purity, at least two jets are required in the event, of which at least one  
1398 is identified as coming from a  $b$ -quark ( $b$ -tagged). The working point used for  $b$ -tagging is chosen to have  
1399 an efficiency of 77%.

1400 Trigger efficiencies are measured for all three offline  $\tau$  identification working points (*loose*, *medium*,  
1401 and *tight*), and the offline identification criterion on the probe  $\tau$  is selected accordingly. The full list of  
1402 selection requirements can be found in Table 20.

### 1403 F.2. Backgrounds and Templates

1404 Simulated events originating from  $t\bar{t}$ , single top quark, and electroweak processes are generated using the  
1405 POWHEG-BOX generator, with the CT10 PDF set for the matrix element calculations. The parton shower,  
1406 the fragmentation, and the underlying event are simulated using PYTHIA.

#### 1407 F.2.1. Signal events

1408 All simulated events originating from  $t\bar{t}$ , single top quark, and electroweak processes where the probe  $\tau$   
1409 is matched to a true hadronically decaying  $\tau$  are considered as signal events.

#### 1410 F.2.2. Jet fakes modeled with data

1411 The main backgrounds are events where a quark- or gluon-initiated jet is reconstructed and selected  
1412 (misidentified) as the probe  $\tau$ . These backgrounds come both from strong interactions (multi-jet events)  
1413 and from  $t\bar{t}$ , single top quark, and electroweak processes. The backgrounds are to a large degree modeled  
1414 using events in the signal region where the opposite-sign charge requirement on the  $\mu$  and the  $\tau$  has been  
1415 inverted (same-sign (SS) data).

Table 20: Event selection requirements for  $t\bar{t} \rightarrow [b\mu\nu_\mu][b\tau\nu_\tau]$  events.

	Requirement
Trigger	HLT_mu20_loose_L1MU15
$\mu$	Medium quality Trigger matched Inner detector hit $p_T > 22$ GeV $ \eta  < 2.5$
$e$	Loose likelihood ID Inner detector hit $p_T > 15$ GeV $ \eta  < 1.37$ or $1.52 <  \eta  < 2.47$
$\tau$	Loose, medium, or tight offline ID $ q  = 1$ $N_{\text{track}} = 1$ or $N_{\text{track}} = 3$ $p_T > 20$ GeV $ \eta  < 1.37$ or $1.52 <  \eta  < 2.47$ No overlapping electron
jet	$p_T > 20$ GeV $ \eta  < 4.5$ JVT $> 0.64$ ( $ \eta  < 2.4$ and $p_T < 50$ GeV) 77% tagging efficiency for $b$ -jets
preselection	One primary vertex with at least 4 tracks One reconstructed $\mu$ No other reconstructed leptons One or more reconstructed $\tau$ The $\mu$ and the $\tau$ have opposite-sign charges Two reconstructed jets
signal region	Gradient isolation on the $\mu$ At least one $b$ -tagged jet
QCD control region	Inverted gradient isolation on the $\mu$ No $b$ -tagged jet



1416 The normalization ( $r_{\text{QCD}}$ ) factors for the SS data background are derived in control regions rich in multi-jet  
 1417 events, while being poor in signal events and events from other backgrounds. For more information on  
 1418 how the  $r_{\text{QCD}}$  factors are derived, see Section F.2.5.

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### 1419 F.2.3. Jet fakes modeled with simulated events

1420 In  $t\bar{t}$  events where a quark-initiated jet is misidentified as the probe, the fraction of events where the tag  $\mu$   
 1421 and the probe  $\tau$  have opposite-sign charges is greater than the fraction with same-sign charges. When the  
 1422 quark comes from the hadronic decay of a  $W$  boson, its charge is partly anti-correlated with the charge of  
 1423 the  $\mu$ , and the misidentified probe  $\tau$  can inherit the anti-correlation. Because of this anti-correlation, such  
 1424 events are not completely covered by the SS data background. Some anti-correlation can also be expected  
 1425 in other processes, most notably  $Z \rightarrow \tau\tau$ , where an extra jet is misidentified as coming from a  $b$ -quark.  
 1426 Events from  $t\bar{t}$ , single top quark, and electroweak processes, where a quark-initiated jet is misidentified  
 1427 as the probe, are modeled using simulation with the opposite-sign charge requirement, from which events  
 1428 with the same-sign charge have been subtracted.

### 1429 F.2.4. Lepton fakes

1430 A very small background ( $\sim 2.5\%$  of the events with a medium offline identification requirement on the  
 1431 probe  $\tau$ ) comes from events where a lepton is misidentified as the probe. These events from  $t\bar{t}$ , single  
 1432 top quark, and electroweak processes are also modeled using simulation with the opposite-sign charge  
 1433 requirement, from which events with the same-sign charge have been subtracted.

1434 The signal and background composition for events in the signal region, with a medium offline identification  
 1435 requirement on the probe  $\tau$ , can be found in Table 21.

Table 21: Signal and background composition for events in the signal region, with a medium offline identification requirement on the probe  $\tau$ .

	Events	Fraction
Signal	2781.2	52.9%
SS data	1820.4	34.6%
jet $\rightarrow \tau$ (MC)	525.9	10.0%
$\{e, \mu\} \rightarrow \tau$ (MC)	133.5	2.5%
Total	5261.0	–
Data	5482	–

### 1436 F.2.5. SS data normalization factors

1437 The normalization factors ( $r_{\text{QCD}}$ ) for the SS data background are parametrized as a function of

- 1438 • offline  $\tau$  identification requirement: loose, medium, tight;
- 1439 •  $\tau N_{\text{track}}$  requirement: 1 or 3;
- 1440 • 1-track  $\tau$ :  $p_T \leq 40$  GeV or  $p_T > 40$  GeV;

- 1441 • 3-track  $\tau$ :  $p_T \leq 35$  GeV,  $35$  GeV  $< p_T \leq 50$  GeV, or  $p_T > 40$  GeV;

1442 The selection criteria applied on the hadronically decaying  $\tau$  candidates by the identification algorithm  
 1443 at the trigger level changes the SS data normalization factors. Therefore, these are computed separately  
 1444 for selections without applying a  $\tau$  trigger, and for selections where the  $\tau$  trigger with a medium on-  
 1445 line identification criterion, the *track-two* tracking algorithm, and a  $p_T$  threshold of 25 GeV is applied  
 1446 (HLT\_tau25\_medium1\_tracktwo). It is assumed that only the online identification criterion and the  
 1447 tracking algorithm, and not the  $p_T$  threshold, have a large impact on the normalization factors. Therefore,  
 1448 the normalization factors computed for the trigger with a  $p_T$  threshold of 25 GeV are used for all triggers  
 1449 with the same online identification criterion and tracking algorithm, even with higher  $p_T$  thresholds.

1450 The normalization factors are computed as the ratio of opposite-sign and same-sign events in the QCD  
 1451 control region (see Table 20) with the selected parametrization and with or without  $\tau$  trigger

$$r_{\text{QCD}}(N_{\text{track}}, \text{ID}, p_T, \text{trigger}) = \frac{N_{\text{OS}}^{\text{QCD CR}}(N_{\text{track}}, \text{ID}, p_T, \text{trigger})}{N_{\text{SS}}^{\text{QCD CR}}(N_{\text{track}}, \text{ID}, p_T, \text{trigger})}.$$

1452 They are subsequently applied to same-sign events in the signal region to form the (normalized) SS data  
 1453 background

$$\text{SS data}(\dots) = \sum_{p_T} r_{\text{QCD}}(p_T, \dots) \times \text{SS}^{\text{SR}}(p_T, \dots)$$

1454 where the contributions from the different  $p_T$  ranges have been merged to cover the whole  $\tau$   $p_T$  range.

1455 The systematic uncertainties related to the SS data normalization factors are split into a statistical and  
 1456 a systematic component. The statistical components is computed assuming that the number of OS and  
 1457 SS events in the QCD control region are distributed according to the normal distribution. To derive  
 1458 the systematic component cuts on two isolation variables are used: the distribution of momentum of  
 1459 tracks inside a cone of  $\Delta R < 0.3$  (ptvarcone30), and the distribution of energy of calorimeter deposits  
 1460 inside a cone of  $\Delta R < 0.2$  (topoetcone20) of the  $\mu$  direction, relative to the offline  $\mu$   $p_T$ . The cuts  
 1461 are placed and varied individually (between 0.1 and 0.4), and the envelope of the change of the SS data  
 1462 normalization factor under each variation makes one component of the systematic uncertainty. The total  
 1463 systematic uncertainty on the SS data normalization factor is computed by adding the two components in  
 1464 quadrature.

1465 The normalization factors for 1-track, 3-track, and 1- or 3-track  $\tau$  candidates are shown in Table 22,  
 1466 Table 23, and Table 24 respectively. The  $\tau$   $p_T$  distributions of opposite-sign and same-sign events in the  
 1467 QCD control region with a *medium* offline  $\tau$  identification requirement are shown in Figure 124.

### 1468 F.3. Systematic Uncertainties

1469 The systematic uncertainties that are considered for the analysis are related to

- 1470 •  $\mu$ : trigger; reconstruction, and offline identification efficiency; energy scale
- 1471 •  $\tau$ : reconstruction and offline identification efficiency; energy scale
- 1472 •  $b$ -jets: (mis-)tagging efficiency of jets originating from  $b$ -quarks,  $c$ -quarks, and light ( $uds$ ) quarks
- 1473 • calculation of the SS data normalization factors ( $r_{\text{QCD}}$ )

	<b>1-track <math>\tau</math> candidate</b>		
	<b>loose</b>	<b>medium</b>	<b>tight</b>
<b>Without <math>\tau</math> trigger</b>			
$p_T \leq 40$ GeV	$1.13 \pm 0.02 \pm 0.04$	$1.19 \pm 0.02 \pm 0.06$	$1.20 \pm 0.03 \pm 0.08$
$p_T > 40$ GeV	$1.24 \pm 0.03 \pm 0.05$	$1.28 \pm 0.04 \pm 0.08$	$1.28 \pm 0.06 \pm 0.10$
<b>With <math>\tau</math> trigger</b>			
$p_T \leq 40$ GeV	$1.18 \pm 0.03 \pm 0.07$	$1.21 \pm 0.03 \pm 0.10$	$1.25 \pm 0.04 \pm 0.11$
$p_T > 40$ GeV	$1.28 \pm 0.04 \pm 0.07$	$1.35 \pm 0.05 \pm 0.09$	$1.33 \pm 0.07 \pm 0.11$

Table 22: SS data normalization factors ( $r_{\text{QCD}}$ ) with statistical and systematic uncertainties for events with 1-track  $\tau$  candidates, for selections without and with requiring a  $\tau$  trigger with a  $p_T$  threshold of 25 GeV, and for different ranges in  $\tau$   $p_T$ .

	<b>3-track <math>\tau</math> candidate</b>		
	<b>loose</b>	<b>medium</b>	<b>tight</b>
<b>Without <math>\tau</math> trigger</b>			
$p_T \leq 35$ GeV	$1.17 \pm 0.02 \pm 0.05$	$1.22 \pm 0.04 \pm 0.09$	$1.39 \pm 0.08 \pm 0.20$
$35 \text{ GeV} < p_T \leq 50$ GeV	$1.32 \pm 0.05 \pm 0.10$	$1.49 \pm 0.09 \pm 0.11$	$1.70 \pm 0.17 \pm 0.19$
$p_T > 50$ GeV	$1.58 \pm 0.10 \pm 0.08$	$1.95 \pm 0.22 \pm 0.22$	$1.83 \pm 0.37 \pm 0.42$
<b>With <math>\tau</math> trigger</b>			
$p_T \leq 35$ GeV	$1.21 \pm 0.08 \pm 0.13$	$1.22 \pm 0.11 \pm 0.19$	$1.35 \pm 0.19 \pm 0.42$
$35 \text{ GeV} < p_T \leq 50$ GeV	$1.38 \pm 0.07 \pm 0.13$	$1.58 \pm 0.12 \pm 0.17$	$1.66 \pm 0.21 \pm 0.22$
$p_T > 50$ GeV	$1.80 \pm 0.15 \pm 0.13$	$2.06 \pm 0.29 \pm 0.33$	$2.03 \pm 0.48 \pm 0.68$

Table 23: SS data normalization factors ( $r_{\text{QCD}}$ ) with statistical and systematic uncertainties for events with 3-track  $\tau$  candidates, for selections without and with requiring a  $\tau$  trigger with a  $p_T$  threshold of 25 GeV, and for different ranges in  $\tau$   $p_T$ .

	<b>1- or 3-track <math>\tau</math> candidate</b>		
	<b>loose</b>	<b>medium</b>	<b>tight</b>
<b>Without <math>\tau</math> trigger</b>			
$p_T \leq 40$ GeV	$1.15 \pm 0.01 \pm 0.03$	$1.20 \pm 0.02 \pm 0.05$	$1.24 \pm 0.03 \pm 0.08$
$p_T > 40$ GeV	$1.30 \pm 0.03 \pm 0.05$	$1.38 \pm 0.04 \pm 0.08$	$1.36 \pm 0.06 \pm 0.07$
<b>With <math>\tau</math> trigger</b>			
$p_T \leq 40$ GeV	$1.19 \pm 0.02 \pm 0.07$	$1.22 \pm 0.03 \pm 0.10$	$1.26 \pm 0.04 \pm 0.12$
$p_T > 40$ GeV	$1.34 \pm 0.04 \pm 0.07$	$1.43 \pm 0.05 \pm 0.10$	$1.39 \pm 0.07 \pm 0.11$

Table 24: SS data normalization factors ( $r_{\text{QCD}}$ ) with statistical and systematic uncertainties for events with 1- or 3-track  $\tau$  candidates, for selections without and with requiring a  $\tau$  trigger with a  $p_T$  threshold of 25 GeV, and for different ranges in  $\tau$   $p_T$ .

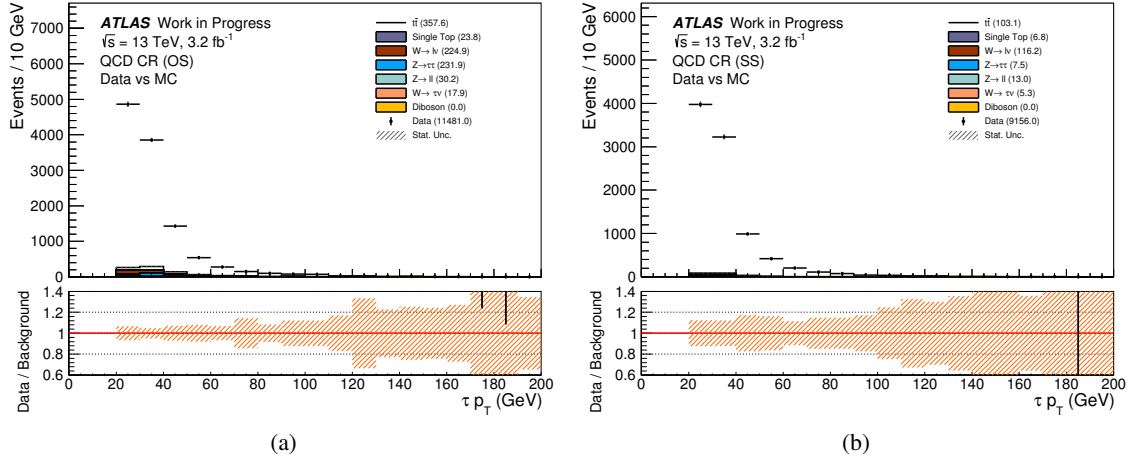


Figure 124: Distributions of  $\tau p_T$  in data and simulated events (MC) in the QCD control region, with opposite-sign and same-sign events in the left and right plots respectively.

1474 The systematic uncertainties related to trigger, reconstruction, and offline identification efficiencies, as  
 1475 well as those related to the calculation of SS data normalization factors have both statistical and systematic  
 1476 components that are treated individually. The systematic uncertainties related to  $b$ -jets are based on an  
 1477 *eigenvector variation method* where the covariance matrices of each source of uncertainty are summed  
 1478 and its eigenvectors calculated. These eigenvectors are then used as a basis for systematic variations,  
 1479 rather than varying the source of each uncertainty.

1480 The overall effect of a specific systematic uncertainty is measured by comparing the yields of background  
 1481 events from SS data and simulated events with and without applying the systematic variation. These  
 1482 effects are less than 1% for all systematic uncertainties considered, except for the statistical and systematic  
 1483 components of the SS data normalization factors (RQCD\_STAT, RQCD\_SYST). Systematic uncertainties  
 1484 (including both statistical and systematic components) whose overall effect is 0.05% or less are not used  
 1485 in (*pruned* from) the analysis. The remaining systematic uncertainties are listed in Table 25.

	1-track		3-track	
	Without trigger	With trigger	Without trigger	With trigger
RQCD_STAT	1.83%	2.39%	3.59%	6.72%
RQCD_SYST	4.09%	5.84%	6.53%	9.30%
BJET_EIGEN_B0	0.19%	0.22%	0.05%	0.08%
BJET_EIGEN_B1	0.06%	0.07%	0.04%	0.05%
BJET_EIGEN_C0	0.08%	0.11%	0.08%	0.13%
BJET_EIGEN_LIGHT0	0.09%	0.07%	0.06%	0.15%
MUON_TRIG_STAT	0.12%	0.14%	0.05%	0.07%
MUON_TRIG_SYST	0.06%	0.07%	0.02%	0.03%

Table 25: Overall effect of individual systematic uncertainty on all backgrounds measured in selections without and with requiring a  $\tau$  trigger with a  $p_T$  threshold of 25 GeV, and for 1-track and 3-track  $\tau$  candidates separately. If the systematic uncertainty consists of both upward and downward variations, the variation resulting in the highest effect is shown. Systematic uncertainties (or pairs thereof) whose overall effect is 0.05% or less are not shown.

#### 1486 F.4. Method

1487 The efficiency of the  $\tau$  trigger is measured in signal events (signal), and in data where all backgrounds  
 1488 have been subtracted (data-background). The ratio of the efficiency in data-background and signal (scale  
 1489 factor) can be used as a weight for simulated events where a hadronically decaying  $\tau$  candidate is matched  
 1490 to the  $\tau$  trigger object being considered, and to a true hadronically decaying  $\tau$  lepton. The efficiency is  
 1491 defined as

$$\varepsilon = \frac{C(\text{passed})}{C(\text{total})}$$

1492 where  $C(\text{total})$  is the number of events that fulfill the event selection, and  $C(\text{passed})$  the number of events  
 1493 that also pass the  $\tau$  trigger. The efficiency is calculated for bins in reconstructed  $\tau$   $p_T$ . The statistical  
 1494 uncertainty on the efficiency is computed using a Bayesian prior condition in the division, where the  
 1495 efficiency is restricted to the range  $[0, 1]$ . Statistical uncertainties are computed for both the signal and the  
 1496 data-background efficiency.

1497 The systematic uncertainties only affect the subtraction of the background, and thus only the data-  
 1498 background efficiency. The total systematic uncertainty is computed bin-by-bin by considering the change  
 1499 in efficiency when applying the systematic variation to all backgrounds compared to the nominal case.  
 1500 All systematic uncertainties are considered correlated with regard to requiring a  $\tau$  trigger except for  
 1501 RQCD\_STAT and RQCD\_SYST, since different SS data normalization factors are used for selections with and  
 1502 without a  $\tau$  trigger requirement. For correlated systematic uncertainties, the individual deviations from  
 1503 the nominal case are computed as

$$E_{\text{up/down}}^i = \frac{C(\text{passed}, \text{syst}_{\text{up/down}}^i)}{C(\text{total}, \text{syst}_{\text{up/down}}^i)} - \frac{C(\text{passed})}{C(\text{total})}$$

1504 where  $C(\text{total}, \text{syst}_{\text{up/down}}^i)$  is the total number of events that fulfill the event selection for the given (upwards  
 1505 or downwards) systematic variation, and similarly for the number of events that also pass the  $\tau$  trigger.  
 1506 For uncorrelated uncertainties, the variations in the total and passed number of events are instead treated  
 1507 as individual systematic uncertainties, each with a corresponding deviation from the nominal case:

$$E_{\text{up/down}}^{i,\text{total}} = \frac{C(\text{passed})}{C(\text{total}, \text{syst}_{\text{up/down}}^i)} - \frac{C(\text{passed})}{C(\text{total})}$$

$$E_{\text{up/down}}^{i,\text{passed}} = \frac{C(\text{passed}, \text{syst}_{\text{up/down}}^i)}{C(\text{total})} - \frac{C(\text{passed})}{C(\text{total})}$$

1508 All positive and negative contributions are summed in quadrature to form the total upward and downward  
 1509 systematic uncertainties on each bin:

$$E_{\text{up}}^{\text{total}} = \sqrt{\sum_i \max(E_{\text{up}}^i, E_{\text{down}}^i)^2}$$

$$E_{\text{down}}^{\text{total}} = \sqrt{\sum_i \min(E_{\text{up}}^i, E_{\text{down}}^i)^2}$$

1510 The scale factor is defined as

$$\text{SF} = \frac{\varepsilon_{\text{data-background}}}{\varepsilon_{\text{signal}}}$$

1511 and the total uncertainty on the scale factor becomes

$$E_{\text{up}}^{\text{SF,total}} = \sqrt{(E_{\text{up}}^{\text{data-background,stat}})^2 + (E_{\text{up}}^{\text{data-background,syst}})^2 + (E_{\text{down}}^{\text{signal,stat}})^2}$$

$$E_{\text{down}}^{\text{SF,total}} = \sqrt{(E_{\text{down}}^{\text{data-background,stat}})^2 + (E_{\text{down}}^{\text{data-background,syst}})^2 + (E_{\text{up}}^{\text{signal,stat}})^2}$$

## 1512 F.5. Results

### 1513 F.5.1. Control plots

1514 A comparison between data and signal plus background is shown for distributions of kinematic variables  
 1515 and event variables in the signal region with a *medium* offline identification requirement on the hadronically  
 1516 decaying  $\tau$  candidate, and with 1 or 3 tracks inclusively. Distributions of kinematic variables of the  $\mu$   
 1517 can be found in Figure 125, while those related to the hadronically decaying  $\tau$  candidate can be found in  
 1518 Figure 126. Figure 127 shows distributions of the  $\tau N_{\text{track}}$  and the output score of the offline  $\tau$  identification  
 1519 boosted decision tree (BDT) algorithm. Distributions of  $N_{\text{jets}}$  and  $N_{b\text{-jets}}$  can be found in Figure 128,  
 1520 and distributions of missing  $E_{\text{T}}$  ( $E_{\text{T}}^{\text{miss}}$ ) and the transverse mass ( $m_{\text{T}}$ ) between the  $\tau$  and the  $E_{\text{T}}^{\text{miss}}$  in  
 1521 Figure 129.

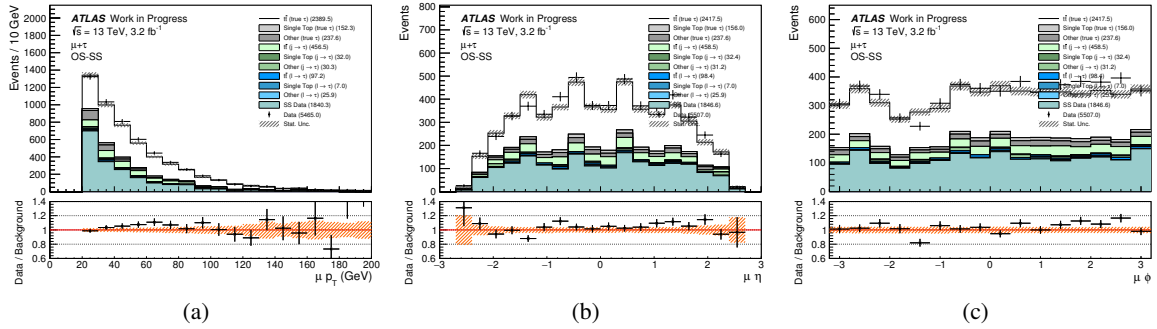


Figure 125: Kinematic distributions of the tag  $\mu$  in the signal region with a *medium* offline identification requirement on the hadronically decaying  $\tau$  candidate, and with 1 or 3 tracks inclusively.

### 1522 F.5.2. Control plots with $\tau$ trigger

1523 The comparison between data and signal plus background is made for events in the signal region with a  
 1524 *medium* offline identification requirement on the hadronically decaying  $\tau$  candidate, and also for events  
 1525 fulfilling the same selection with the additional requirement that the  $\tau$  trigger with a  $p_{\text{T}}$  threshold of  
 1526 25 GeV is fired. The  $\tau p_{\text{T}}$  distributions with and without applying the  $\tau$  trigger with a  $p_{\text{T}}$  threshold of  
 1527 25 GeV are shown in Figure 130.

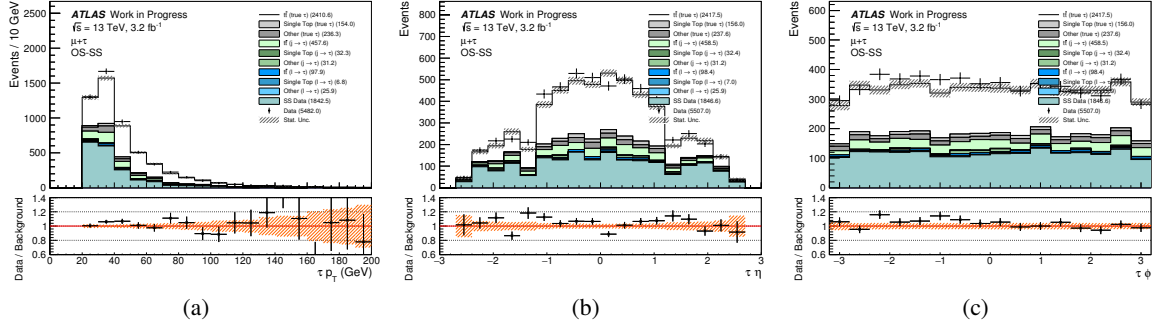


Figure 126: Kinematic distributions of the probe  $\tau$  in the signal region with a *medium* offline identification requirement on the hadronically decaying  $\tau$  candidate, and with 1 or 3 tracks inclusively.

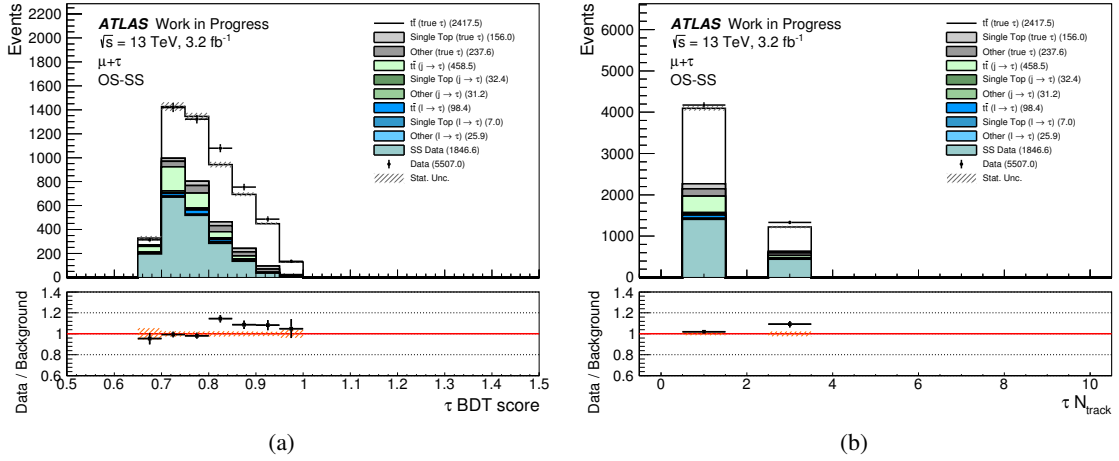


Figure 127: Distributions of the offline  $\tau$  BDT score and  $N_{\text{track}}$  in the signal region with a *medium* offline identification requirement on the hadronically decaying  $\tau$  candidate, and with 1 or 3 tracks inclusively.

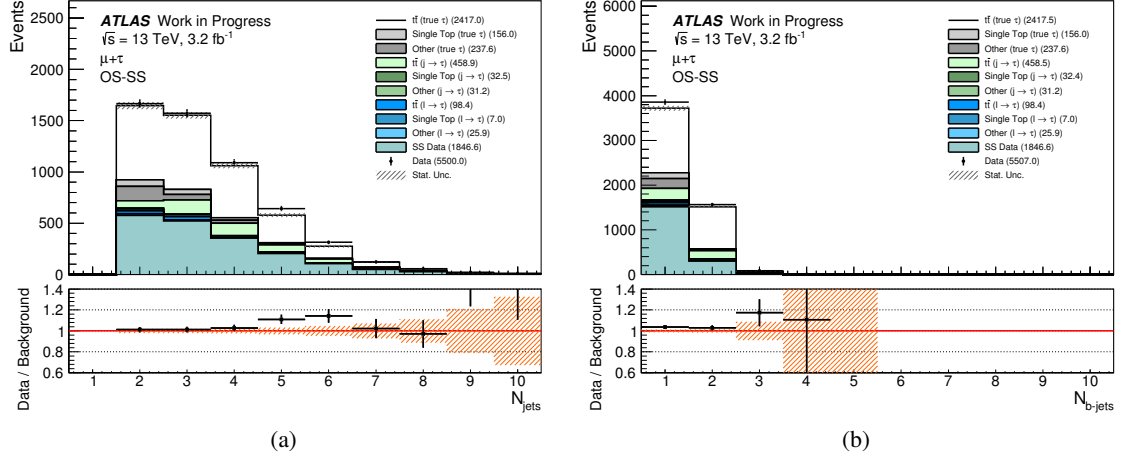


Figure 128: Distributions of the number of jets and number of  $b$ -tagged jets in the signal region with a *medium* offline identification requirement on the hadronically decaying  $\tau$  candidate, and with 1 or 3 tracks inclusively.

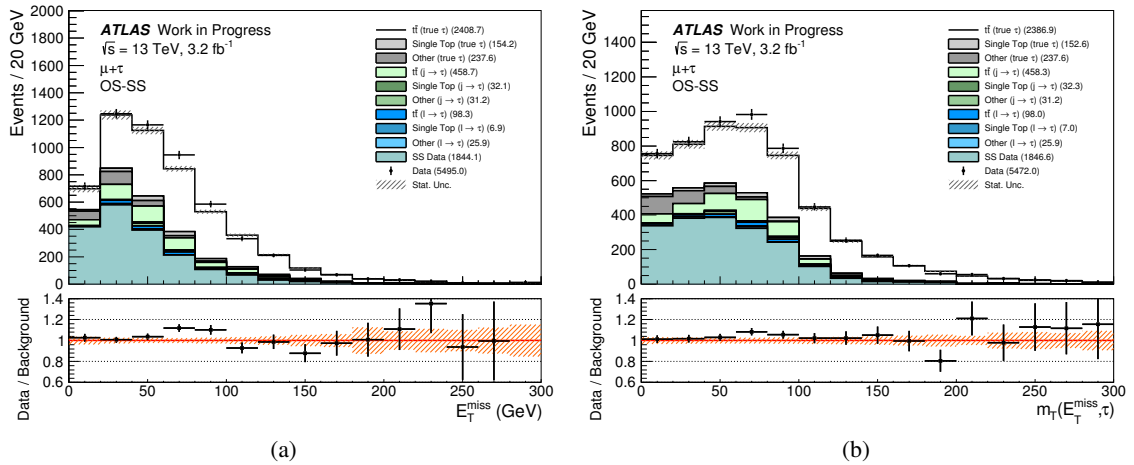


Figure 129: Distributions of  $E_T^{\text{miss}}$  and  $m_T$  in the signal region with a *medium* offline identification requirement on the hadronically decaying  $\tau$  candidate, and with 1 or 3 tracks inclusively.



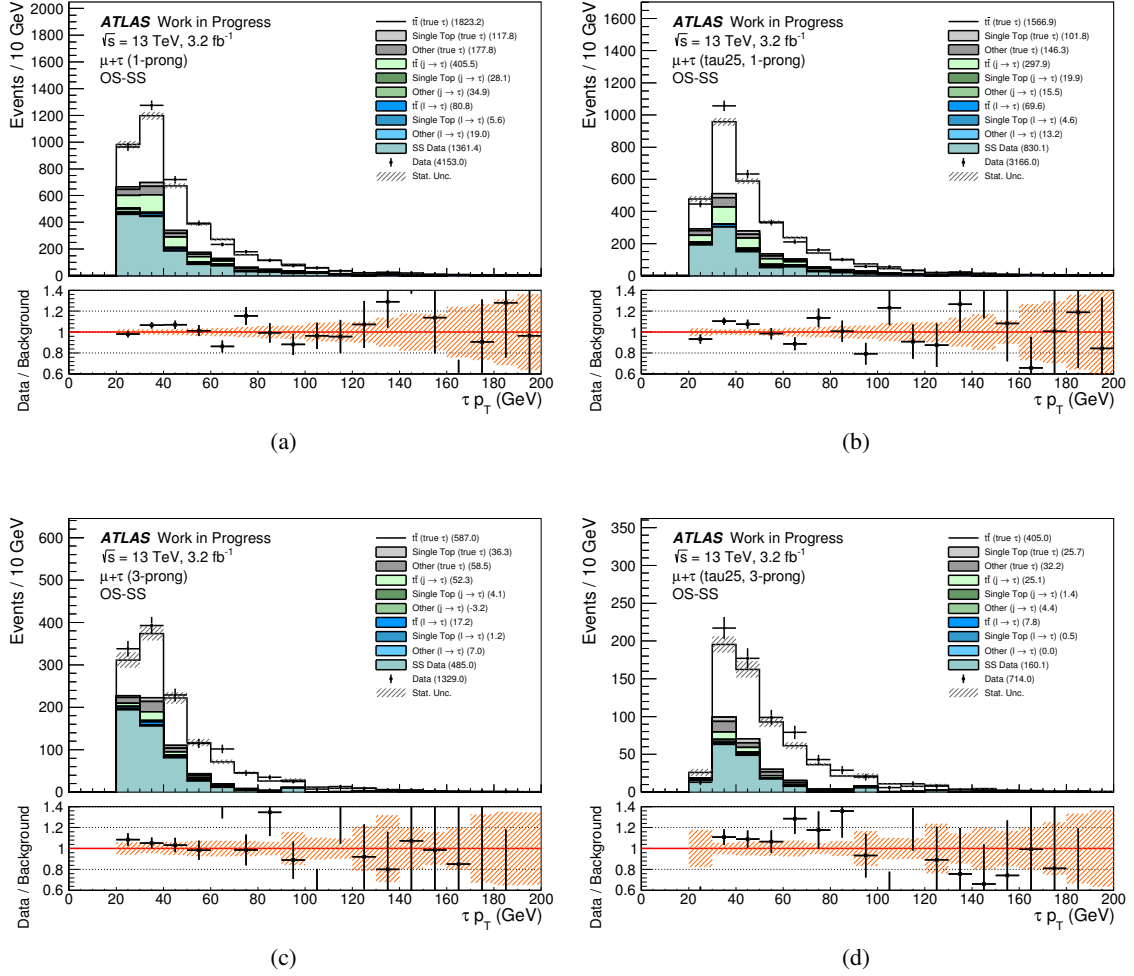


Figure 130: Distributions of  $\tau p_T$  in the signal region with a *medium* offline identification requirement on the hadronically decaying  $\tau$  candidate. The distributions on the top and bottom rows are for 1-track and 3-track  $\tau$  candidates, while the left and right columns are with and without applying the  $\tau$  trigger with a  $p_T$  threshold of 25 GeV, respectively.

### 1528 F.5.3. Efficiencies and scale factors

1529 The efficiencies for signal and data-background, as well as the corresponding scale factors for the  $\tau$  trigger  
 1530 with a  $p_T$  threshold of 25 GeV are shown in Figure 131, Figure 132, and Figure 133 for a *loose*, *medium*,  
 1531 and *tight* offline identification requirement on the hadronically decaying  $\tau$  candidate, respectively.

1532 For *medium* offline ID, the scale factors are consistent with 1 above 39 GeV for 1-track, and above 43 GeV  
 1533 for 3-track  $\tau$  candidates. Above 100 GeV, the 3-track  $\tau$  candidates do not have sufficient statistics for a  
 1534 precise measurement of the trigger efficiency. Up to about 40 GeV, the  $Z \rightarrow \tau\tau$  tag-and-probe analysis  
 1535 provides results with lower statistical and systematic uncertainty.

1536 Both the scale factors for *loose* and *tight* offline ID for 1-track  $\tau$  candidates show less consistency with 1.  
 1537 In the case of *loose* offline ID, this might be attributed to a slightly lower purity, or that *loose* offline ID  
 1538  $\tau$  candidates actually have a lower identification efficiency at the trigger level for data than for simulated  
 1539 events, but it could as well be attributed to a statistical fluctuation. For the *tight* offline ID it could be an  
 1540 effect of lower statistics than for *medium* offline ID, or it might be that the trigger efficiency in simulated  
 1541 events is overestimated in the range 50 – 100 GeV. For the 3-track  $\tau$  candidates the statistical uncertainties  
 1542 dominate.

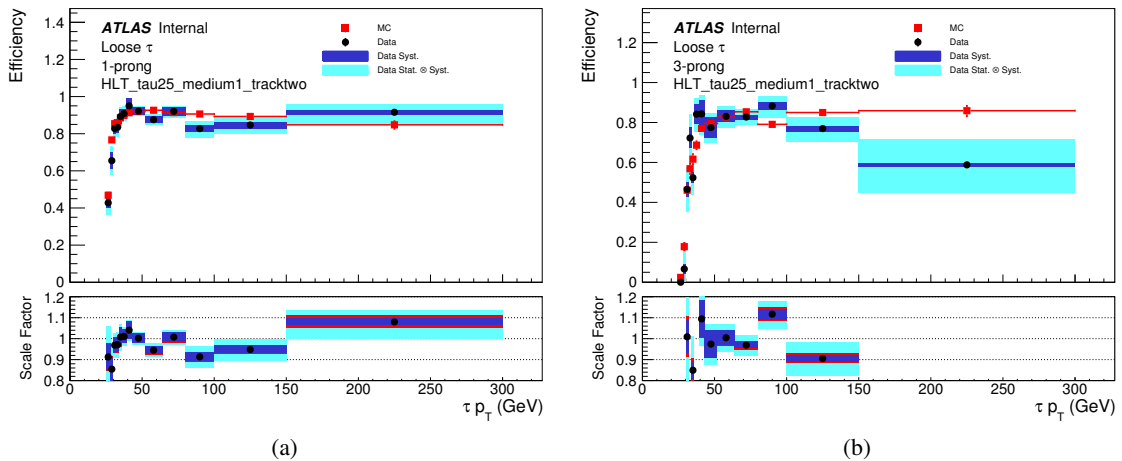


Figure 131: Efficiencies for signal and data-background and corresponding scale factors for the  $\tau$  trigger with  $p_T > 25$  GeV, and for hadronically decaying  $\tau$  candidates with a *loose* offline identification requirement.

### 1543 F.6. Combination of results from $Z \rightarrow \tau\tau$ and $t\bar{t}$ trigger tag-and-probe analyses

1544 The scale factors from the tag-and-probe measurements with both  $Z \rightarrow \tau\tau$  and  $t\bar{t}$  are combined in a best  
 1545 linear unbiased estimate (BLUE) [31, 32] fit. An overview of the method and its application to efficiencies  
 1546 and scale factors can be found in [33]. The fit assumes uncorrelated statistical uncertainties, and correlated  
 1547 systematic uncertainties.

1548 The fit is performed with the BLUE ROOT code [34, 35]. The BLUE ROOT code doesn't handle  
 1549 asymmetric uncertainties, and the down uncertainty on the scale factors has been used. The up uncertainties

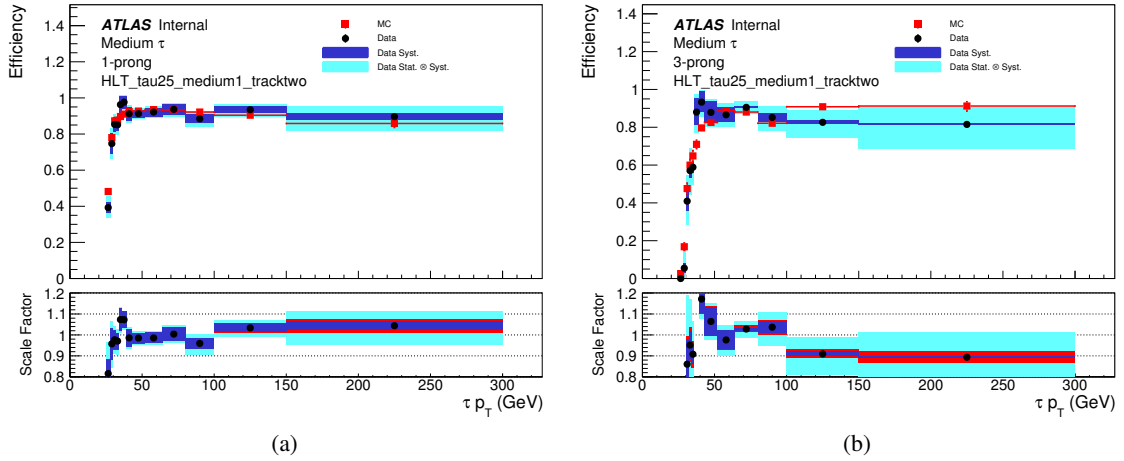


Figure 132: Efficiencies for signal and data-background and corresponding scale factors for the  $\tau$  trigger with  $p_T > 25$  GeV, and for hadronically decaying  $\tau$  candidates with a *medium* offline identification requirement.

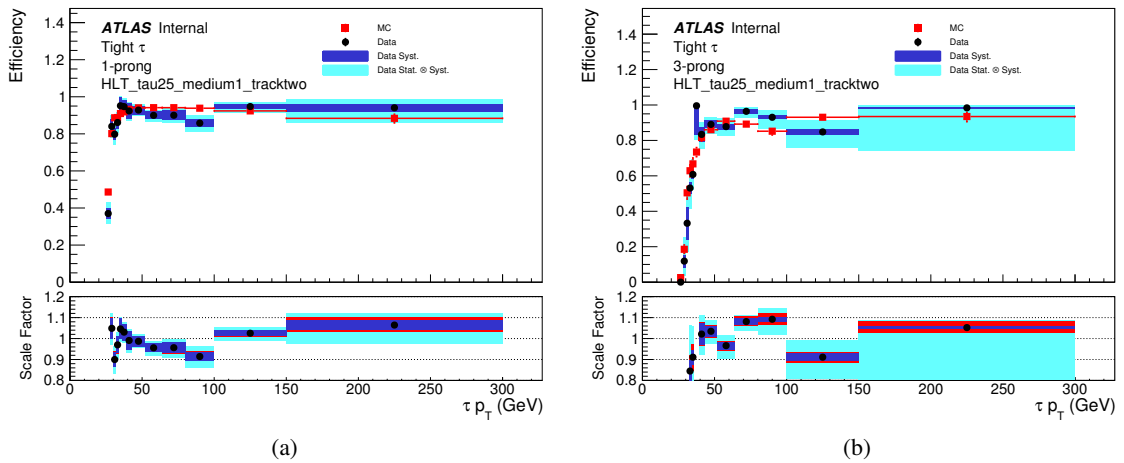


Figure 133: Efficiencies for signal and data-background and corresponding scale factors for the  $\tau$  trigger with  $p_T > 25$  GeV, and for hadronically decaying  $\tau$  candidates with a *tight* offline identification requirement.

1550 are generally smaller, and lead to a more constrained uncertainty on the combination, but at the cost of  
 1551 larger pulls in the fit. The fit is done separately for 1- and 3-track  $\tau$  candidates, and the results are discussed  
 1552 in the following.

1553 The importances of the two contributions are shown in Figure 134. At  $p_T < 50$  GeV, the  $Z \rightarrow \tau\tau$   
 1554 measurement is completely dominant with weights close to unity. The  $t\bar{t}$  measurement starts to become  
 1555 important around  $p_T = 50$  GeV, and is dominant, with weights ranging from 0.6-0.85, at  $p_T > 65$  GeV.  
 1556 The importance of the  $t\bar{t}$  measurement is overall larger for 1-track  $\tau$  candidates.

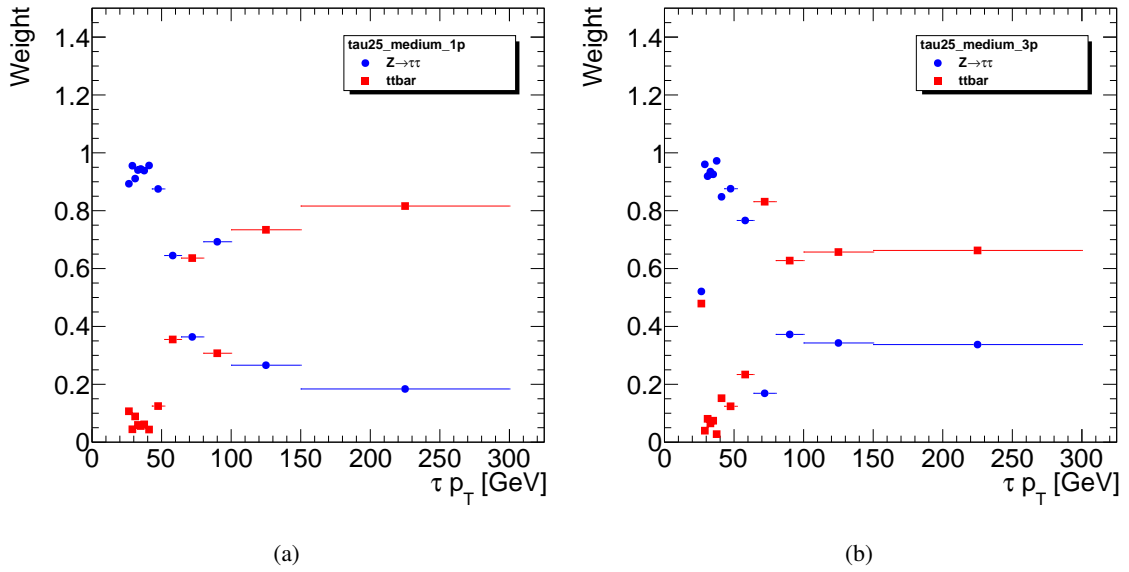


Figure 134: Weights for the scale factors from the  $Z \rightarrow \tau\tau$  and  $t\bar{t}$  trigger tag-and-probe measurements, as used in the combined result of the BLUE fit.

1557 The pulls of the two contributions are shown in Figure 135. The pulls show some tension in the majority of  
 1558 the bins, but they are mostly constrained to  $\sim 1\sigma$  and  $\sim 0.5\sigma$  for 1- and 3-track  $\tau$  candidates respectively.  
 1559 Two bins show particularly large pulls for both 1- and 3-track  $\tau$  candidates, and these pulls are explained  
 1560 by the statistical variations in the OS and SS data causing anomalous efficiencies in the  $t\bar{t}$  measurement.

1561 The scale factors from the combined result of the BLUE fit are shown in Figure 136, along with statistical  
 1562 and systematic uncertainties. Comparisons of the combined result and the scale factors from the individual  
 1563  $Z \rightarrow \tau\tau$  and  $t\bar{t}$  measurements are shown in Figure 137. The comparisons show that the combined result  
 1564 in general has a better compatibility with unity after the trigger has reached the efficiency plateau. The  
 1565 total uncertainties on the scale factors are also constrained by the fit, and results in a more robust result in  
 1566 the range  $50 \text{ GeV} < p_T < 100 \text{ GeV}$ , while above this region the combination is statistically limited.

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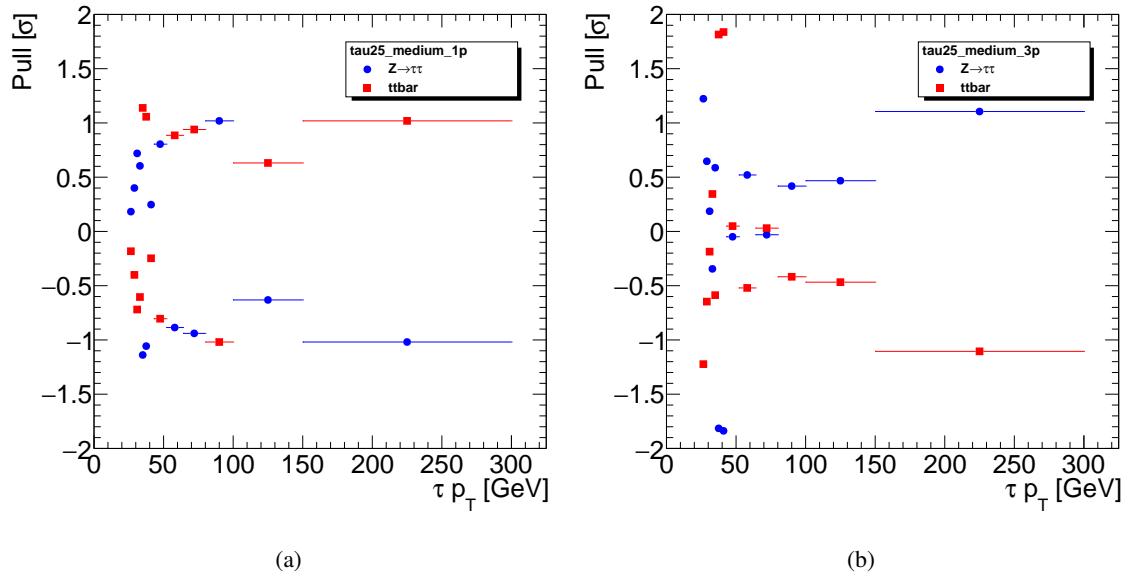


Figure 135: Pulls describing the tension between the scale factors from the  $Z \rightarrow \tau\tau$  and  $t\bar{t}$  trigger tag-and-probe measurements, as a result of the BLUE fit.

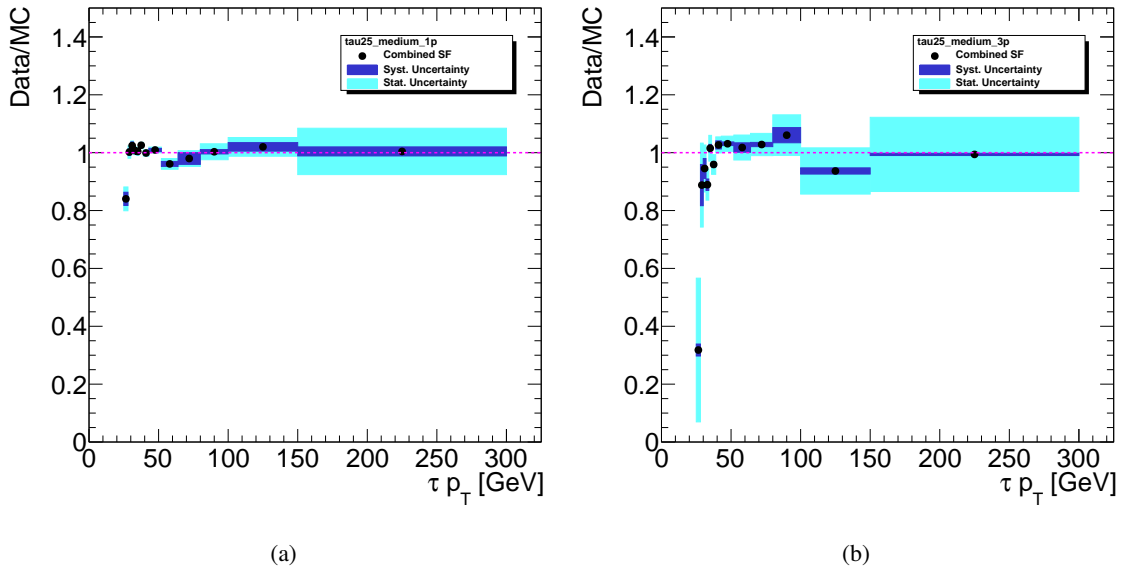


Figure 136: Scale factors from the combined result of the BLUE fit of the individual  $Z \rightarrow \tau\tau$  and  $t\bar{t}$  measurements.

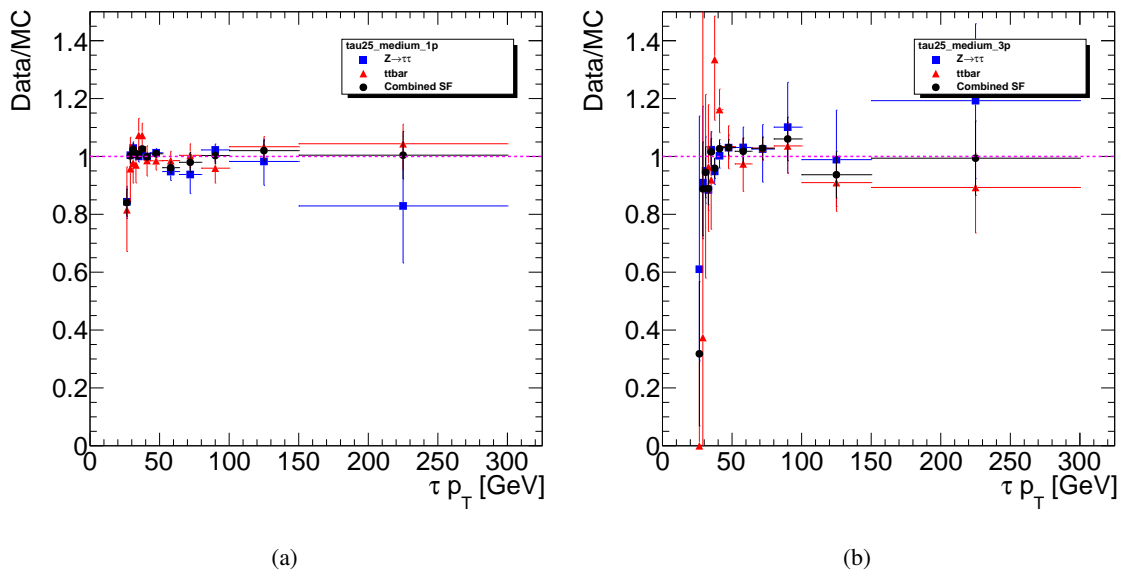


Figure 137: Comparisons of the scale factors from the combined result, and the individual  $Z \rightarrow \tau\tau$  and  $t\bar{t}$  measurements. The uncertainties in the error bars are the total systematic and statistical uncertainties.

## 1567 G. High- $p_T$ tau identification

1568 The uncertainty on the identification of tau decays via the tag and probe method in section A applies  
 1569 only to the modelling of low- $p_T$  tau decays, where  $p_T^\tau < 100$  GeV. A separate study is conducted to  
 1570 investigate the performance of tau identification at high- $p_T$ . Due to the low number of  $Z \rightarrow \tau\tau$  events in  
 1571 the high- $p_T$  region, the performance of the tau identification algorithm is instead tested on jets, with the  
 1572 aim of investigating any discrepancies between the efficiencies measured in MC simulation and data.

### 1573 G.1. Samples and event selection

1574 The dijet events are simulated in PYTHIA 8.1 [10]. The samples are differentiated according the truth jet  
 1575  $p_T$  as shown in table 26.

sample name	lead jet truth $p_T$ range [GeV]
JZ2	60-160
JZ3	160-400
JZ4	400-800
JZ5	800-1300
JZ6	1300-1800
JZ7	1800-2500

Table 26: The various dijet simulation samples and lead jet truth  $p_T$  ranges used in the analysis.

1576 A region of phase space enriched in dijet events is selected. The event must fire one of the single jet  
 1577 triggers with online  $p_T$  requirements listed in table 27 along with the corresponding luminosities. In order  
 1578 to scale the simulated dijet background to the combination of triggers, each trigger is used in a specific  
 1579 lead jet  $p_T$  range, also listed in the table. The  $p_T$  range is selected to be a region in which the trigger is at  
 1580 its maximum efficiency. The dijet selection cuts applied to data and simulation are:

- 1581 • tag object  $p_T > 150$  GeV
- 1582 • number of tracks in tag object  $> 1$
- 1583 •  $\cos(\Delta\phi_{tag-probe}) < -0.90$
- 1584 • the  $p_T$ -difference between tag and probe objects  $< 10\%$
- 1585 • tag and probe object  $|\eta| < 1.37$  or  $1.52 < |\eta| < 2.47$
- 1586 • loose electron BDT veto applied to the probe object
- 1587 • probe object has unit charge
- 1588 • probe object has 1 or 3 charged tracks

1589 where ‘tag’ refers to the highest  $p_T$  jet, and ‘probe’ refers to the candidate tau object. The selection ensures  
 1590 a high purity of dijet events with the tag and probe objects originating from the hard scatter, whilst the  
 1591 requirements on the probe object maintain similarity to the candidate tau particles used in analyses.

1592 In figures 138 and 139 the tau identification BDT score distributions of the probe candidate tau are shown  
 1593 for, respectively, 1-prong and 3-prong taus firing the various single jet triggers. Given the large statistical

Online $p_T$ requirement [GeV]	Luminosity [ $\text{pb}^{-1}$ ]	Lead jet $p_T$ range [GeV]
110	1.39	150-200
150	5.18	200-250
200	19.03	250-300
260	66.06	300-350
320	184.79	350-400
360	3209.05	> 400

Table 27: Online  $p_T$  requirement of single jet triggers and corresponding luminosities in the 2015 dataset, along with the corresponding lead jet  $p_T$  range each trigger is used in.

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1594 uncertainty on the simulation of dijet events, reasonable agreement between data and MC simulation is  
 1595 seen in the case of 1-prong candidate taus. In the case of 3-prong candidate tau particles, the simulation  
 1596 is overestimated. The data and expected simulation from all the single jet triggers is combined and the  
 1597 overall  $p_T$ ,  $\eta$  and  $\phi$  distributions of the tag jet and probe tau objects seen in figures 140 and 141, for cases  
 1598 where the probe tau has 1-prong and 3-prong. Finally, figure 142 shows number of vertices for the 1-prong  
 1599 and 3-prong cases, whilst figure 143 shows the number of tracks in the tag and probe objects before the  
 1600 cut on the probe charge and number of tracks is applied.

1601 These checks confirm that the kinematic distributions are comparable between data and simulation in  
 1602 shape. The difference between data and simulation in the 3-prong case is likely due to the difficulty in  
 1603 modelling dijet events, particularly in a new energy regime. Fortunately the issue appears to be one of  
 1604 normalisation, with similarity in the data and simulation shapes, and so should not bias the final result  
 1605 which compares efficiencies.

## 1606 G.2. Mis-identification rate of tau particles

1607 The mis-identification rate of tau particles is checked by measuring the efficiency of the tau identification  
 1608 algorithm in accepting probe candidate tau objects in the dijet region described in section G.1. The loose,  
 1609 medium and tight working points used in the identification of tau particles require a  $p_T$  dependent cut on  
 1610 this BDT score. The efficiency is defined as:

$$\varepsilon = \frac{C(\text{pass cut})}{C(\text{total})}$$

1611 where  $C(\text{total})$  is the total number of events passing the dijet selection criteria, and  $C(\text{pass cut})$  is the  
 1612 number that additionally pass a cut on the BDT score, and is calculated in bins of reconstructed tau  $p_T$ .  
 1613 Figures 144 to 147 show the efficiencies for various BDT score cuts on the probe object as a function its  
 1614  $p_T$ .

1615 A linear function is fit to the ratio of the data and simulation efficiencies and the fit parameters displayed.  
 1616 Across almost all the efficiency plots the uncertainty on the slope parameter is greater than the value of the  
 1617 slope parameter itself. This is consistent with the assumption that the modelling of candidate tau particles  
 1618 does not significantly deteriorate as a function of  $p_T$ .



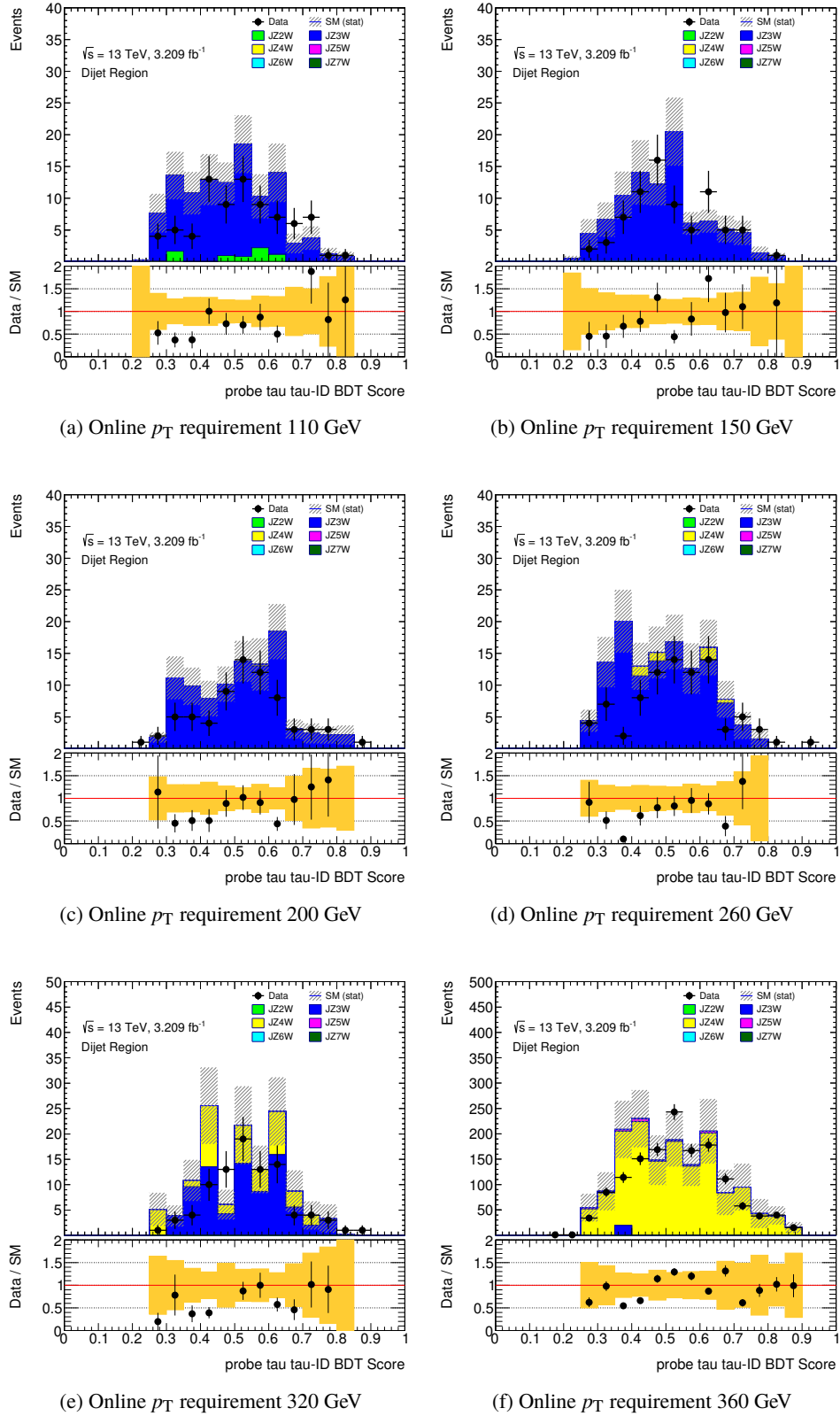


Figure 138: Tau identification BDT scores of the 1-prong probe tau candidate particles for various single jet triggers used in the dijet tag and probe analysis.

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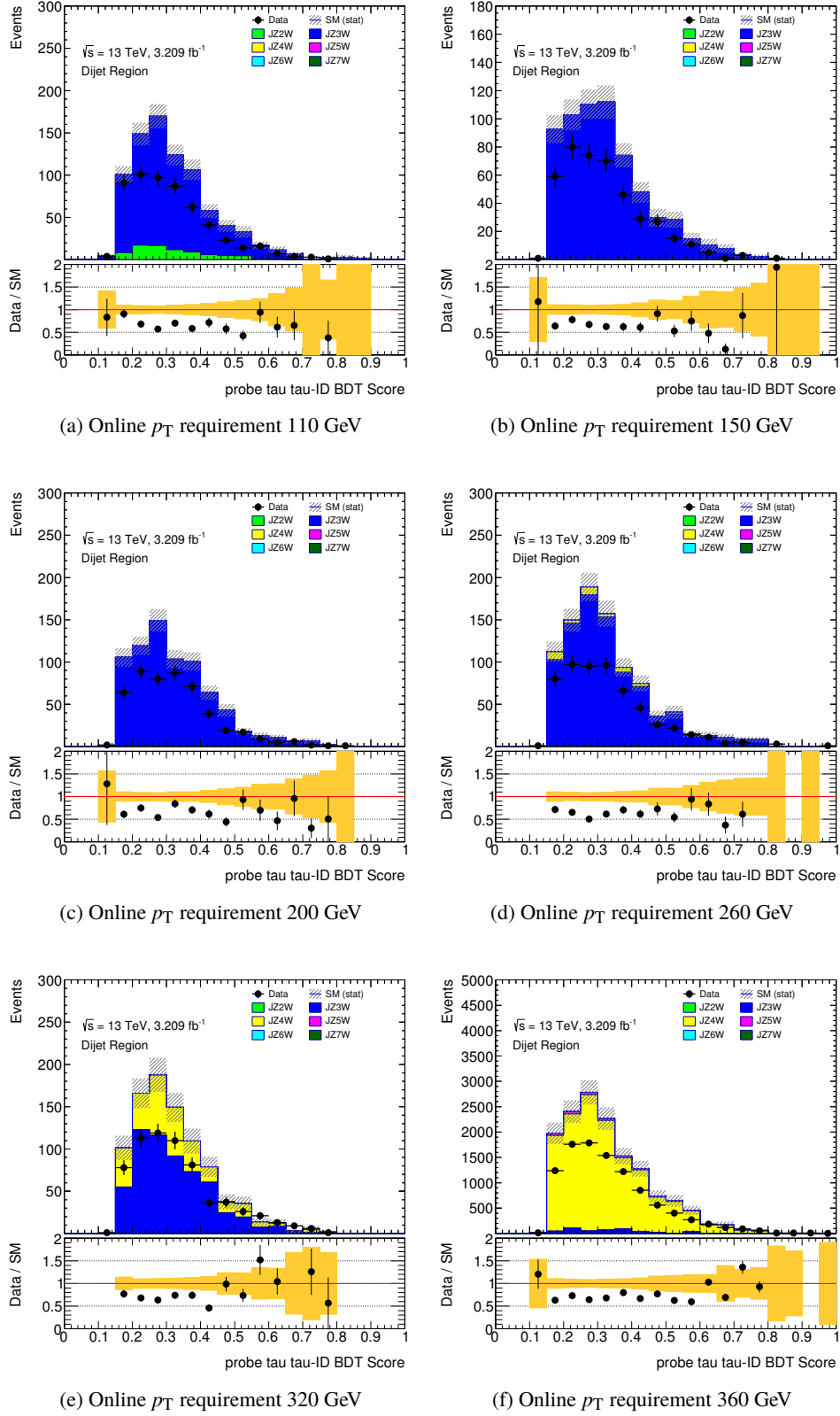


Figure 139: Tau identification BDT scores of the 3-prong probe tau candidate particles for various single jet triggers used in the dijet tag and probe analysis.

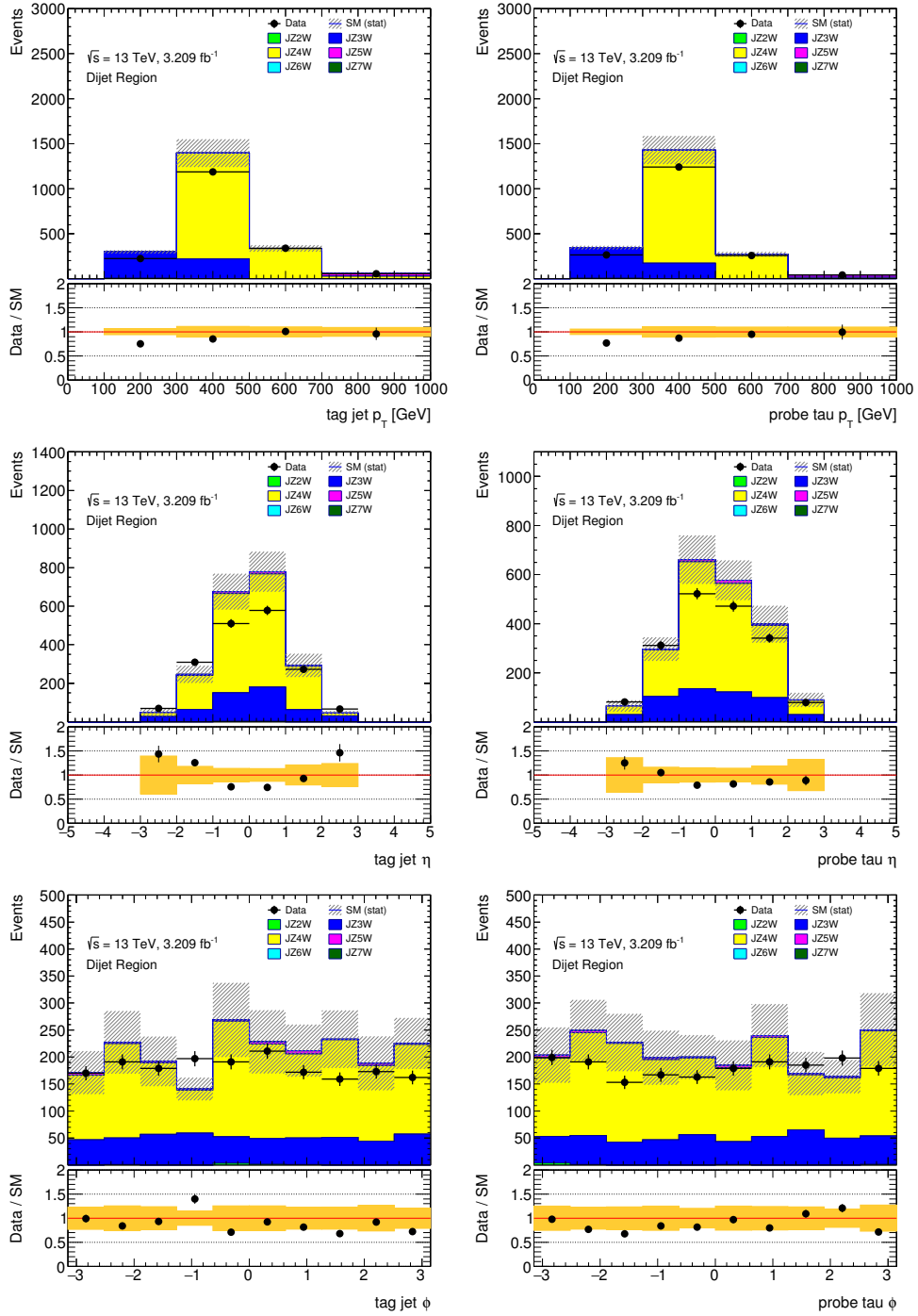
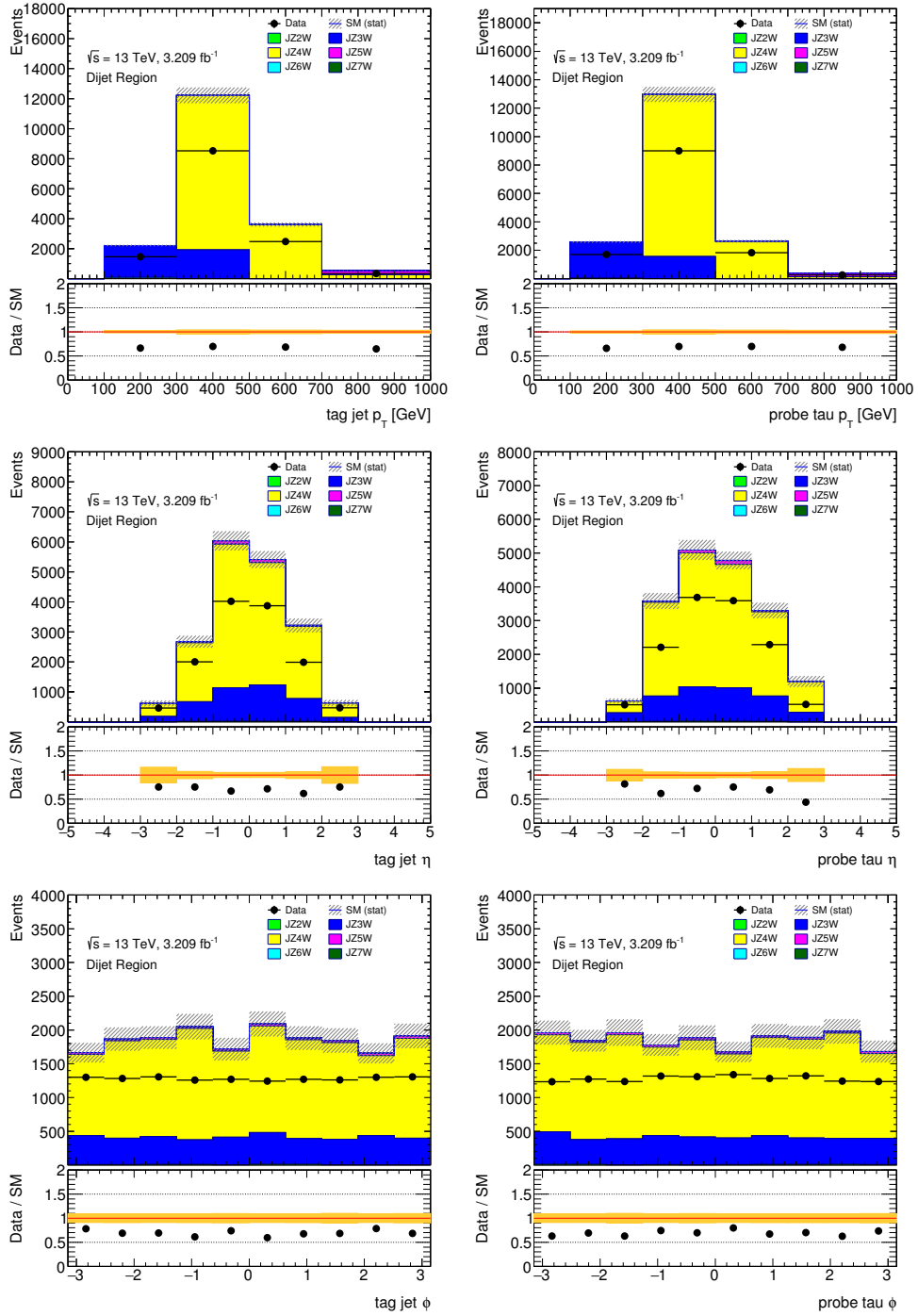


Figure 140:  $p_T$ ,  $\eta$  and  $\phi$  distributions of the (left) tag, and (right) probe objects, for the case of a 1-prong probe tau

Figure 141:  $p_T$ ,  $\eta$  and  $\phi$  distributions of the (left) tag, and (right) probe objects, for the case of a 3-prong probe tau

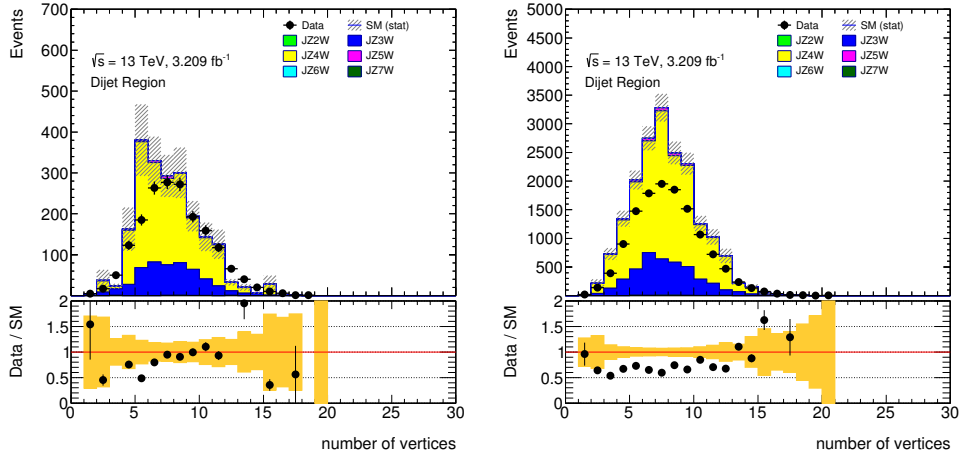


Figure 142: Distributions of number of vertices in (left) 1-prong and (right) 3-prong case.

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### 1619 G.3. High- $p_T$ tau uncertainty inflation

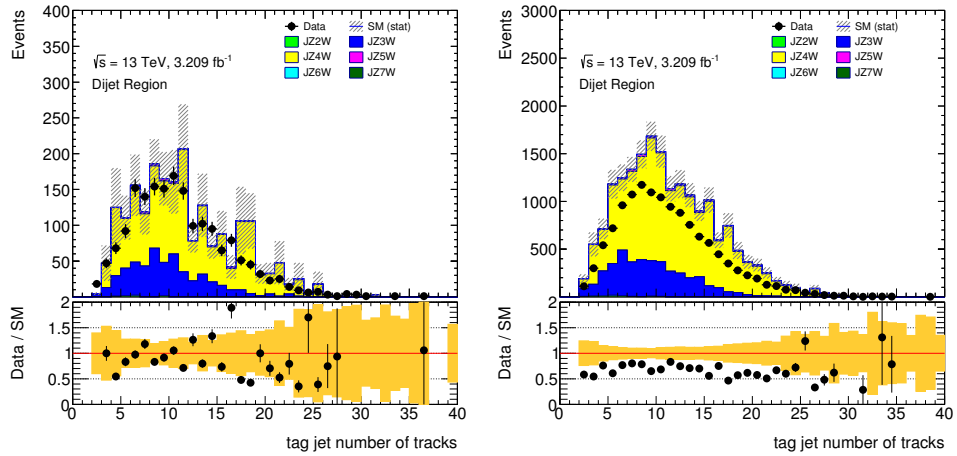
1620 The uncertainty on these slope parameters can be used to propagate the uncertainty on the identification  
 1621 of tau particles in high- $p_T$  regimes. In the  $H/A \rightarrow \tau\tau$  analysis, which has a significant number of  
 1622 high- $p_T$  tau particles in the signal region, the tau identification efficiency region is approximately 85%  
 1623 for 1-prong taus, and 65% for 3-prong taus. A comparable mis-identification probability occurs in the  
 1624 dijet selection region when cuts are applied to BDT scores of 0.40 in the case of 1-prong probe objects  
 1625 and 0.25 in the case of 3-prong probe objects. The tau identification uncertainty is therefore inflated by  
 1626 0.019%/ GeV for 1-prong taus and 0.010%/ GeV for 3-prong taus, and summed in quadrature with the  
 1627 low- $p_T$  uncertainty:

$$(\Delta\mathcal{E}^{1-prong})^2 = (\Delta\mathcal{E}_{low-p_T}^{1-prong})^2 + (0.00019/\text{GeV} \times (p_T - 100\text{ GeV}))^2$$

1628

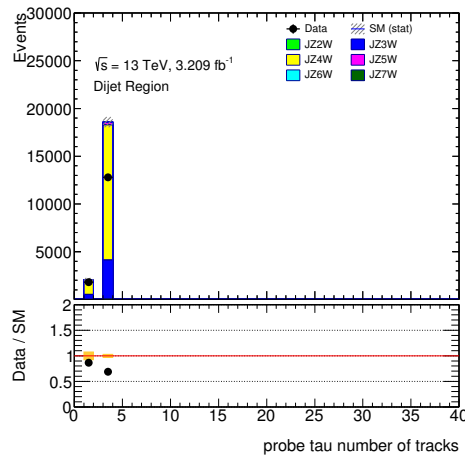
$$(\Delta\mathcal{E}^{1-prong})^2 = (\Delta\mathcal{E}_{low-p_T}^{1-prong})^2 + (0.00010/\text{GeV} \times (p_T - 100\text{ GeV}))^2$$

1629 This inflation on the uncertainty accounts for the possibility of a deterioration in tau identification for  
 1630 high- $p_T$  tau candidate particles. Figure 148 shows how the uncertainty on the tau identification increases  
 1631 as a function of the tau  $p_T$ .



(a) Tag jet (1-prong probe tau)

(b) Tag jet (3-prong probe tau)



(c) Probe tau (1-prong or 3-prong)

Figure 143: Distributions of number of tracks in a) the tag jet in the case of a 1-prong probe tau, b) the tag jet in the case of a 3-prong probe tau, and c) the probe tau in 1-prong and 3-prong cases combined.

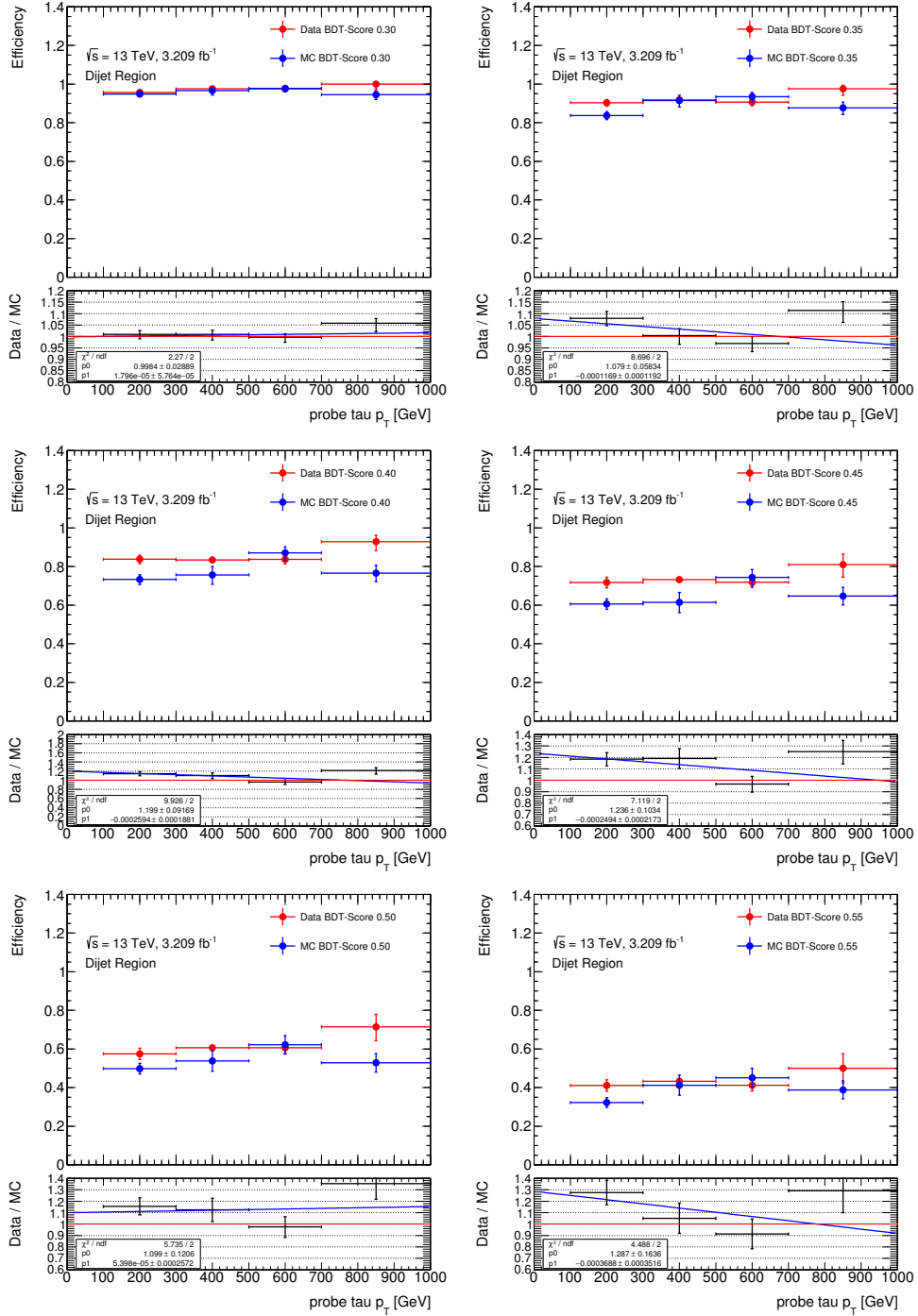


Figure 144: Mis-identification efficiencies of probe 1-prong tau candidates for cuts on various different tau identification BDT scores, as a function of the probe object  $p_T$ . Both data and MC simulation are shown as well as the ratio between the two. A linear fit is placed on the data-simulation ratio, and the relevant fit parameters displayed.

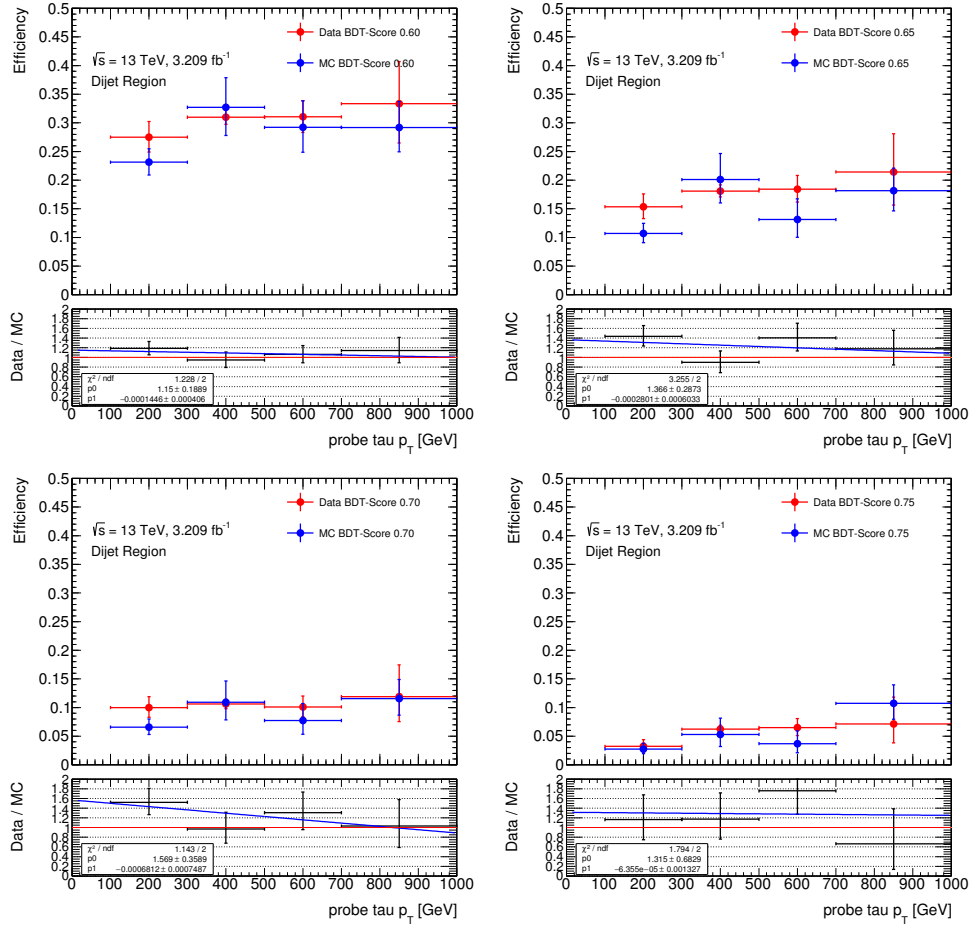


Figure 145: Mis-identification efficiencies of probe 1-prong tau candidates for cuts on various different tau identification BDT scores, as a function of the probe object  $p_T$ . Both data and MC simulation are shown as well as the ratio between the two. A linear fit is placed on the data-simulation ratio, and the relevant fit parameters displayed.



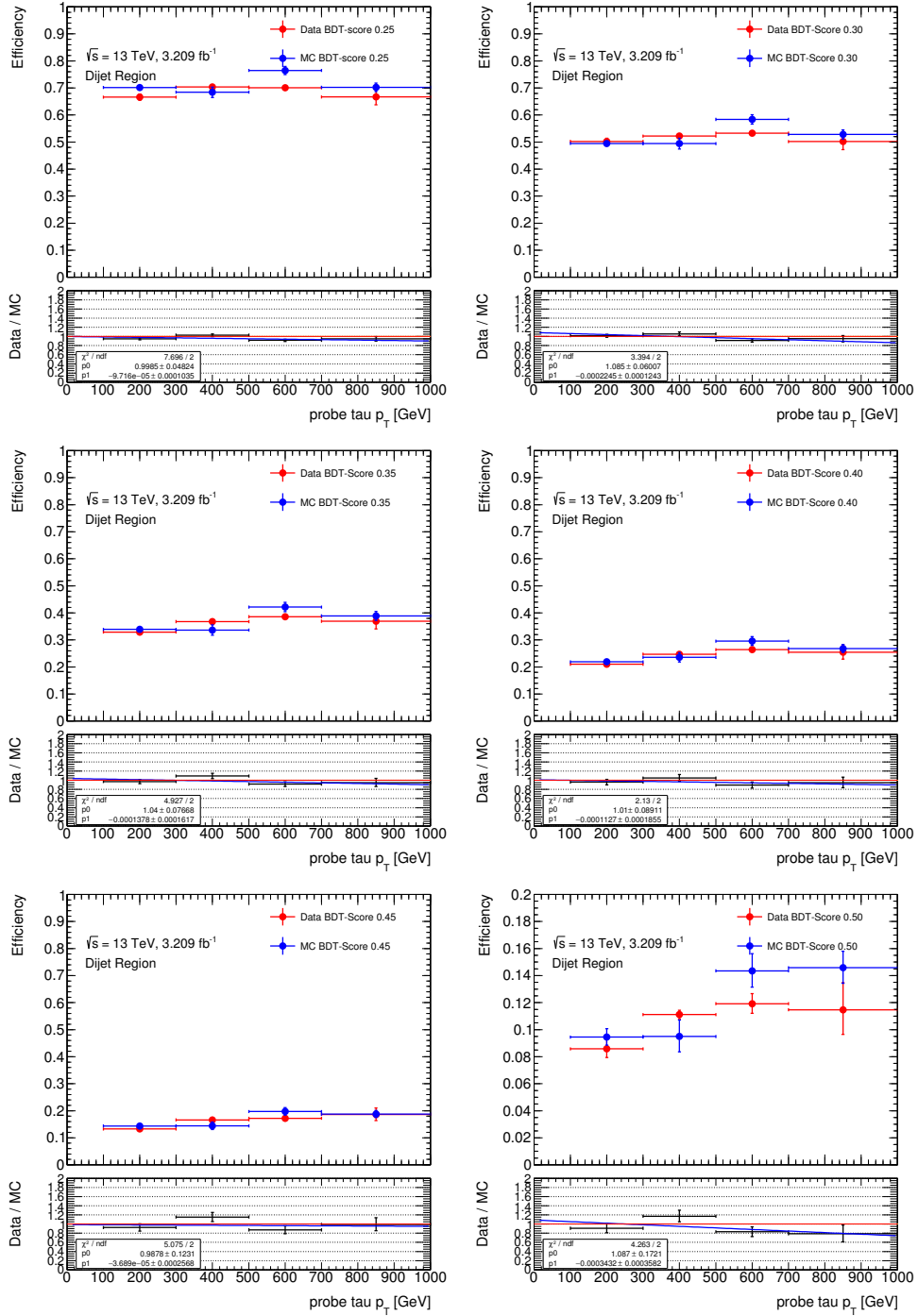


Figure 146: Mis-identification efficiencies of probe 3-prong tau candidates for cuts on various different tau identification BDT scores, as a function of the probe object  $p_T$ . Both data and MC simulation are shown as well as the ratio between the two. A linear fit is placed on the data-simulation ratio, and the relevant fit parameters displayed.

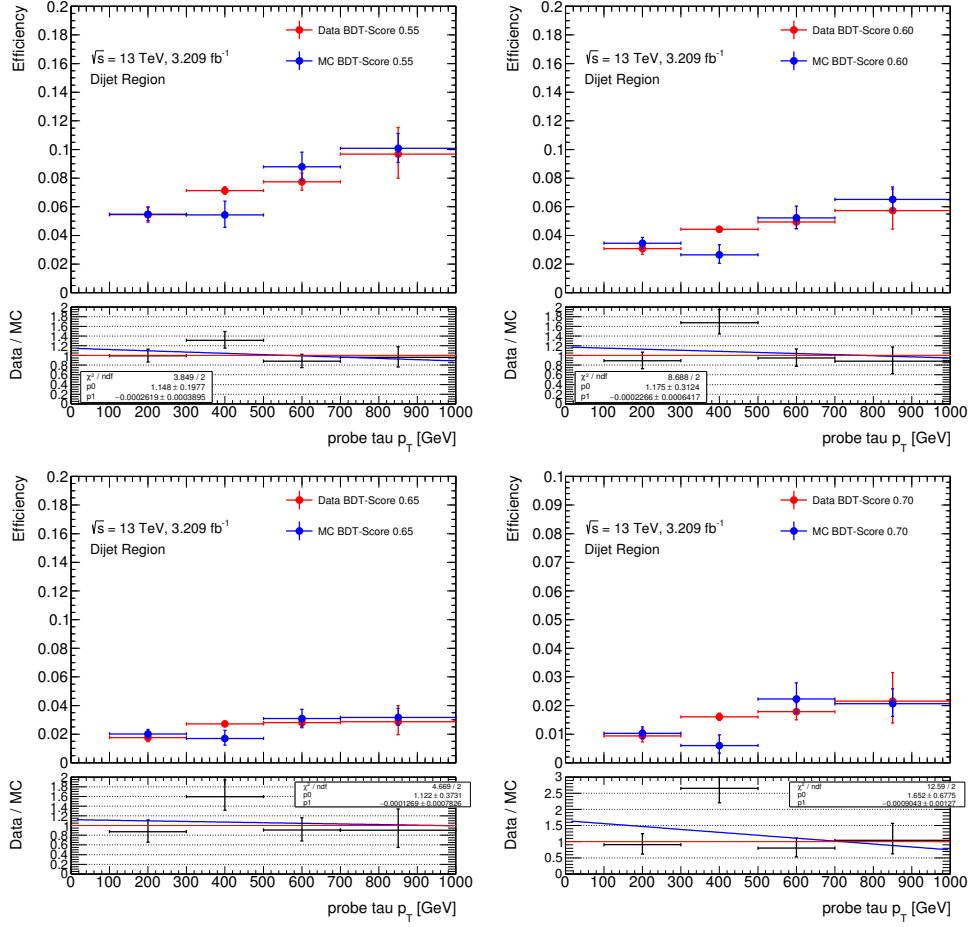
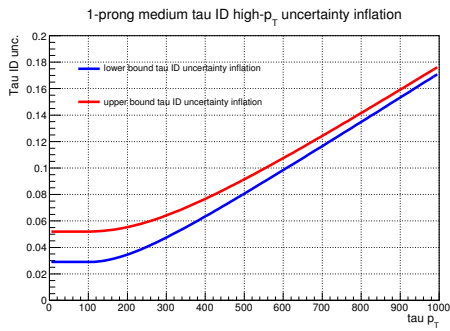
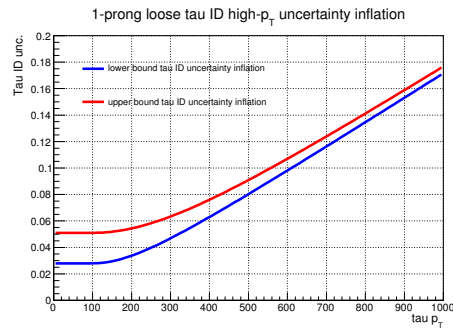


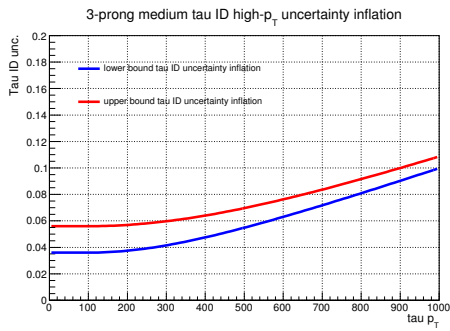
Figure 147: Mis-identification efficiencies of probe 3-prong tau candidates for cuts on various different tau identification BDT scores, as a function of the probe object  $p_T$ . Both data and MC simulation are shown as well as the ratio between the two. A linear fit is placed on the data-simulation ratio, and the relevant fit parameters displayed.



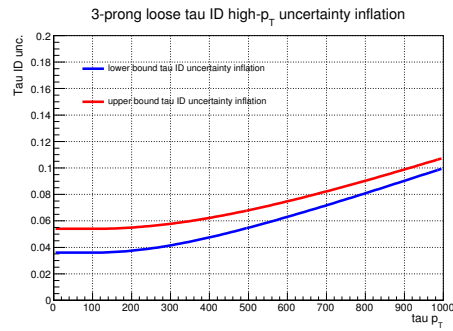
(a) 1-prong Medium tau



(b) 1-prong Loose tau



(c) 3-prong Medium tau



(d) 3-prong Loose tau

Figure 148: Inflation in the uncertainty on the tau identification algorithm as a function of tau candidate  $p_T$  for various BDT identification working points

## 1632 H. MVA-based $\tau_{\text{had-vis}}$ energy calibration

1633 The multivariate-analysis-based (MVA-based)  $\tau_{\text{had-vis}}$  energy calibration is a new way of calculating visible  
 1634 tau four-momentum using a combination of calorimeter and substructure information. The direction ( $\eta$ -  
 1635  $\phi$ ) is taken directly from the substructure reconstruction, which uses reconstructed charged and neutral  
 1636 pions, providing 5 times better resolution than the calo-based (Baseline) reconstruction from 2015. The  
 1637 energy calibration uses boosted decision trees (BDT) regression to combine calorimeter and substructure  
 1638 information, providing 2 times better resolution than the baseline at low  $p_T$  ( $\sim 20$  GeV). At high  $p_T$  the  
 1639 MVA and baseline energy resolutions converge. The overall performance is shown in figure 149.

1640 The final choices of regression algorithm, input variables and regression target are supported by studies  
 1641 that were done using MC15a inclusive  $Z \rightarrow \tau\tau$  and mass-binned Drell-Yan  $\tau\tau$  samples with medium tau  
 1642 ID requirement. The results of these studies are discussed in the following subsections.

### 1643 H.1. MVA regression algorithms

1644 MVA regression were performed by using Toolkit for Multivariate Data Analysis with ROOT (TMVA) [24].  
 1645 TMVA provides a ROOT-integrated environment for processing and evaluating MVA algorithms. A  
 1646 number of MVA algorithms are available in TMVA for single-target regression. They include, for  
 1647 example, linear discriminant analysis (LD),  $k$ -nearest neighbour ( $k$ -NN), multilayer perceptron (MLP)  
 1648 and boosted decision trees (BDT). These algorithms make use of training events, for which a desired  
 1649 output is known, to approximate the underlying functional behaviour defining the target value.

1650 In order to determine which regression algorithm is more suitable for calculating  $\tau_{\text{had-vis}}$  energy, different  
 1651 algorithms were processed on a same set of  $Z \rightarrow \tau\tau$  and Drell-Yan  $\tau\tau$  training samples. Their performance  
 1652 were then evaluated on another independent set of testing samples. Figure 150 compares the resolutions  
 1653 of the MVA responses ( $p_T^{\text{cali}}$ ) calculated by LD,  $k$ -NN, MLP and BDT. Different settings of the algorithms  
 1654 may lead to different performance. In general, settings that require a longer training time give better  
 1655 performance. The comparison was performed using settings such that the training time of each algorithm  
 1656 is limited to  $\sim 1$  day for  $\sim 3 \times 10^6$  events. The result shows that the resolution of the BDT response is  
 1657 generally better than that of the other algorithms. It is also known that, compared to  $k$ -NN and MLP, BDT  
 1658 is more robust against weak variables and suffers less from the curse of dimensionality. For these reasons,  
 1659 subsequent studies and the final calibration were also done using BDT regression.

### 1660 H.2. Input variables and regression target

1661 A list of input variables that were used for the MVA-based  $\tau_{\text{had-vis}}$  energy calibration and their definitions  
 1662 is shown in Table 28. The transverse momenta  $p_T^{\text{LC}}$  and  $p_T^{\text{TPF}}$  are the basic input variables that provide  
 1663 basic knowledge about the  $\tau_{\text{had-vis}}$  energy. Other input variables are proven to be useful in improving the  
 1664 resolution or closure of the calibration. The regression target is the ratio of the true  $\tau_{\text{had-vis}}$  transverse  
 1665 momentum ( $p_T^{\text{true,vis}}$ ) to  $p_T^{\text{interp}}$ .

1666 The variables  $\mu$  and  $n_{\text{PV}}$  are included to provide information about multiple interactions occurring in the  
 1667 same and neighbouring bunch crossings (pile-up). Figure 151 shows a comparison of the non-closure of  
 1668 the BDT response trained with or without  $\mu$  and  $n_{\text{PV}}$  as input variables. The non-closure is the offset of

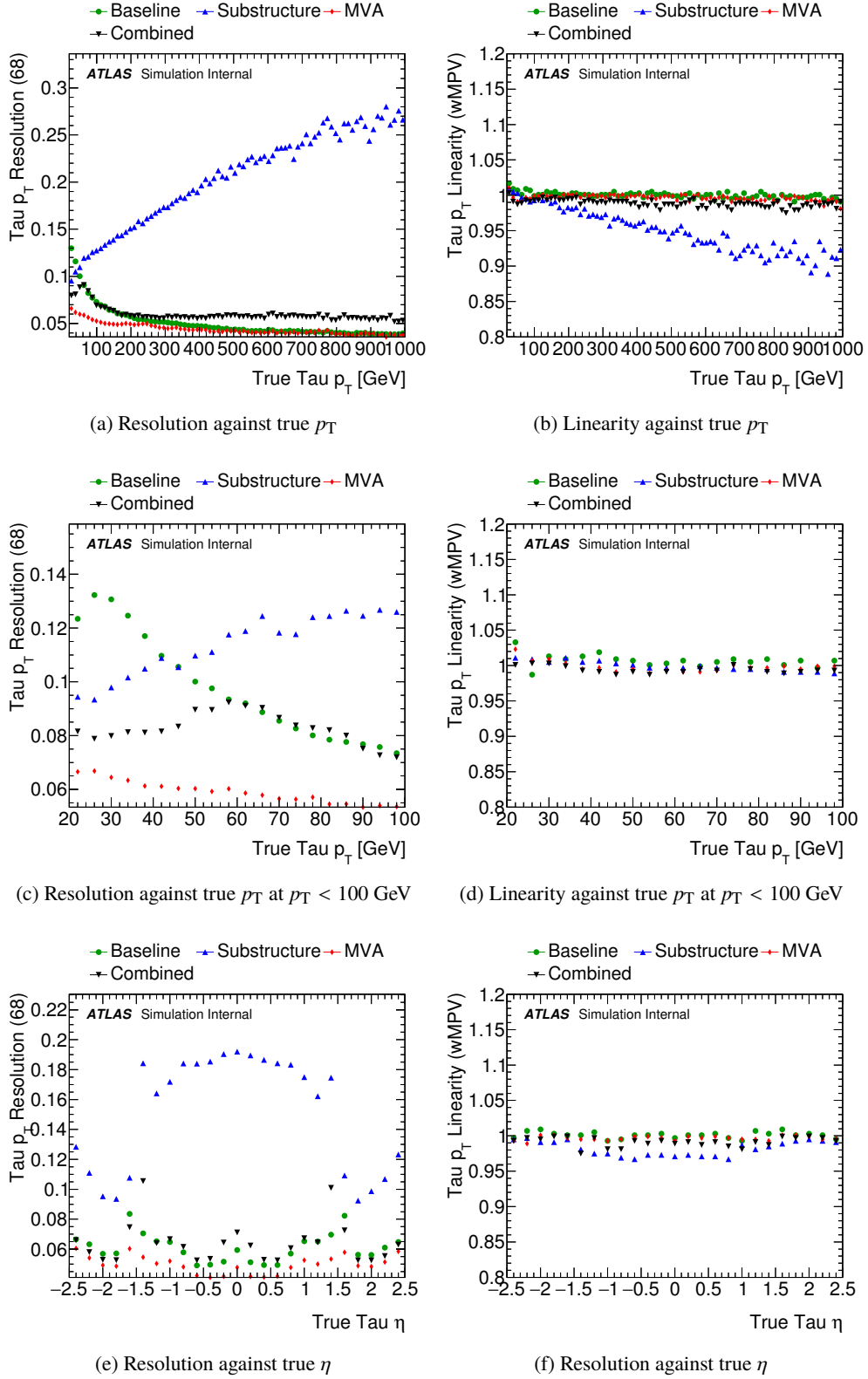


Figure 149: The resolutions and linearities of the MVA-based  $\tau_{\text{had-vis}}$  energy calibration, compared to the Baseline and substructure reconstructions, and the resolution-weighted average of both (Combined). The resolution is defined as the half-width of the symmetric 68% confidence interval of the ratio of the calibrated  $p_T$  ( $p_T^{\text{cali}}$ ) to the true  $p_T$  ( $p_T^{\text{true,vis}}$ ). The linearity is defined as the most probable value of the ratio  $p_T^{\text{cali}}/p_T^{\text{true,vis}}$ .

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<b>Number of primary vertices, <math>n_{PV}</math></b>
Number of primary vertices in the event
<b>Average interactions per crossing, <math>\mu</math></b>
Average number of interactions per bunch crossing
<b>Cluster shower depth, <math>\lambda_{\text{centre}}</math></b>
Distance of the cluster shower centre from the calorimeter front face measured along the shower axis
<b>Cluster second moment in <math>\lambda</math>, <math>\langle \lambda^2 \rangle</math></b>
Cluster second moment in $\lambda$ , the distance of cell from the shower center along the shower axis
<b>Cluster first moment in energy density, <math>\langle \rho \rangle</math></b>
Cluster first moment in energy density $\rho = E/V$
<b>Cluster presampler fraction, <math>f_{\text{presampler}}</math></b>
Fraction of cluster energy deposited in the barrel and endcap presamplers
<b>Cluster EM-like probability, <math>P_{EM}</math></b>
Classification probability of the cluster to be EM-like
<b>Number of associated tracks, <math>n_{\text{track}}</math></b>
Number of tracks associated with the $\tau_{\text{had-vis}}$
<b>Number of reconstructed neutral pions, <math>n_{\pi^0}</math></b>
Number of reconstructed neutral pions associated with the $\tau_{\text{had-vis}}$
<b>Relative difference of pion energies, <math>\gamma_\pi</math></b>
Relative difference of the total charged pion energy $E_{\text{charged}}$ and the total neutral pion energy $E_{\text{neutral}}$ : $\gamma_\pi = (E_{\text{charged}} - E_{\text{neutral}})/(E_{\text{charged}} + E_{\text{neutral}})$
<b>Calo-based pseudorapidity, <math>\eta_{\text{calo}}</math></b>
Calorimeter-based (Baseline) pseudorapidity
<b>Interpolated transverse momentum, <math>p_T^{\text{interp}}</math></b>
Transverse momentum interpolated from LC and substructure reconstruction. Detailed definition is given in section <a href="#">H.4</a> .
<b>Ratio of <math>p_T^{\text{LC}}</math> to <math>p_T^{\text{interp}}</math>, <math>p_T^{\text{LC}}/p_T^{\text{interp}}</math></b>
Ratio of the local hadron calibration transverse momentum to $p_T^{\text{interp}}$
<b>Ratio of <math>p_T^{\text{TPF}}</math> to <math>p_T^{\text{interp}}</math>, <math>p_T^{\text{TPF}}/p_T^{\text{interp}}</math></b>
Ratio of the substructure reconstruction transverse momentum to $p_T^{\text{interp}}$

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Table 28: List of input variables used for  $\tau_{\text{had-vis}}$  energy MVA regression. The cluster variables are the energy weighted averages over the jet seed constituents. Detailed definitions of the cluster variables can be found in [\[18\]](#).

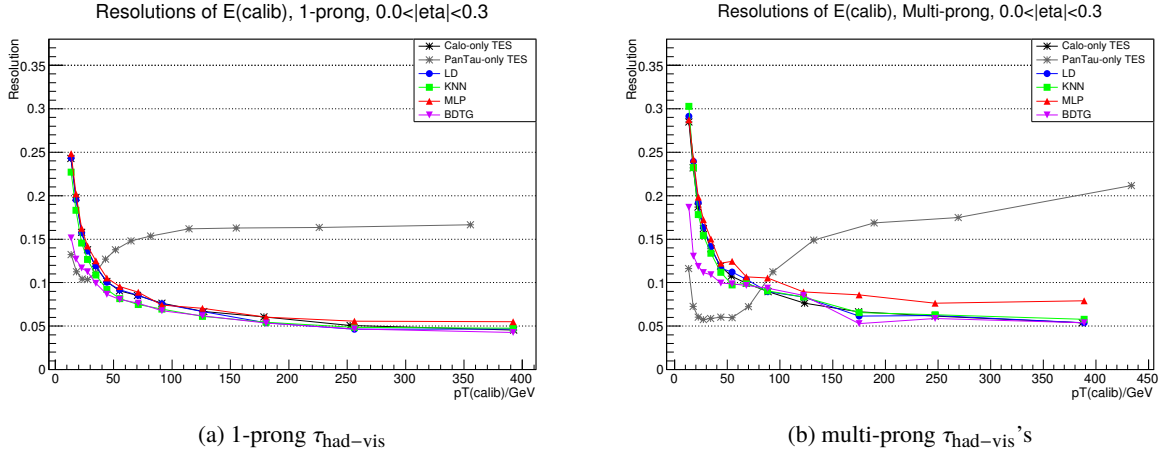


Figure 150: The resolutions of  $p_T^{\text{cali}}$  for (a) 1-prong and (b) multi-prong  $\tau_{\text{had-vis}}$ 's obtained by LD (blue),  $k$ -NN (green), MLP (red) and BDT (violet) regression algorithms. The resolutions of calo-based (black) and substructure (grey) calibrations are plotted in the same figures for comparison. Results for  $|\eta| > 0.3$  were not shown here, but their features are similar.

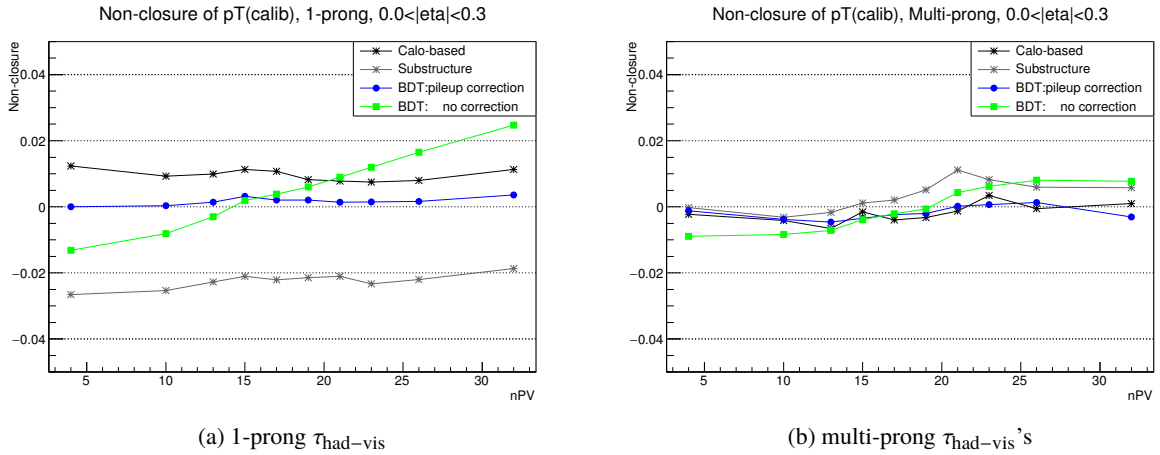


Figure 151: The non-closure of  $p_T^{\text{cali}}$  against  $n_{\text{PV}}$  for (a) 1-prong and (b) multi-prong  $\tau_{\text{had-vis}}$ 's obtained by BDT regressions with (blue) or without (green) the variables  $\mu$  and  $n_{\text{PV}}$ . Results for  $|\eta| > 0.3$  were not shown here, but their features are similar.

1669 the most probable value of the ratio  $p_T^{\text{cali}}/p_T^{\text{true,vis}}$  away from one. It can be seen from the result that by  
 1670 including  $\mu$  and  $n_{\text{PV}}$  as inputs, the non-closure has been significantly improved against pile-up.

1671 The Baseline  $\tau_{\text{had-vis}}$  reconstruction used  $p_T^{\text{LC}}$  and cluster variables to calibrate the  $\tau_{\text{had-vis}}$  energy. Fol-  
 1672 lowing the same idea, cluster variables are also included as input to the MVA training. It is shown that  
 1673 the cluster variables listed in Table 28 can be used by the BDT algorithm to significantly improve  $\tau_{\text{had-vis}}$   
 1674 energy resolution at low  $p_T$ . Figure 152 shows the resulted resolution for BDT trainings with or without  
 1675 cluster variables.

1676  $\gamma_\pi$  and  $n_{\pi^0}$  are variables that provide information about the  $\tau$  decay modes. It has also been shown that  
 1677 the inclusion of these variables improves the resolution of the BDT response at low  $p_T$ . The result of the

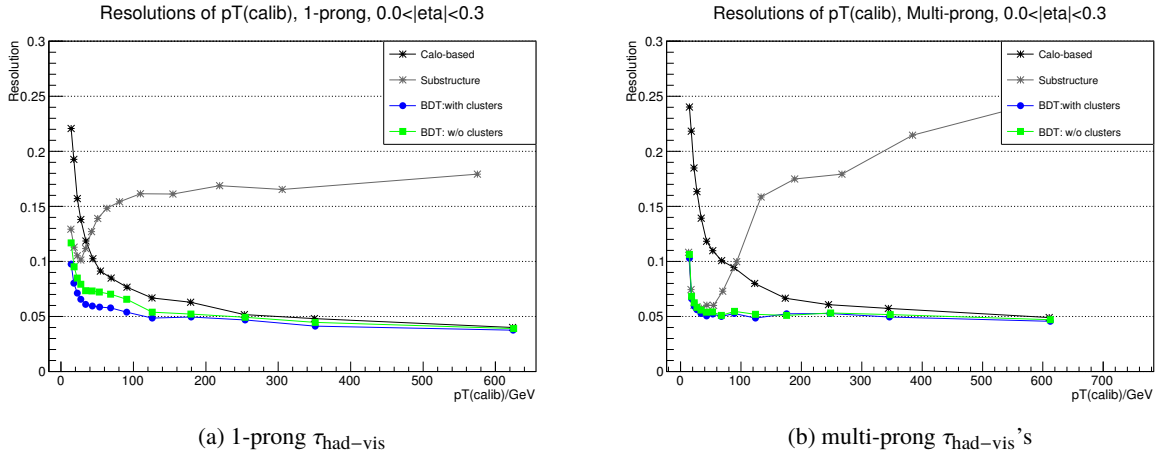


Figure 152: The resolutions of  $p_T^{\text{cali}}$  for (a) 1-prong and (b) multi-prong  $\tau_{\text{had-vis}}$ 's obtained by BDT regressions with (blue) or without (green) the cluster variables. Results for  $|\eta| > 0.3$  were not shown here, but their features are similar.

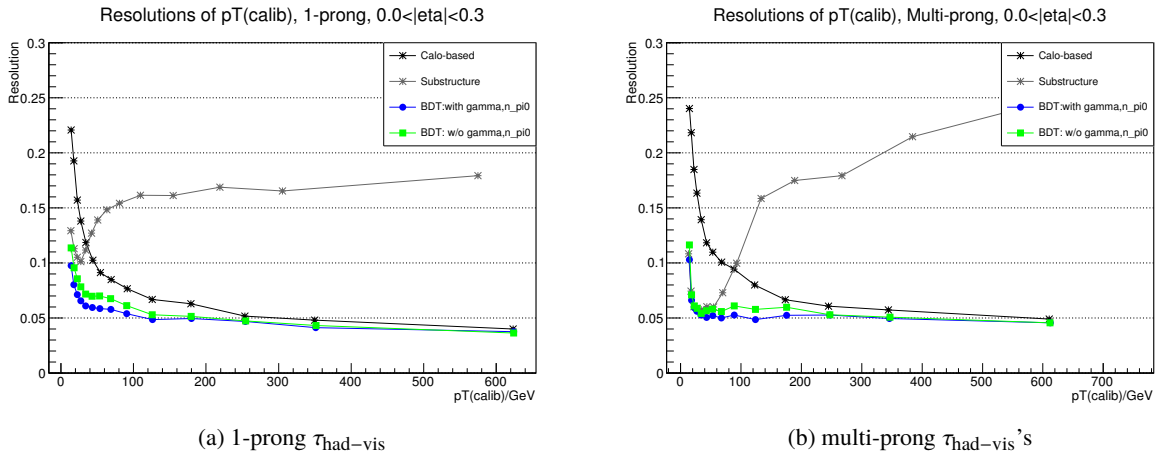


Figure 153: The resolutions of  $p_T^{\text{cali}}$  for (a) 1-prong and (b) multi-prong  $\tau_{\text{had-vis}}$ 's obtained by BDT regressions with (blue) or without (green) the variables  $\gamma_\pi$  and  $n_{\pi^0}$ . Results for  $|\eta| > 0.3$  were not shown here, but their features are similar.

1678 study is shown in figure 153.

### 1679 H.3. Raw values and ratios of variables

1680 MVA training algorithms are sensitive to correlations between variables. In general, having two or more  
 1681 highly correlated input variables are not desirable. The algorithms are likely to treat some of the input  
 1682 variables as redundant information. For  $\tau_{\text{had-vis}}$  energy calibration, the different energy scales  $p_T^{\text{LC}}$  and  
 1683  $p_T^{\text{TPF}}$  are highly correlated variables. If the raw values of the energy scales are used as input, there are  
 1684 chances that the MVA regression cannot make full use of the available information. Therefore, ratios of  
 1685 these variables are used instead of the raw values to reduce their correlation. Figure 154 compares the



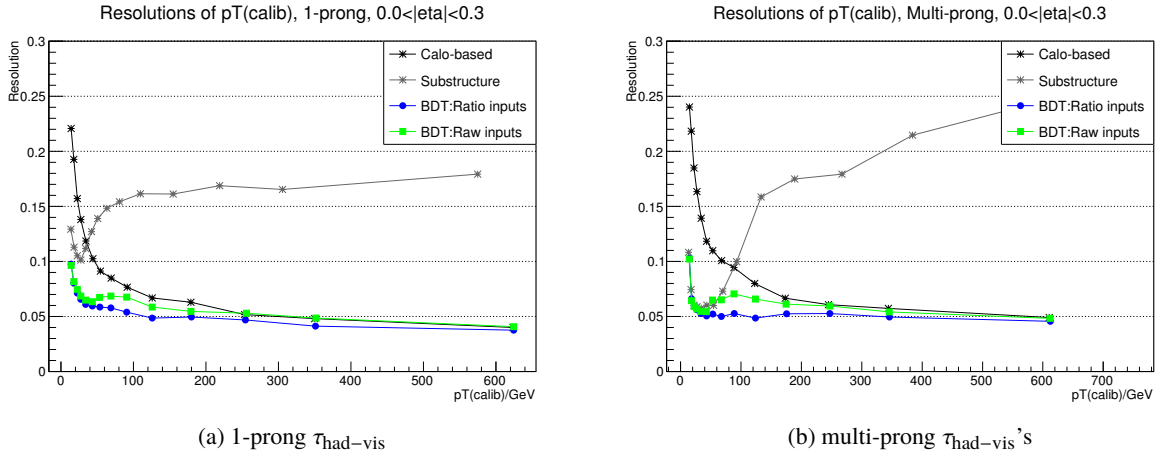


Figure 154: The resolutions of  $p_T^{\text{cali}}$  for (a) 1-prong and (b) multi-prong  $\tau_{\text{had-vis}}$ 's obtained by BDT regressions using the raw values (green) of  $p_T^{\text{LC}}$  and  $p_T^{\text{TPF}}$  as inputs and their ratios to  $p_T^{\text{interp}}$  (blue) as inputs. Results for  $|\eta| > 0.3$  were not shown here, but their features are similar.

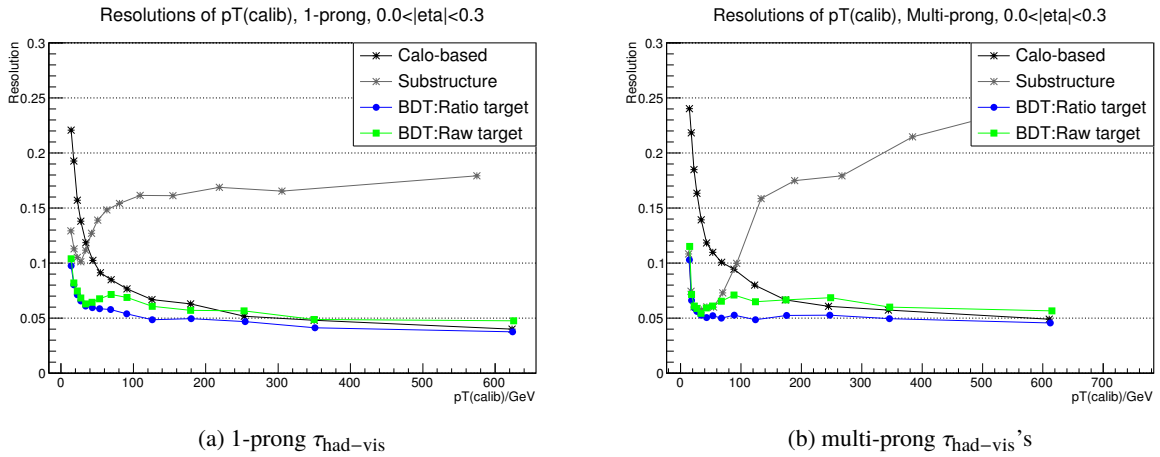


Figure 155: The resolutions of  $p_T^{\text{cali}}$  for (a) 1-prong and (b) multi-prong  $\tau_{\text{had-vis}}$ 's obtained by BDT regressions using the raw values (green) of  $p_T^{\text{true,vis}}$  as target and its ratio to  $p_T^{\text{interp}}$  (blue) as target. Results for  $|\eta| > 0.3$  were not shown here, but their features are similar.

1686 resolution of the BDT regression trained using the raw values of  $p_T^{\text{LC}}$  and  $p_T^{\text{TPF}}$  as inputs to that using the  
 1687 ratios  $p_T^{\text{LC}}/p_T^{\text{interp}}$  and  $p_T^{\text{TPF}}/p_T^{\text{interp}}$  as inputs. The reason of introducing the variable  $p_T^{\text{interp}}$  will be discussed  
 1688 later in section H.4.

1689 For the regression target, the ratio of  $p_T^{\text{true,vis}}$  to  $p_T^{\text{interp}}$  is used instead of the raw values of  $p_T^{\text{true,vis}}$ . The  
 1690 rationale is that the ratio  $p_T^{\text{true,vis}}/p_T^{\text{interp}}$  only spans a narrow range around unity while  $p_T^{\text{true,vis}}$  spans a wide  
 1691 range from zero to over a thousand GeV. The regression towards the ratio can give a precise "correction  
 1692 factor" to  $p_T^{\text{interp}}$  without having to predict the precise value of  $p_T^{\text{true,vis}}$  over a wide range. The difference  
 1693 in resolution of using raw values or ratios as the regression target can be seen in figure 155.

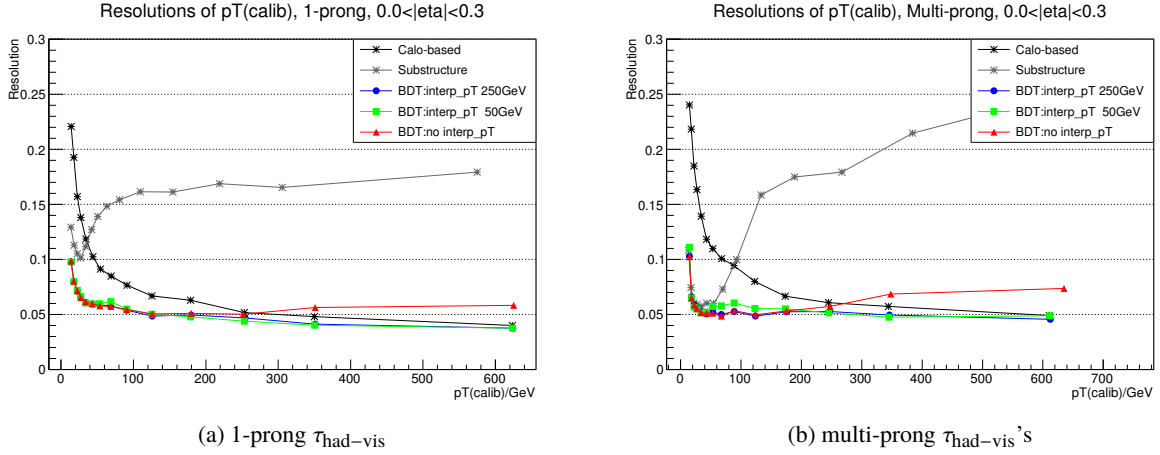


Figure 156: The resolutions of  $p_T^{\text{cali}}$  for (a) 1-prong and (b) multi-prong  $\tau_{\text{had-vis}}$ 's obtained by BDT regressions with or without (red) introducing the variable  $p_T^{\text{interp}}$ , with  $x = 250$  (blue) or  $x = 50$  (green). Results for  $|\eta| > 0.3$  were not shown here, but their features are similar.

#### 1694 H.4. Interpolated transverse momentum

1695 Before the interpolated transverse momentum  $p_T^{\text{interp}}$  was introduced to the MVA calibration,  $p_T^{\text{true,vis}}/p_T^{\text{TPF}}$   
 1696 had been used as the regression target. This, however, created an issue since the native resolution of  $p_T^{\text{TPF}}$   
 1697 can introduce error to the target and affect the precision of the BDT regression. Since the resolution of  
 1698  $p_T^{\text{TPF}}$  is worse at higher  $p_T$ , the effect is especially seen at  $p_T \gtrsim 250$  GeV. Using  $p_T^{\text{true,vis}}/p_T^{\text{LC}}$  as target,  
 1699 on the other hand, worsen the resolution at low  $p_T$ . Therefore, a new variable  $p_T^{\text{interp}}$  is introduced and is  
 1700 defined as

$$1700 p_T^{\text{interp}} = f_x \times p_T^{\text{LC}} + (1 - f_x) \times p_T^{\text{TPF}}, \quad (18)$$

1701 where  $f_x$  is a weight between zero and one and is a function of  $p_T^{\text{LC}}$ :

$$1701 f_x(p_T^{\text{LC}}) = \frac{1}{2} \left( 1 + \tanh \frac{p_T^{\text{LC}} - x \text{ GeV}}{20 \text{ GeV}} \right). \quad (19)$$

1702 In other words,  $p_T^{\text{interp}}$  is the weighted average of  $p_T^{\text{TPF}}$  and  $p_T^{\text{LC}}$  with  $p_T^{\text{TPF}}$  weighted more at low  $p_T$  and  
 1703  $p_T^{\text{LC}}$  weighted more at high  $p_T$ .  $x$  defines the point where the transition from low  $p_T$  to high  $p_T$  occurs.

1704 In figure 156, it can be seen that the resolution for multi-prong taus at high  $p_T$  is improved by introducing  
 1705  $p_T^{\text{interp}}$ . It has also been observed that a transition at low  $p_T$  ( $x = 50$ ) creates an unwanted effect that worsen  
 1706 the resolution around the transition. A transition at higher  $p_T$  ( $x = 250$ ) is therefore more preferable. The  
 1707 final MVA-based calibration uses  $p_T^{\text{interp}}$  with  $x = 250$ .

#### 1708 H.5. Settings of BDT training

1709 As mentioned previously, settings of the training algorithm might affect the performance of the calibration  
 1710 significantly. Table 29 lists out the configurable options of the BDT training algorithm and their values  
 1711 used for the MVA-based  $\tau_{\text{had-vis}}$  energy calibration.

Options of BDT training	Values
Boosting type	Gradient boosting
Number of trees	2000
Shrinkage	0.1
Fraction of bagged samples	0.5
Number of grid points used in node splitting	200
Maximum depth of each tree	5

Table 29: List of configurable options of the BDT training algorithm and their values used for the MVA-based  $\tau_{\text{had-vis}}$  energy calibration.

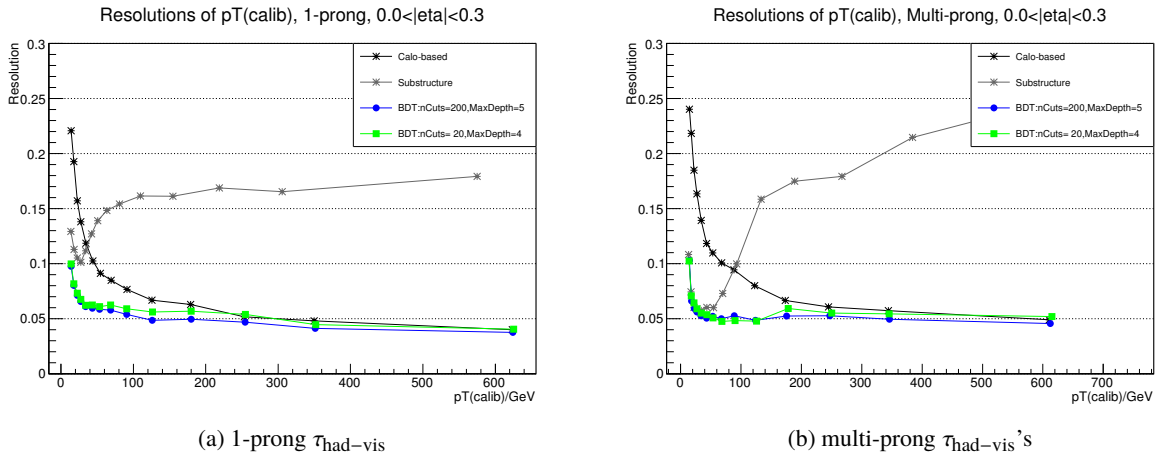


Figure 157: The resolutions of  $p_T^{\text{cali}}$  for (a) 1-prong and (b) multi-prong  $\tau_{\text{had-vis}}$ 's obtained by BDT regressions with  $n\text{Cuts}=200, \text{MaxDepth}=5$  (blue) and  $n\text{Cuts}=20, \text{MaxDepth}=4$  (green). Results for  $|\eta| > 0.3$  were not shown here, but their features are similar.

1712 The impact of changing the number of grid points used in node splitting ( $n\text{Cuts}$ ) and the maximum depth  
 1713 of trees ( $\text{MaxDepth}$ ) on the resulted resolution has been investigated. Figure 157 shows the difference  
 1714 of using  $n\text{Cuts} = 20$  and  $\text{MaxDepth} = 4$  compared to  $n\text{Cuts} = 200$  and  $\text{MaxDepth} = 5$ . The resolution  
 1715 is slightly improved by increasing  $n\text{Cuts}$  and  $\text{MaxDepth}$  while the training time stills remain reasonable  
 1716 ( $\sim 1.5$  days).